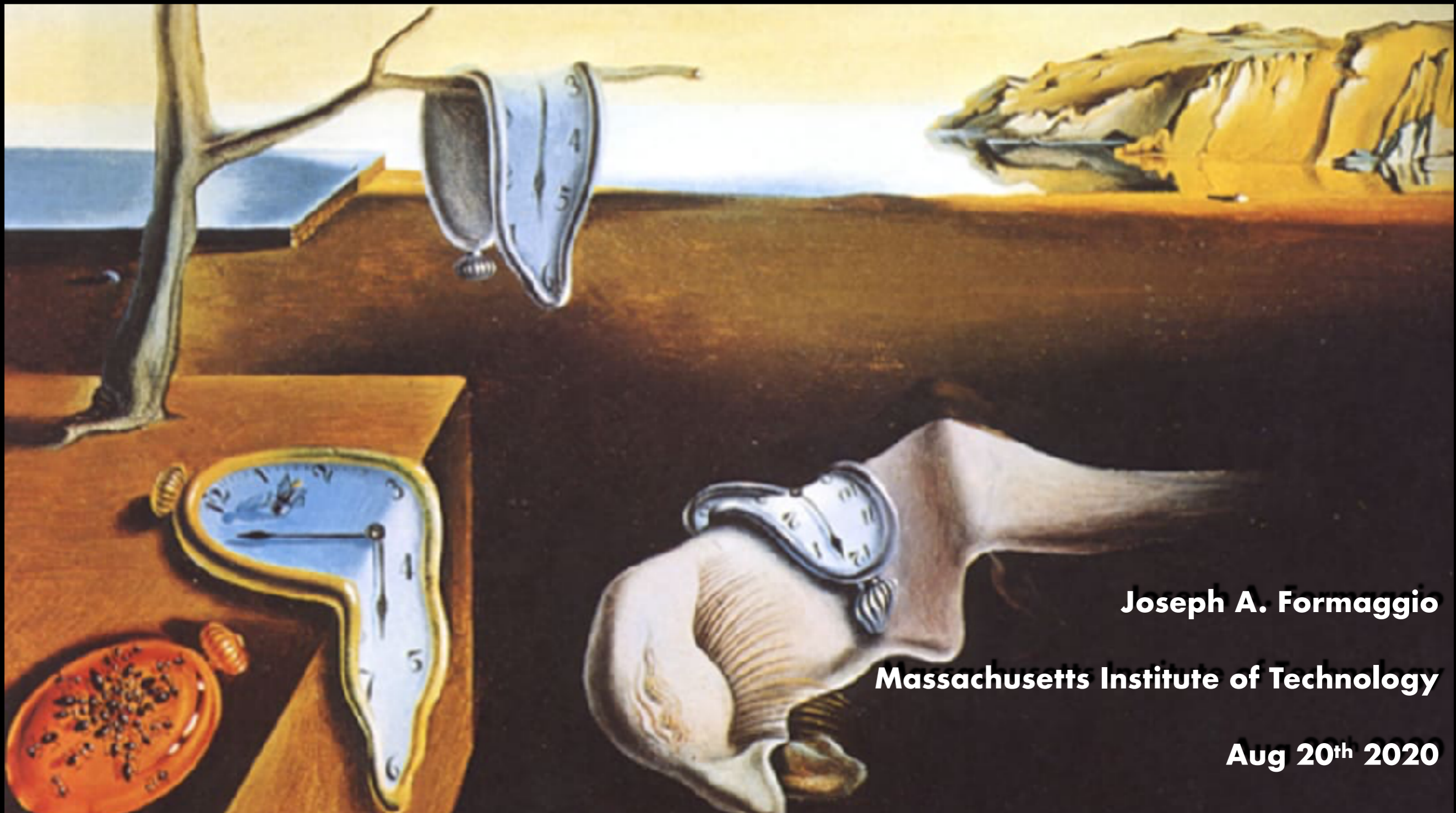


*Trying to weigh the lightest particles in the universe
(without losing your patience)*



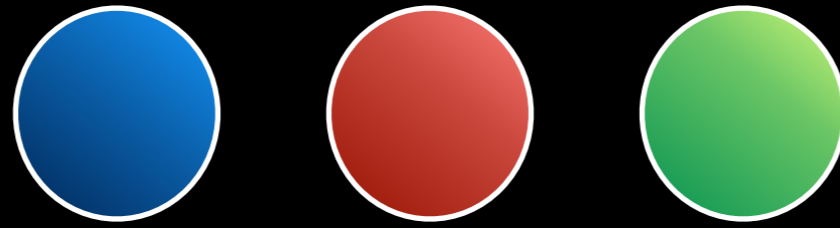
Joseph A. Formaggio

Massachusetts Institute of Technology

Aug 20th 2020

We now know neutrinos have mass.

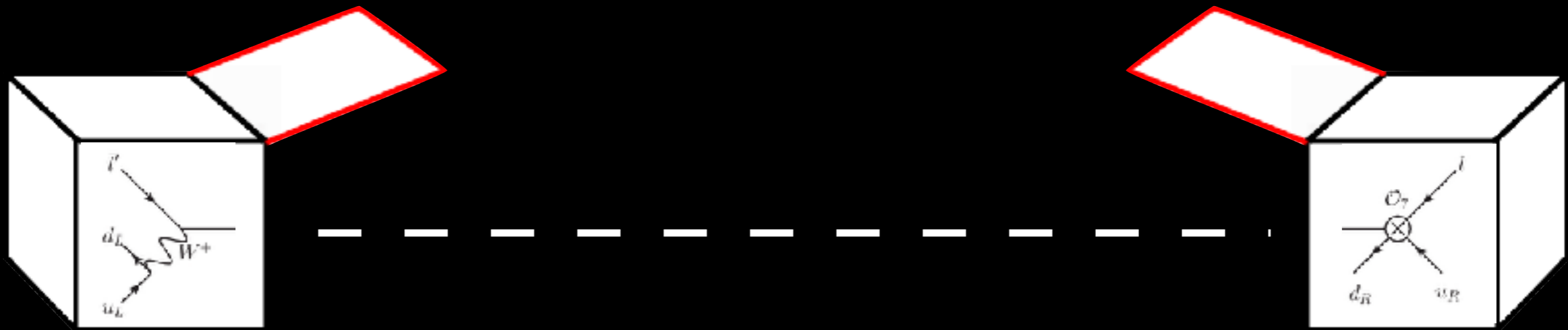
How?



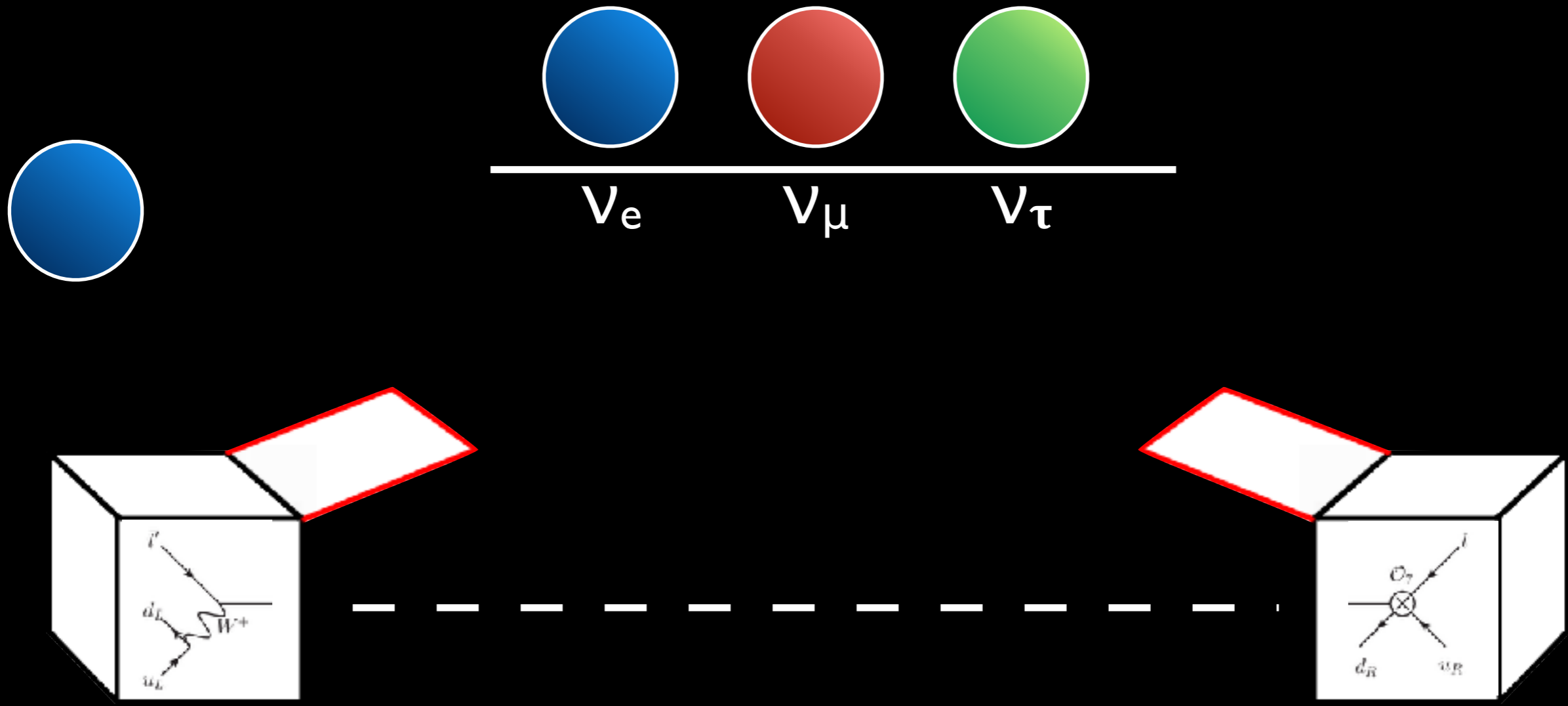
ν_e

ν_μ

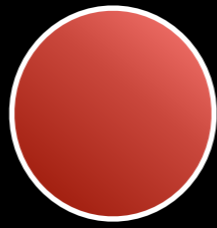
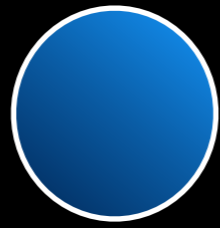
ν_τ



Neutrino flavors can be tagged by their partner leptons (e, μ , and τ)



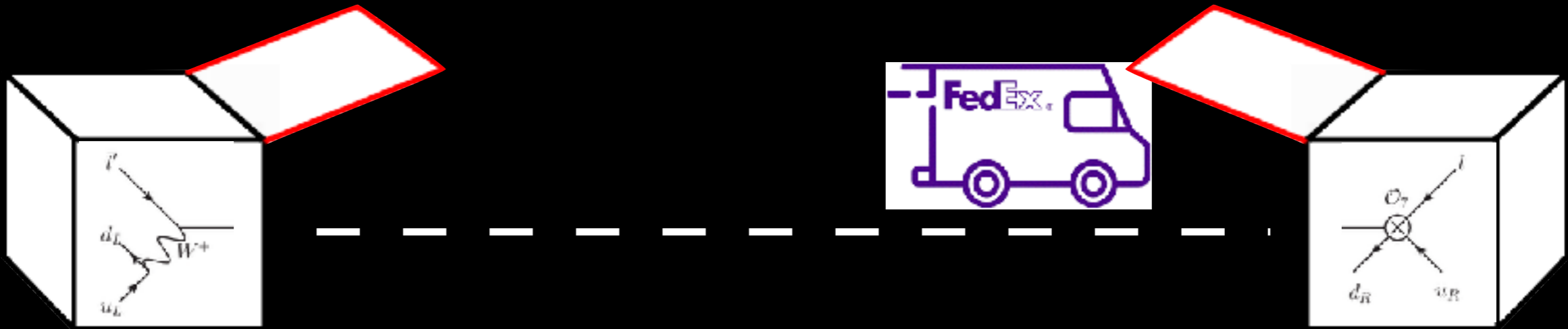
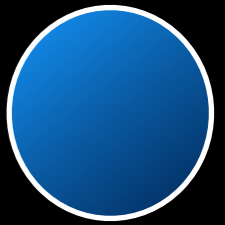
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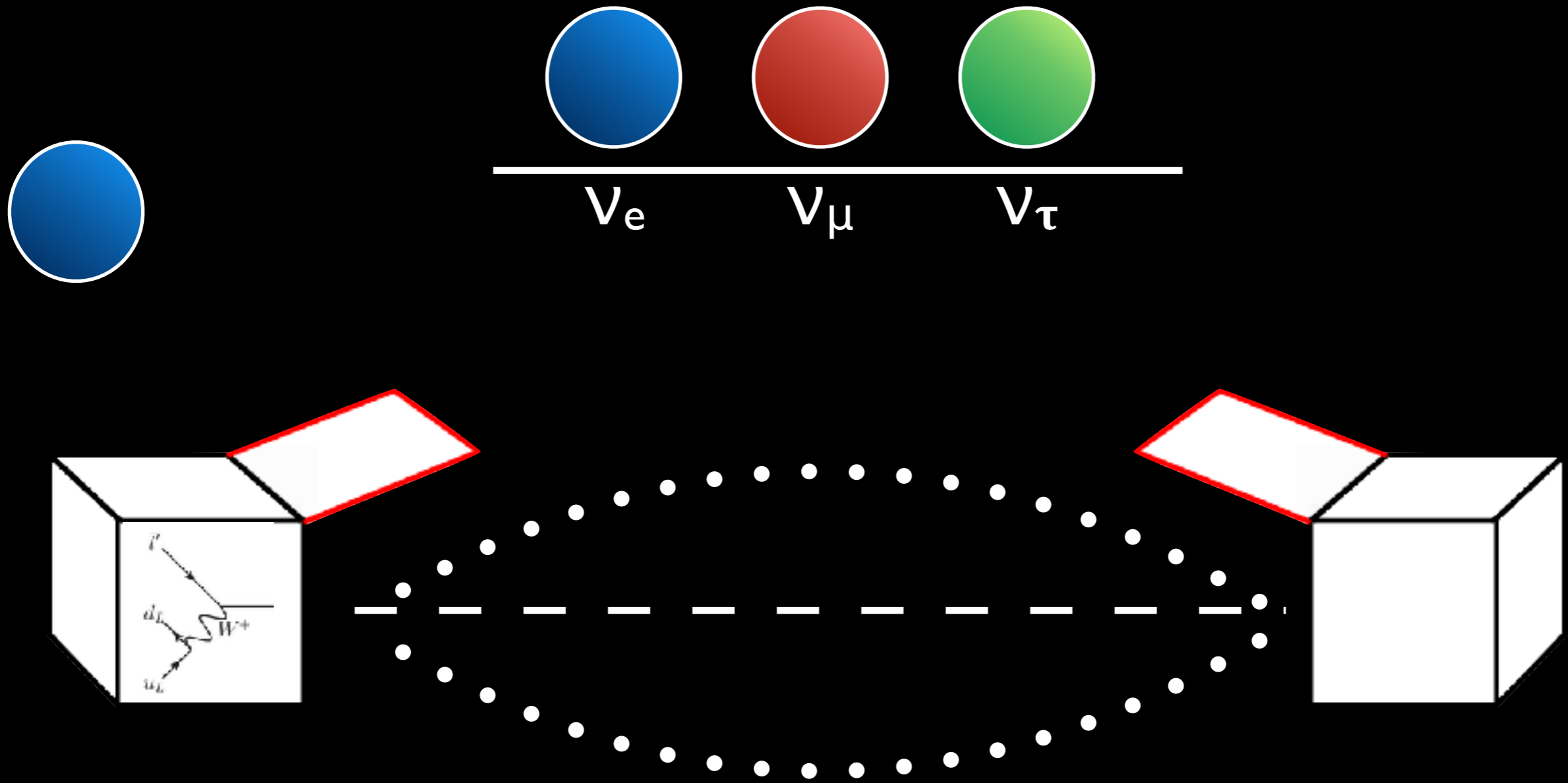
ν_e

ν_μ

ν_τ

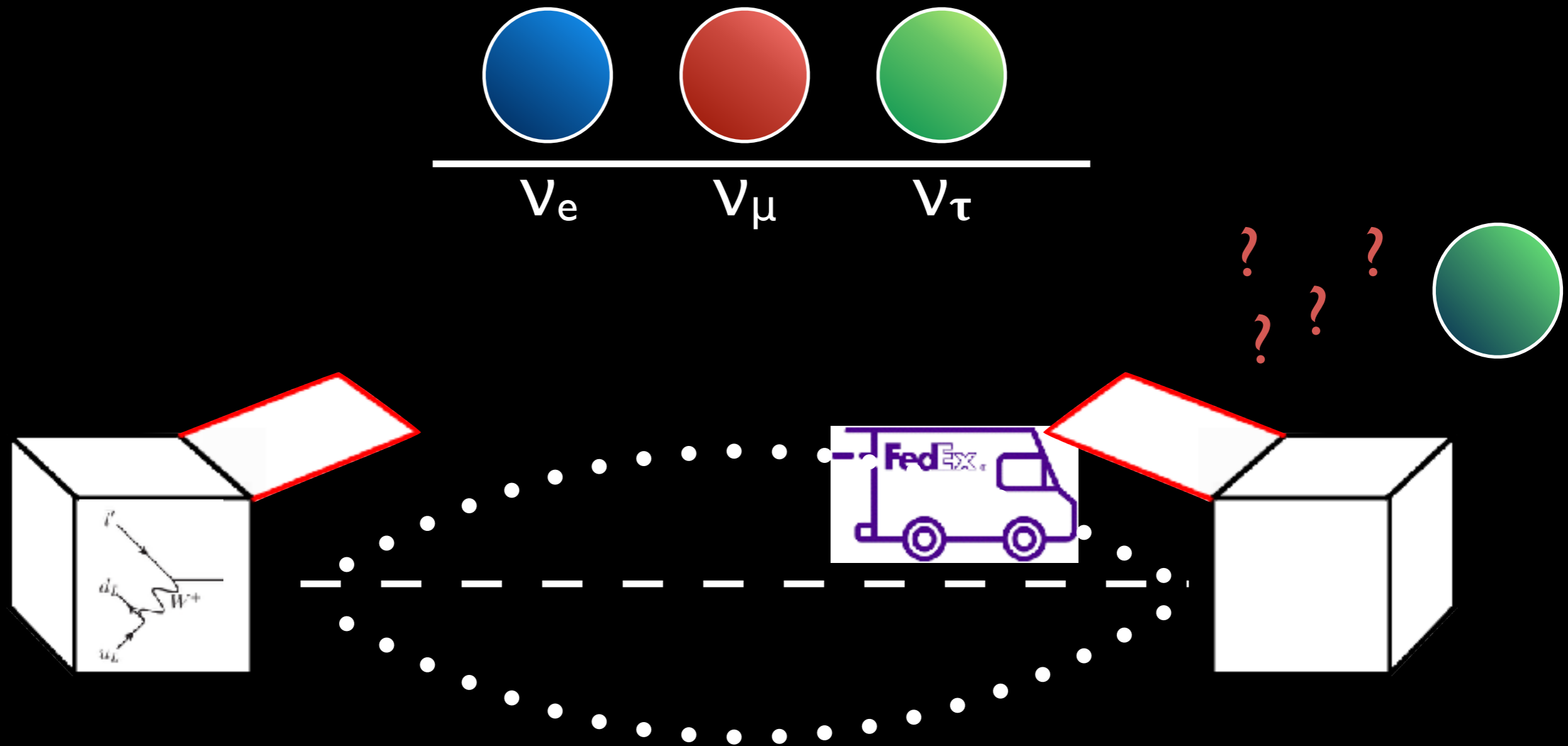


Neutrino flavors can be tagged by their partner leptons (e, μ , and τ)



But if neutrinos have different masses, then the propagating neutrino can interfere (mix) with the other mass states.

Flavor oscillations imply mass *differences*.

