



# BASIC PRINCIPLES OF RF SUPERCONDUCTIVITY

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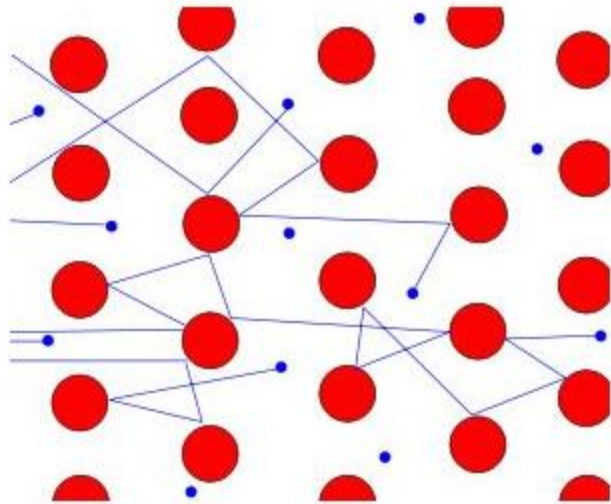
*and*

*Old Dominion University*

# Outline

- Electrical conduction: DC and RF
- Superconductivity
  - Type-I and type-II superconductors
  - Intro to BCS and GL theories
- Surface impedance of superconductors
- DC and RF critical fields
- Field dependence of surface resistance
- Intro to performance limitations

# DC electrical conduction: resistance



Drude Model electrons (shown here in blue) constantly bounce between heavier, stationary crystal ions (shown in red).

Average momentum of an electron in an electric field within the time between collision,  $\tau$

$$\langle p \rangle = eE\tau$$

$\tau = l/v_F \approx 10^{-14}$  s is the electrons' scattering time

$$J = \frac{ne^2}{m\tau} E = \sigma E$$

Ohm's law, local relation between  $J$  and  $E$

# Electrodynamics of normal conductors

$$E = E_0 e^{i\omega t}$$

For accelerator applications, the rate of oscillation of the e.m. field is in the **radio-frequency (RF)** range (3 kHz – 300 GHz)

$$\begin{aligned}\nabla \cdot E &= \frac{\rho}{\epsilon_0} & \nabla \times E &= -\frac{\partial B}{\partial t} \\ \nabla \cdot B &= 0 & \nabla \times H &= J + \frac{\partial D}{\partial t}\end{aligned}$$

Maxwell's equations



$$\begin{aligned}D &= \epsilon_0 \epsilon E \\ B &= \mu_0 \mu H \\ J &= f(E)\end{aligned}$$

(linear and isotropic) material's equations

- From Drude's model:

$$\frac{\partial J}{\partial t} + \frac{J}{\tau} = \frac{ne^2}{m} E$$

$$J = \frac{\sigma}{(1+i\omega\tau)} E = \sigma E$$

$\omega\tau \ll 1$  at RF frequencies

# Skin depth

- For a good conductor at RF frequencies,  $\omega\epsilon \ll \sigma \rightarrow \partial D / \partial t \sim 0$

$$\nabla \times \nabla \times H = \nabla(\nabla \cdot H) - \nabla^2 H = \sigma \nabla \times E = -i\mu_0 \mu \sigma \omega H$$

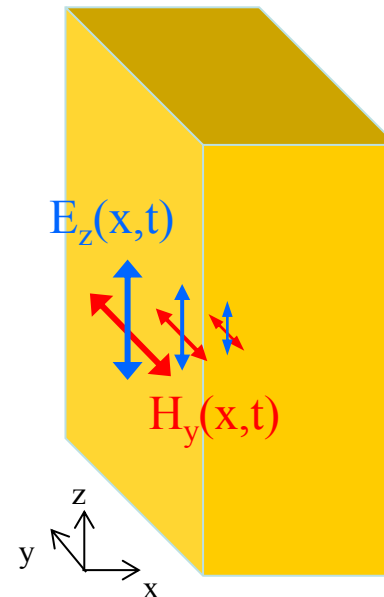
→  $\nabla^2 H = i\sigma \mu_0 \mu \omega H$       similar equations for  $E$  and  $J$

- Solution (semi-infinite slab):

$$H_y = H_0 e^{-x/\delta} e^{-ix/\delta}$$

$$E_z = -\frac{(1+i)}{\sigma\delta} H_y$$

$$\delta = \sqrt{\frac{2}{\mu_0 \mu \sigma \omega}}$$



# Surface Impedance

- The surface impedance is defined as:

$$Z = \frac{|E_{\parallel}|}{\int_0^{\infty} J(x) dx} = \frac{E_{\parallel}}{H_{\parallel}} = R_s + i X_s$$

surface reactance  
surface resistance

- For the semi-infinite plane conductor:

$$Z_n = \frac{|E_z(0)|}{H_y(0)} = \frac{1+i}{\sigma\delta}$$

$$R_s = X_s = \frac{1}{\sigma\delta} = \sqrt{\frac{\mu_0\mu\omega}{2\sigma}}$$

- The impedance of vacuum is:  $Z_0 = \left(\frac{\mu_0}{\epsilon_0}\right)^{1/2} \approx 377\Omega$

# Example

Surface resistance of Cu at 300 K, 1.5 GHz:

$$\sigma(300 \text{ K}) = 5.8 \times 10^7 \text{ 1}/\Omega\text{m}$$

$$\mu_0 = 1.26 \times 10^{-6} \text{ Vs/Am}$$

$$\mu = 1$$

$$\Rightarrow \delta = 1.7 \text{ }\mu\text{m}, R_s = 10 \text{ m}\Omega$$

# What happens at low temperature?

- $\sigma(T)$  increases,  $\delta$  decreases  $\longrightarrow$  The skin depth (the distance over which fields vary) can become less than the mean free path of the electrons (the distance they travel before being scattered)  $\longrightarrow J(x) \neq \sigma E(x)$
- Introduce a new relationship where  $J$  is related to  $E$  over a volume of the size of the mean free path ( $l$ )

$$\vec{J}(\vec{r}, t) = \frac{3\sigma}{4\pi l} \int_V d\vec{r}' \frac{\vec{R} \left[ \vec{R} \cdot \vec{E}(\vec{r}', t - \vec{R}/v_F) \right]}{R^4} e^{-R/l} \quad \text{with } \vec{R} = \vec{r}' - \vec{r}$$

Effective conductivity  $\sigma_{eff} \approx \frac{\delta}{l} \sigma = \frac{\delta n e^2 l}{l m v_{E'}} = \tau$

Contrary to the DC case higher purity (longer  $l$ ) does not increase the conductivity  $\rightarrow$  **anomalous skin effect**



# Anomalous skin effect

$$Z_n = \frac{4}{9} \left( \frac{\mu_0^2}{2\pi} \sqrt{3} \right)^{1/3} \left( \frac{l}{\sigma} \right)^{1/3} \omega^{2/3} (1 + \sqrt{3}i) \quad l \gg \delta$$

- $l/\sigma = mv_F/e^2n$  is a constant for each material  $\sim 7 \times 10^{-16} \Omega\text{m}^2$
- Independent of temperature

# Example

Surface resistance of Cu at 1.5 GHz as a function of temperature

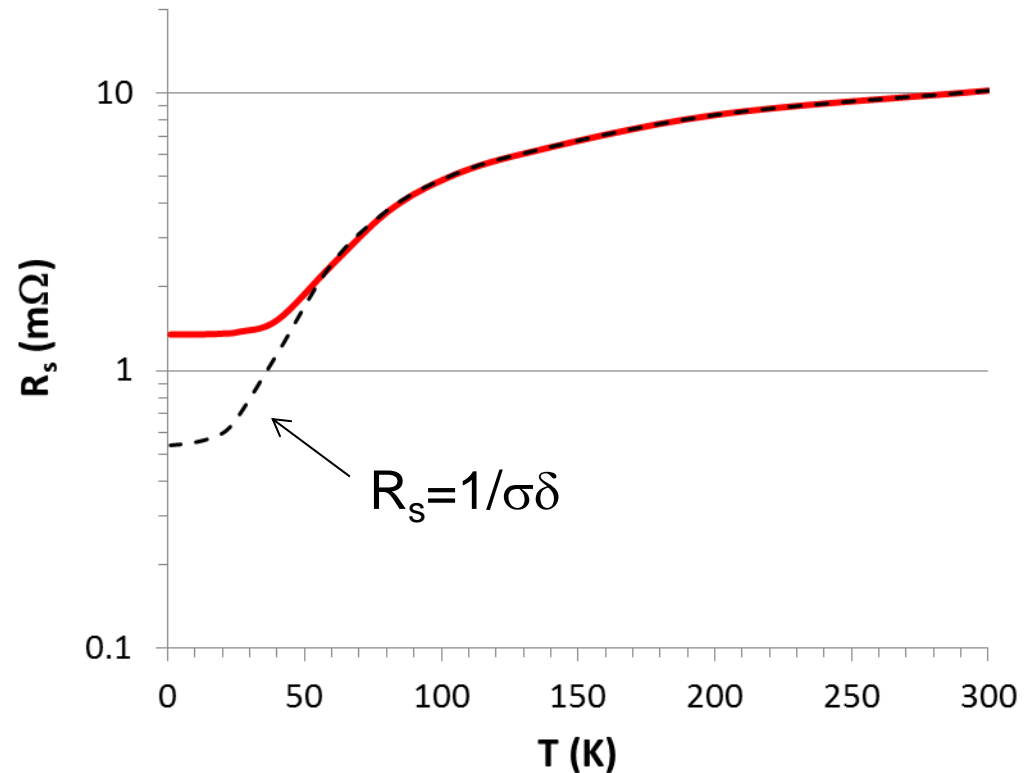
$$\rho l = 6.6 \times 10^{-16} \text{ } \Omega \text{m}^2$$

$$\rho(273 \text{ K}) = 1.55 \times 10^{-8} \text{ } \Omega \text{m}$$

$$\text{RRR} = \rho(300 \text{ K}) / \rho(4 \text{ K}) = 300$$

$$R_s(4 \text{ K}) \cong 1.3 \text{ m}\Omega$$

...in spite of the resistivity decreasing by a factor 300 from 300 K to 4 K,  $R_s$  only decreases by a factor of  $\sim 8$ !

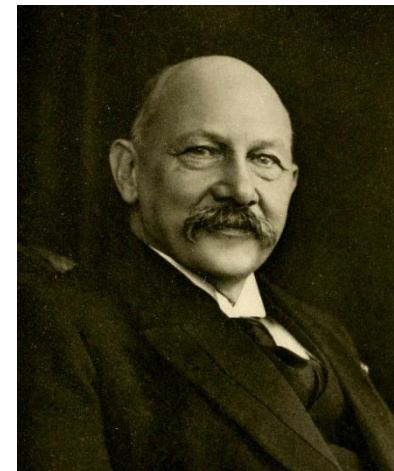
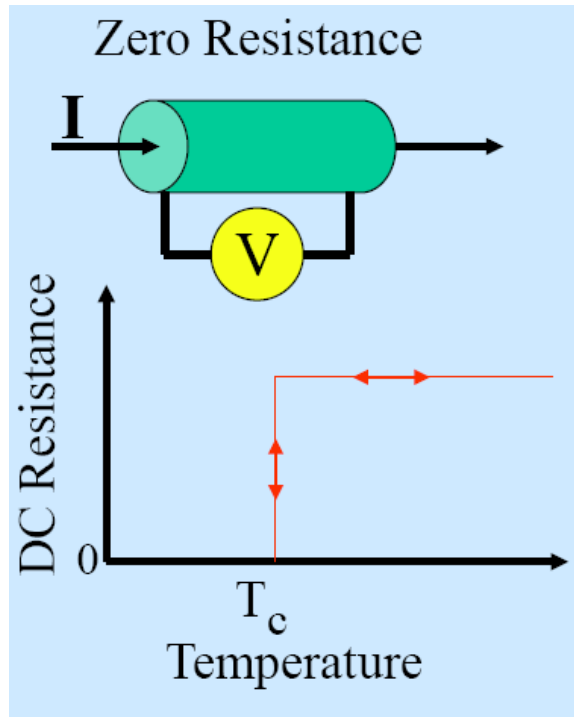


# Superconductivity

## The 3 Hallmarks of Superconductivity

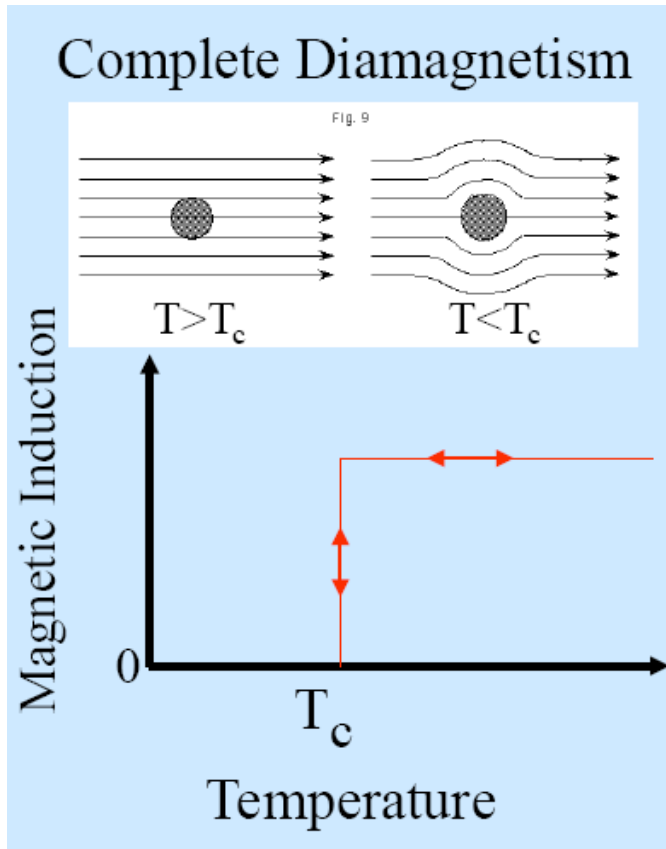
- Zero resistance
- Complete diamagnetism
- Flux quantization

