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NNN18
Vancouver

Systematic uncertainties for atmospheric neutrino measurements



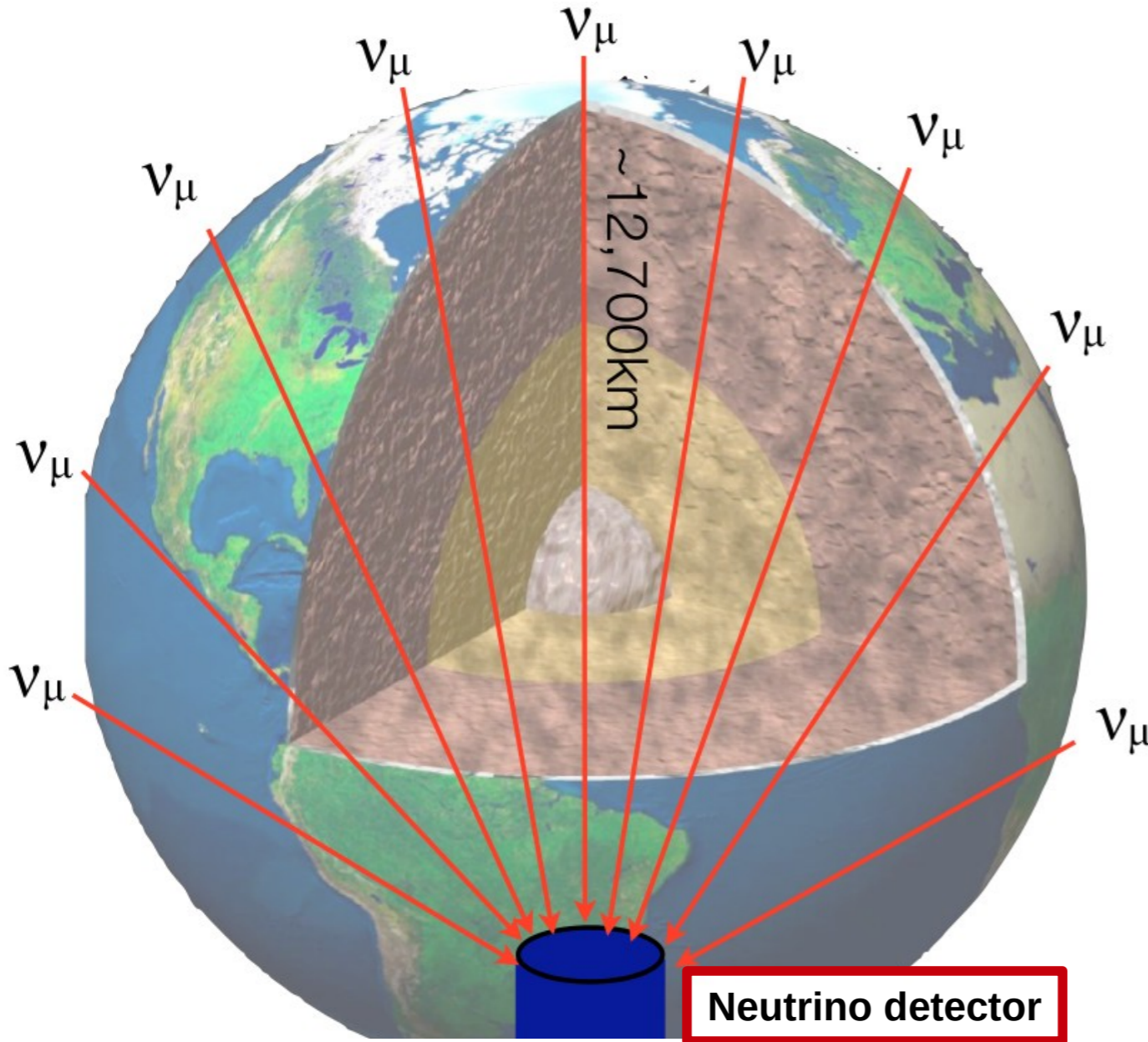
UNIVERSITY OF
ALBERTA



Arthur B. McDonald
Canadian Astroparticle Physics Research Institute

some motivation

why atmospheric?