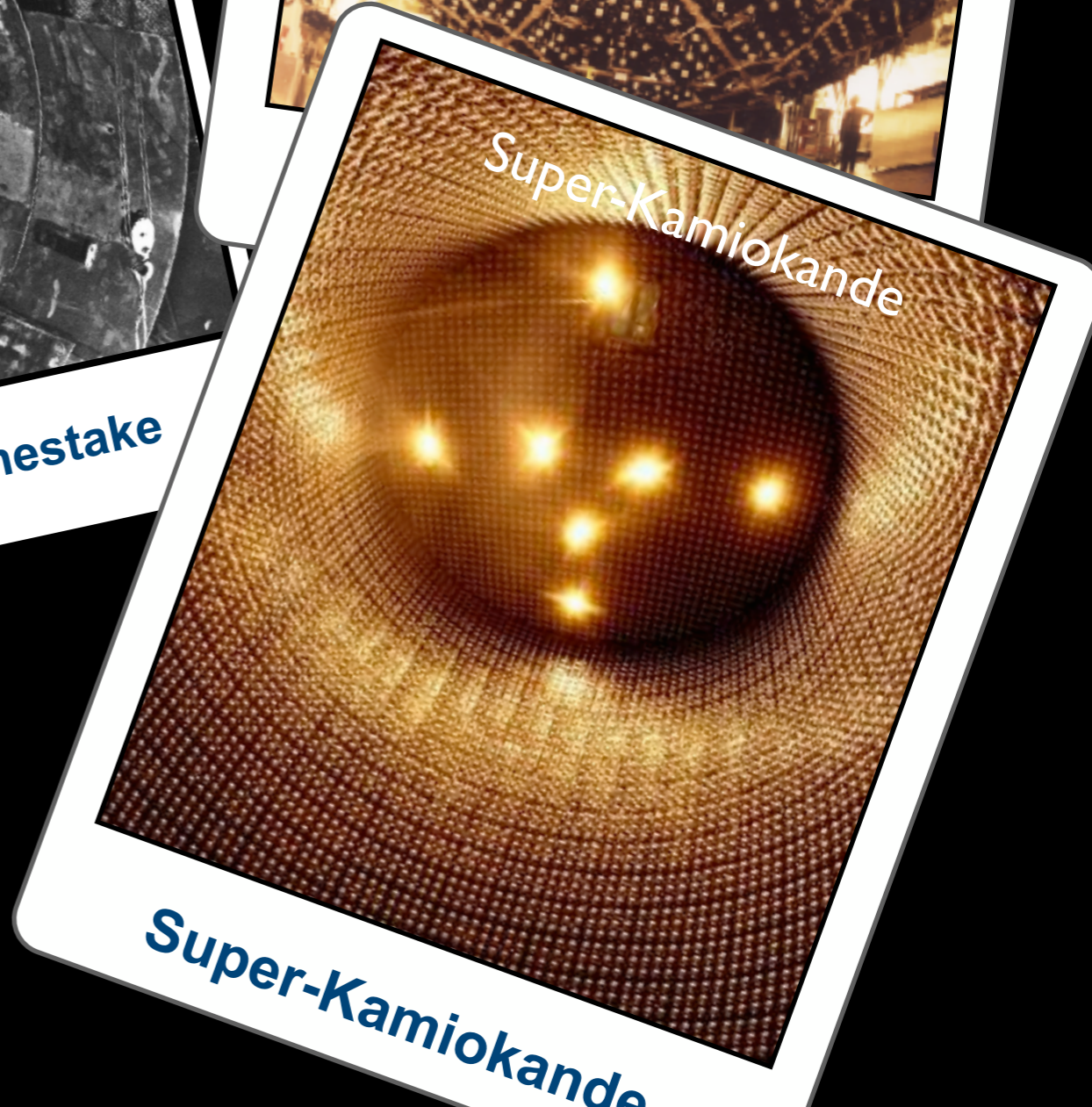


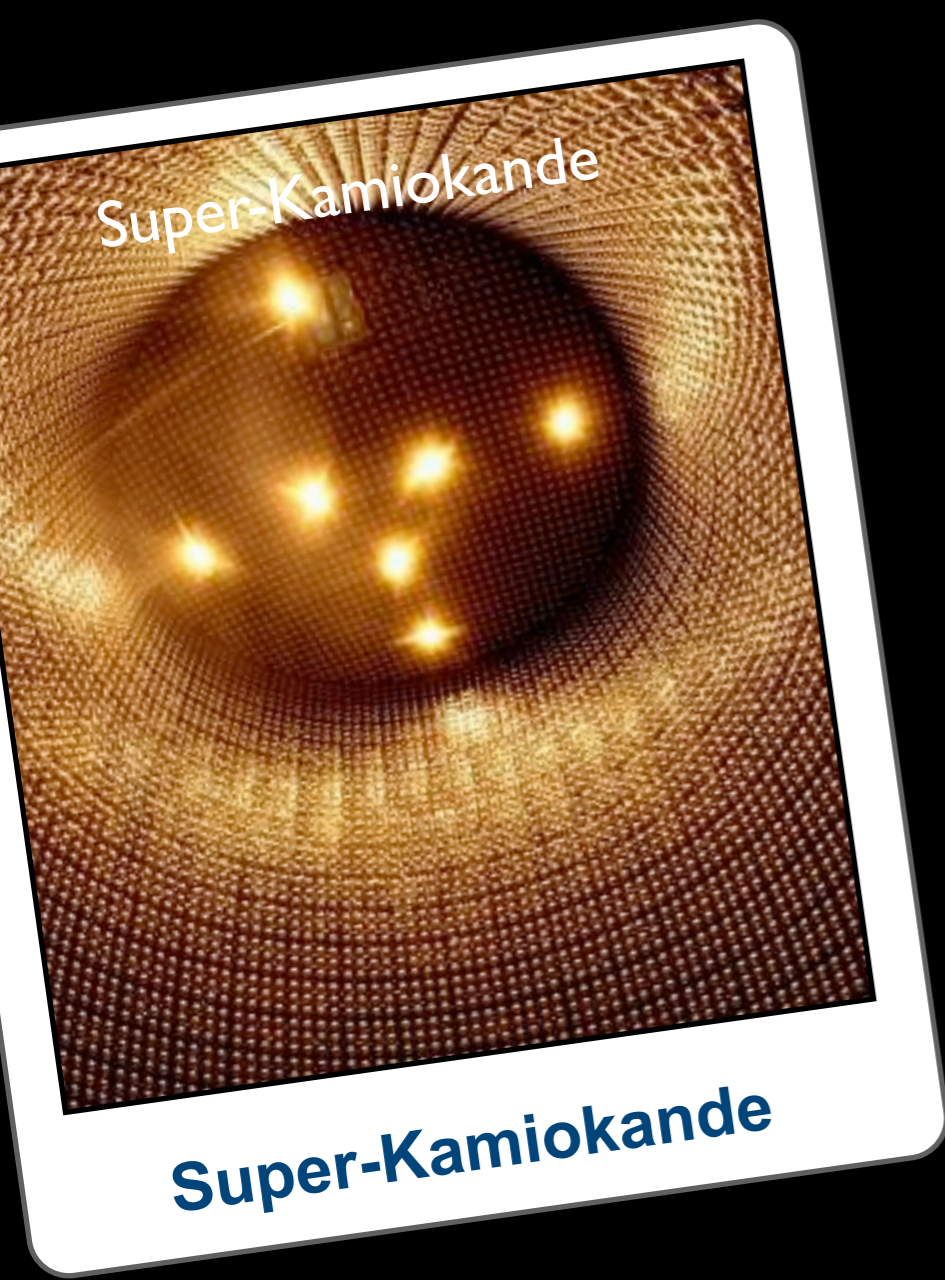
But if neutrinos have different masses, then the propagating neutrino can interfere (mix) with the other mass states.

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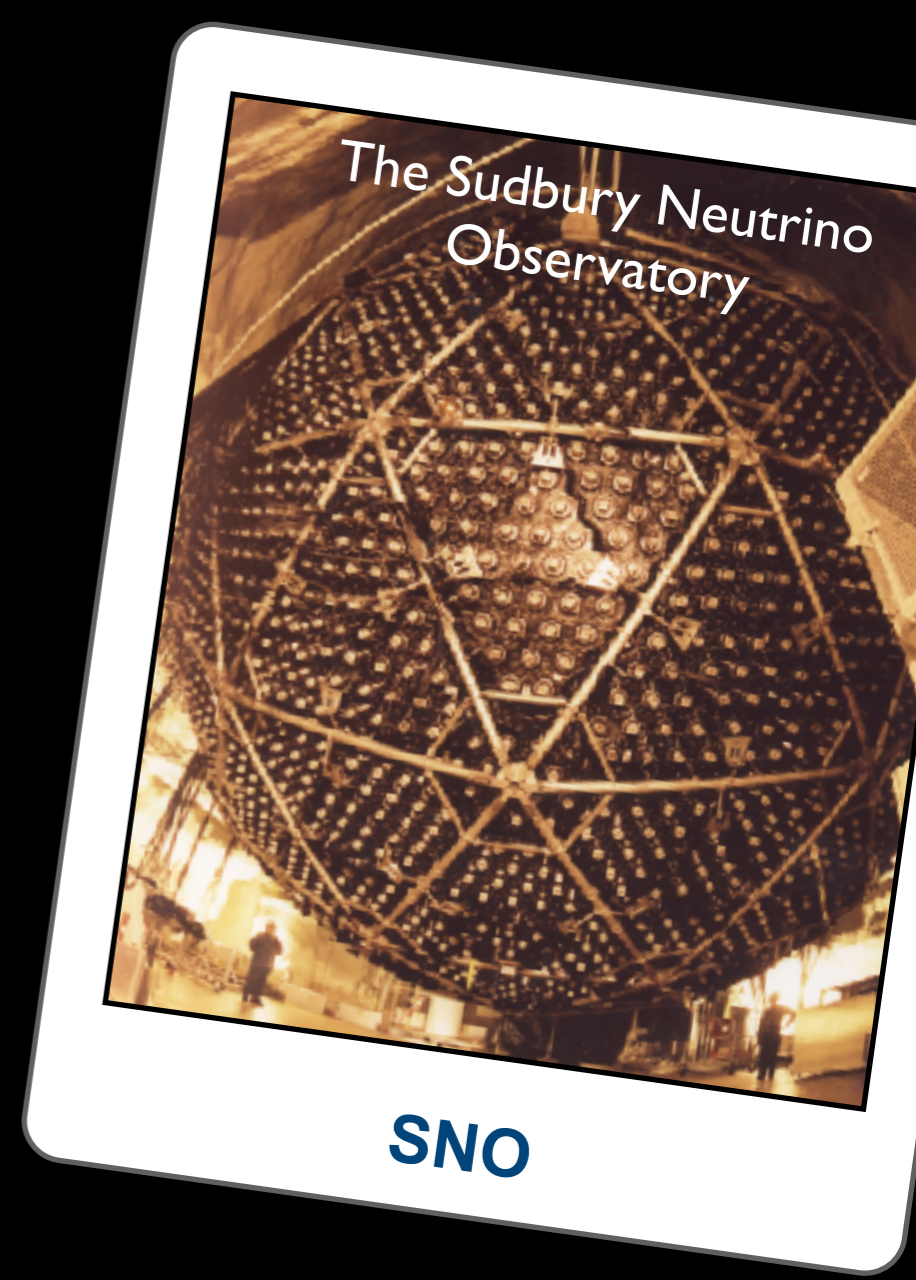
Ray Davis Jr., Homestake





A myriad of experiments demonstrated that neutrinos transmute flavor (oscillate).

Proof that neutrinos must have mass.



There are predictions that stem from alteration of the Standard Model.



Takaaki Kajita
(Super-Kamiokande)



Arthur B. McDonald
(Sudbury Neutrino Observatory)

Quarks

u up	c charm	t top
d down	s strange	b bottom

e electron	μ muon	τ tau
ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino

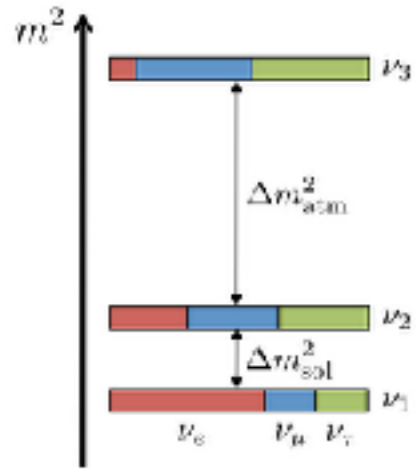
Leptons

Forces

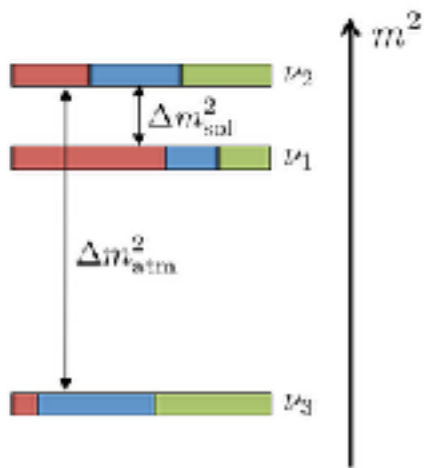
Z Z boson	γ photon
W W boson	g gluon



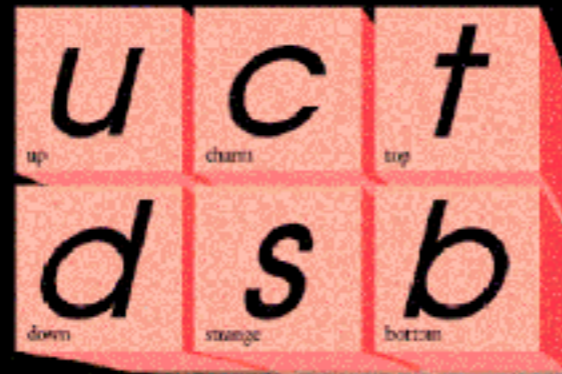
normal hierarchy (NH)



inverted hierarchy (IH)



Quarks



Forces



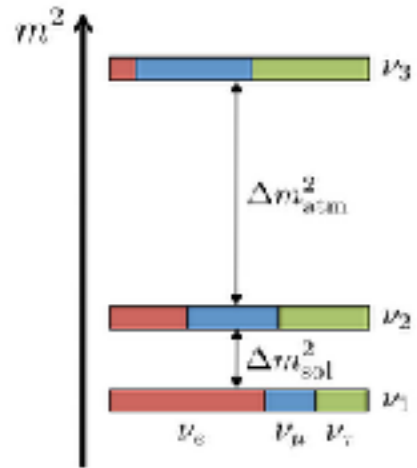
Leptons

The Origin of Mass

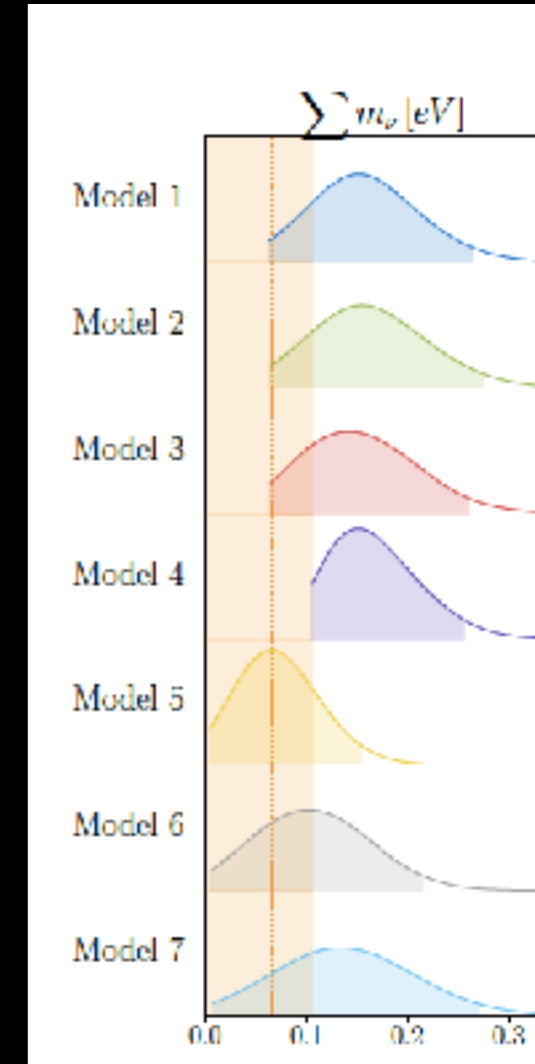
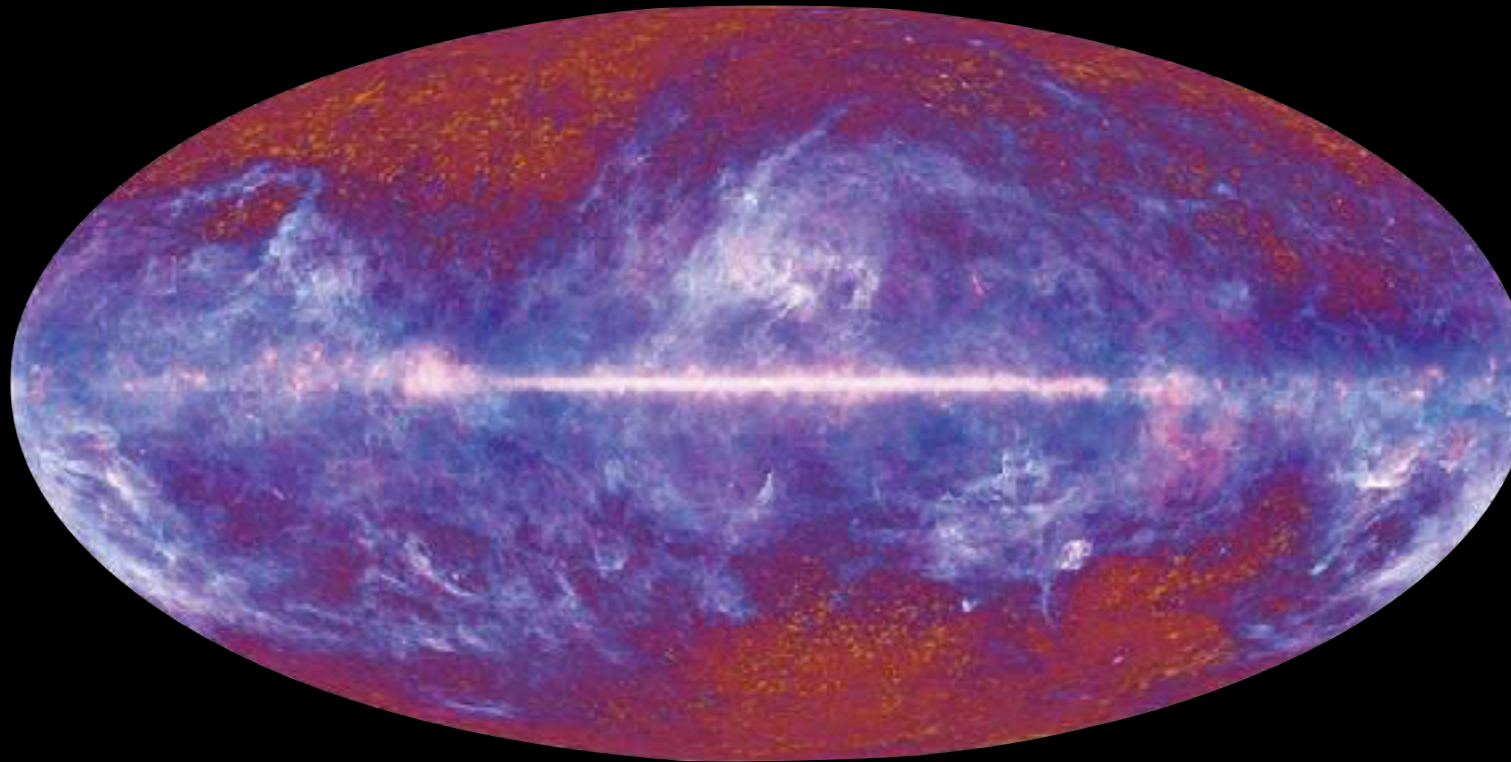
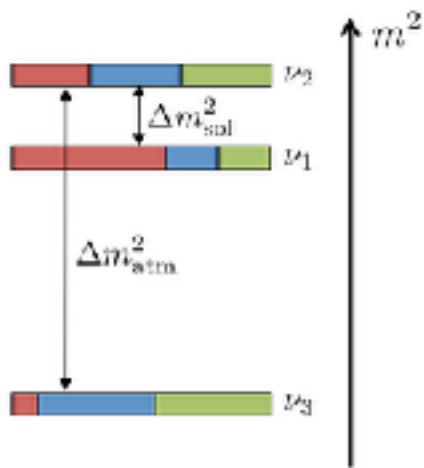
The mechanism by which particles gain mass in the Standard Model may not apply for neutrinos.

The neutrino mass mechanism remains unknown.

normal hierarchy (NH)



inverted hierarchy (IH)



The Origin of Mass

The mechanism by which particles gain mass in the Standard Model may not apply for neutrinos.

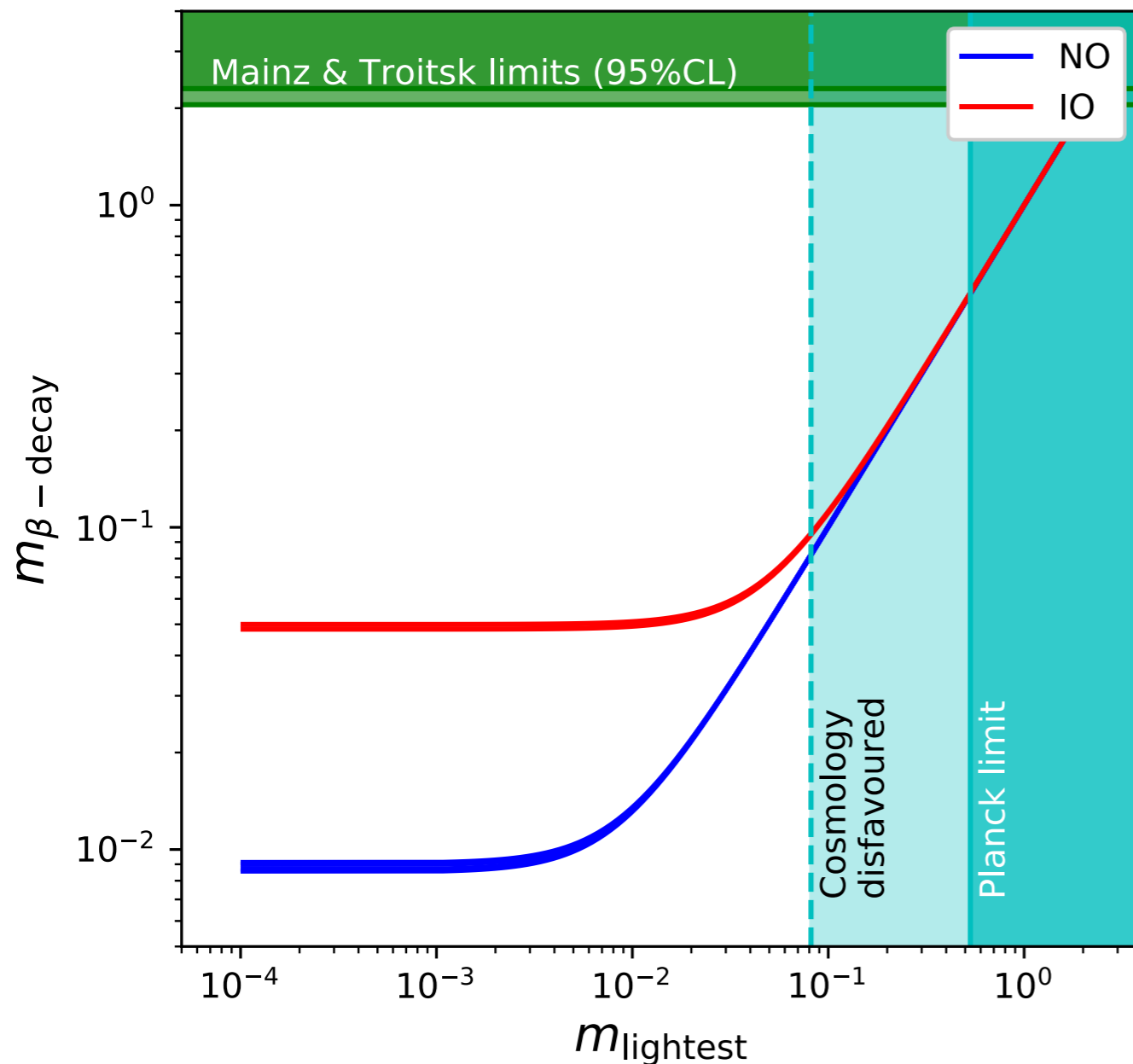
The neutrino mass mechanism remains unknown.

Impact on Cosmology

Given the primordial abundance of neutrinos, even a small finite mass has a measurable impact on cosmic evolution.

Measurable in next generation of experiments.

Landscape Outlook



Created using www.nu-fit.org

Cosmology (@ 50 meV)
and $0\nu\beta\beta$ (@ 15 meV)
has the potential to probe
the deepest into the
oscillation prediction for
the mass scale over the
next decade.