# Zero Resistance



*Kammerlingh-Onnes, 1911*

# Complete Diamagnetism



*Meissner*



*and*

*Ochsenfeld,*

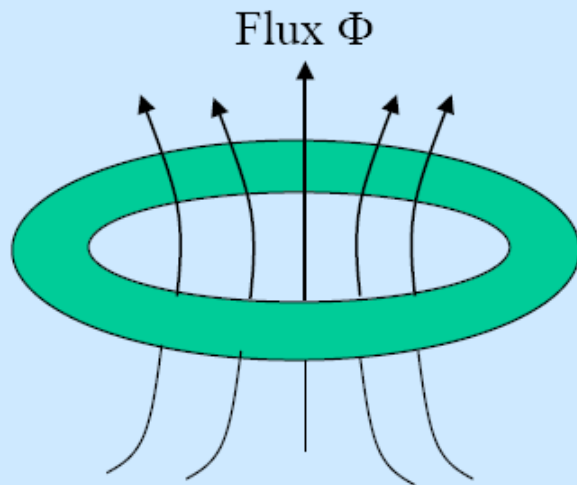


*1933*

"Meissner effect"

# Flux Quantization

## Macroscopic Quantum Effects



Flux quantization  $\Phi = n\Phi_0$   
Josephson Effects

*Deaver*



*and*

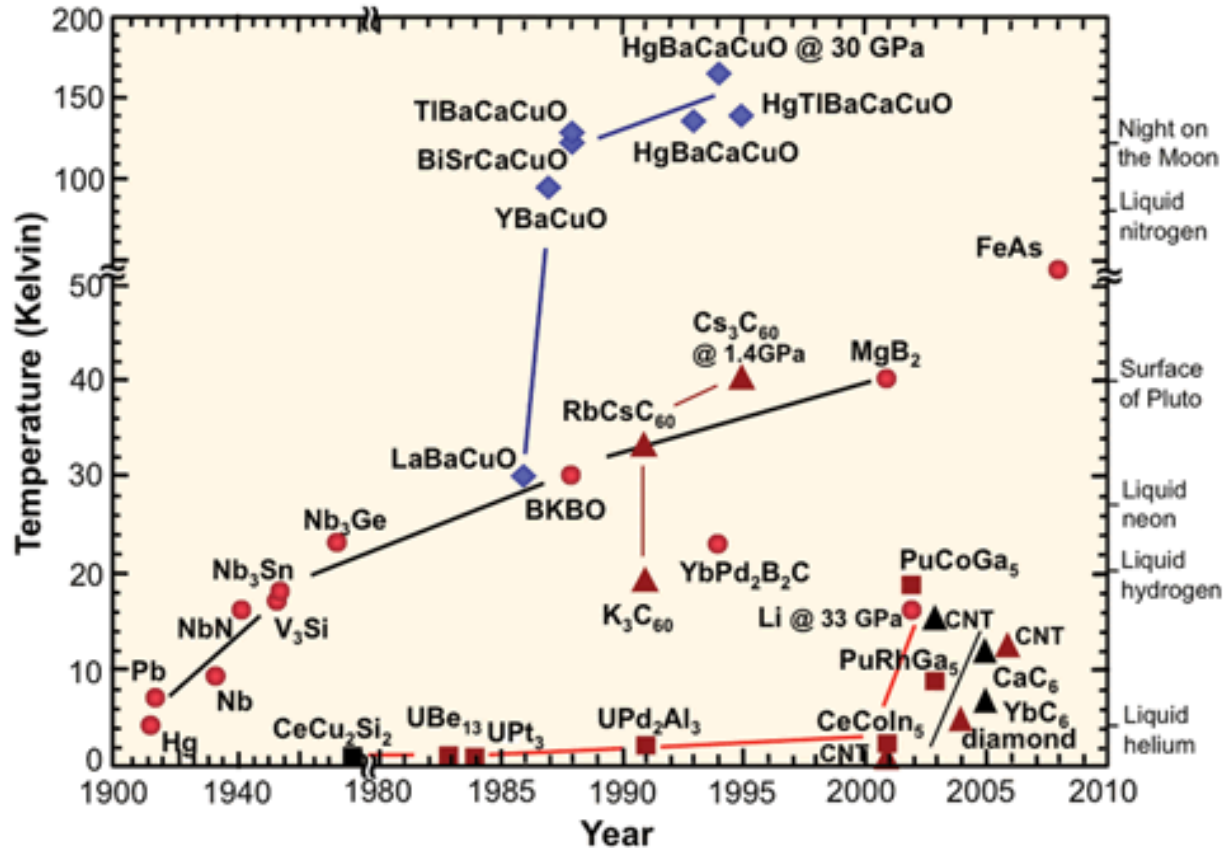
*Fairbank,*



*1961*

# Critical Temperature

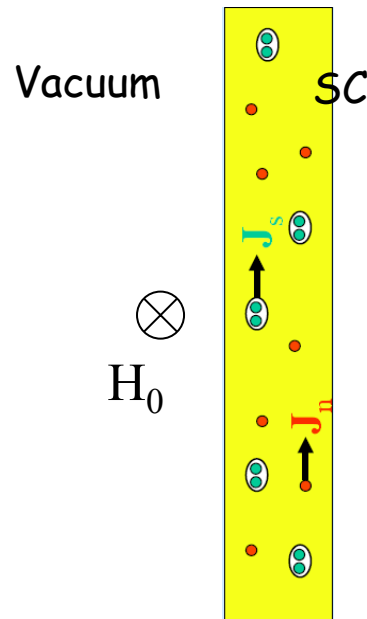
- “Isotope effect” (1950):  $T_c \propto 1/\sqrt{M}$ ,  $M$ =isotope mass



# Two-fluid model

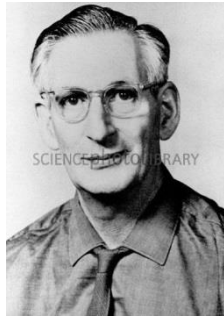
- Gorter and Casimir (1934) two-fluid model: charge carriers are divided in two subsystems, superconducting carriers of density  $n_s$  and normal electrons of density  $n_n$ .
- The normal current  $J_n$  and the supercurrent  $J_s$  are assumed to flow in parallel.  $J_s$  flows with no resistance.

$$J = J_n + J_s$$





# London equations (I)



*F. and H. London, 1935*

- Superelectrons accelerate steadily in the presence of a constant electric field

$$m \frac{d\vec{v}_s}{dt} = e\vec{E} \quad \begin{array}{c} \text{--->} \\ \uparrow \\ J_s = n_s e v_s \end{array} \quad \frac{d\vec{J}_s}{dt} = \frac{n_s e^2}{m} \vec{E}$$

$$\lambda_L = \sqrt{\frac{m}{\mu_0 n_s e^2}}$$

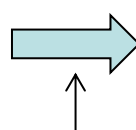
**London penetration depth**

$$\frac{d\vec{J}_s}{dt} = \frac{1}{\mu_0 \lambda_L^2} \vec{E}$$

- $\mathbf{E}=0$ :  $\mathbf{J}_s$  goes on forever
- $\mathbf{E}$  is required to maintain an AC current

# London equations (II)

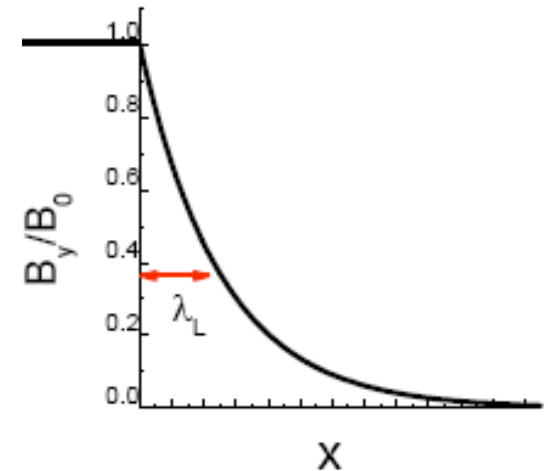
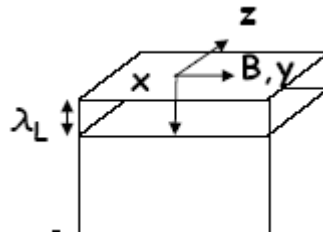
$$\vec{\nabla} \times \vec{J}_s = \frac{1}{\mu_0 \lambda_L^2} \vec{\nabla} \times \vec{E} \quad \vec{\nabla} \times \vec{J}_s = -\frac{1}{\mu_0 \lambda_L^2} \dot{\vec{B}}$$


  
 $\nabla \times E = -\dot{B}$

$$\vec{\nabla} \times \vec{J}_s = -\frac{1}{\mu_0 \lambda_L^2} \dot{\vec{B}}$$

- **B** is the source of  $J_s$
- Spontaneous flux exclusion

$$\nabla \times B = \mu_0 J_s \longrightarrow \nabla^2 B = \frac{B}{\lambda_L^2}$$



# Coherence length

$$\vec{J}_s = -\frac{1}{\lambda_L^2} \vec{A}.$$

Local condition between current and field. Valid if  $\xi_0 \ll \lambda_L$   
or  $l \ll \lambda_L$

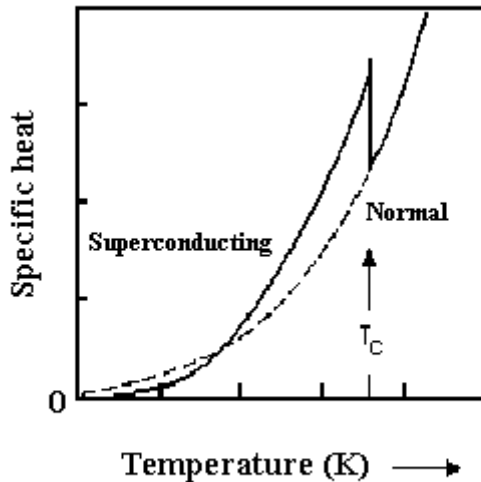
Nonlocal generalization proposed by Pippard in 1953:

$$\vec{J}_s(\vec{r}) = -\frac{3}{4\pi\xi_0\lambda_L^2} \int_V \frac{\vec{R}\vec{R} \cdot \vec{A}(\vec{r}') e^{-R/\xi}}{R^4} d\vec{r}' \quad \vec{R} = \vec{r} - \vec{r}'$$

$\xi$ : “**coherence length**”, characteristic dimension of the superelectrons wave-function

$$\frac{1}{\xi} = \frac{1}{\xi_0} + \frac{1}{l} \quad \xi_0 \propto \frac{\hbar v_F}{kT_c} \quad \text{for a pure material}$$

# The energy gap



Measurements of the electronic specific heat (1954):

- Jump at  $T_c$  without any latent heat
- Exponential decrease well below  $T_c$

$$C_{eS} \propto e^{-bT/T_c} \quad b \sim 1.5$$

Results of measurements of electromagnetic absorption (1956) also consistent with the existence of an **energy gap  $\Delta$** , of order  $kT_c$ , between the ground state and the excited state of a superconductor

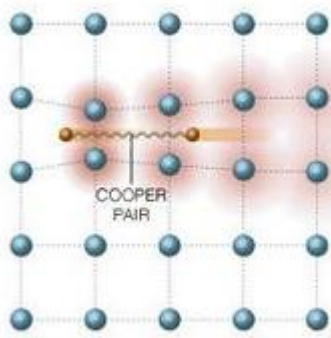
# The BCS theory




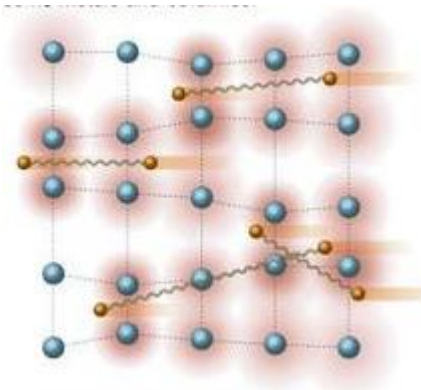
*Bardeen, Cooper and Schrieffer*

- In 1958 Bardeen, Cooper and Schrieffer published a theory of superconductivity in which
  - There exists an attractive interaction between electrons, forming “Cooper pairs”
  - This interaction occurs through the exchange of a lattice phonon
  - As a results of this interaction, there exists a bound state with energy lower than  $2E_F$

# Cooper pairs

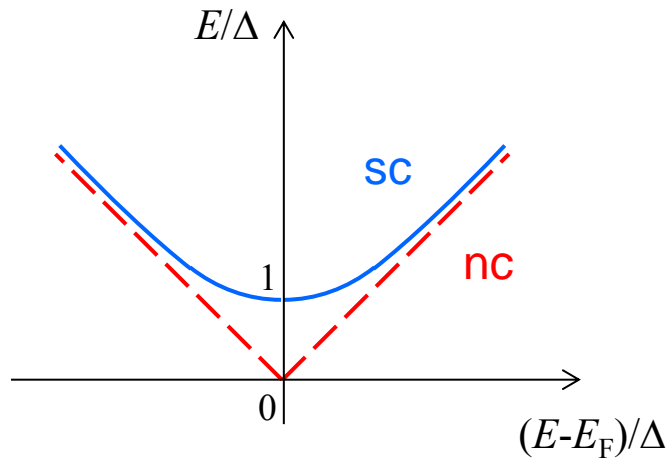


- Positively charged wake due to moving electron attracting nearby atoms
- This wake can attract another nearby electron  
     a Cooper pair is formed
- Cooper pairs are formed by electrons with opposite momentum and spin
- Cooper pairs belong all to the same quantum state and have the same energy
- When carrying a current, each Cooper pair acquires a momentum which is the same for all pairs
- The **total** momentum of the pair remains constant. It can be changed only if the pair is broken, but this requires a minimum energy  $2\Delta$

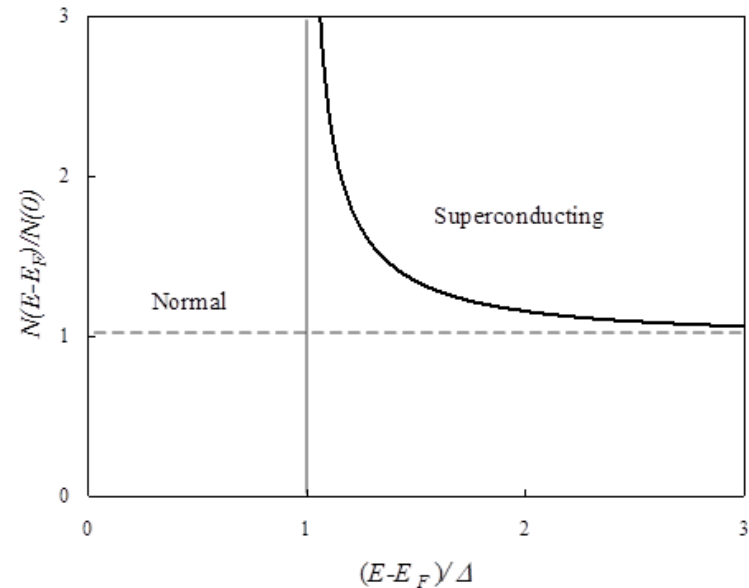


# Quasi-particles excitations

- The unpaired electrons behave almost like free electrons and are called “quasi-particles”

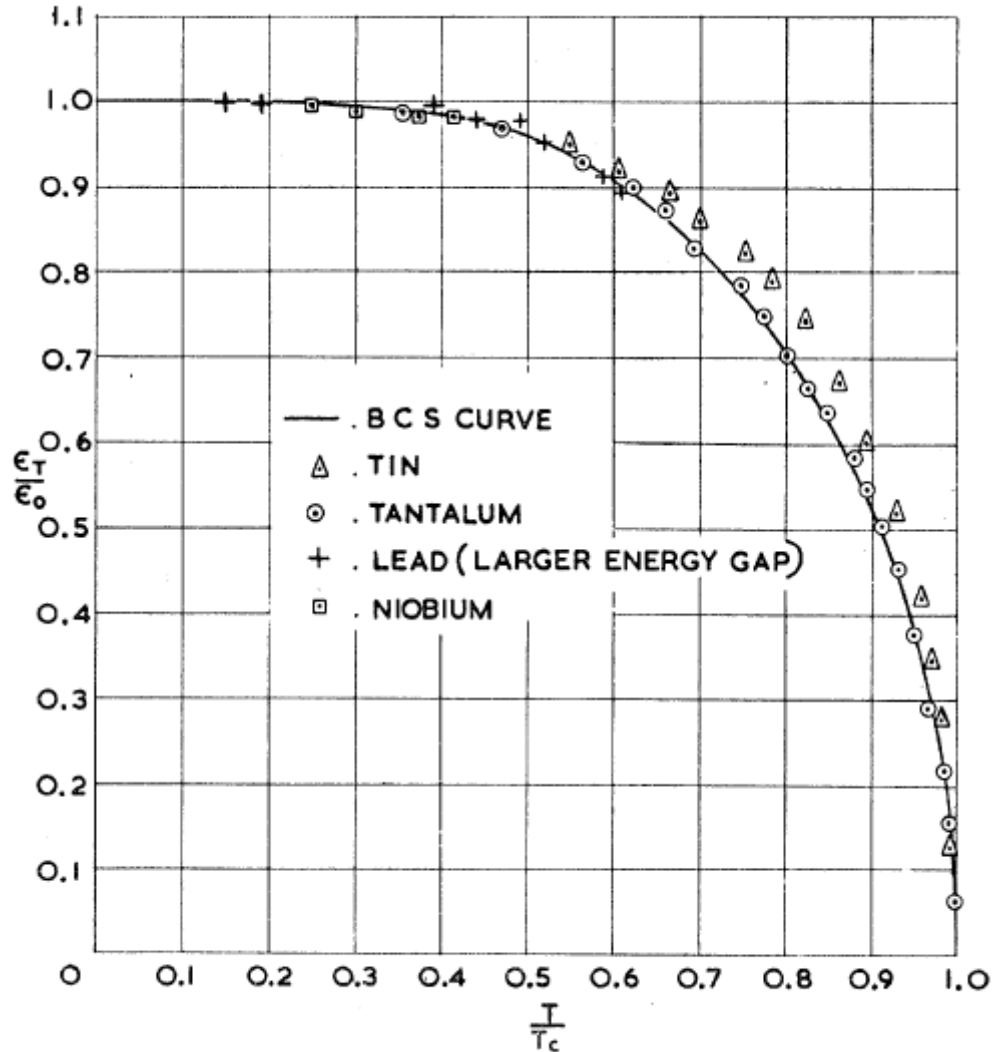


Energy spectrum



Density of States

# Energy gap



$$\Delta(T) = \Delta(0) \sqrt{\cos\left(\frac{\pi t^2}{2}\right)}$$

$$t = T/T_c$$

$$\Delta(0)/kT_c = 1.764$$

$$\Delta(0) = 1.55 \text{ meV for Nb}$$

P. Townsend and J. Sutton, Phys. Rev. 128 (1962) 591.



# Characteristic Lengths

- **Coherence length**  $\xi_0 \equiv \frac{\hbar v_F}{\pi \Delta(0)}$ : interaction distance between electrons forming a Cooper pair  **$\xi_0 = 39 \text{ nm for Nb}$**
- **Penetration depth**,  $\lambda(T)$ : decay length of magnetic field in the superconductor  **$\lambda(0) = 36 \text{ nm for Nb}$**

$$\lambda(T) = \frac{\lambda_L(0)}{\sqrt{1 - \left(\frac{T}{T_c}\right)^4}}$$

# Effect of impurities on $\xi$ and $\lambda$

- Adding impurities to a superconductor reduces the normal electrons mean free path, so that the electrodynamic response changes from “**clean**” ( $l \gg \xi$ ) to the “**dirty**” limit ( $l \ll \xi$ ).
- Changes in the characteristic lengths of the SC can be approximated as:

$$\frac{1}{\xi} = \frac{1}{\xi_0} + \frac{1}{l}$$

$$\lambda(l, T) = \lambda_L(T) \sqrt{1 + \frac{\xi_0}{l}}$$

# Ginzburg-Landau theory

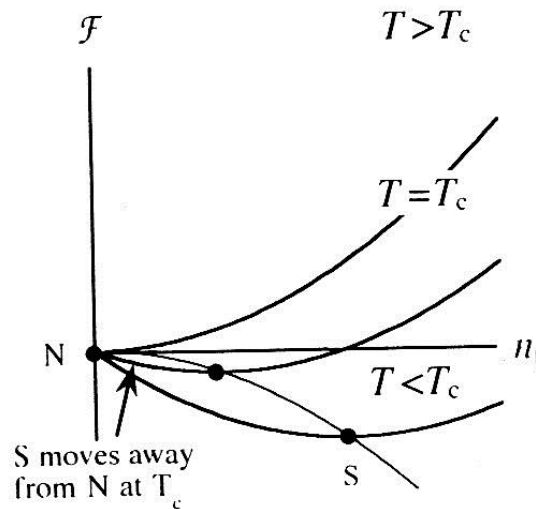


*V. Ginzburg*



*L. Landau*

- In 1950 Ginzburg and Landau proposed a theory of SC alternative to the London theory:
  - Near  $T_c$ , the difference in the Helmholtz free energy density between SC and NC state can be written as a power series of a complex order parameter,  $\psi(\vec{r}) = |\psi(\vec{r})|e^{i\phi(\vec{r})}$



$$n_p = |\psi|^2 = n_s/2$$

# Ginzburg-Landau equations

$$f_s = f_n + \alpha |\psi|^2 + \frac{\beta}{2} |\psi|^4 + \frac{1}{2m^*} |(-i\hbar\nabla - e^* \mathbf{A})\psi|^2 + \frac{\mu_0 H^2}{2} \quad \begin{array}{l} m^* = 2m_e \\ e^* = 2e \end{array}$$

Minimization of  $f_s$  with respect to changes in order parameter and magnetic fields results in two equations:

$$\alpha\psi + \beta|\psi|^2\psi + \frac{1}{2m^*}(-i\hbar\nabla - e^*\mathbf{A})^2\psi = 0$$

$$\mathbf{J} = \frac{e^*}{m^*}\psi^*(-i\hbar\nabla - e^*\mathbf{A})\psi$$

with proper boundary conditions. For example  $(-i\hbar\nabla - e^*\mathbf{A})\psi|_n = 0$

# Characteristic lengths in GL theory

- **GL penetration depth**: characteristic length for variation of the magnetic field

$$\lambda_{GL} = \sqrt{\frac{m^*}{\mu_0 |\psi|^2 e^{*2}}}$$

- **GL coherence length**: characteristic length for variation of the order parameter

$$\xi_{GL}(T) = \frac{\hbar}{\sqrt{2m^* |\alpha(T)|}} \propto \frac{1}{\sqrt{1-t}}$$

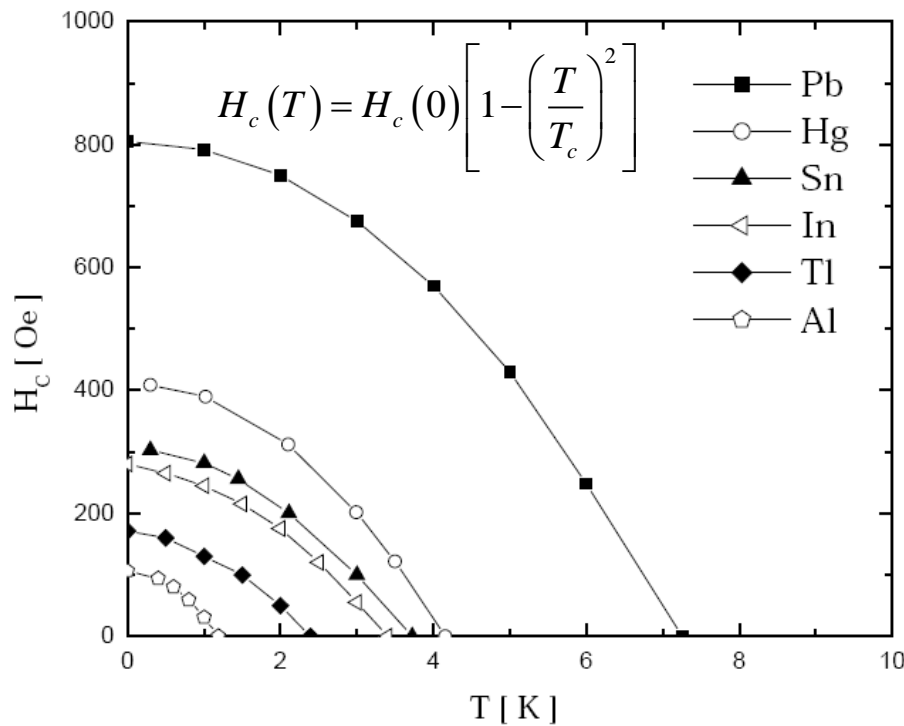
$\xi_{GL}$  is related to the BCS coherence length ( $\xi_0$ ):

$$\xi_{GL}(T) \propto \frac{\xi_0}{\sqrt{1-t}} \quad \text{Clean limit}$$

$$\xi_{GL}(T) \propto \sqrt{\frac{\xi_0 l}{1-t}} \quad \text{Dirty limit}$$

# Thermodynamic critical field

Superconductivity is lost when a magnetic field applied to a SC increases above a critical value.



Gibbs free energy density in a SC with applied magnetic field  $H_a$ :

$$g_s(T, H) = g_s(T, 0) + \frac{1}{2} \mu_0 H_a^2$$

at  $H_a = H_c$ ,  $g_s = g_n$

$$H_c = \sqrt{\frac{2}{\mu_0} [g_n(T, 0) - g_s(T, 0)]}$$

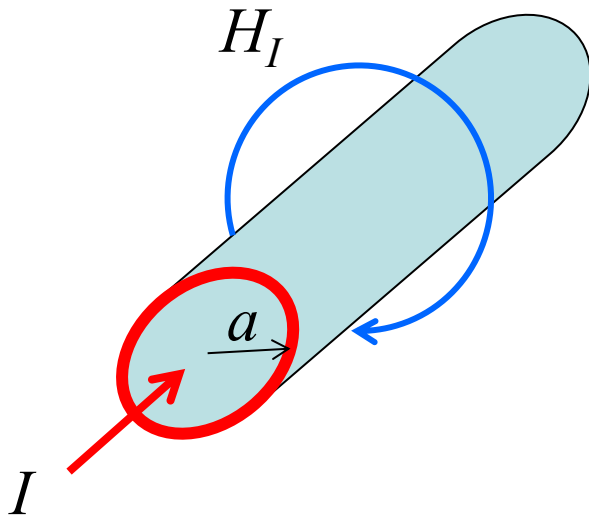
$$H_c(0) = \sqrt{\frac{0.472\gamma}{\mu_0}} T_c$$