However, the method with
the most strongly tested
assumptions is **direct
kinematic searches
through beta decay.**

ta, a meno di un fattore indipendente

$$\frac{1}{c^3} (\mu c^2 + E_0 - E) \sqrt{(E_0 - E)^2 + 2 \mu c^2 (E_0 - E)}$$

fine della curva di distribuzione è rappresentata per $\mu = 0$,
piccolo e uno grande di μ . La maggiore somiglianza con le

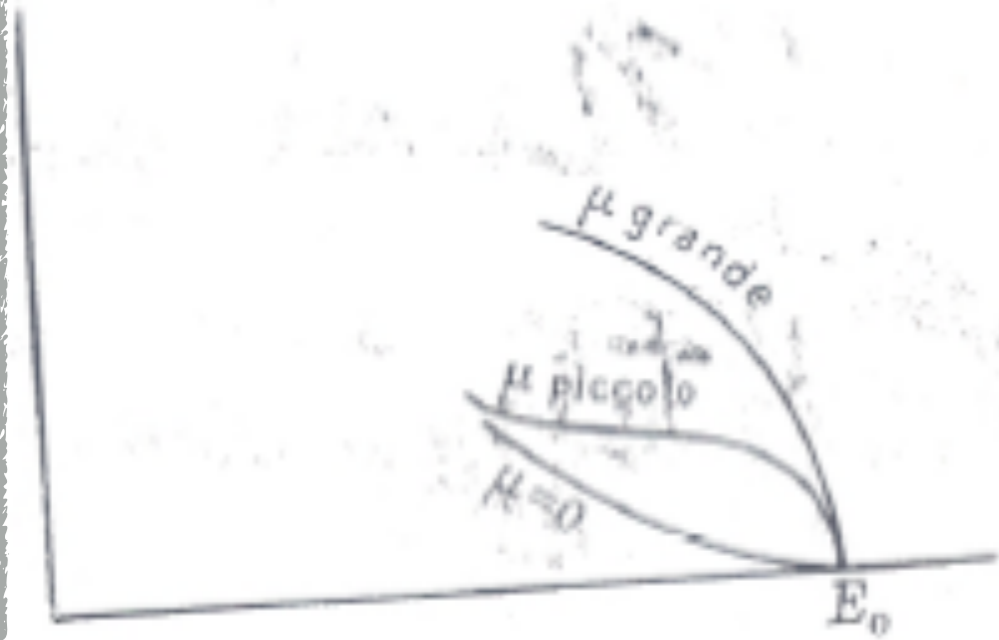
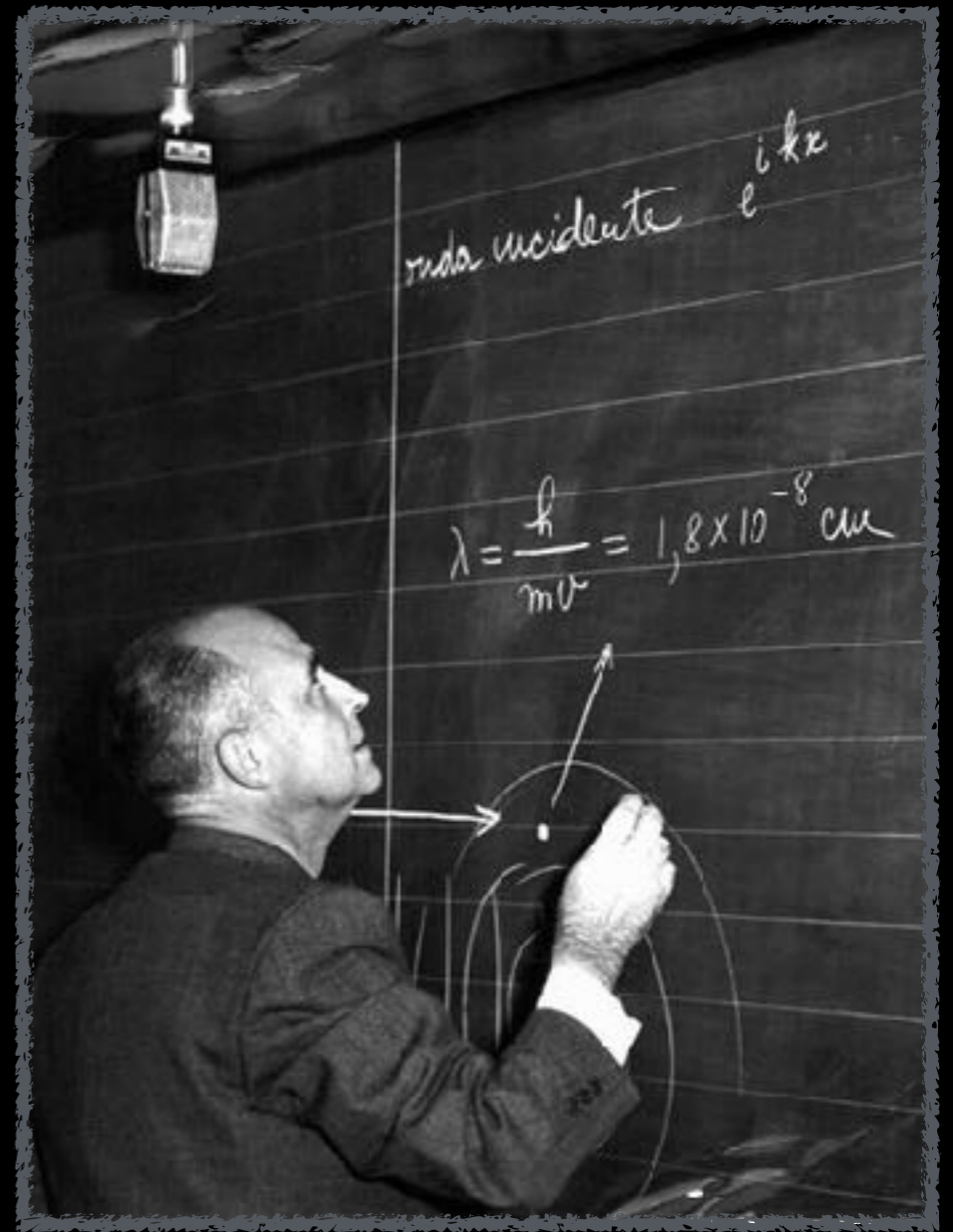
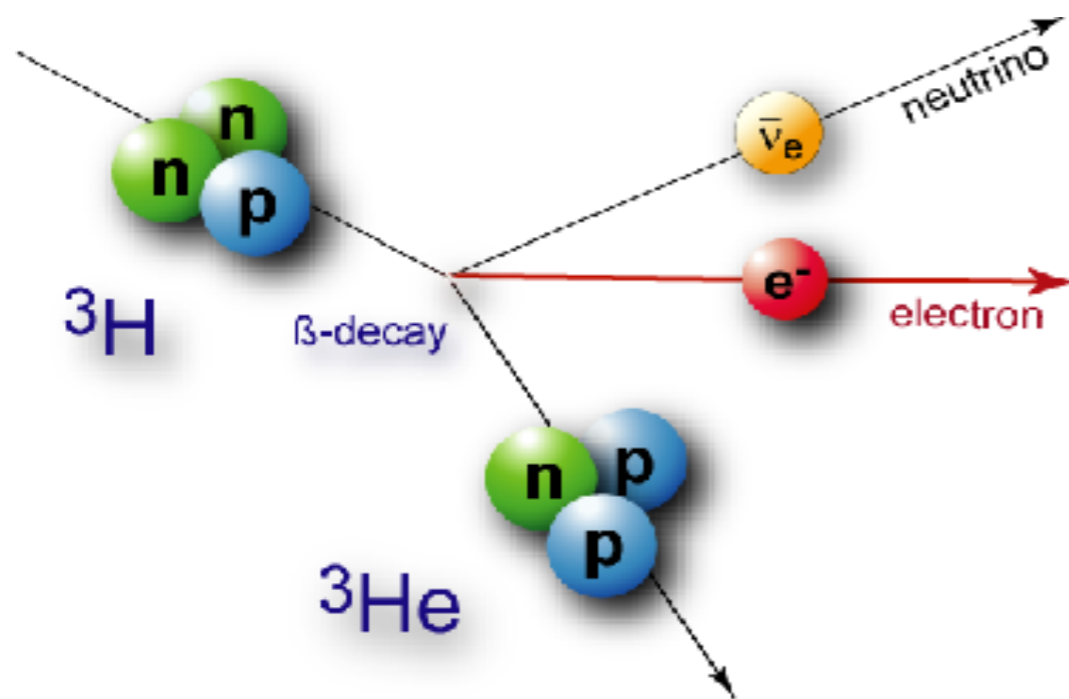


Fig. 1.

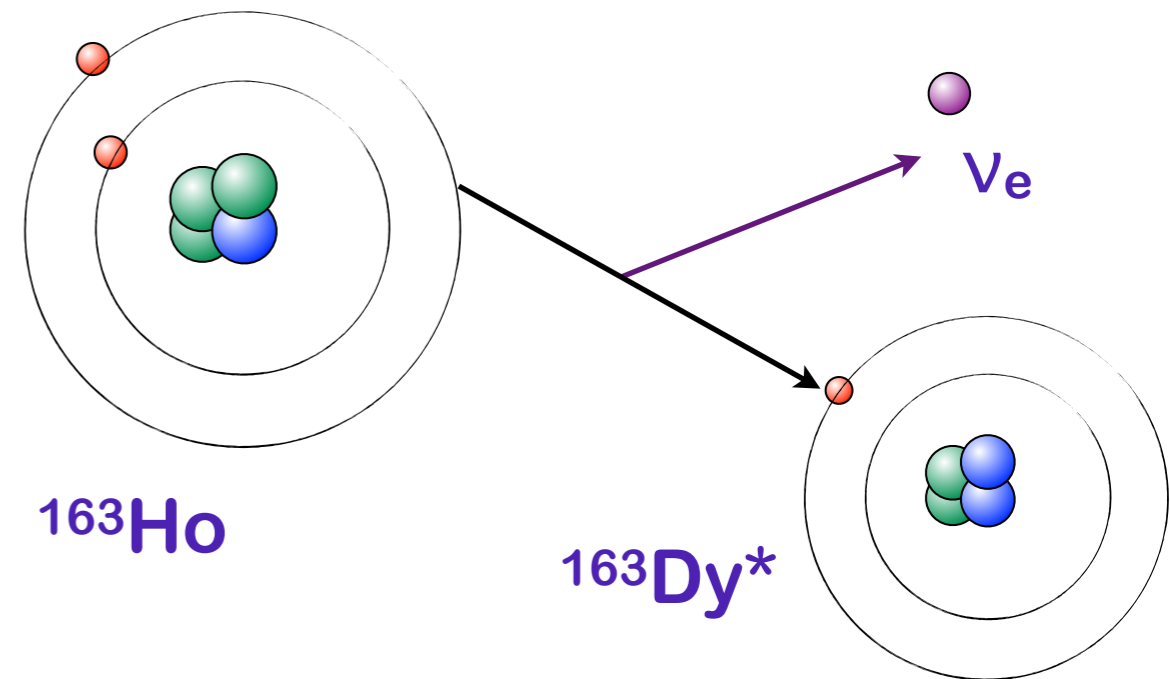


Enrico Fermi
1934

Tritium beta decay

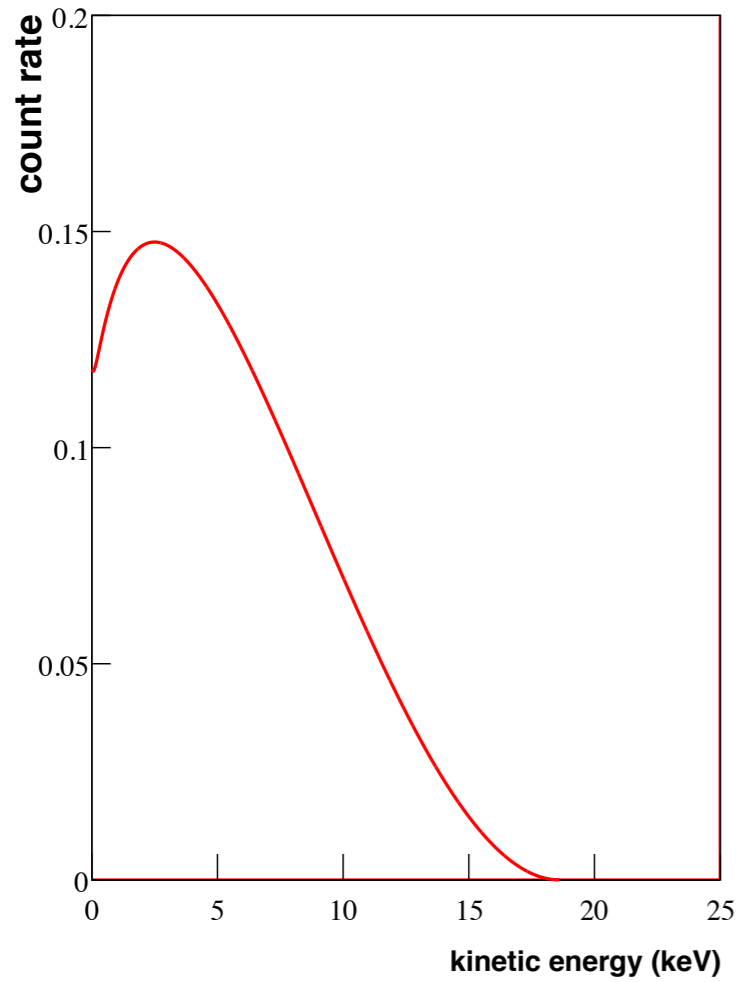


Holmium electron capture



Kinematic spectra from beta decay or electron capture embed the neutrino mass near the endpoint.

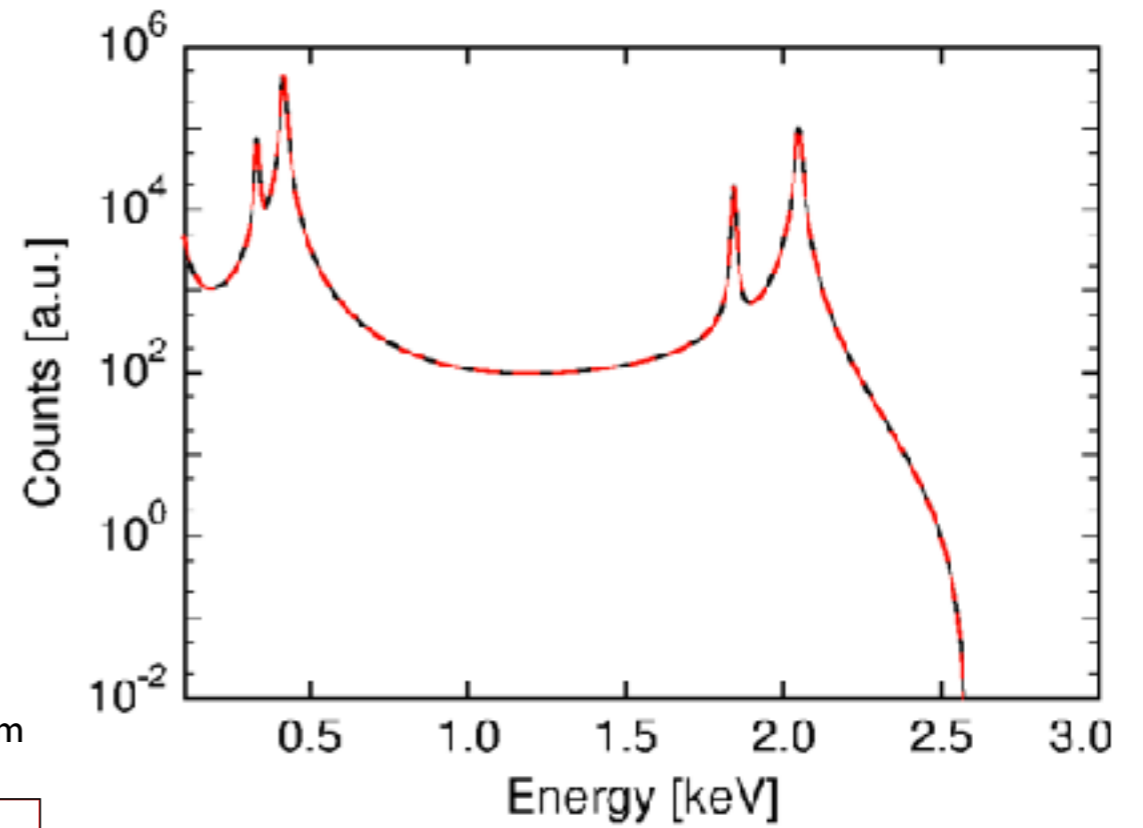
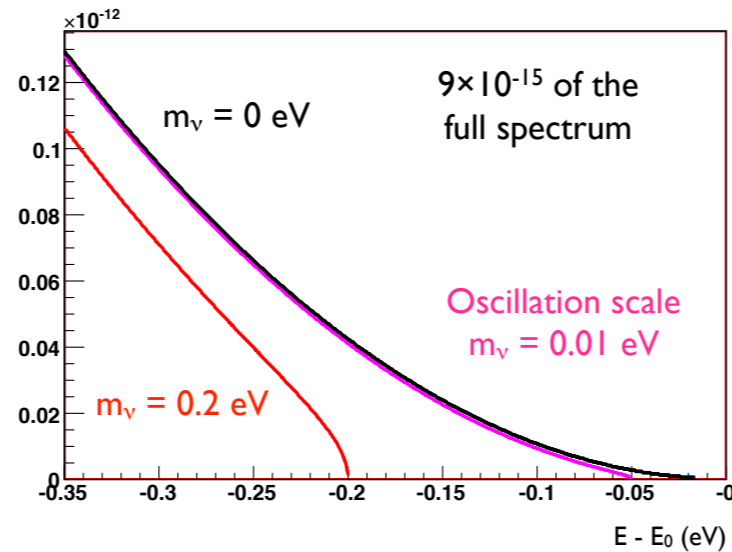
Electron Energy



$$\dot{N} \propto p_\nu E_\nu$$

In both cases,
differential spectrum
depends on the
neutrino momentum.

Endpoint of the Tritium β -decay Spectrum



$$m_\beta^2 = \sum_i |U_{ei}|^2 m_{\nu i}^2$$

Necessary Conditions:

High Flux and High Precision



Electron transfers all of its energy to the absorbing medium.

Calorimetric
(Cryogenic Bolometers)

Electromagnetic filtering of electrons of selected energy.

Electromagnetic Collimation
(MAC-E Filter)



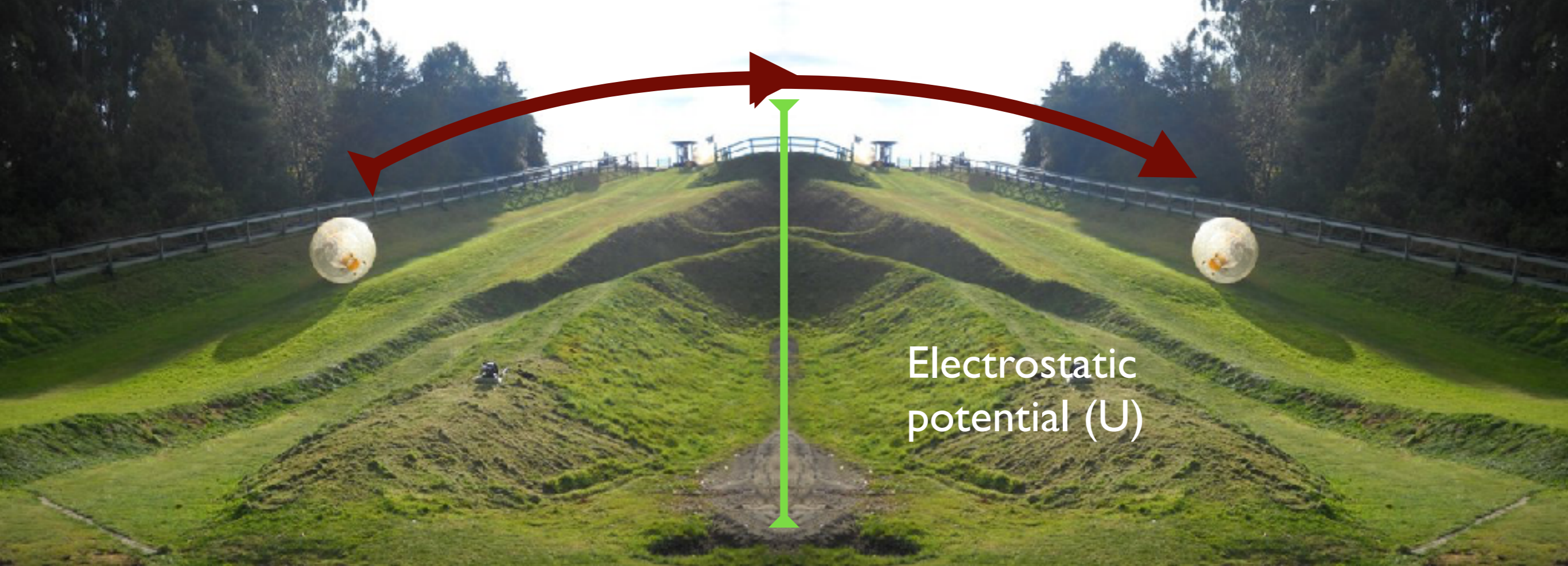
Use photon spontaneous emission from electron in magnetic field.

Frequency-Based
(Cyclotron Radiation Emission Spectroscopy)

Electromagnetic filtering of electrons of selected energy.