from BCS theory

$\gamma$  is the Sommerfeld constant

# Critical current

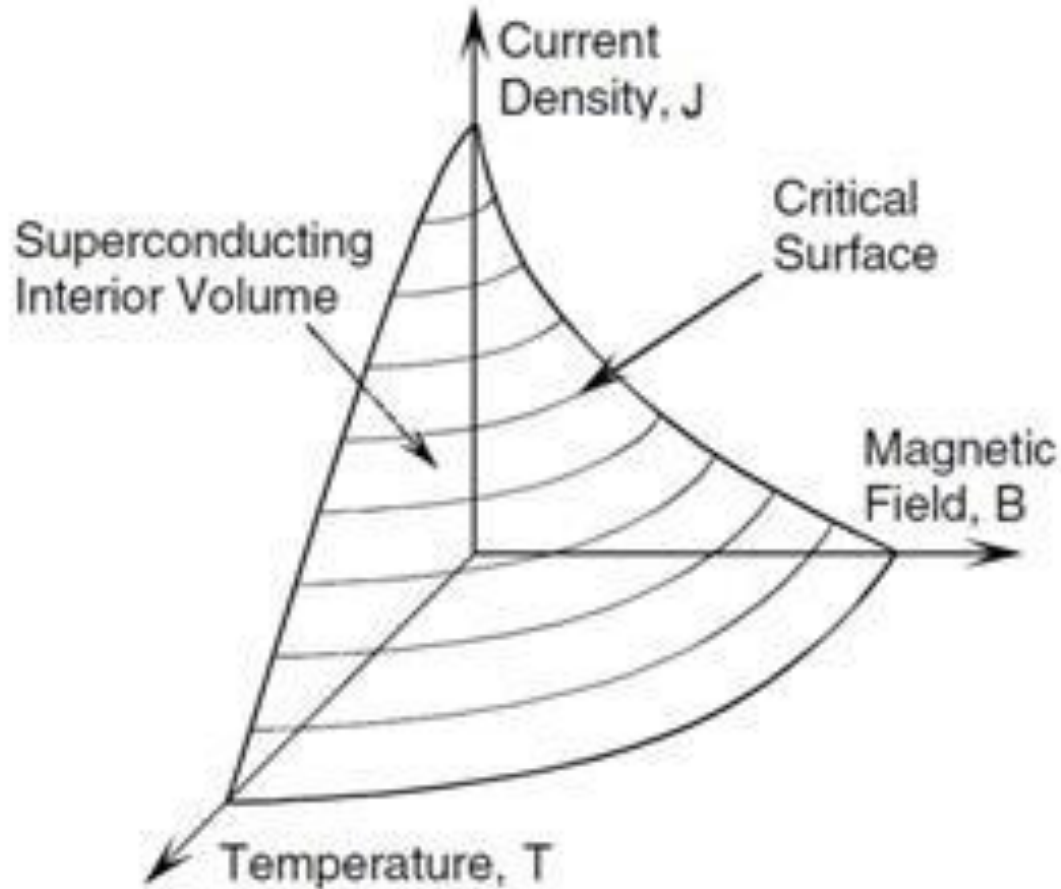
Superconductivity is lost when a current flowing in a SC increases above a critical value.



$$I_c = 2\pi a H_c$$

$$J_c = \frac{H_c}{\lambda}$$

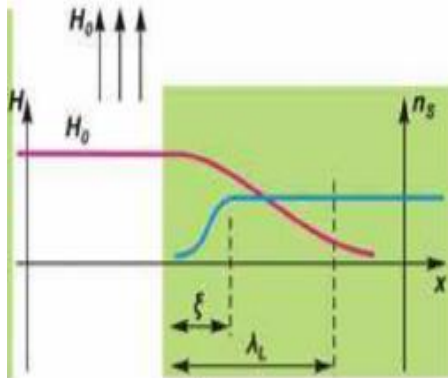
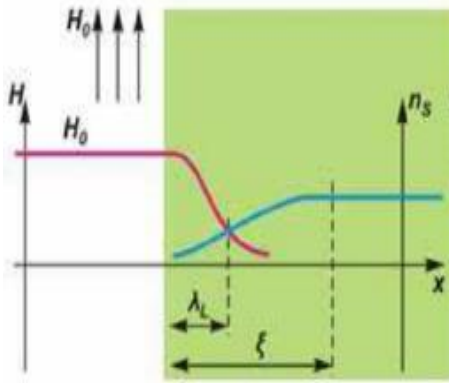
# Phase diagram of SC





# The NS boundary energy

Ginzburg-Landau parameter:  $\kappa_{GL} = \lambda/\xi_{GL}$

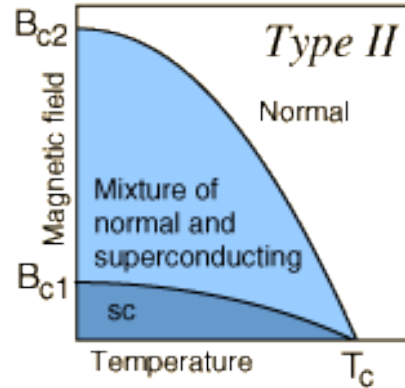
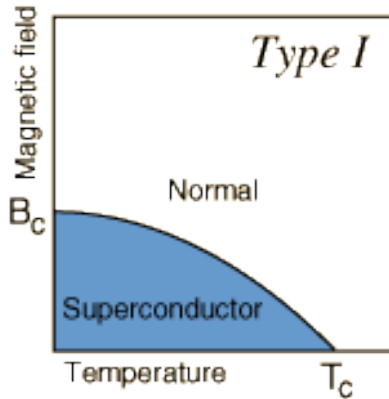


The change in free energy density  $\delta f$  due to the presence of a NS boundary was calculated using GL theory. Qualitatively:

$$\delta f = \frac{\mu_0}{2} (H_0^2 \lambda - H_c^2 \xi)$$

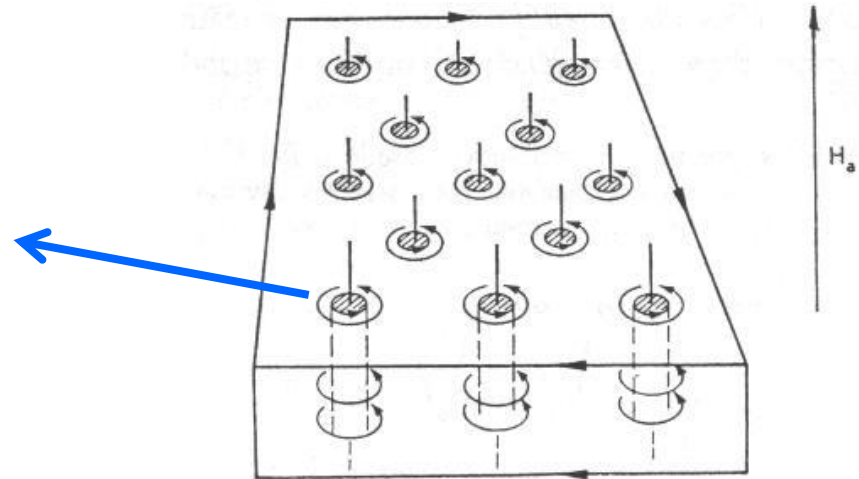
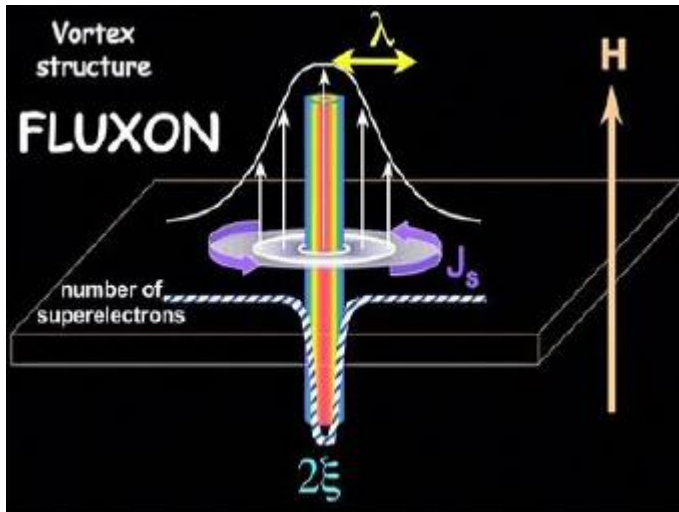
If  $\kappa_{GL} > \frac{1}{\sqrt{2}}$ ,  $\delta f < 0$   $\rightarrow$  it is energetically favorable to create NS boundaries within the SC

# Type-I and Type-II SC

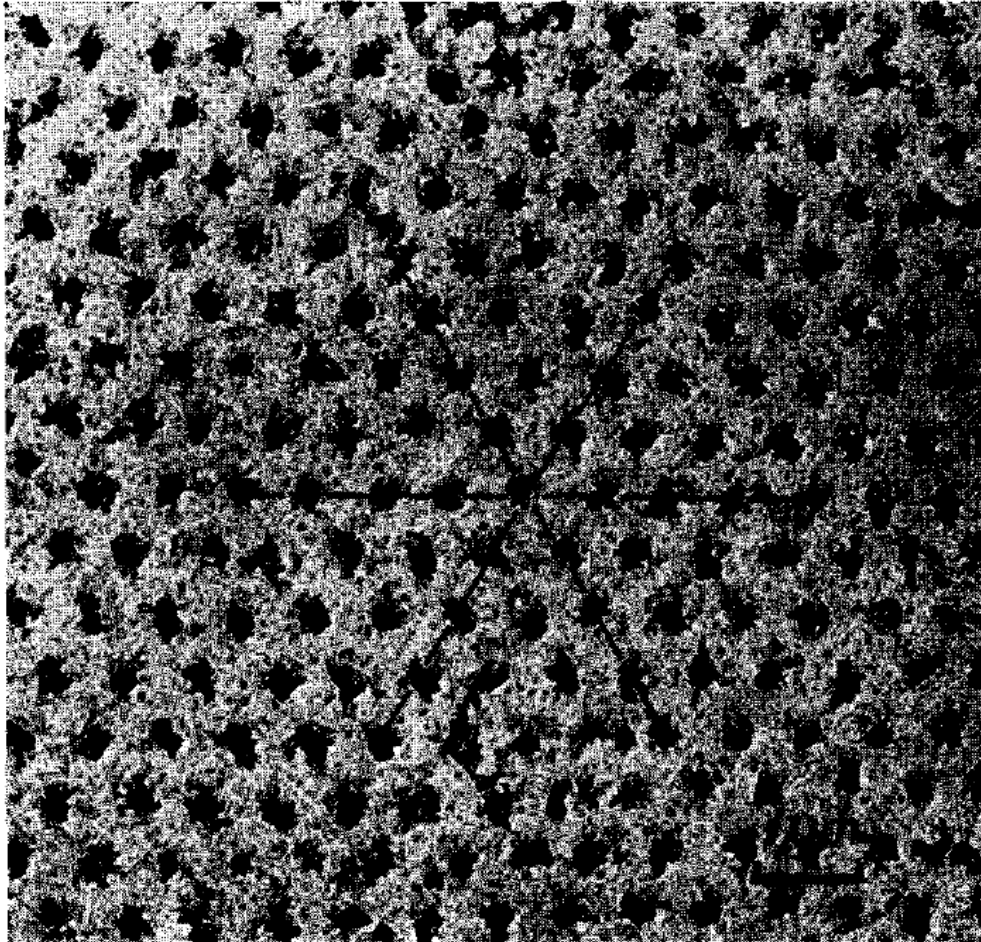


Abrikosov found solutions  $\psi(x, y)$  with periodic zeros = lattice of vortices with **quantized magnetic flux**

$$\Phi_0 = \frac{h}{2e} = 2.07 \times 10^{-15} \text{ Wb}$$



# Flux-line lattice



Triangular flux-line lattice penetrating the top surface of a SC lead-indium sample

The points of exit of the flux lines are decorated by small ferromagnetic particles

H. Träuble and U. Essmann, *J. Appl. Phys.* **39**, 4052 (1968);

# Critical fields

$$H_c = \frac{\phi_0}{2\pi\sqrt{2}\lambda\xi} \quad \text{Thermodynamic critical field}$$

$$H_{c2} = \sqrt{2}\kappa H_c = \frac{\phi_0}{2\pi\xi^2} \quad \text{Upper critical field}$$

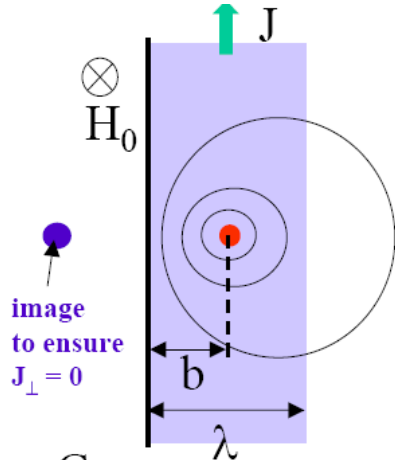
$$H_{c1} \approx \frac{\phi_0}{4\pi\lambda^2} \ln(\kappa + \alpha) \quad \text{Lower critical field}$$

$$\alpha = \frac{1}{2} + \frac{1 + \ln 2}{2\kappa - \sqrt{2} + 2} = \begin{cases} 1.35, \kappa = 0.71 \\ 0.5, \kappa \gg 1 \end{cases}$$

**For Nb,  $\kappa \sim 0.85$ ,  $B_{c1}(0) \sim 180$  mT,  $B_c(0) \sim 195$  mT,  $B_{c2}(0) \sim 400$  mT**

# Surface barrier

- Condition for entry of the first vortex, parallel to a planar surface (Bean and Livingston, 1964).