Electromagnetic Collimation (MAC-E Filter)





Electrostatic
potential (U)

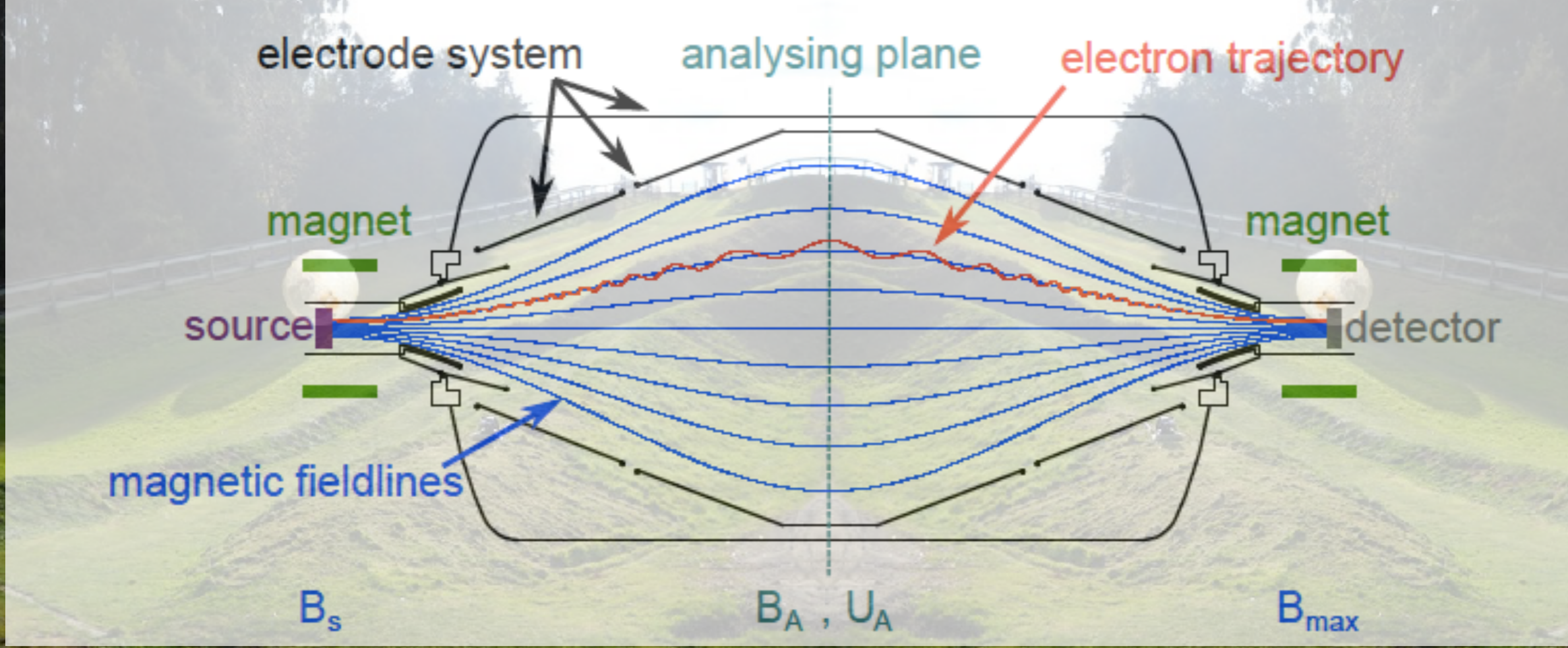
High Magnetic
Field (B_s)

Low Field
 B_A

High Magnetic
Field (B_s)

Magnetic Adiabatic Collimation with Electrostatic Filtering

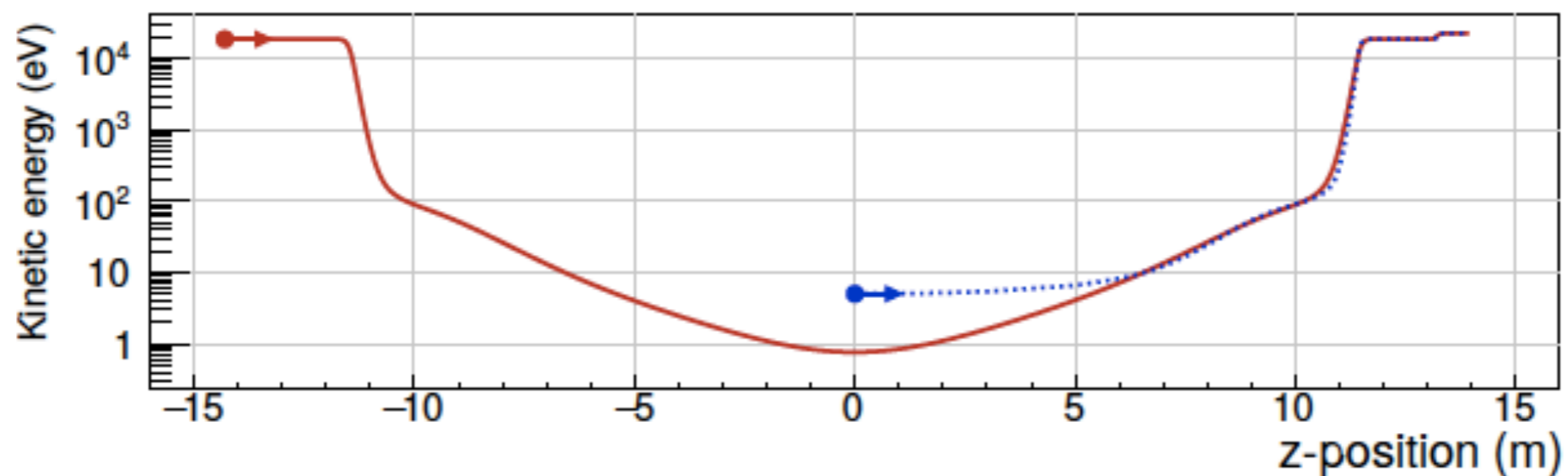
(only those with enough energy can make it up the hill)

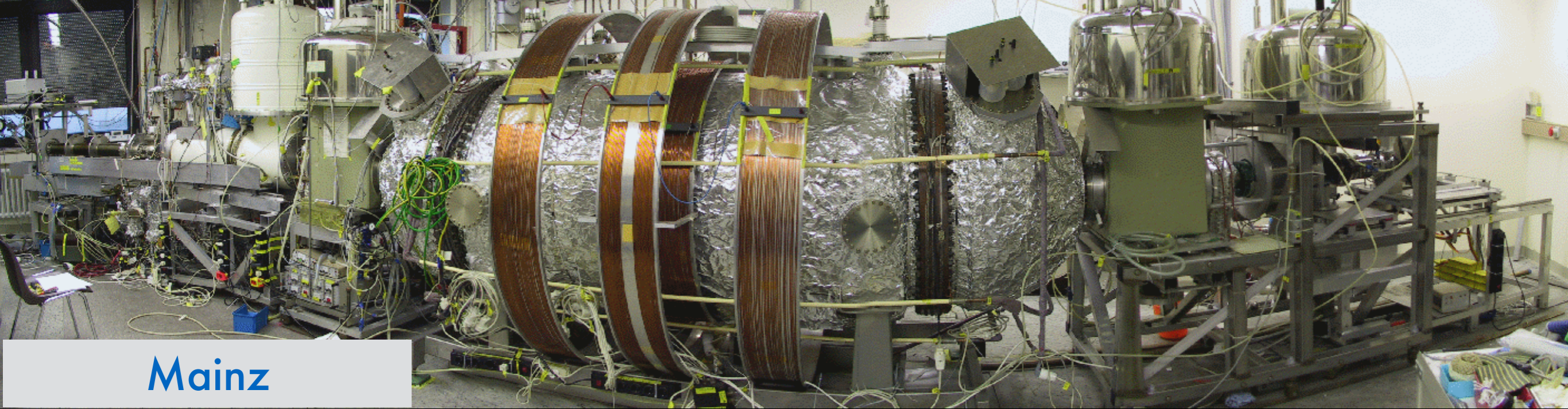


High Magnetic Field (B_s)

Low Field B_A

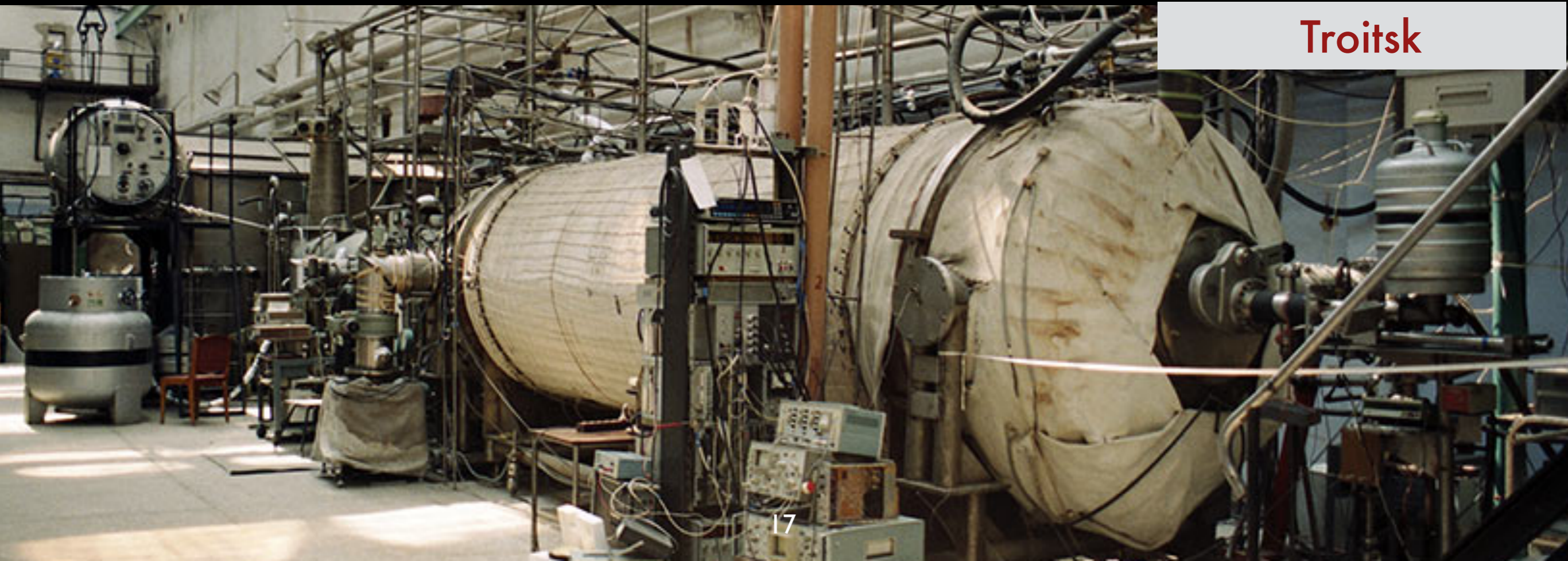
High Magnetic Field (B_s)





Mainz

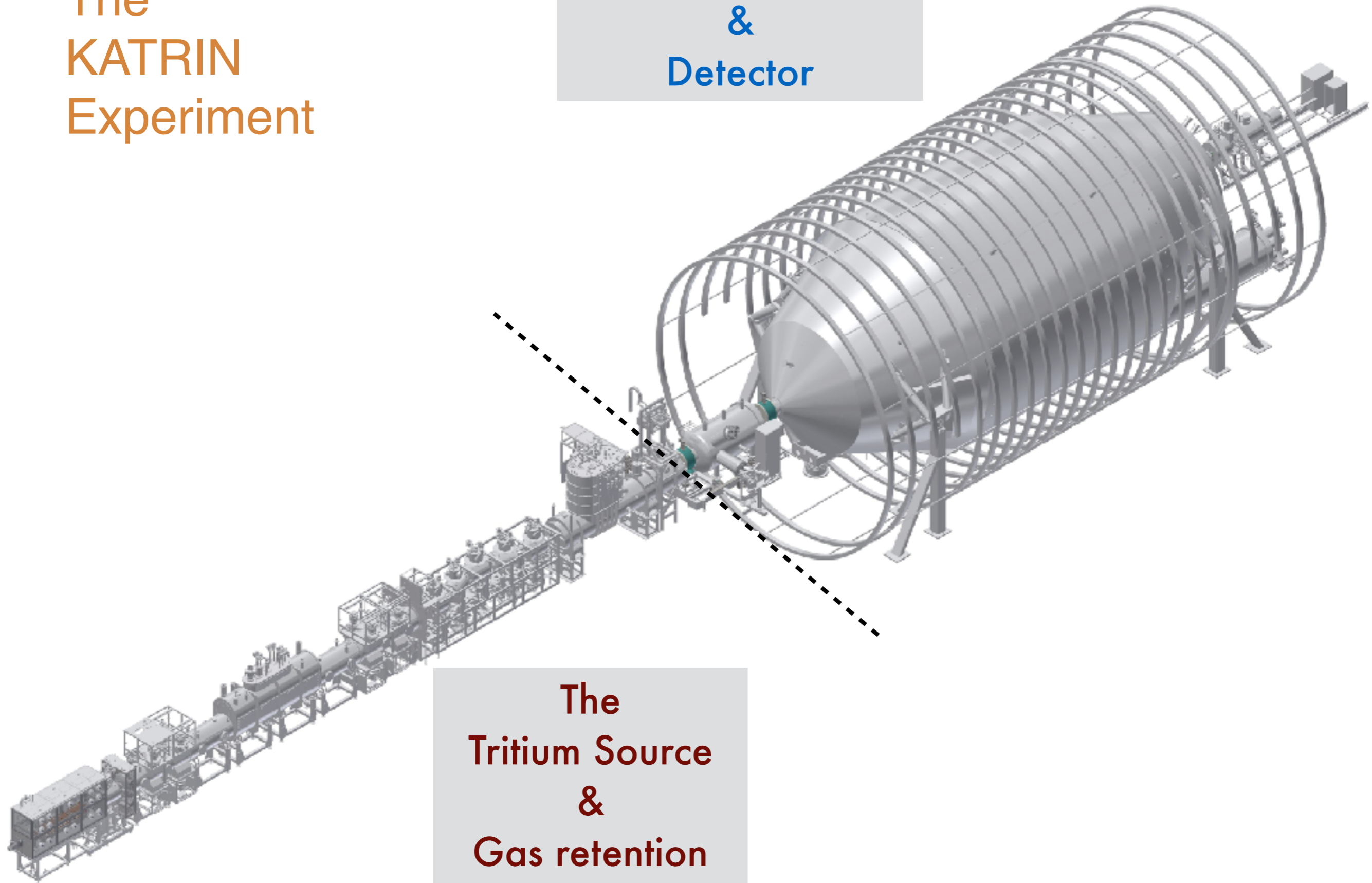
Predecessor Experiments



Troitsk

The KATRIN Experiment

The Spectrometers
&
Detector



The
Tritium Source
&
Gas retention
system

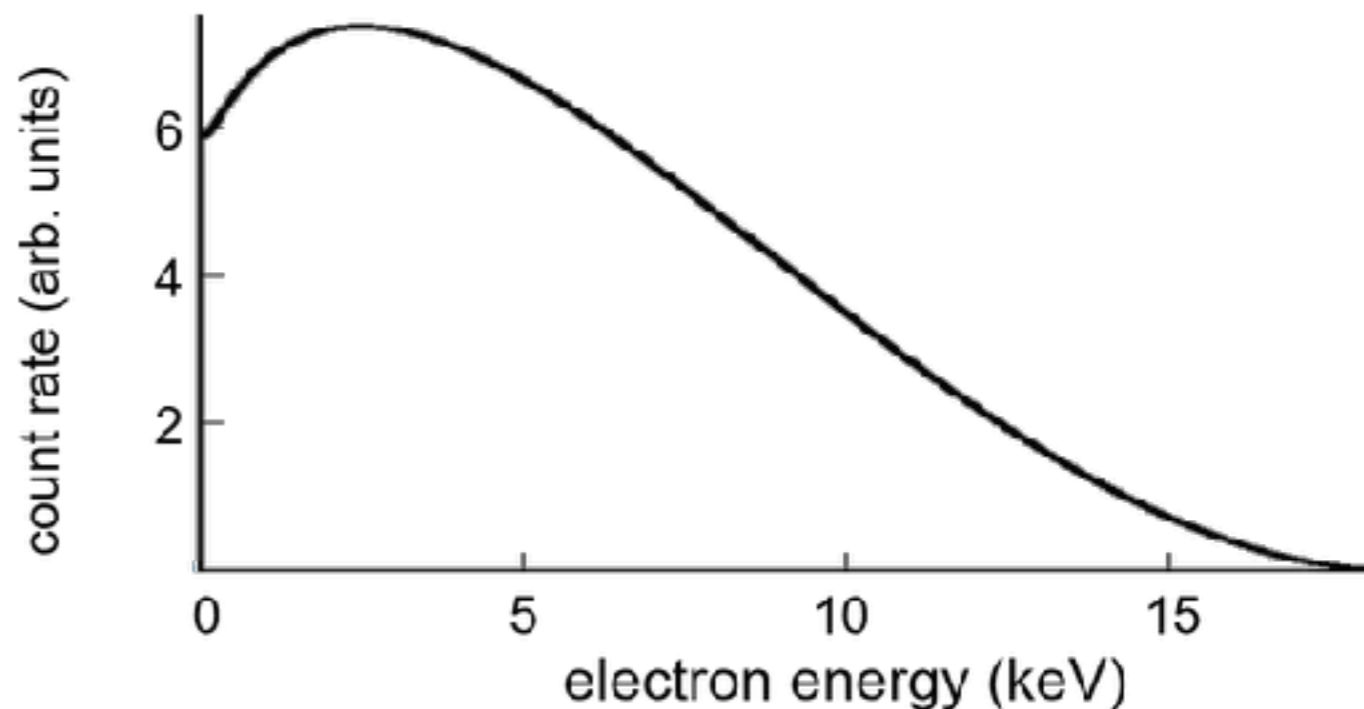
KATRIN's 1st Neutrino mass campaign

April 10 until May 13, 2019
(780 hours)

High source activity (0.66 Ci)

High purity (97.5% T₂)