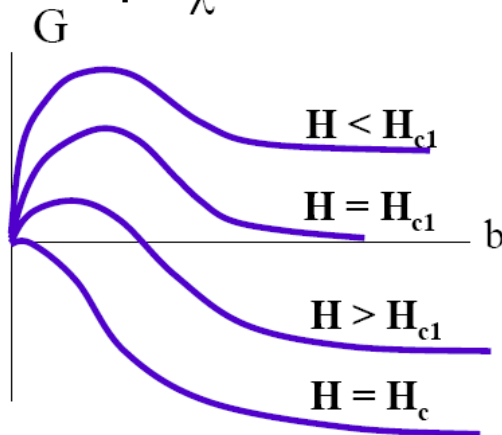


Two forces acting on the vortex at the surface:

- Meissner currents push the vortex in the bulk
- Attraction of the vortex to its antivortex image pushes the vortex outside

Thermodynamic potential  $G(b)$  as a function of the position  $b$ :

$$G(b) = \underbrace{\phi_0 [H_0 e^{-b/\lambda}]}_{\text{Meissner}} - \underbrace{H_v(2b)}_{\text{Image}} + H_{c1} - H_0$$



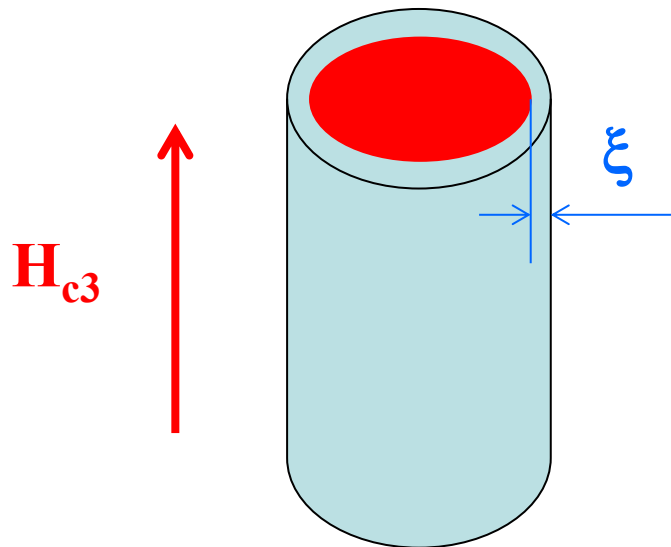
Penetration occurs at

$$B_p \sim B_c > B_{c1}$$

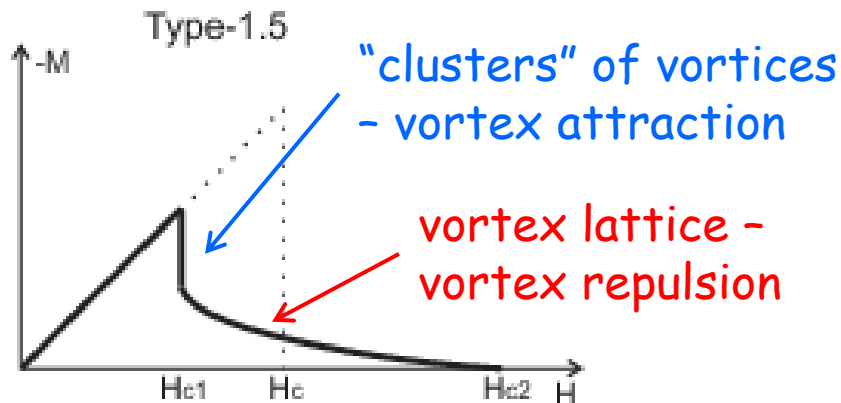
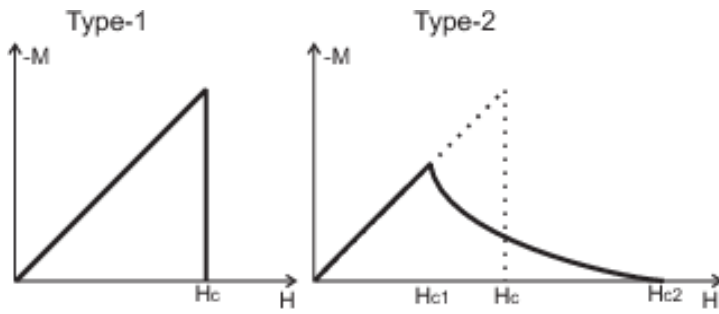


# Surface critical field

- Saint-James and de Gennes obtained, using the GL theory, that in a magnetic field parallel to the surface, SC will nucleate in a surface layer of thickness  $\sim \xi$  at a field  $H_{c3} = 1.695H_{c2}$ , higher than that at which nucleation occurs in the volume of the material



# Type 1.5 Superconductors



- Multi-component SC with  $\xi_1 < \lambda < \xi_2$
- Theoretically, vortices can have long-range attractive, short-range repulsive interaction in such material
- "Semi-Meissner state": vortex clusters coexisting with Meissner domains at intermediate fields

Similar phenomenon was observed in low- $\kappa$  SC (Nb, TaN, PbIn) with the origin of vortex attraction being related to non-local effects (*Type-IIa* or *Type-II/1*)

# Surface resistance of SC

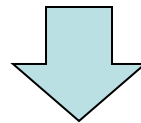
- In RF fields, the time-dependent magnetic field in the penetration depth will generate an electric field:

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

- At  $T > 0$  K, there is small fraction of unpaired electrons

$$n_n(T) \propto e^{-\Delta/k_B T}$$

- Because Cooper pairs have inertia (mass= $2m_e$ ) they cannot completely shield nc electrons from this E-field



$$\mathbf{R}_s > 0$$



# Surface impedance of superconductors

$$\frac{\partial}{\partial t} \vec{J}_s = \frac{\vec{E}}{\mu_0 \lambda_L^2} \quad \rightarrow \quad J_s = -i \frac{1}{\omega \mu_0 \lambda_L^2} E \quad \rightarrow \quad J = J_n + J_s = \underbrace{(\sigma_1 - i\sigma_2)}_{\sigma} E$$

$$\sigma_1 = \frac{n_n e^2 \tau}{m}, \quad \sigma_2 = \frac{n_s e^2}{m\omega}$$

- Electrodynamics of sc is the same as nc, only that we have to change  $\sigma \rightarrow \sigma_1 - i \sigma_2$

- Penetration depth: 
$$\delta = \sqrt{\frac{2}{\mu_0 \sigma \omega}} = \frac{1}{\sqrt{\mu_0 \omega \sigma_2}} \sqrt{\frac{2i}{1 - i\sigma_1/\sigma_2}} \cong (1+i)\lambda_L \left(1 + i \frac{\sigma_1}{2\sigma_2}\right)$$

$\sigma_1 \ll \sigma_2$  for sc at  $T \ll T_c$

$$H_y = H_0 \exp\left(-\frac{(1+i)x}{\delta}\right)$$

$$H_y = H_0 e^{-\frac{x}{\lambda_L}} e^{-i \frac{x}{\lambda_L} \frac{\sigma_1}{2\sigma_2}}$$

For Nb,  $\lambda_L = 36$  nm, compared to  $\delta = 1.7$   $\mu\text{m}$  for Cu at 1.5 GHz

# Surface impedance of superconductors

$$Z_s = \sqrt{\frac{i\omega\mu_0}{\sigma}} = \sqrt{\frac{\omega\mu_0}{2\sigma_1}}(\varphi_- + i\varphi_+)$$

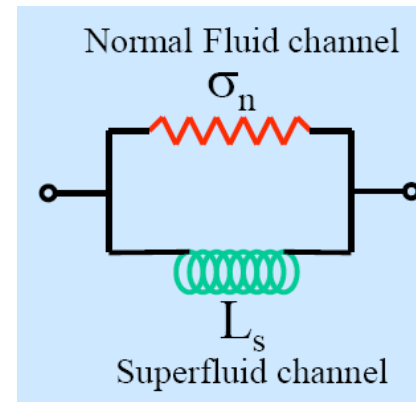
$$\varphi_{\pm}^2 = \frac{y}{1+y^2}(\sqrt{1+y^2} \pm 1) \quad y = \frac{\sigma_1}{\sigma_2}$$

For a sc  $\sigma_1 \ll \sigma_2 \rightarrow y \ll 1 \rightarrow \varphi_- = \sqrt{\frac{y^3}{2}}, \quad \varphi_+ = \sqrt{2y}$

$$Z_s = R_s + iX_s$$

$X_s = \omega \underbrace{\mu_0 \lambda_L}_{L_s} \quad L_s: \text{kinetic inductance}$

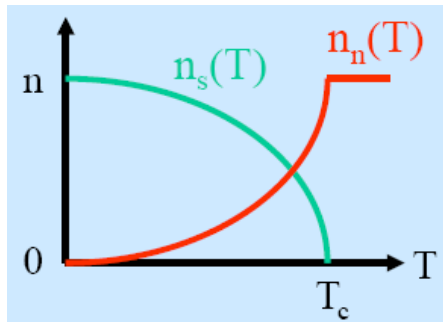
$$R_s = \frac{1}{2} \mu_0^2 \omega^2 \sigma_1 \lambda_L^3$$



# Surface resistance of superconductor

$$R_s = \frac{1}{2} \mu_0^2 \omega^2 \sigma_1 \lambda_L^3$$

- $R_s \propto \omega^2 \rightarrow$  use low-frequency cavities to reduce power dissipation
- Temperature dependence:



$$n_s(T) \propto 1 - (T/T_c)^4$$

$$\sigma_1(T) \propto n_n(T) \propto e^{-\Delta/k_B T}$$

$$R_s \propto \omega^2 \lambda_L^3 l \exp(-\Delta/k_B T)$$

$$T < T_c/2$$

# Material purity dependence of $R_s$

- The dependence of the penetration depth on  $l$  is approximated as

$$\lambda(l) \approx \lambda_L \sqrt{1 + \frac{\xi_0}{l}}$$

- $\sigma_1 \propto l$

$$\begin{aligned} \Rightarrow R_s \propto \left(1 + \frac{\xi_0}{l}\right)^{3/2} l &\Rightarrow R_s \propto l && \text{if } l \gg \xi_0 \text{ ("clean" limit)} \\ &R_s \propto l^{-1/2} && \text{if } l \ll \xi_0 \text{ ("dirty" limit)} \end{aligned}$$

$R_s$  has a minimum for  $l = \xi_0/2$

# BCS surface resistance (1)

- Mattis and Bardeen (1958) calculated the perturbed state function using time-dependent perturbation theory.
- Considered only the linear response to weak fields (only terms linear in  $\mathbf{A}$ ) so that the perturbation term is:

$$H_1 = \frac{e}{2m} \sum_i (\vec{A} \cdot \hat{p}_i + \hat{p}_i \cdot \vec{A})$$

# BCS surface resistance (2)

- The following non-local equation between the total current density  $\mathbf{J}$  and the vector potential  $\mathbf{A}$  produced by the

$$\begin{aligned}
 \text{Re}\{K(q)\} &= \frac{3}{\hbar v_0 \lambda_{L0}^2 q} \times \\
 &\left\{ \int_{\max\{\Delta - \hbar\omega, -\Delta\}}^{\Delta} [1 - 2f(E + \hbar\omega)] \left\{ \frac{E^2 + \Delta^2 + \hbar\omega E}{\sqrt{\Delta^2 - E^2} \sqrt{(E + \hbar\omega)^2 - \Delta^2}} R(a_2, a_1 + b) + S(a_2, a_1 + b) \right\} dE \right. \\
 + \frac{1}{2} \int_{\Delta - \hbar\omega}^{-\Delta} [1 - 2f(E + \hbar\omega)] \{ [g(E) + 1] S(a^-, b) - [g(E) - 1] S(a^+, b) \} dE \\
 - \int_{\Delta}^{\infty} [1 - f(E) - f(E + \hbar\omega)] [g(E) - 1] S(a^+, b) dE \\
 + \left. \int_{\Delta}^{\infty} [f(E) - f(E + \hbar\omega)] [g(E) + 1] S(a^-, b) dE \right\} \\
 \\
 \text{Im}\{K(q)\} &= \frac{3}{\hbar v_0 \lambda_{L0}^2 q} \times \\
 &\left\{ -\frac{1}{2} \int_{\Delta - \hbar\omega}^{-\Delta} [1 - 2f(E + \hbar\omega)] \{ [g(E) + 1] R(a^-, b) + [g(E) - 1] R(a^+, b) \} dE \right. \\
 + \left. \int_{\Delta}^{\infty} [f(E) - f(E + \hbar\omega)] \{ [g(E) + 1] R(a^-, b) + [g(E) - 1] R(a^+, b) \} dE \right\}
 \end{aligned} \tag{q}$$

# BCS surface resistance (2)

- There are numerical codes (Halbritter (1970)) to calculate  $R_{\text{BCS}}$  as a function of  $\omega$ ,  $T$  and material parameters ( $\xi_0$ ,  $\lambda_L$ ,  $T_c$ ,  $\Delta$ ,  $l$ )
- For example, check <http://www.lepp.cornell.edu/~liepe/webpage/researchsrimp.html>
- A good approximation of  $R_{\text{BCS}}$  for  $T < T_c/2$  and  $\omega < \Delta/\hbar$  is:

$$R_{\text{BCS}} \cong \frac{\mu_0^2 \omega^2 \lambda^3 \sigma_n \Delta}{k_B T} \ln \left[ \frac{C_1 k_B T}{\hbar \omega} \right] \exp \left[ -\frac{\Delta}{k_B T} \right] \quad C_1 = 2.246$$

Let's run some numbers: Nb at 2.0 K, 1.5 GHz  $\rightarrow \lambda = 36$  nm,  $\sigma_n = 3.3 \times 10^8$  1/ $\Omega$ m,  $\Delta/k_B T_c = 1.85$ ,  $T_c = 9.25$  K

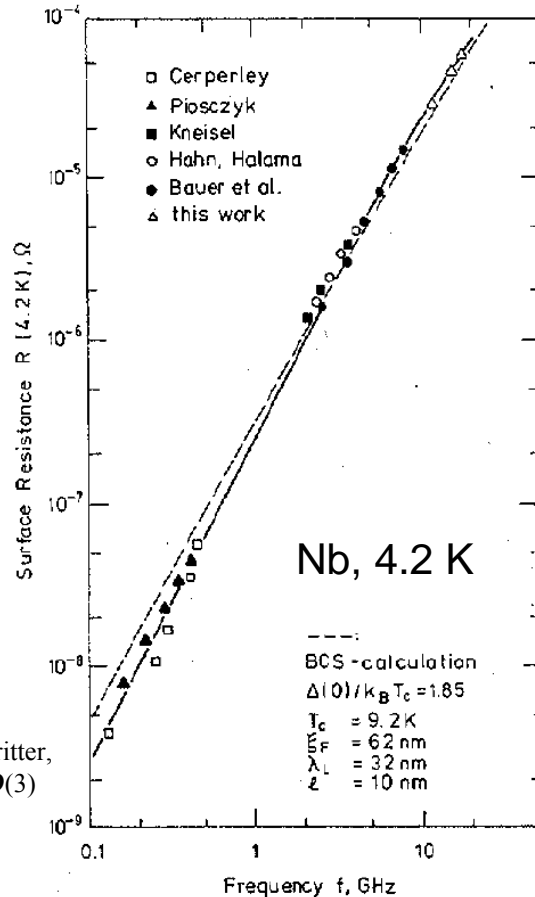
$$R_{\text{BCS}} \cong 20 \text{ n}\Omega$$

$$X_s \cong 0.47 \text{ m}\Omega$$

$$\begin{aligned} \text{Nb} &\rightarrow \frac{R_{\text{BCS}}(2 \text{ K}, 1.5 \text{ GHz})}{R_s(300 \text{ K}, 1.5 \text{ GHz})} \cong 2 \times 10^{-6} \\ \text{Cu} &\rightarrow \end{aligned}$$

# Experimental results

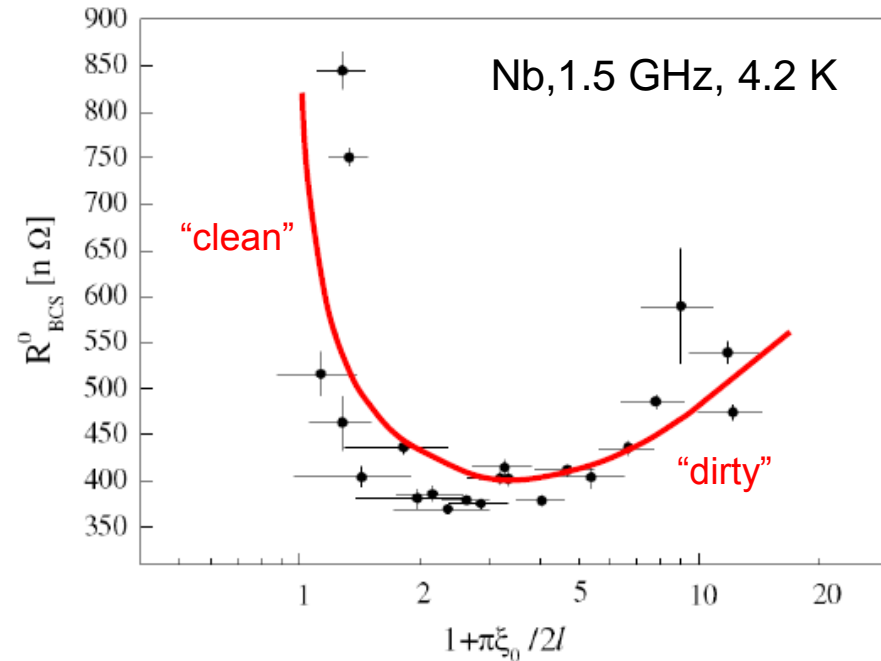
## Frequency dependence



A. Phillip and J. Halbritter,  
 IEEE Trans. Magn. 19(3)  
 (1983) 999.

- Small deviations from BCS theory can be explained by strong coupling effects, anisotropic energy gap in the presence of impurity scattering or by inhomogeneities

## Dependence on material purity



C. Benvenuti et al.,  
 Physica C 316 (1999) 153.

- Nb films sputtered on Cu
- By changing the sputtering species, the mean free path was varied

$R_{BCS}$  can be optimized by tuning the density of impurities at the cavity surface.

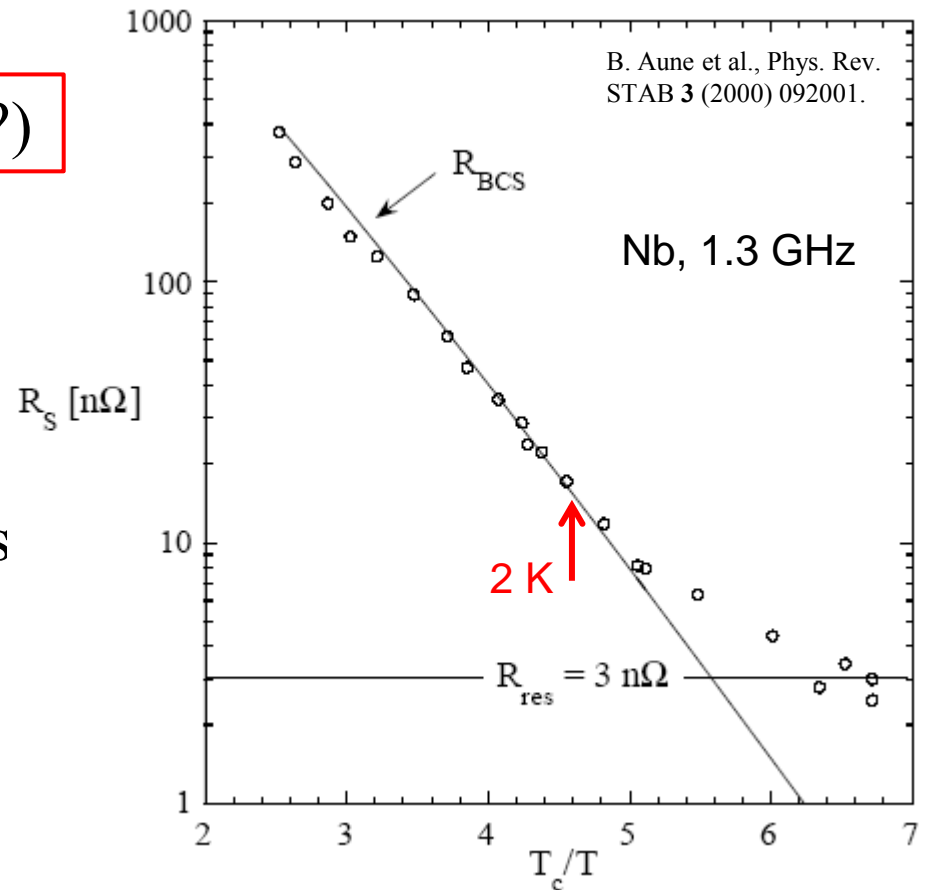


# Residual resistance

$$R_s = R_{\text{BCS}}(\omega, T, \Delta, T_c, \lambda_L, \xi_0, l) + R_{\text{res}}(?)$$

Possible contributions to  $R_{\text{res}}$ :

- Trapped magnetic field
- Normal conducting precipitates
- Grain boundaries
- Interface losses
- Subgap states



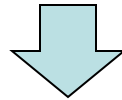
For Nb,  $R_{\text{res}}$  ( $\sim 1$ - $10 \text{ n}\Omega$ ) dominates  $R_s$  at low frequency ( $f < \sim 750 \text{ MHz}$ ) and low temperature ( $T < \sim 2.1 \text{ K}$ )

# BCS surface reactance

$$X_s = \omega \mu_0 \lambda \quad \frac{\sigma_2}{\sigma_n} = \frac{1}{\omega \mu_0 \sigma_n \lambda^2} = \frac{\delta^2}{2\lambda^2}$$

- A good approximation of  $\sigma_2$  for  $T < T_c/2$  and  $\omega < \Delta/\hbar$  is:

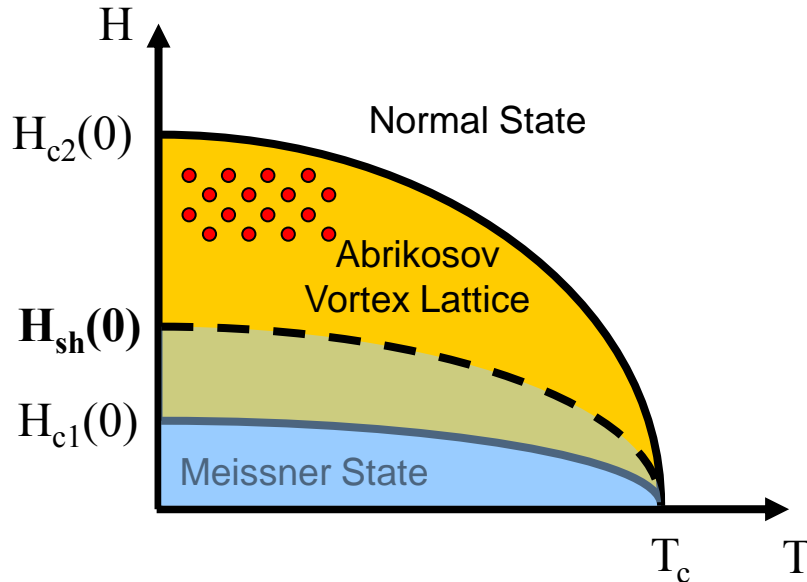
$$\frac{\sigma_2}{\sigma_n} = \frac{\pi \Delta}{\hbar \omega} \tanh\left(\frac{\Delta}{2kT}\right)$$



$$\lambda^2 = \frac{\hbar}{\mu_0 \sigma_n \pi \Delta \tanh\left(\frac{\Delta}{2kT}\right)}$$

# RF critical field: superheating field

## Type-II SC



- Penetration and oscillation of vortices under the RF field gives rise to strong dissipation and the surface resistance of the order of  $R_s$  in the normal state
- the Meissner state can remain metastable at higher fields,  $H > H_{c1}$  up to the superheating field  $H_{sh}$  at which the Bean-Livingston surface barrier for penetration of vortices disappears and the Meissner state becomes unstable

$H_{sh}$  is the maximum magnetic field at which a type-II superconductor can remain in a true non-dissipative state not altered by dissipative motion of vortices.

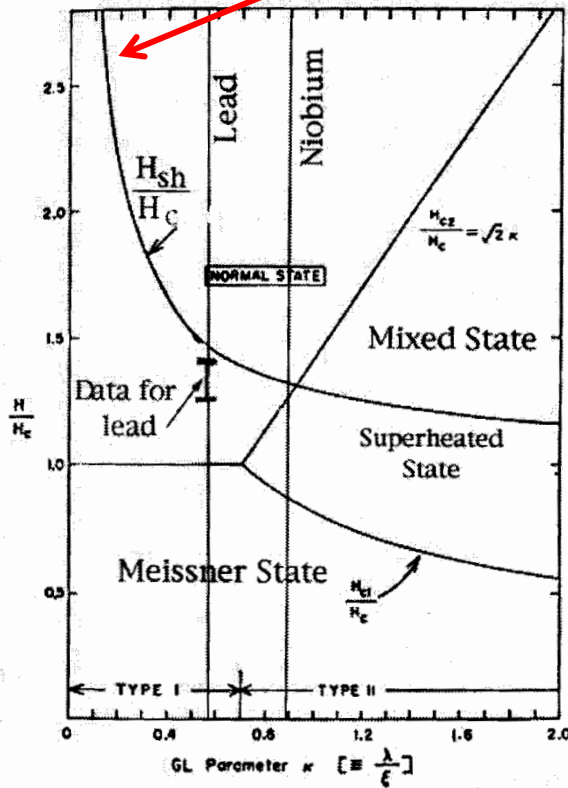
At  $H = H_{sh}$  the screening surface current reaches the depairing value  $J_d = n_s e \Delta / p_F$

# Superheating field: theory

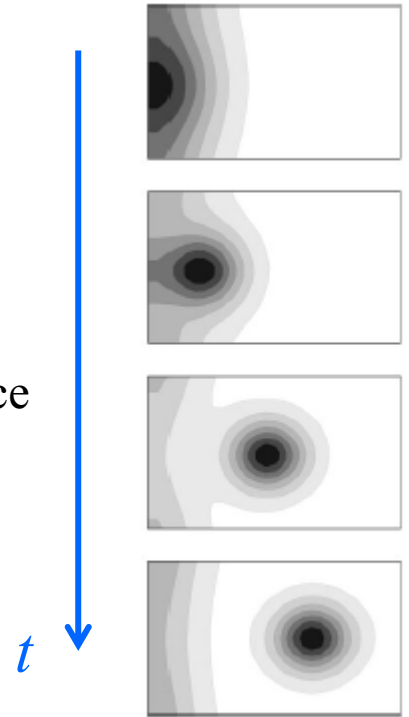
- Calculation of  $H_{sh}(\kappa)$  from Ginzburg-Landau theory ( $T \approx T_c$ )  
 [Matricon and Saint-James (1967)]:

$$H_{sh} \approx 1.2H_c, \quad \kappa \cong 1$$

$$H_{sh} \approx 0.745H_c, \quad \kappa \gg 1$$



Time evolution of the spatial pattern of the order parameter in a small region around the boundary where a vortex entrance is taking place, calculated from time-dependent GL-equations.