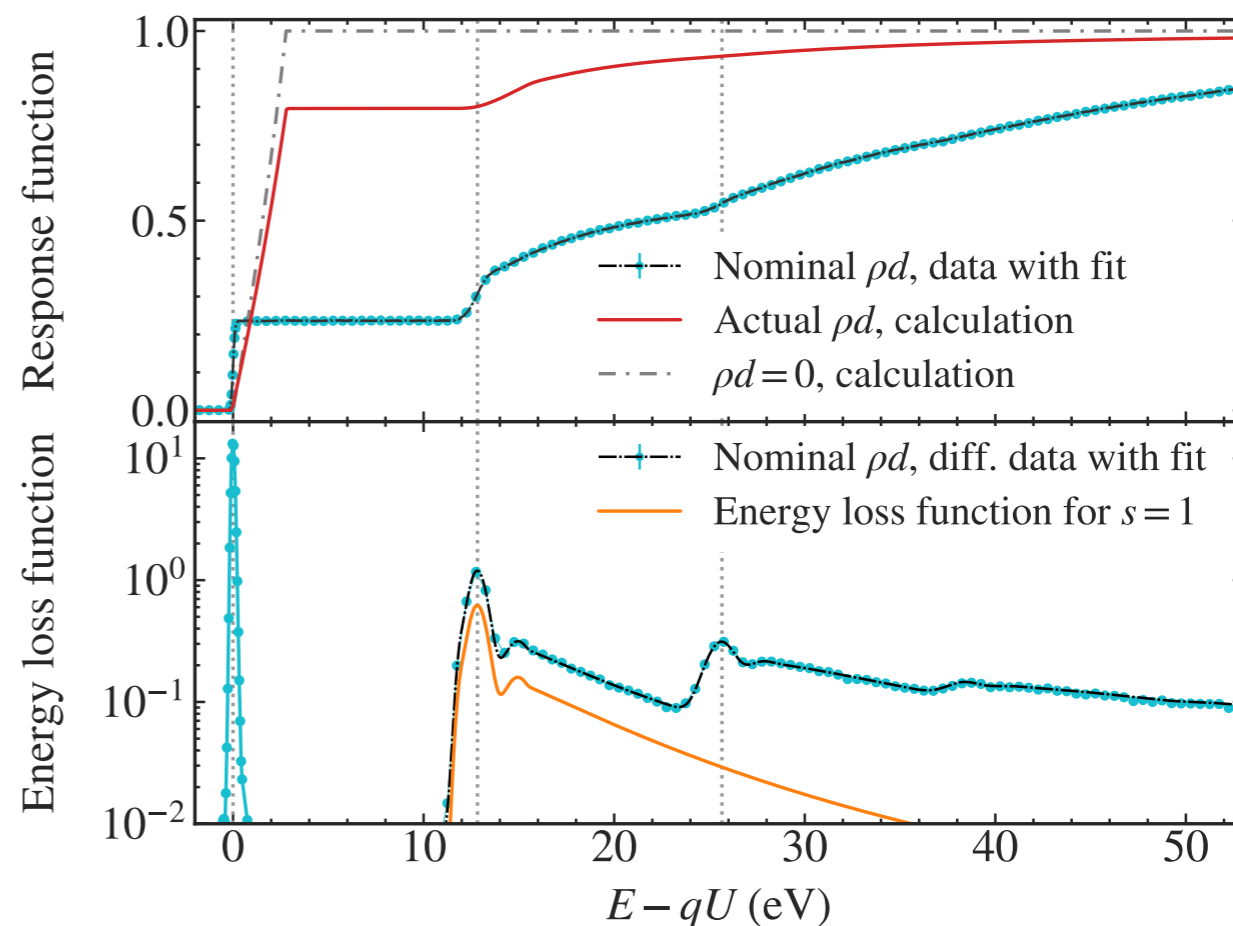
Combining data from runs &
pixels



Spectrum

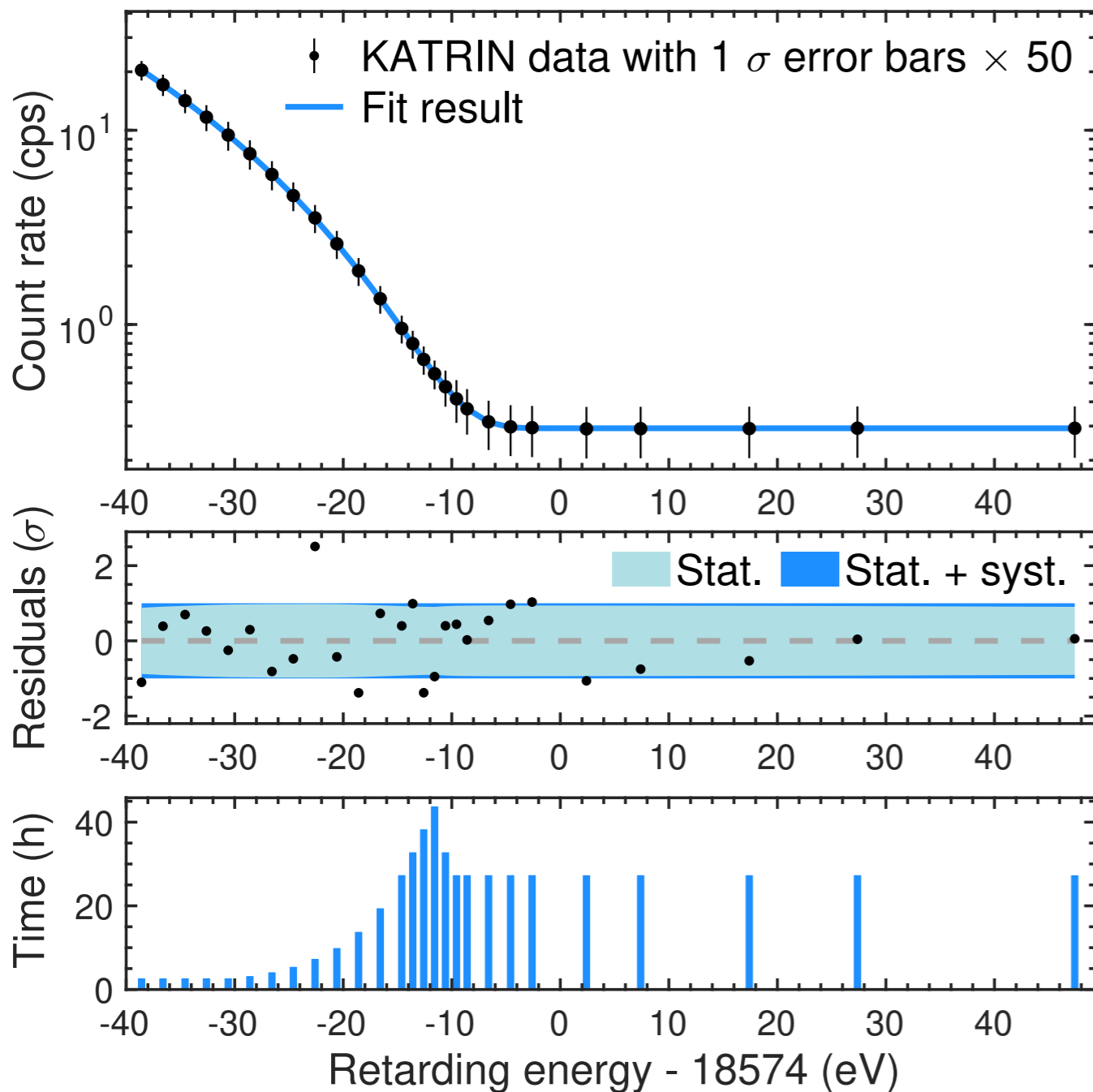


Response



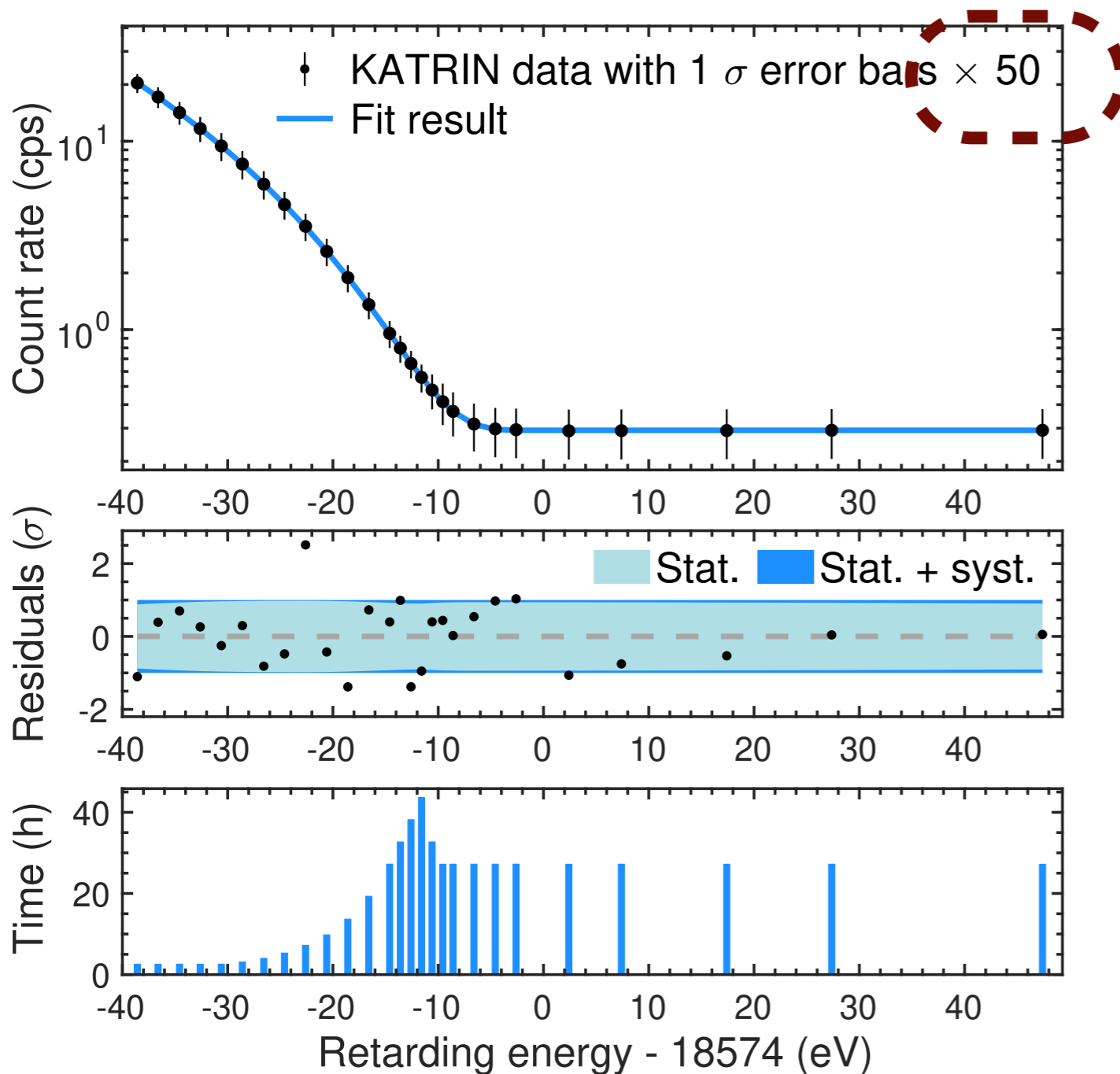


KATRIN's 1st Measurement



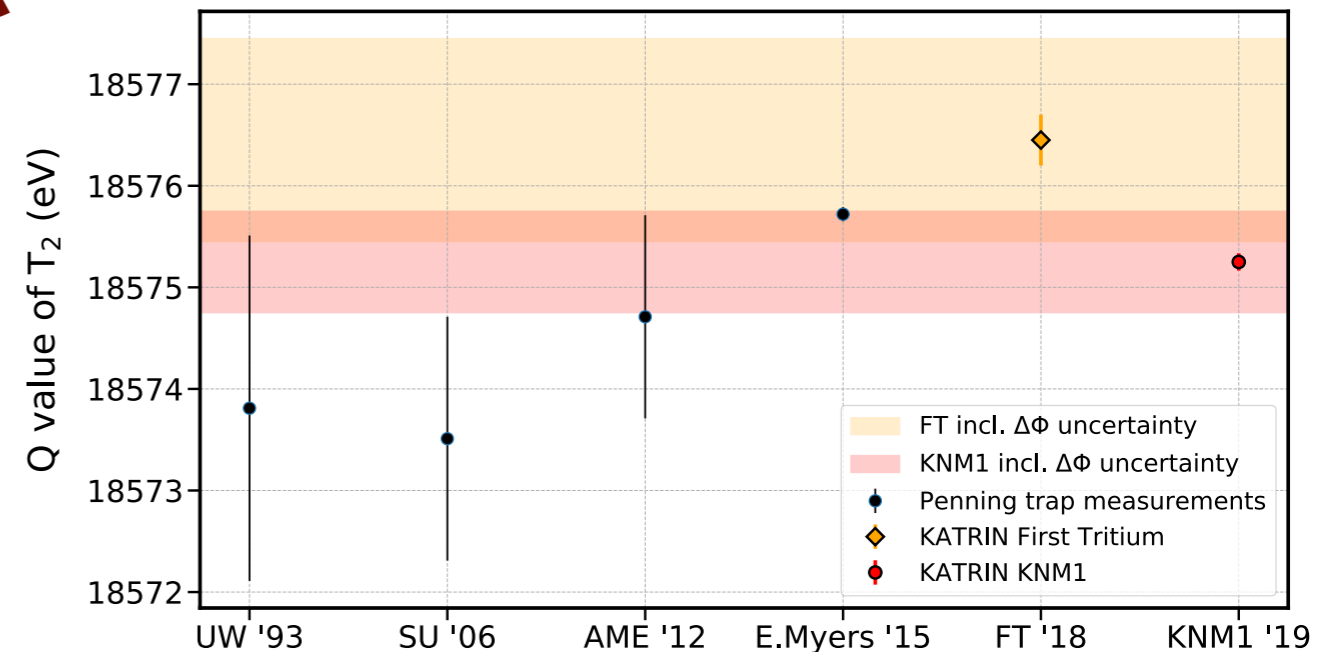
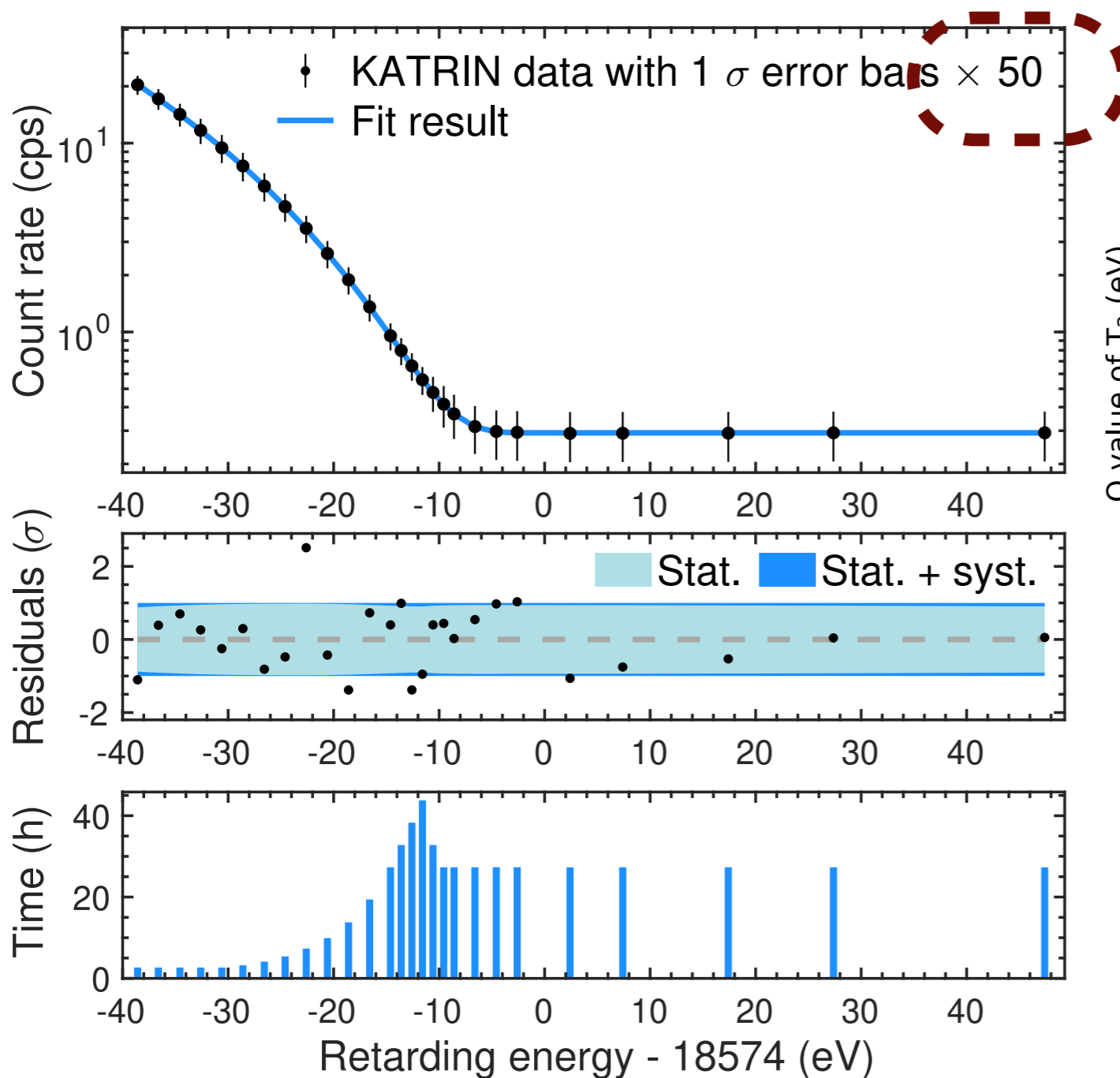


KATRIN's 1st Measurement

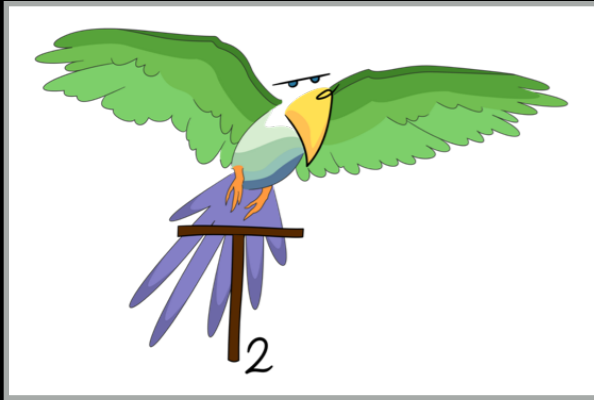




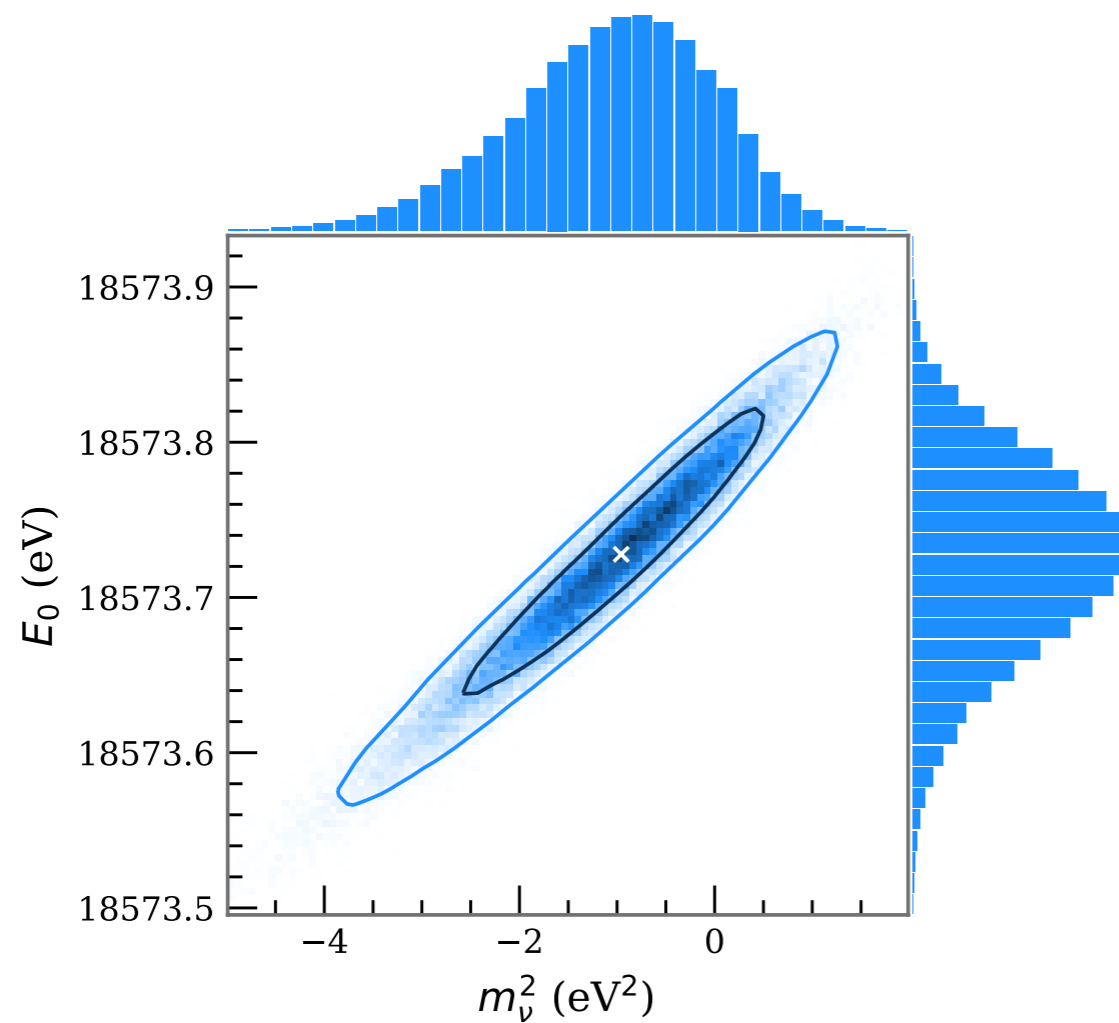
KATRIN's 1st Measurement



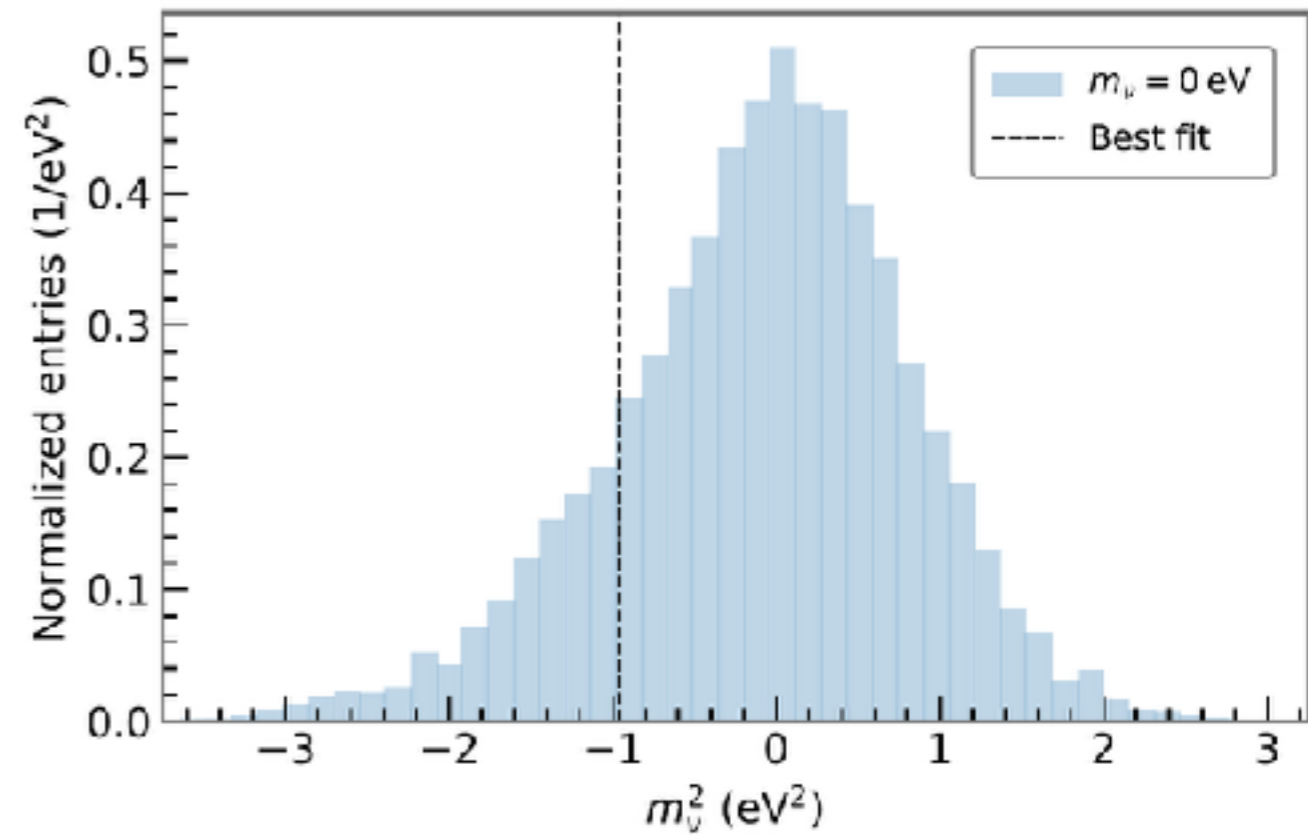
Endpoint consistent with atomic trap measurements



KATRIN's 1st Measurement

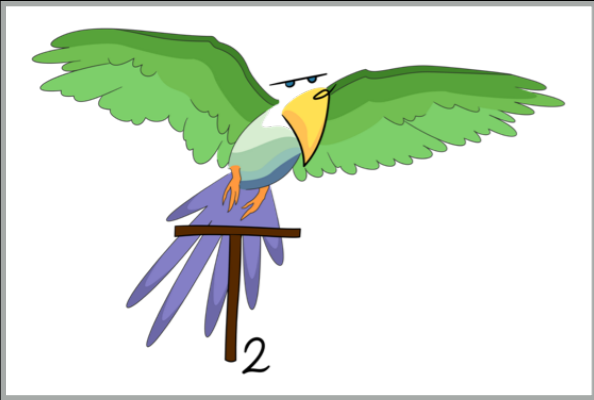


Extracted Best Fit



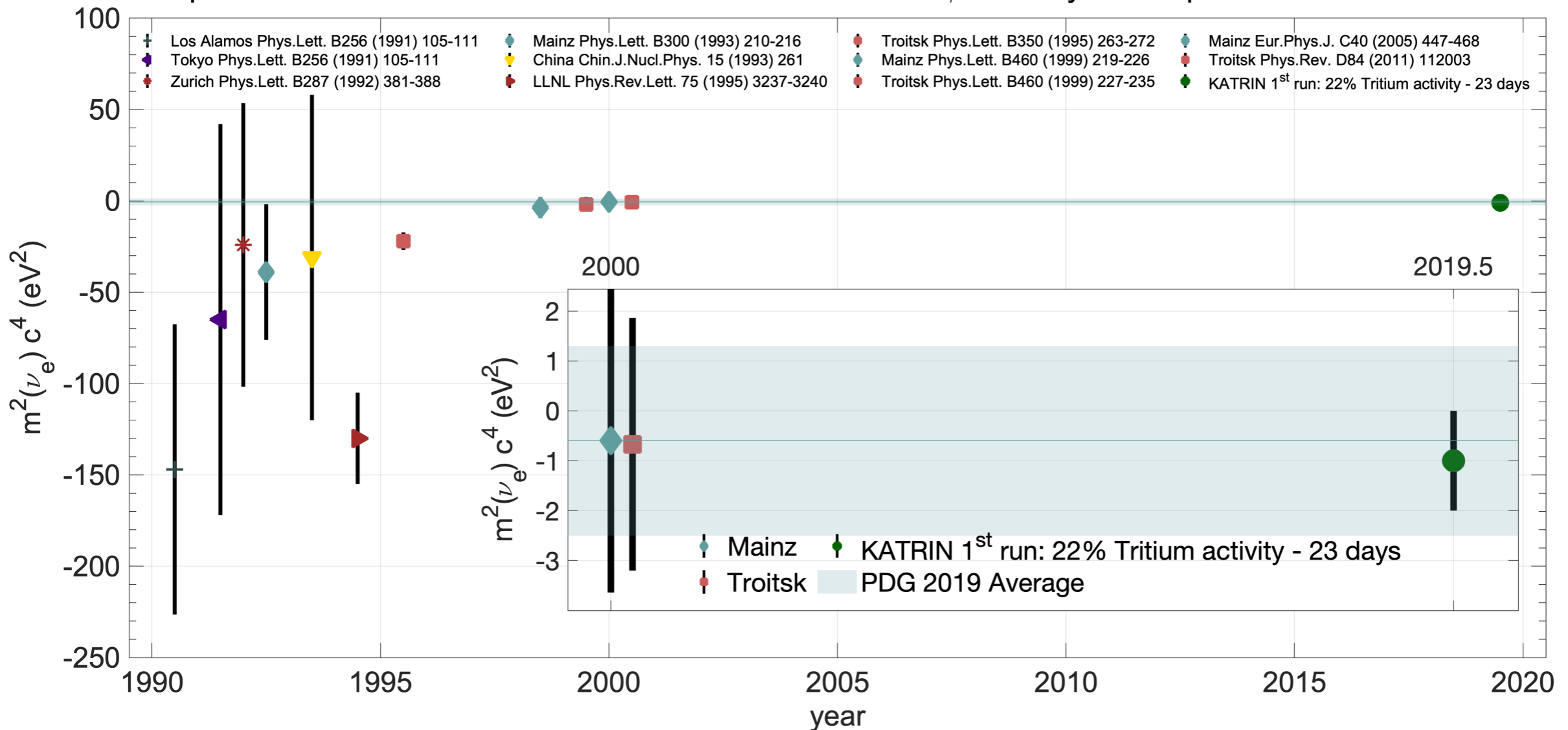
MC Ensemble

$$m^2(\nu_e) = (-1.0^{+0.9}_{-1.1}) \text{ eV}^2 \text{ (90\% C.L.)}$$



KATRIN's 1st Measurement

Squared neutrino mass values obtained from tritium β -decay in the period 1990-2019



$m(\nu_e) < 1.1 \text{ eV}$ (90% C.L.)