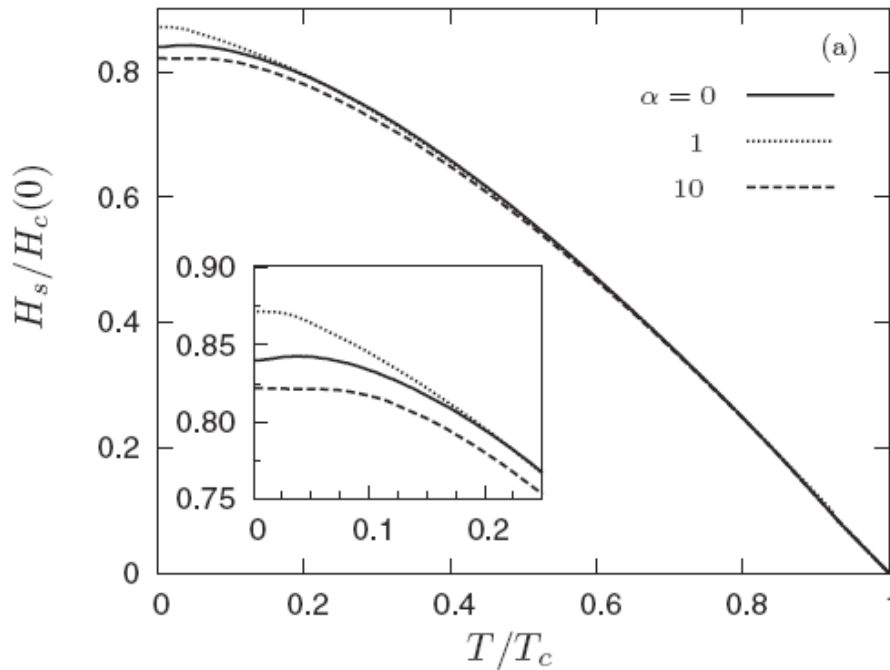


H. Padamsee et al., *RF Superconductivity for Accelerators* (Wiley&Sons, 1998)

A. D. Hernandez and D. Dominguez, *Phys. Rev. B* **65**, 144529 (2002)

# Superheating field: theory

- Calculation of  $H_{sh}(T, l)$  for  $\kappa \gg 1$  from Eilenberger equations ( $0 < T < T_c$ ) [Pei-Jen Lin and Gurevich (2012)]:



F. Pei-Jen Lin and A. Gurevich, Phys. Rev. B **85**, 054513 (2012)

$\alpha = \pi\xi_0/l$  Impurity scattering parameter

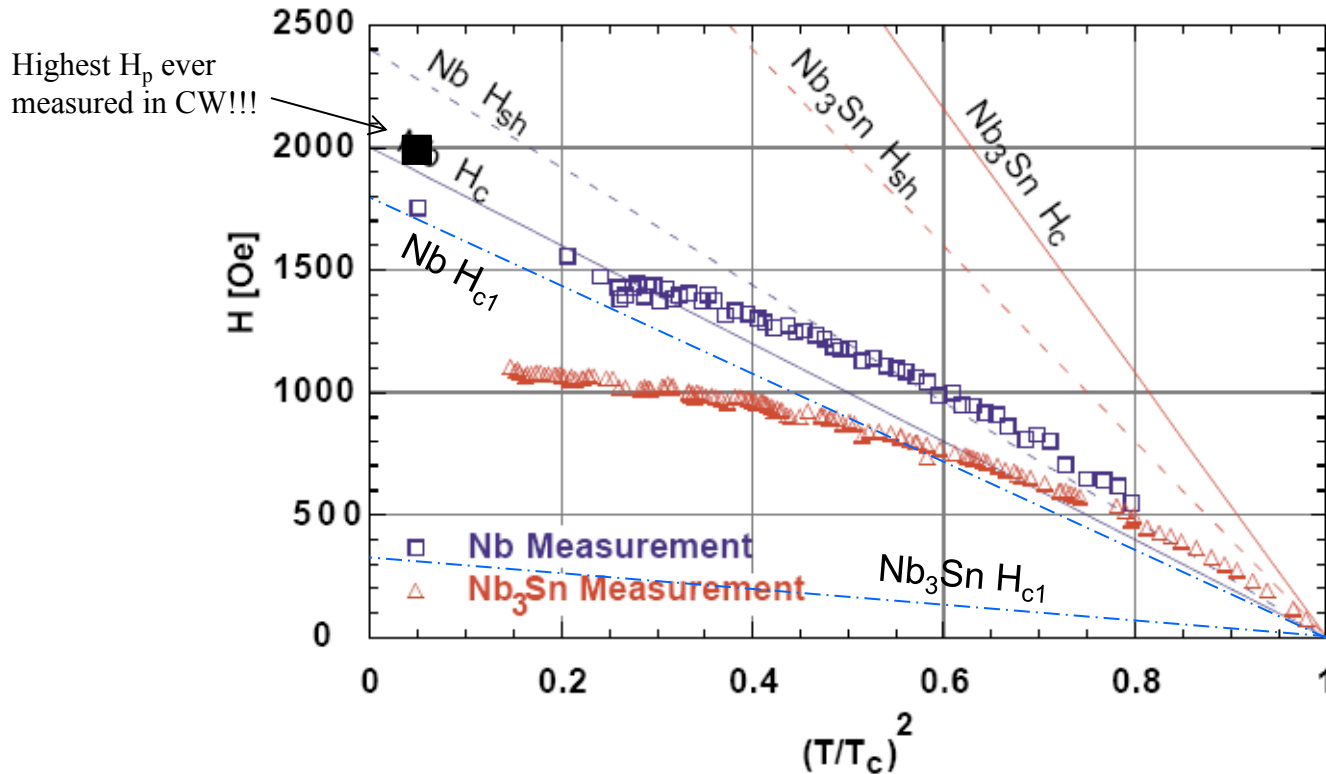
$$H_{sh} \approx 0.845H_c$$

$$H_{sh}(T) \cong H_{sh}(0) \left[ 1 - \left( \frac{T}{T_c} \right)^2 \right]$$

- Weak dependence of  $H_{sh}$  on non-magnetic impurities

# Superheating field: experimental results

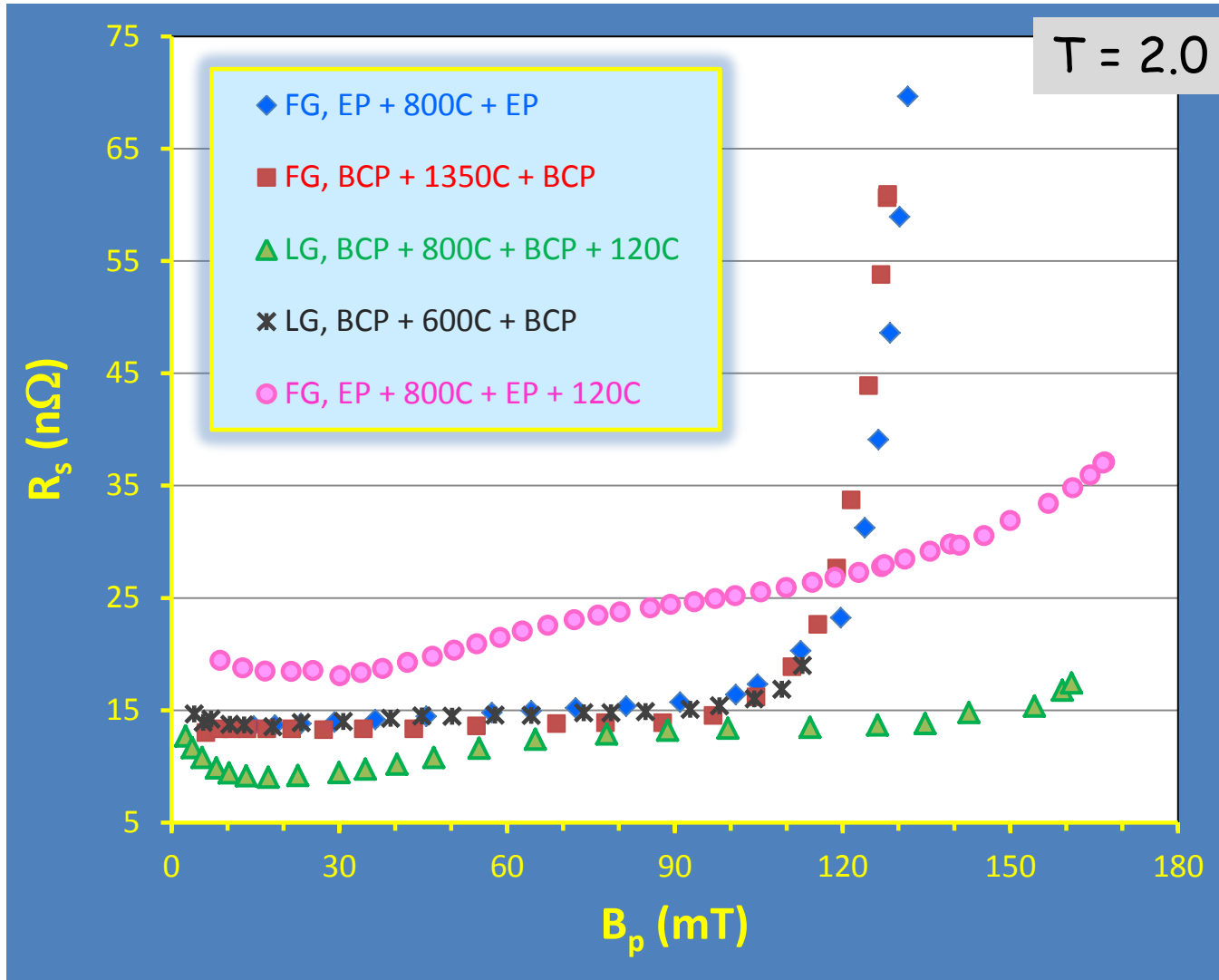
- Use high-power ( $\sim 1$  MW) and short ( $\sim 100$   $\mu$ s) RF pulses to achieve the metastable state before other loss mechanisms kick-in



T. Hays and H. Padamsee, Proc. 1997 SRF Workshop, Abano Terme, Italy, p. 789 (1997).

- RF magnetic fields higher than  $H_{c1}$  have been measured in both Nb and Nb<sub>3</sub>Sn cavities. However max  $H_{RF}$  in Nb<sub>3</sub>Sn is  $\ll$  predicted  $H_{sh}$ ...

# Field dependence of $R_s$ : Experimental results



FG: fine grain Nb  
 LG: large grain Nb

BCP: buffered  
 chemical polishing  
 EP: electropolishing

800C, 600C, 1350C,  
 120C: heat treatment  
 temperatures

B. Aune et al., Phys. Rev. STAB 3 (2000) 092001.  
 R. Geng, SRF'11, p. 74  
 G. Ciovati, P. Kneisel and G. Myneni, SSTIN10, p. 25.  
 W. Singer et al., Phys. Rev. ST. Accel. Beams 16 (2013) 012003