Factor of 2
improvement in
30 days!



Electron transfers all of its energy to the absorbing medium.

Calorimetric
(Cryogenic Bolometers)

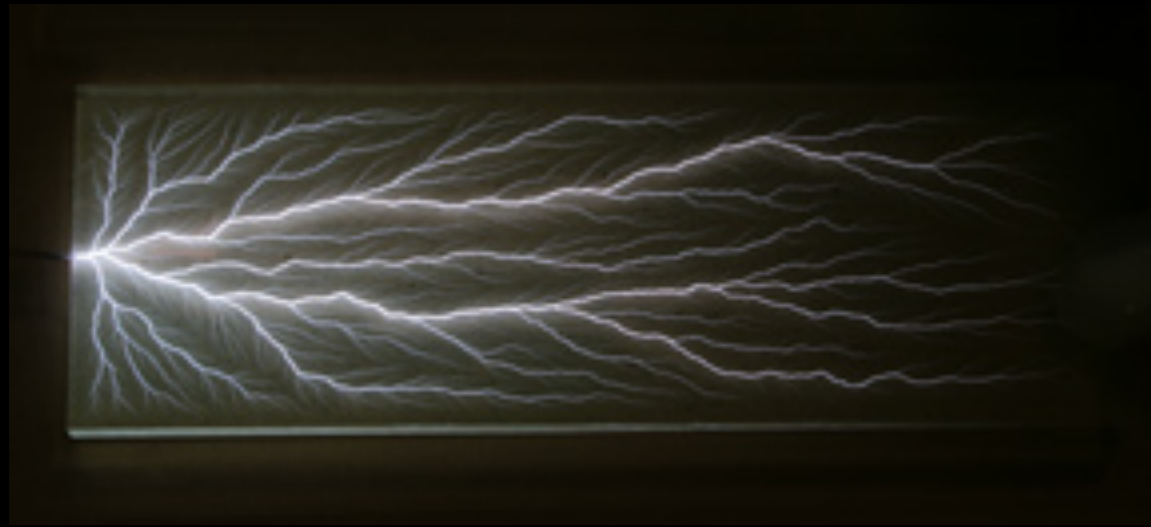
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(MAC-E Filter)



Use photon spontaneous emission from electron in magnetic field.

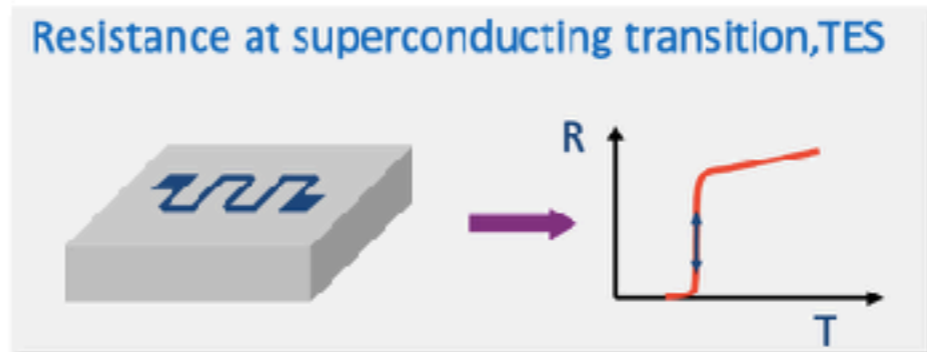
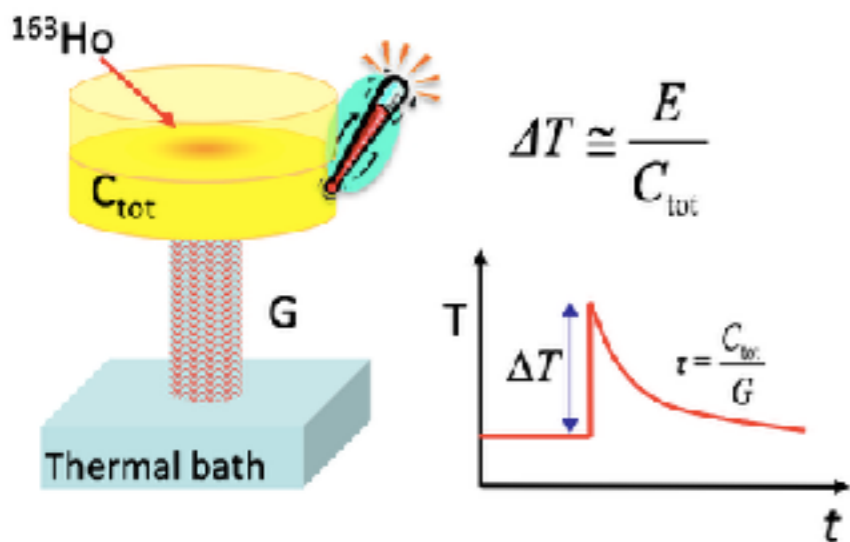
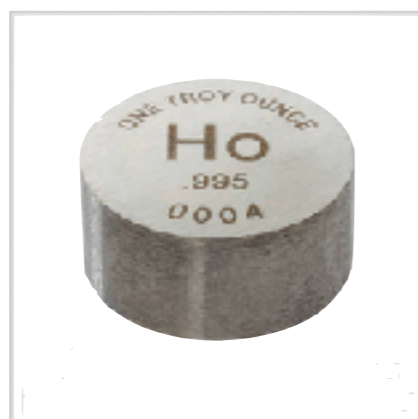
Frequency-Based
(Cyclotron Radiation Emission Spectroscopy)



Electron transfers all of its energy to the absorbing medium.

Calorimetric
(Cryogenic Bolometers)

Modern Calorimetric Experiments

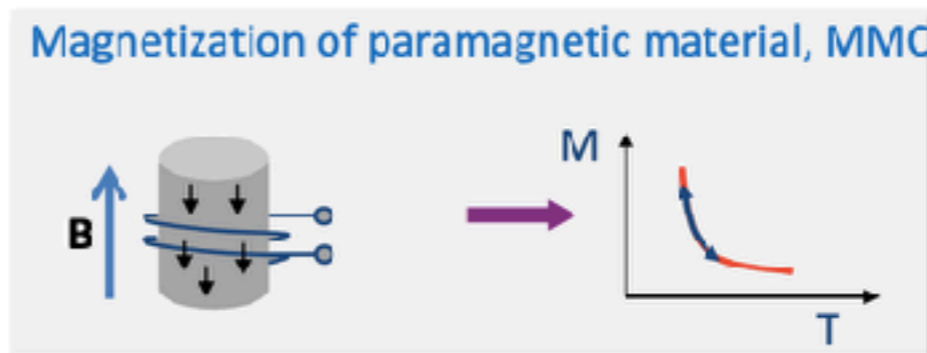


K.D. Irwin and G.C. Hilton, Topics in Applied Physics 99 (2005) 63

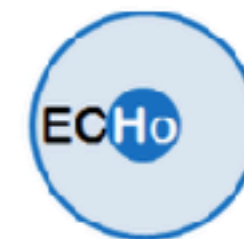
HOLMES

NuMECS

Detector arrays produced at NIST (Boulder US)



A.Fleischmann, C. Enss and G. M. Seidel, Topics in Applied Physics 99 (2005) 63

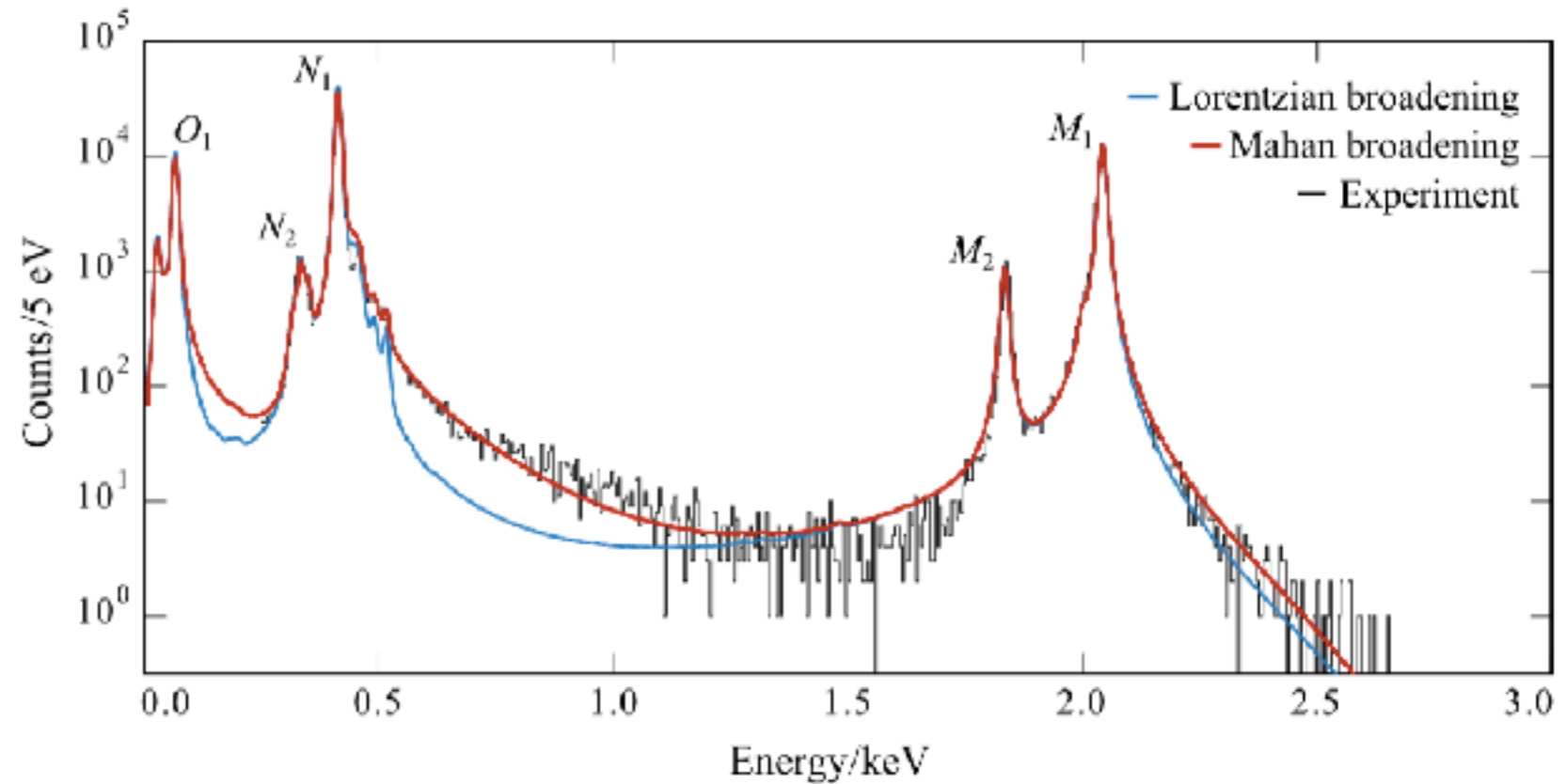
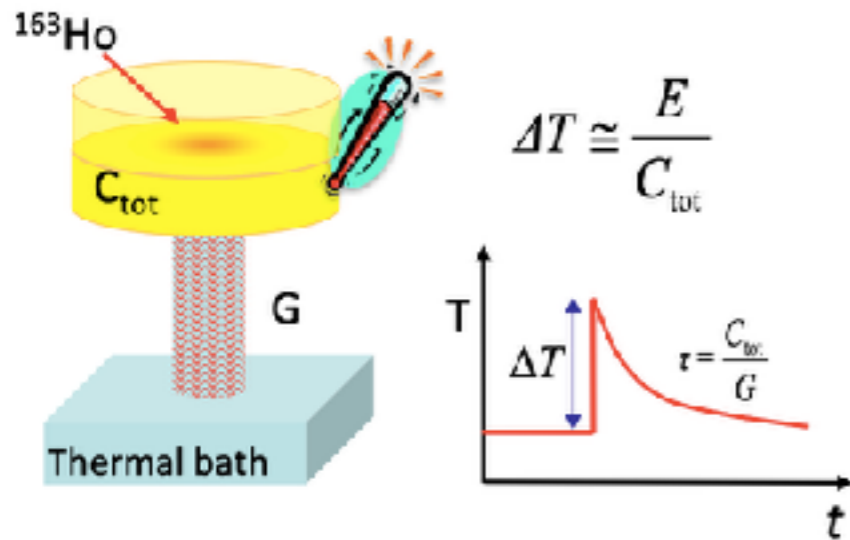
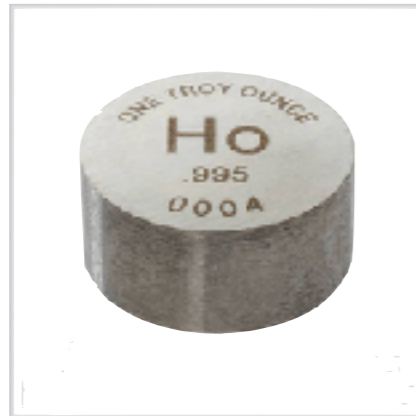


Detector arrays produced at KIP, Heidelberg University

Micro calorimeters which are sensitive to changes in temperature (energy deposition).

Contain the full decay energy.

Modern Calorimetric Experiments



C. Velte et al., Eur. Phys. J. C (2019) 79:1026 M. Brass, M. Haverkort,
<https://arxiv.org/abs/2002.05989>

Micro calorimeters which are sensitive to changes in temperature (energy deposition).

Contain the full decay energy.



*Use photon spontaneous emission from
electron in magnetic field.*

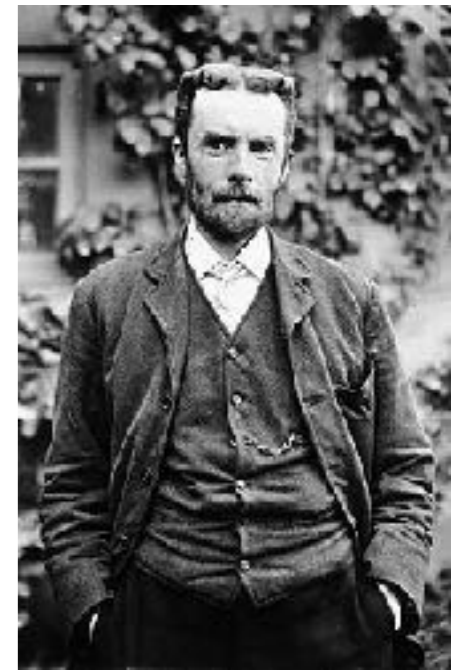
Frequency-Based
(Cyclotron Radiation Emission Spectroscopy)

Cyclotron Radiation Emission Spectroscopy (CRES)



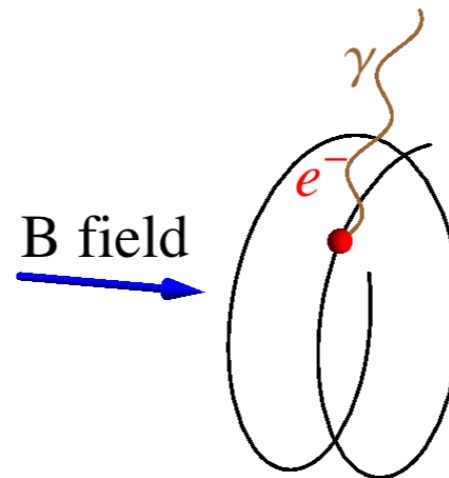
A. L. Schawlow

*“Never
measure
anything but
frequency.”*



O. Heaviside

Use frequency measurement of cyclotron radiation from single electrons:



- Source transparent to microwave radiation
- No e⁻ transport from source to detector
- Leverages precision inherent in frequency techniques



PROJECT 8

Frequency Approach



Cyclotron Radiation Emission Spectroscopy (CRES)

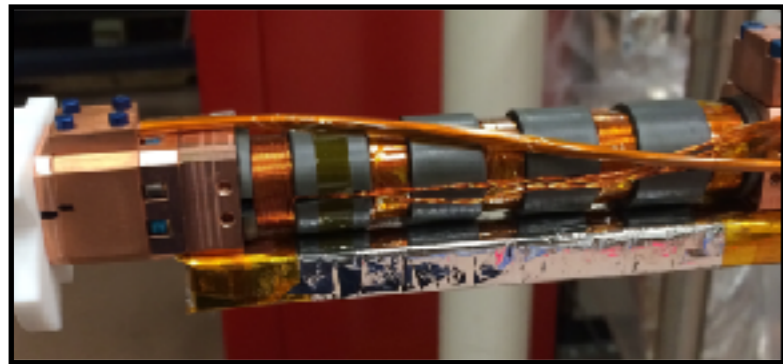


A. L. Schawlow

*“Never
measure
anything but
frequency.”*



O. Heaviside



PROJECT 8

Frequency Approach



$$f_c = \frac{f_{c,0}}{\gamma} = \frac{1}{2\pi} \frac{eB}{m_e c^2 + E_{\text{kin}}}$$

$$f_{c,0} = 27.992\,491\,10(6) \text{ GHz T}^{-1}$$

- *Narrow band region of interest (@26 GHz).*
- *Small, but detectable power emitted.*

$$P(17.8 \text{ keV}, 90^\circ, 1 \text{ T}) = 1 \text{ fW}$$

$$P(30.2 \text{ keV}, 90^\circ, 1 \text{ T}) = 1.7 \text{ fW}$$

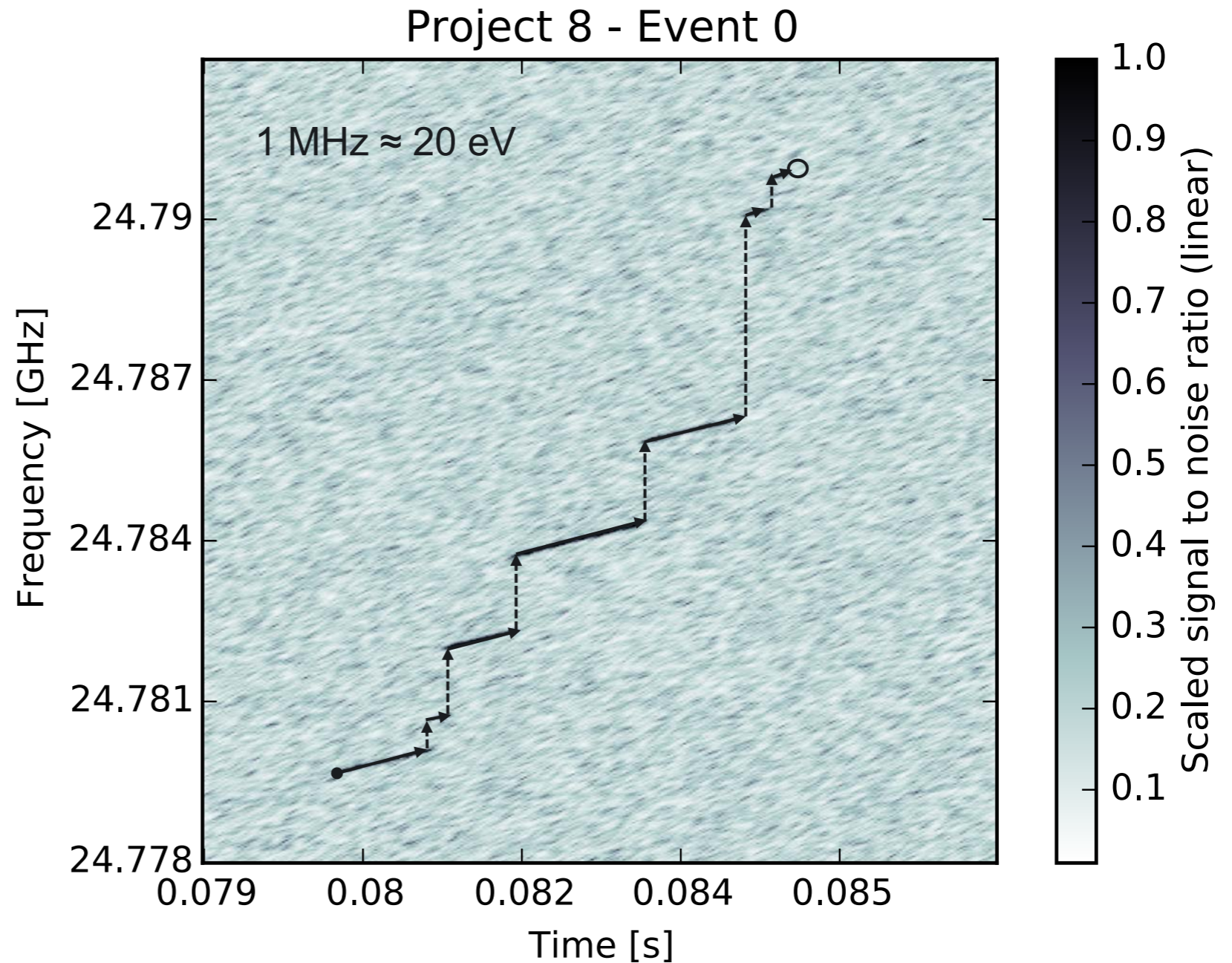
●
start frequency of the first track gives kinetic energy.

↗
frequency chirps linearly, corresponding to ~ 1 fW radiative loss.

↕
electron scatters inelastically, losing energy and changing pitch angle.