# Q-slopes

Low-field Q-slope

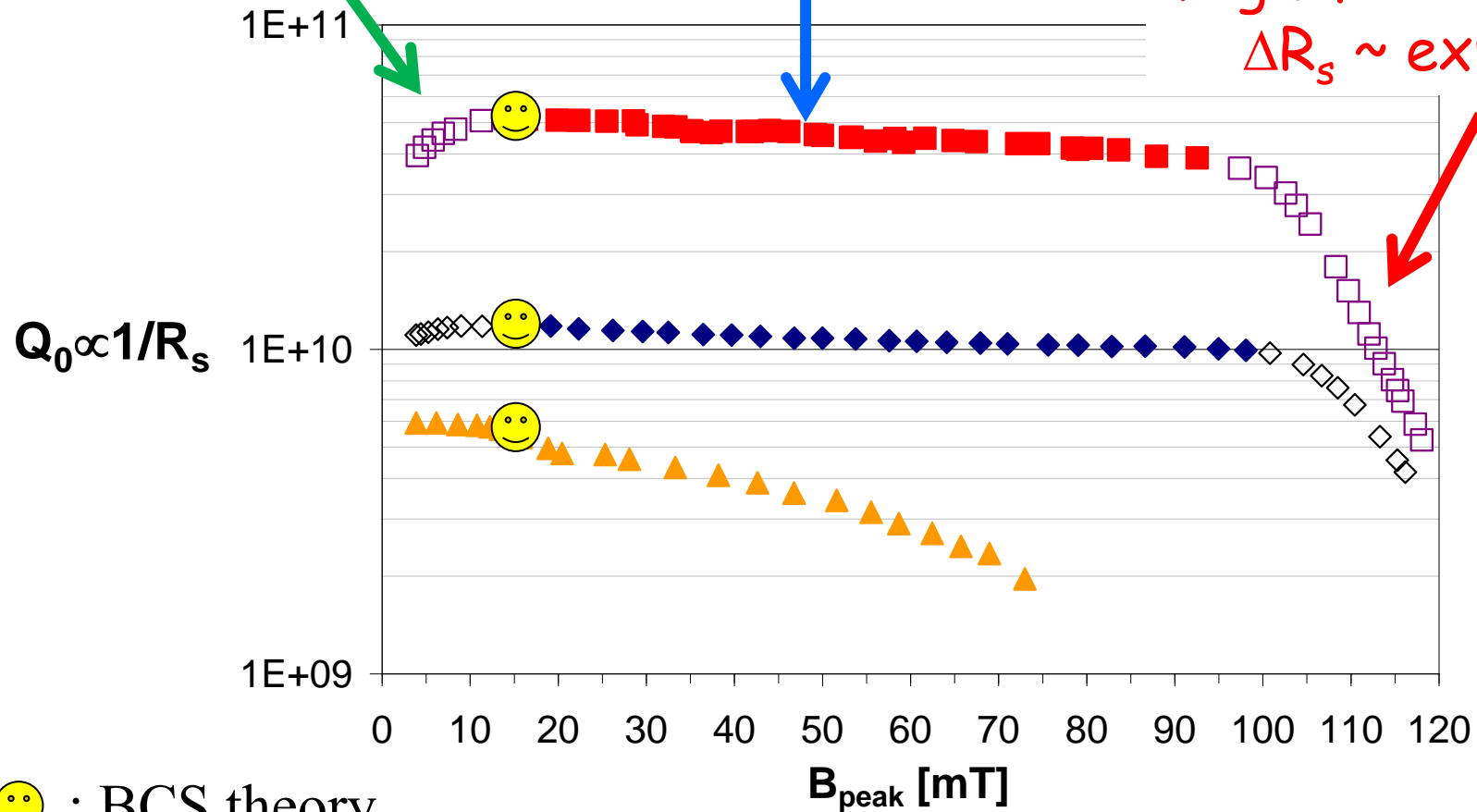
$$\Delta R_s \sim -\alpha/H^2$$

Medium-field Q-slope

$$\Delta R_s \sim R_1 H + \gamma H^2$$

High-field Q-slope

$$\Delta R_s \sim \exp(\beta H)$$

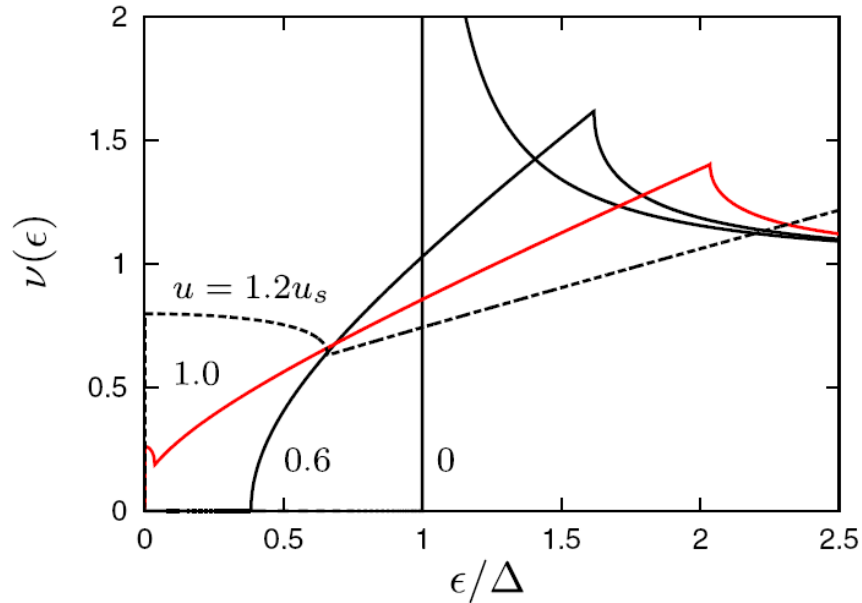


☺ : BCS theory

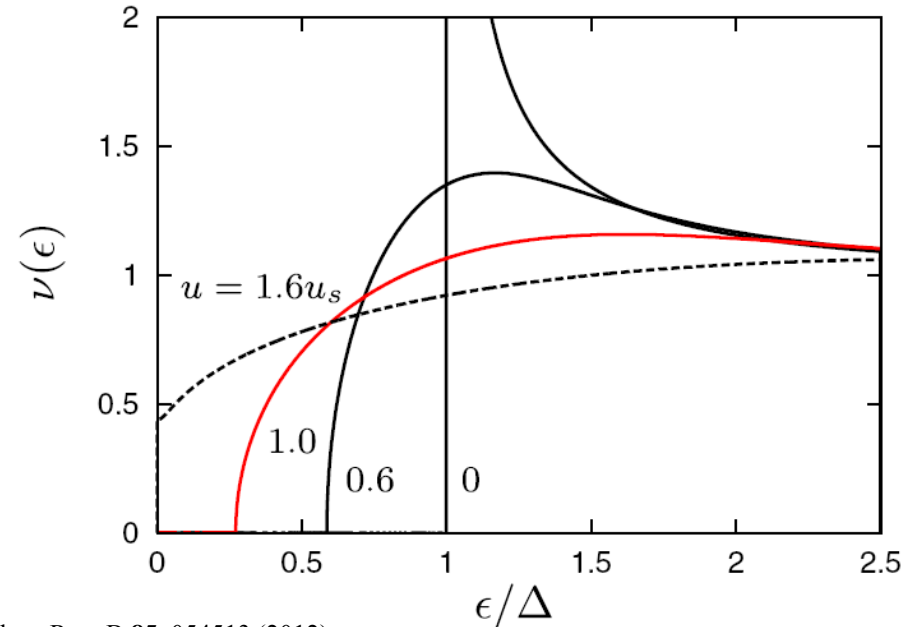


# $R_s$ at High Field

## Clean limit



## Moderately Dirty limit

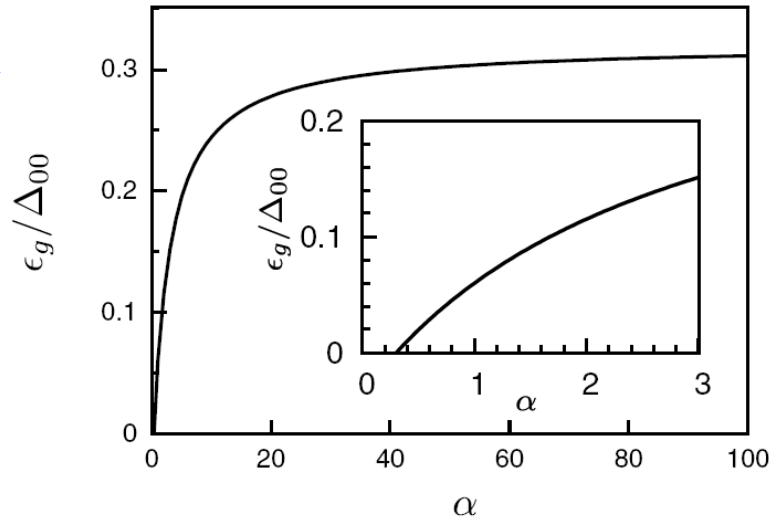


F. Pei-Jen Lin and A. Gurevich, Phys. Rev. B **85**, 054513 (2012)

- Unlike in the moderately dirty limit, in a clean SC the quasiparticle density of states become that of a normal-conductor (gapless) at  $H < H_{sh}$

# Effect of Impurities on $R_s$ at High Field

$\epsilon_g(\alpha)$  at  $H=H_{sh}$

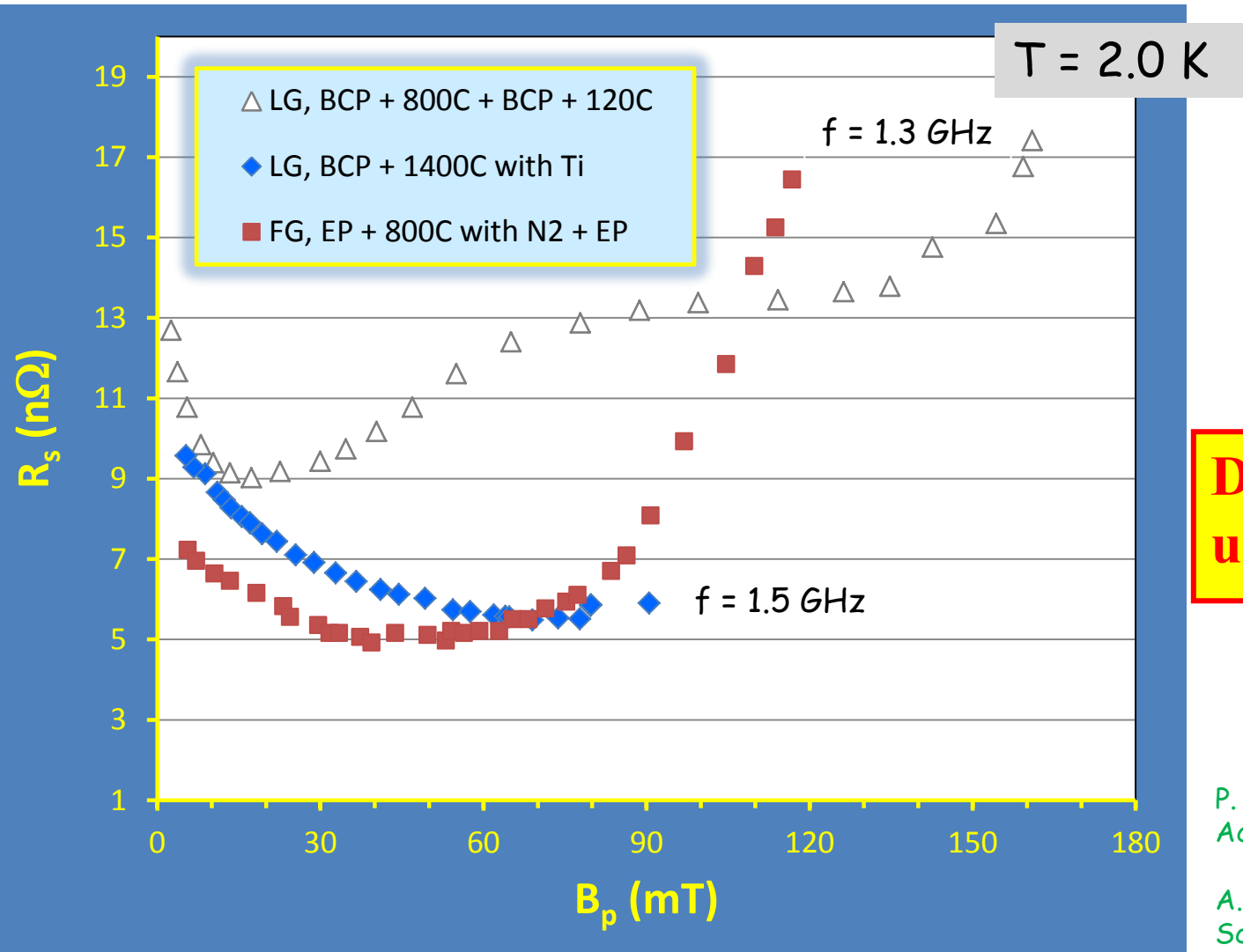


$$\alpha = \frac{\pi \xi_0}{\ell}$$

$$R_s(H) \propto \exp(-\epsilon_g(H)/kT)$$

Impurities in the top  $\sim 40\text{nm}$  layer of Nb can decrease the non-linearity of  $R_s$  at high fields

# Recent breakthroughs...



**Decreasing  $R_s(H)$  up to ~90 mT**

P. Dhakal et al., Phys. Rev. ST Accel. Beams **16** (2013) 042001

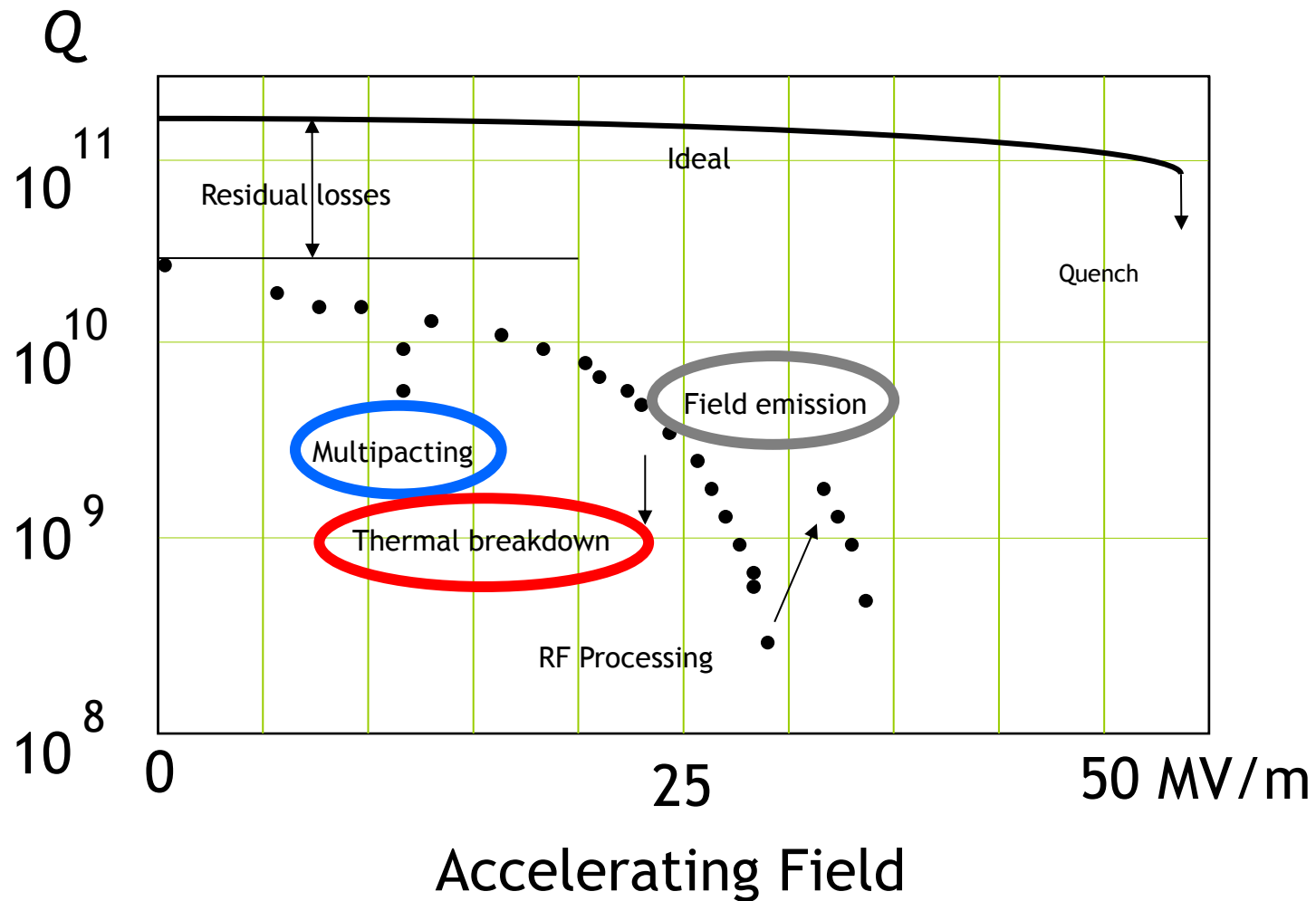
A. Grassellino et al., Supercond. Sci. Tech. **26** (2013) 102001

# Nonlinear $R_s$ at high-field

- A. Gurevich published last year a theory of non-linear  $R_s$  at high field [[A. Gurevich, \*Phys. Rev. Lett.\* \*\*113\*\*, 087001 \(2014\)](#)]
- $R_s(H)$  was re-derived from first principles (BCS) taking into account oscillations of  $N(\epsilon, t)$  due to RF current pairbreaking and non-equilibrium distribution function of quasiparticles in the dirty limit

See talk by A. Gurevich on Wednesday, 8:00 am

# Performance limitations



# References

- Recommended **references**:
  - M. Tinkham, *Introduction to Superconductivity*, McGraw-Hill, New York, 2<sup>nd</sup> edition, 1996
  - H. Padamsee, J. Knobloch and T. Hays, *RF Superconductivity for Accelerators*, J. Wiley & Sons, New York, 1998
  - A. Gurevich, “Superconducting Radio-Frequency Fundamentals for Particle Accelerators”, *Rev. Accel. Sci. Tech.* **5**, 119 (2012)

Thank you for your attention!