○
Eventually, scatters to an untrapped angle

Energy (keV)

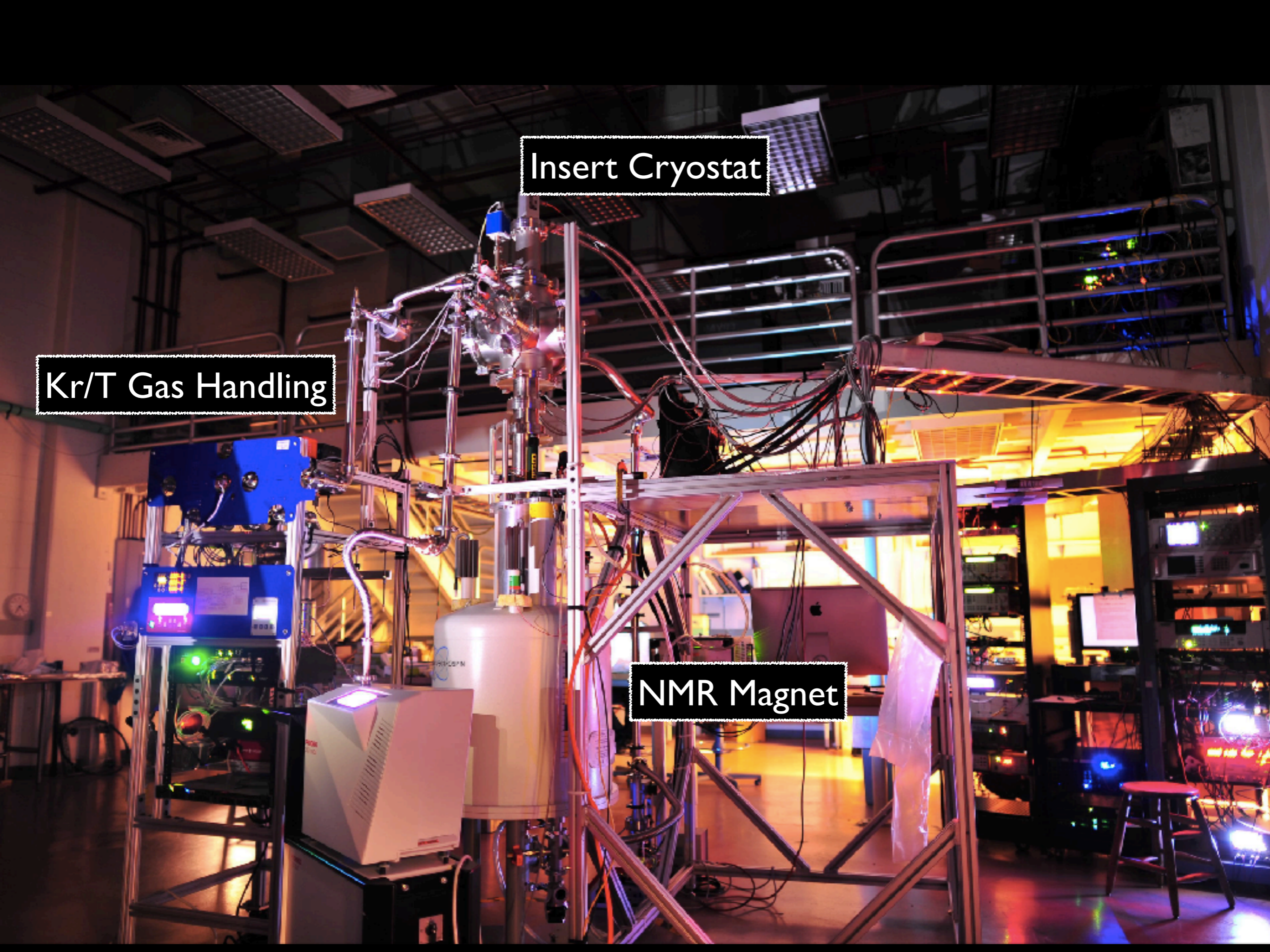


*A "typical" event
(actually, this was our first event)*

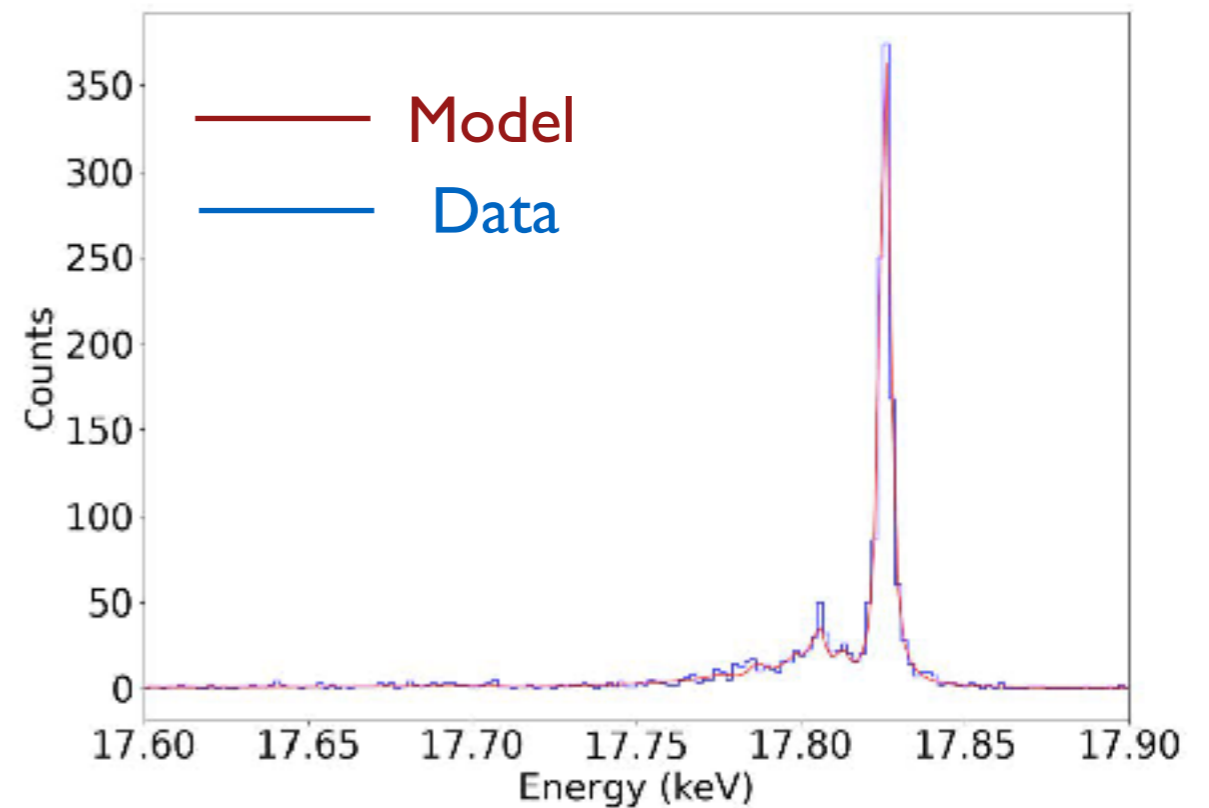
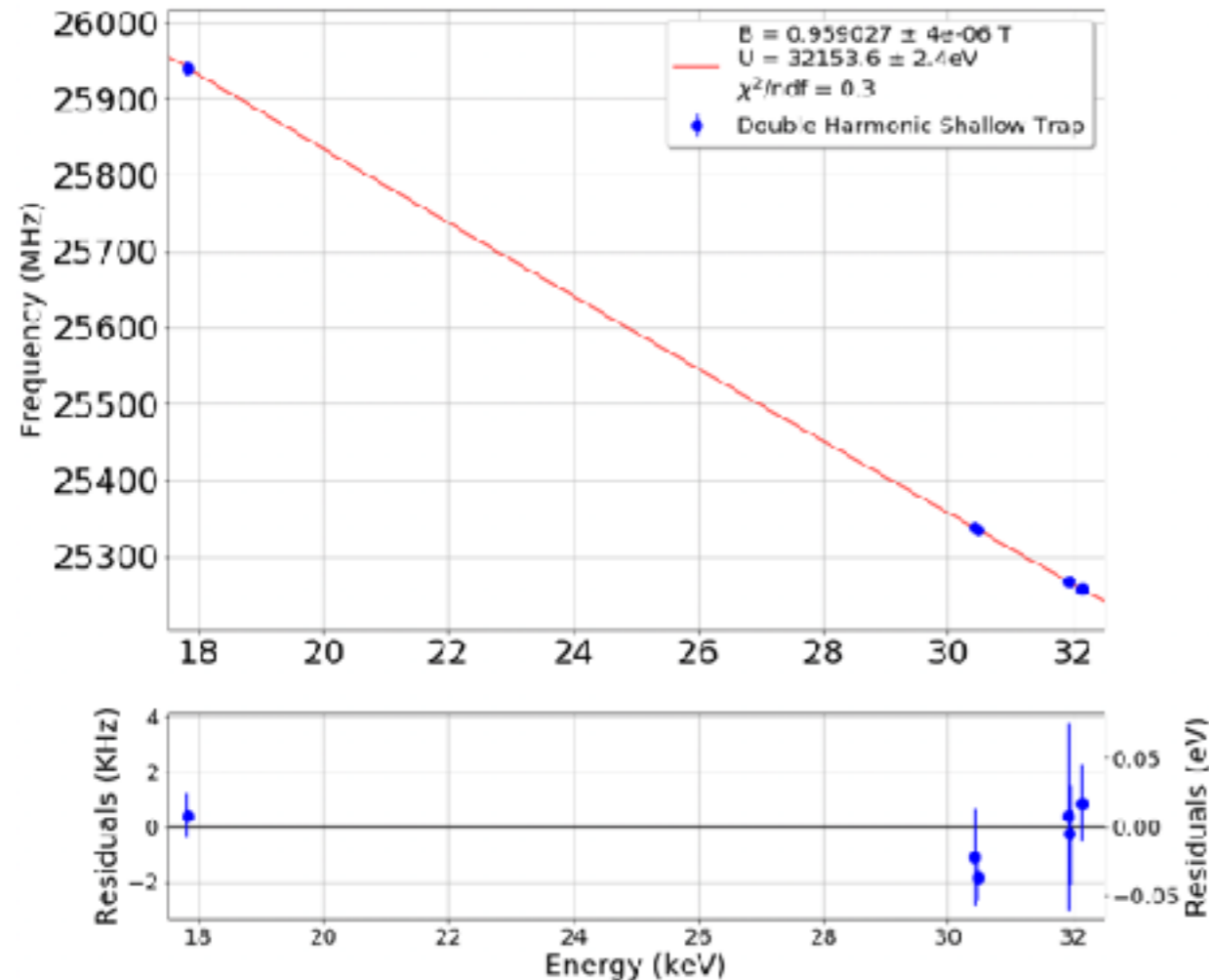
Insert Cryostat

Kr/T Gas Handling

NMR Magnet



Shallow Trap Linearity Measurements



- 32-keV γ energy: (32153.6 ± 2.4) eV
- Vénos, et al: (32151.7 ± 0.5) eV
Appl. Radiat. Isot. **63** 323-7 (2005)

We can also test the linearity of the technique by measuring multiple mono-energetic lines from ^{83m}Kr .

Excellent agreement with previous measurements.

First tritium CRES spectrum

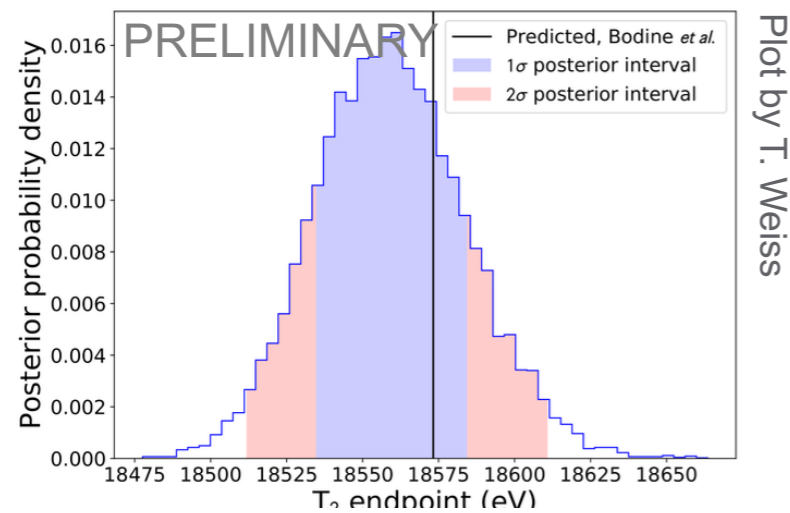
T₂ endpoint result:

$$E_0 = (18559.4_{-24.7}^{+24.9}) \text{ eV}$$

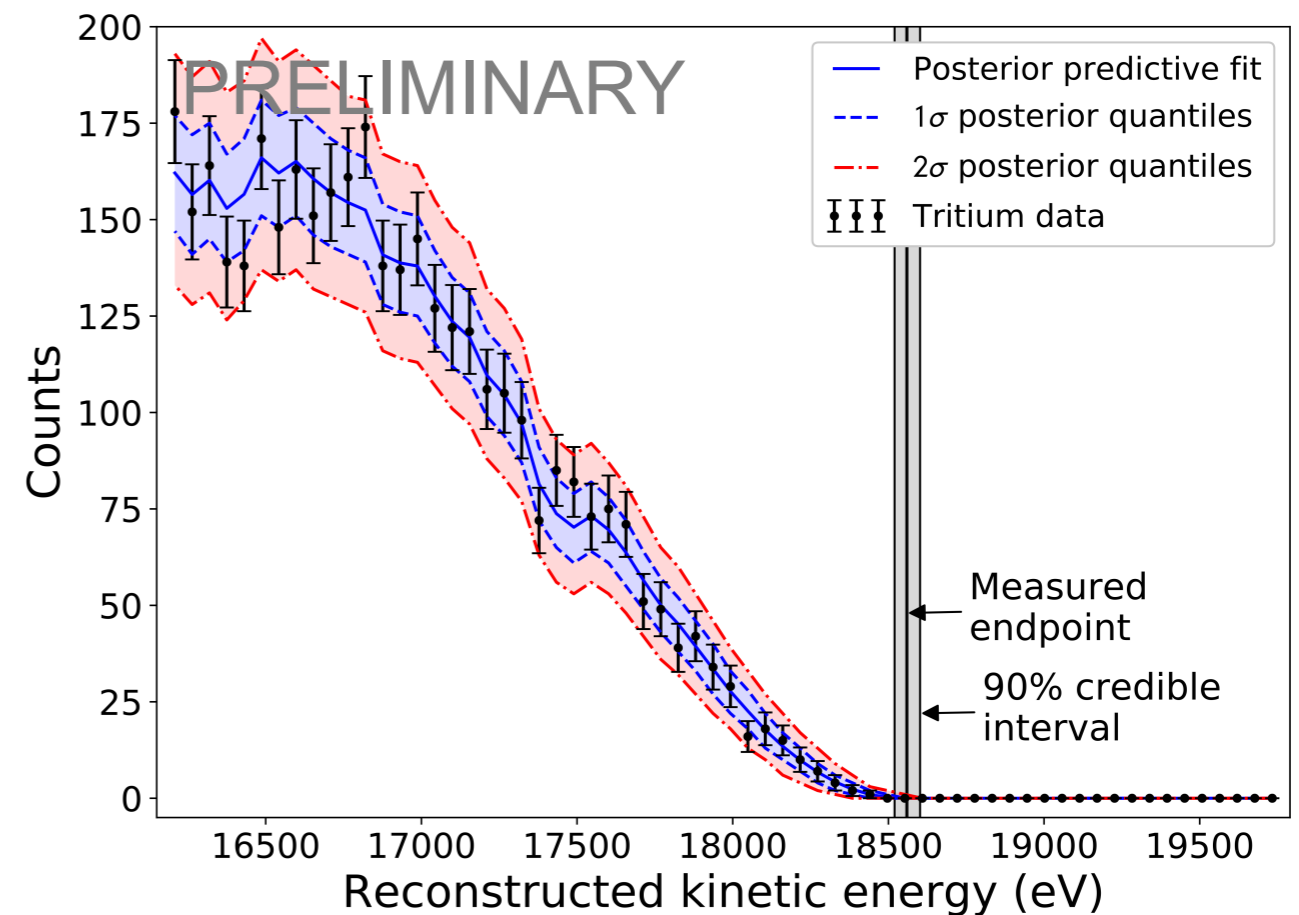
Background rate:

$$\leq 3 \times 10^{-10} \text{ eV}^{-1} \text{ s}^{-1} \text{ (90\% C.I.)}$$

Posterior distribution

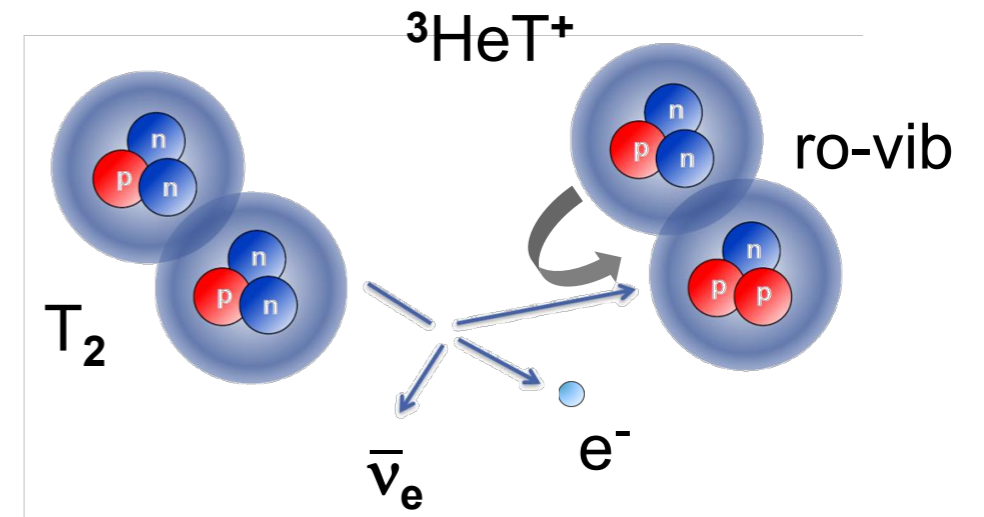
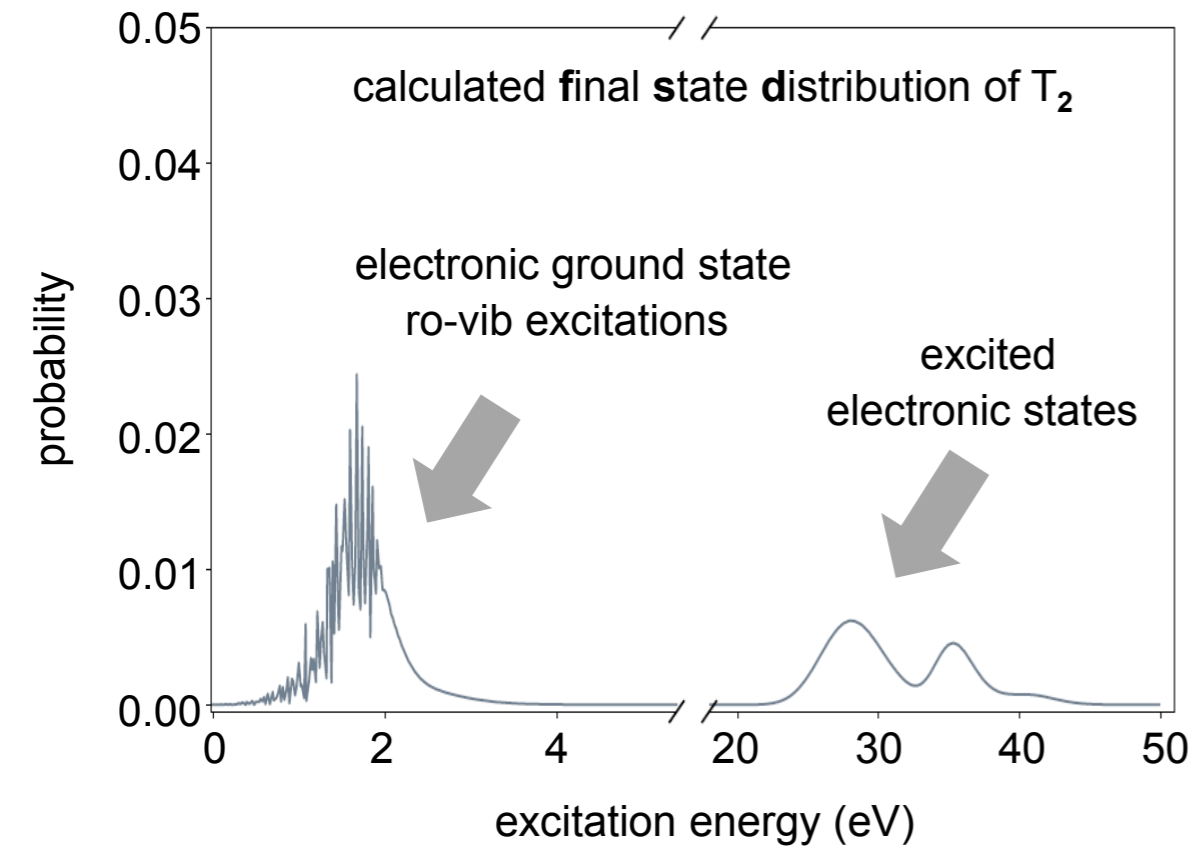


Energy spectrum and posterior fit

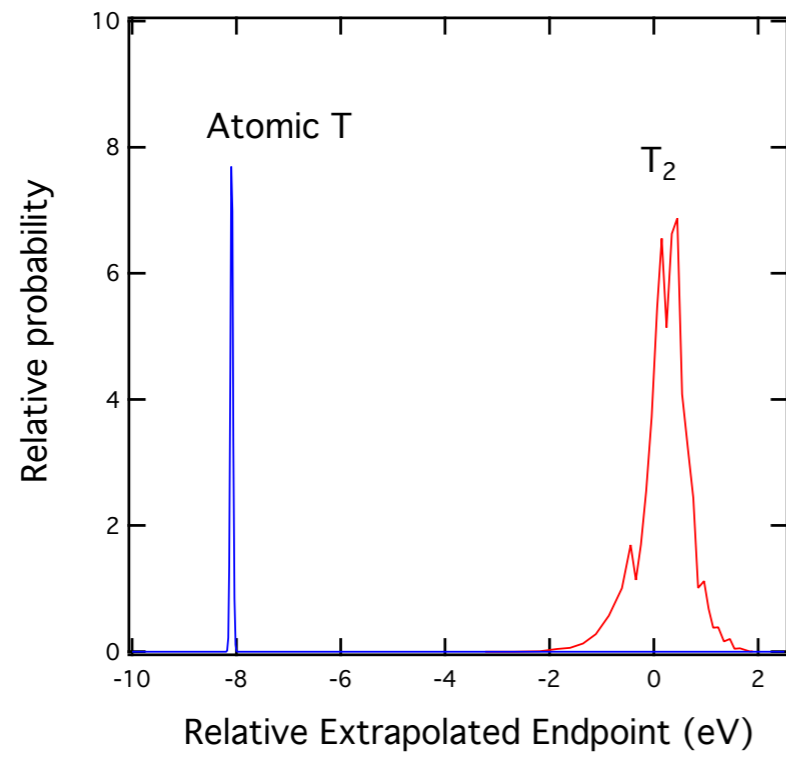


We extract a first tritium spectrum using the CRES technique.

Background levels controlled to better than <0.3 nHz/eV.

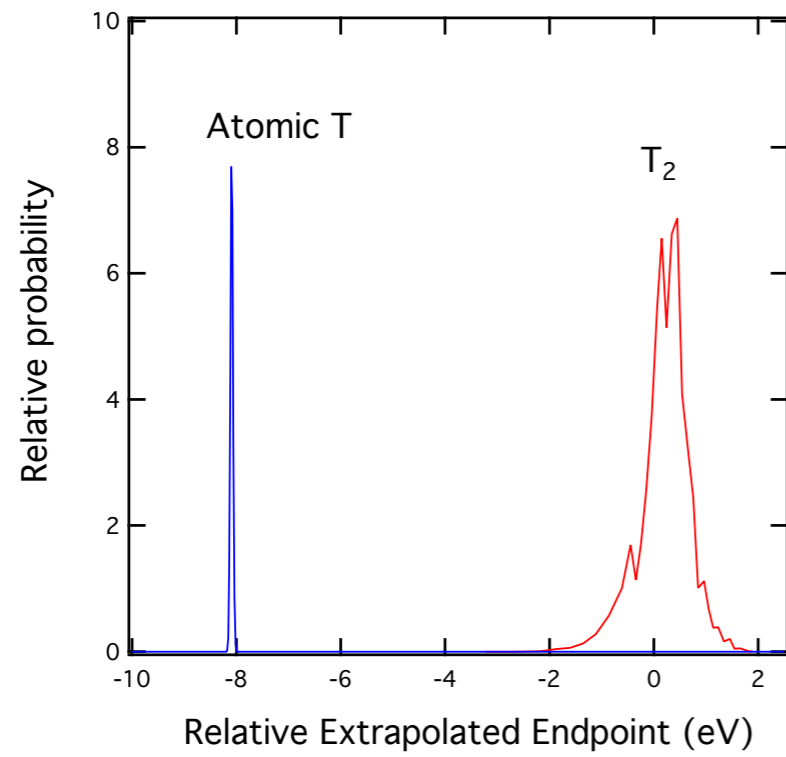


Need to overcome molecular final states to reach "inverted" scale.



Atomic tritium provides a narrower profile, allowing one to access inverted scale.

Need to overcome molecular final states to reach "inverted" scale.



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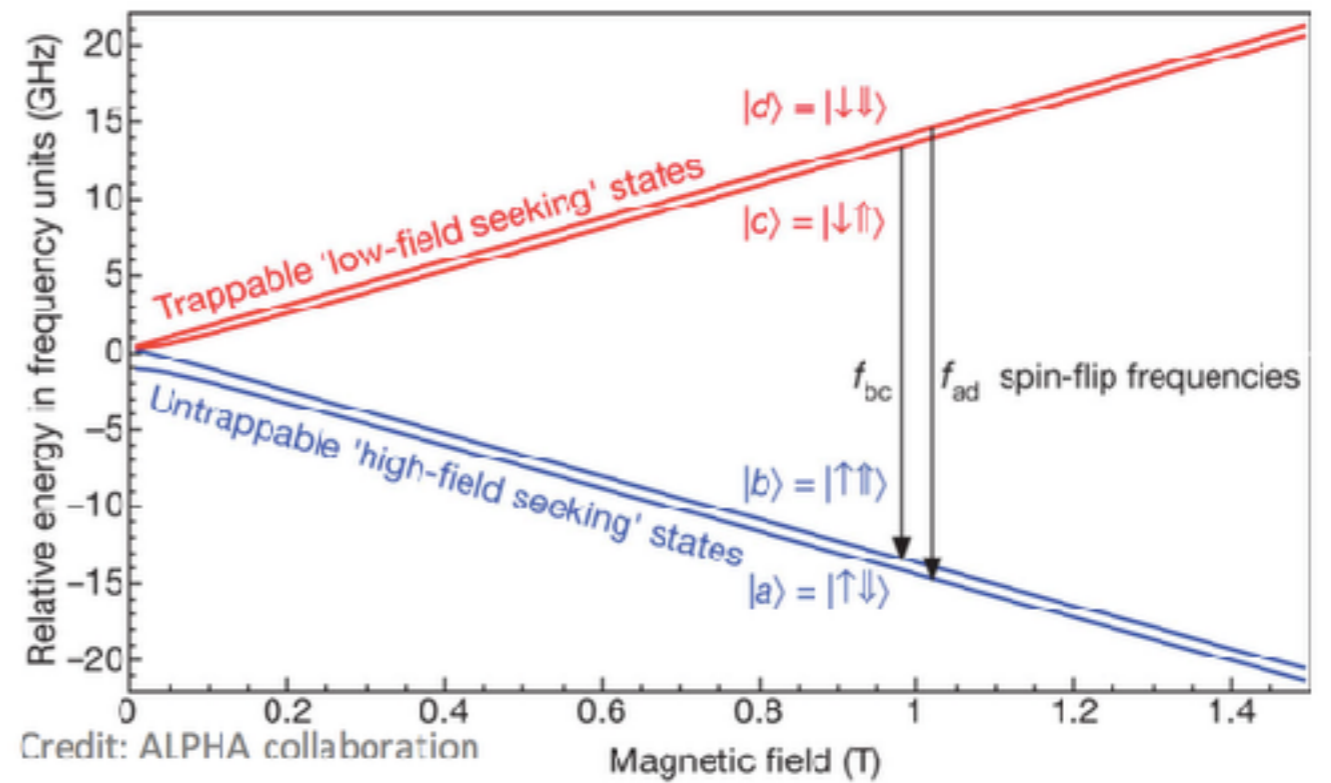
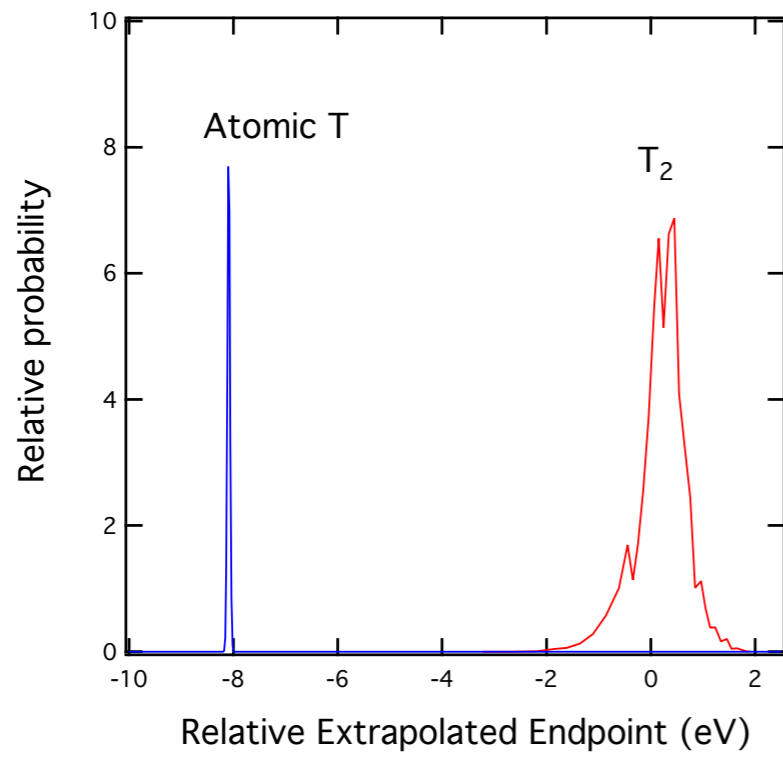
Need to overcome molecular final states to reach "inverted" scale.

Challenges?

How to create?

How to trap?

How to keep purity?



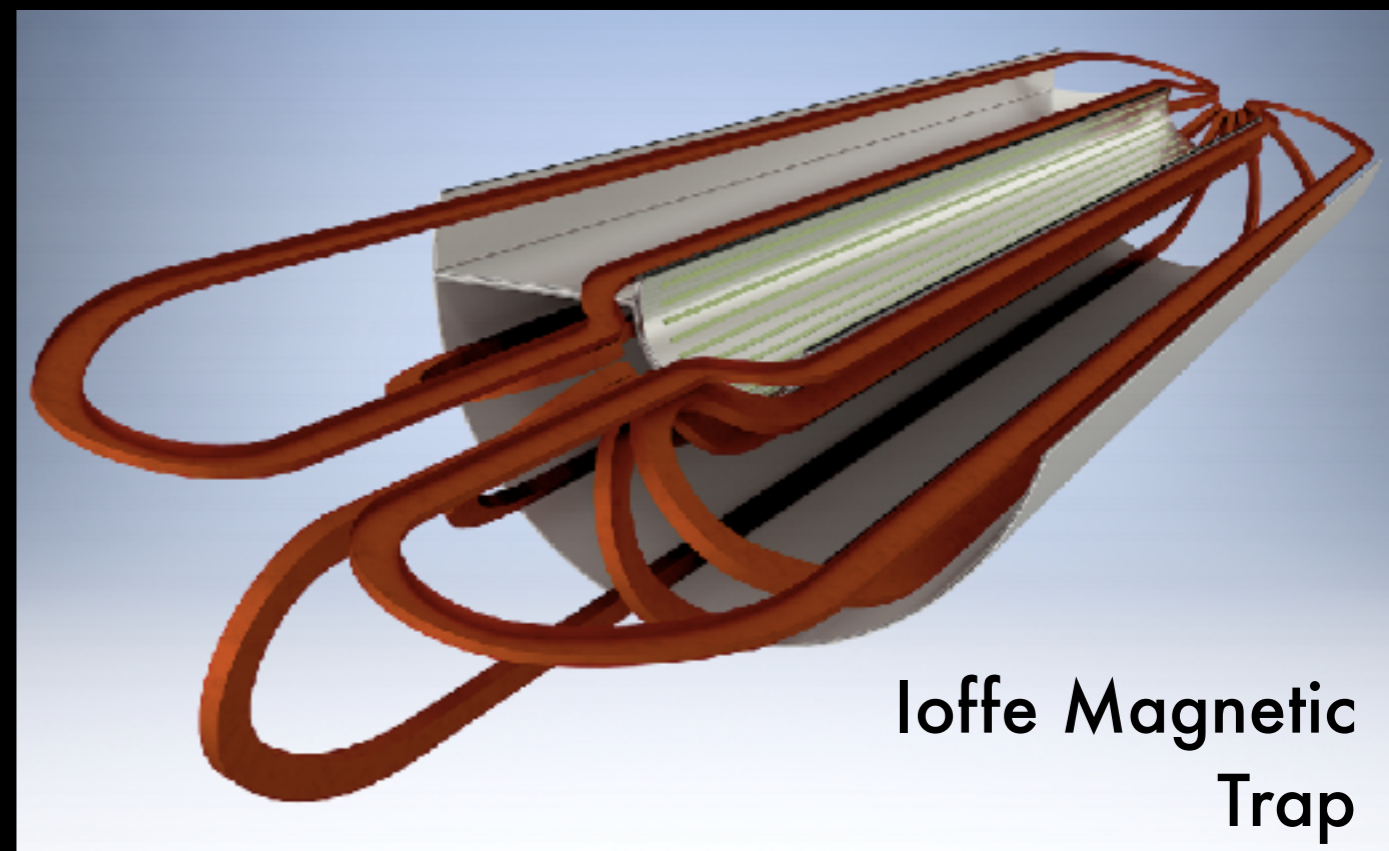
H, D and T have unpaired electrons (non-zero μ)

Atom tend to (anti-)align with B-field if change is adiabatic

Potential energy...

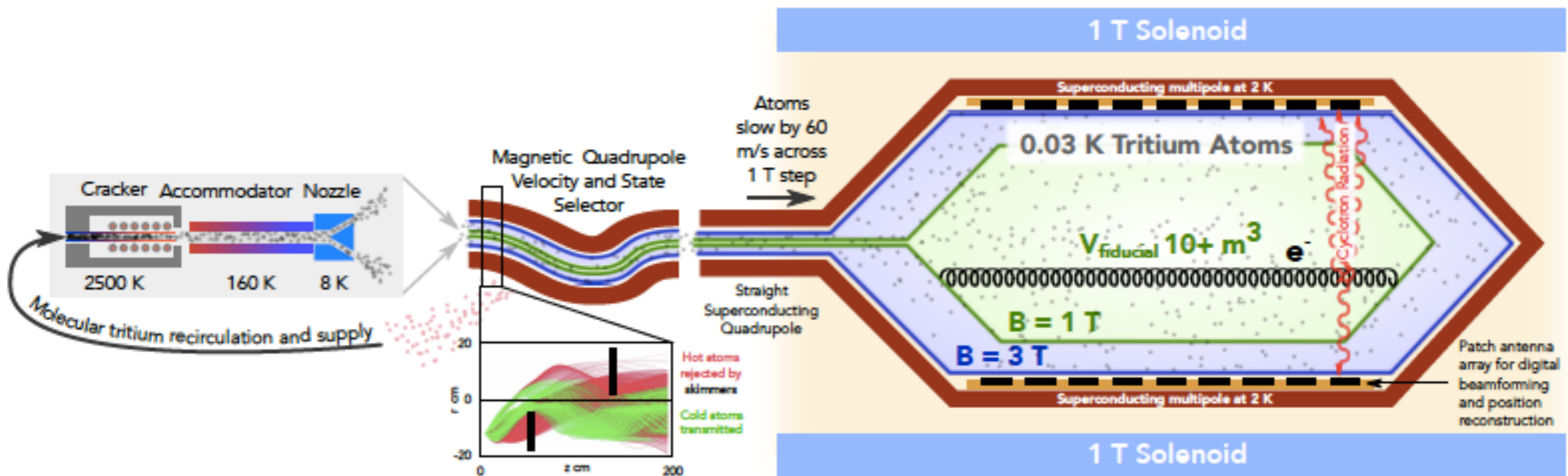
$$\Delta E = -\vec{\mu} \cdot \vec{B}$$

(atoms follow field mimimim)



Solution: A large volume magnetic trap for T atoms

Phase IV

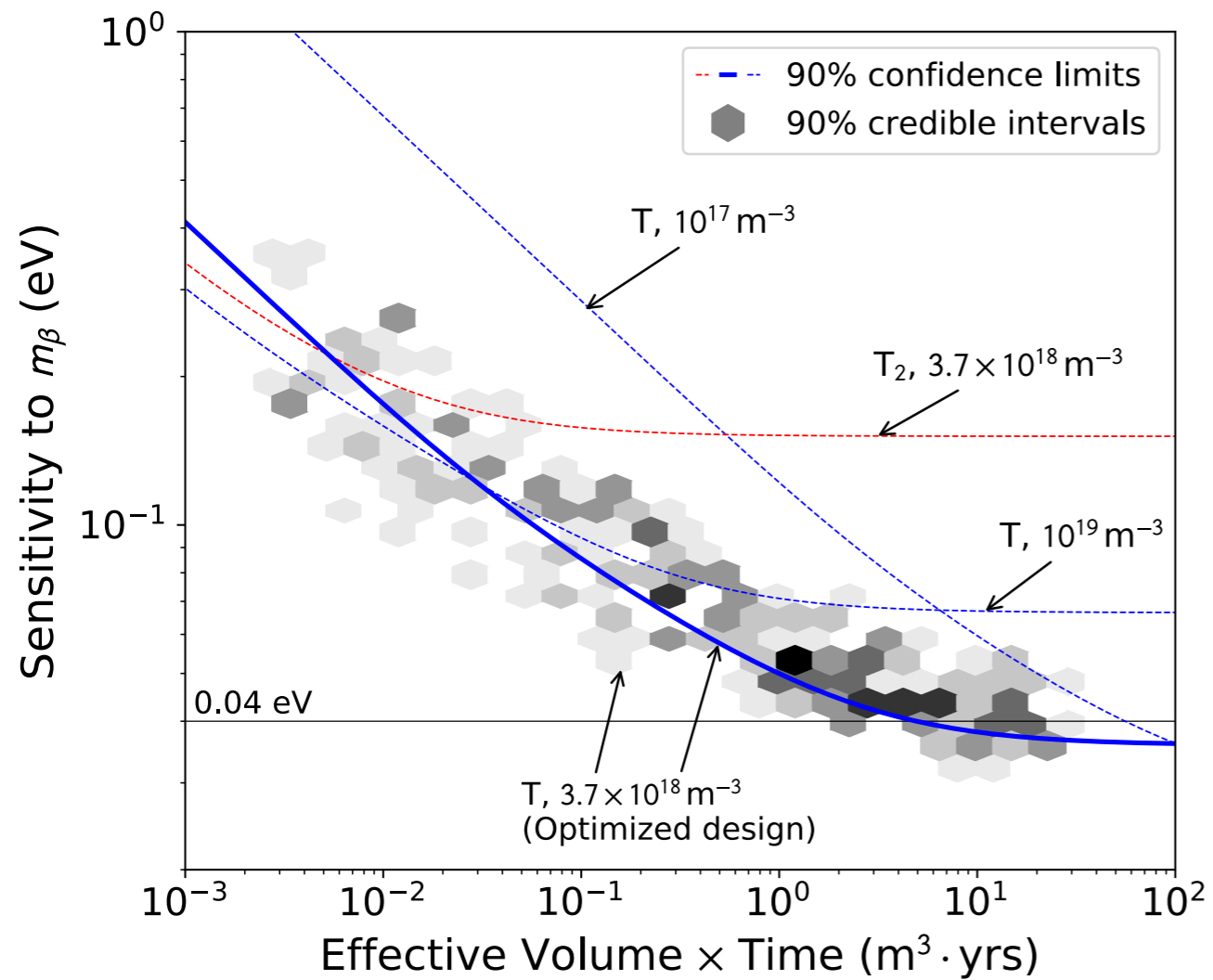


Ultimate atomic tritium experiment combines R&D from Phase III into large RF array tritium trap.

Atomic source, transport, and trap combined for large (m^3) instrumented volume.

Target Mass Sensitivity

$$m_{\beta} < 40 \text{ meV}$$



Systematics and Sensitivity

Optimized density of 3.7×10^{18} atoms/ m^3

Assume exposure of $5 m^3 y$

Full Bayesian analysis

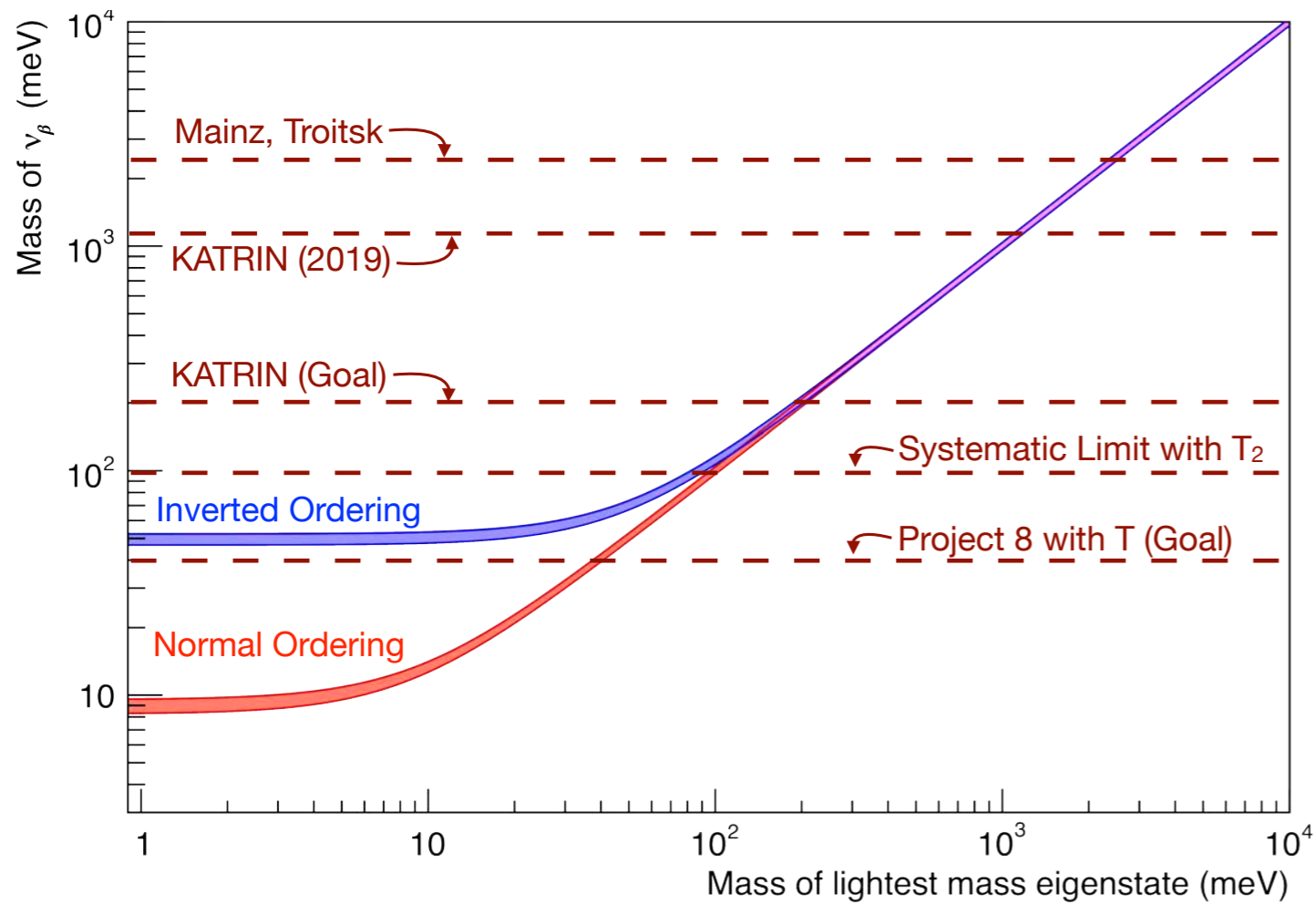
Magnetic field uniformity of 0.1 ppm

Optimal energy resolution:

$$\sigma_E \cong (115 \pm 2) \text{ meV.}$$

Phase IV

Goal: Break into the inverted neutrino mass scale



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Phase IV

Goal: Break into the inverted neutrino mass scale

A Quick Summary

KATRIN is now taking data, finally pushing the mass scale limit below the eV scale for the first time.

Calorimetric experiments such as **ECHO** and **HOLMES** are progressing well toward the eV scale.

The CRES technique through **Project 8** is pushing forward, with the eventual target of using an atomic tritium source.

A Quick Summary

*Thank you for your
attention*