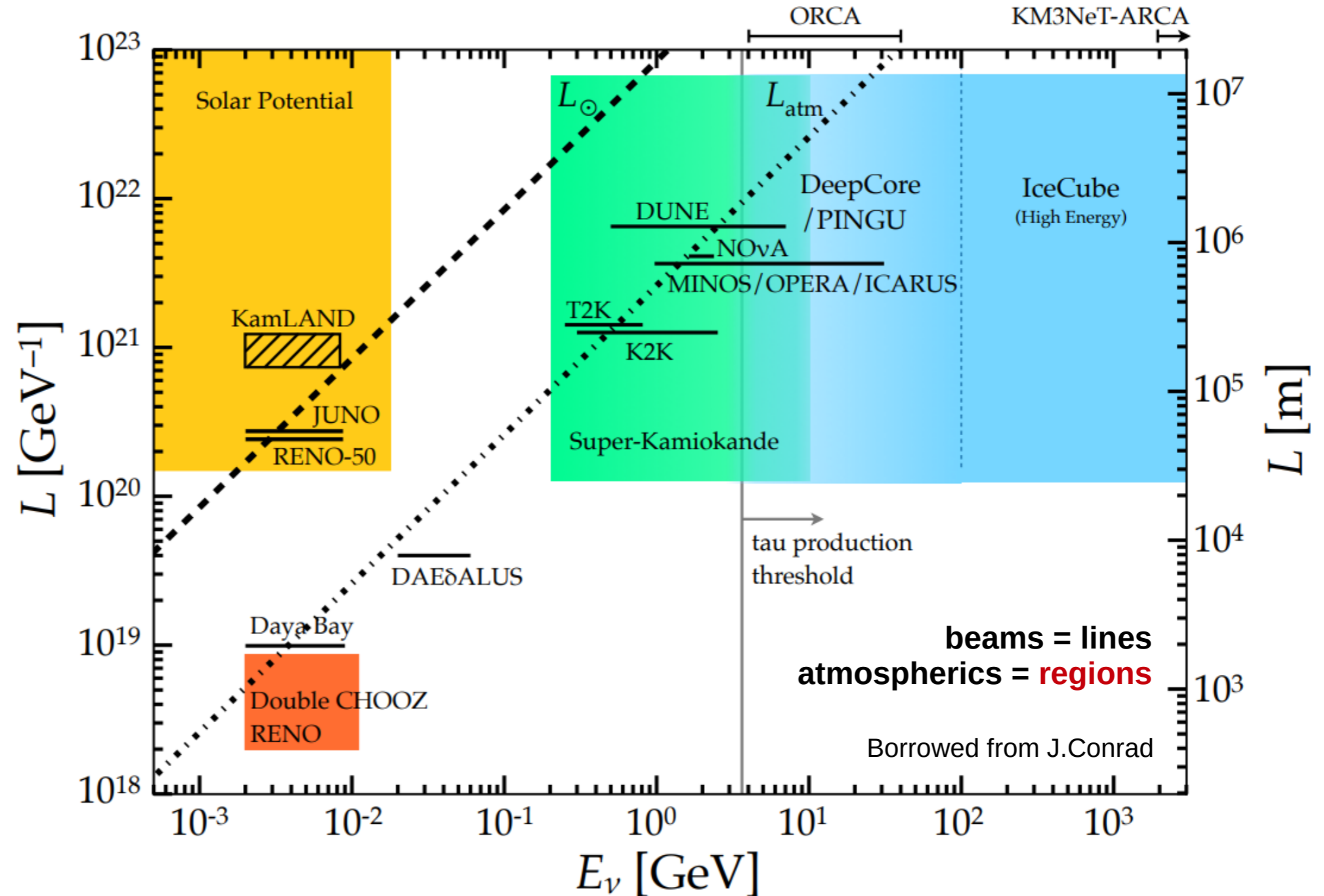


Borrowed from T. DeYoung

direction \rightarrow **baseline**
 $\sim 10\text{km} - \sim 12,700\text{km}$

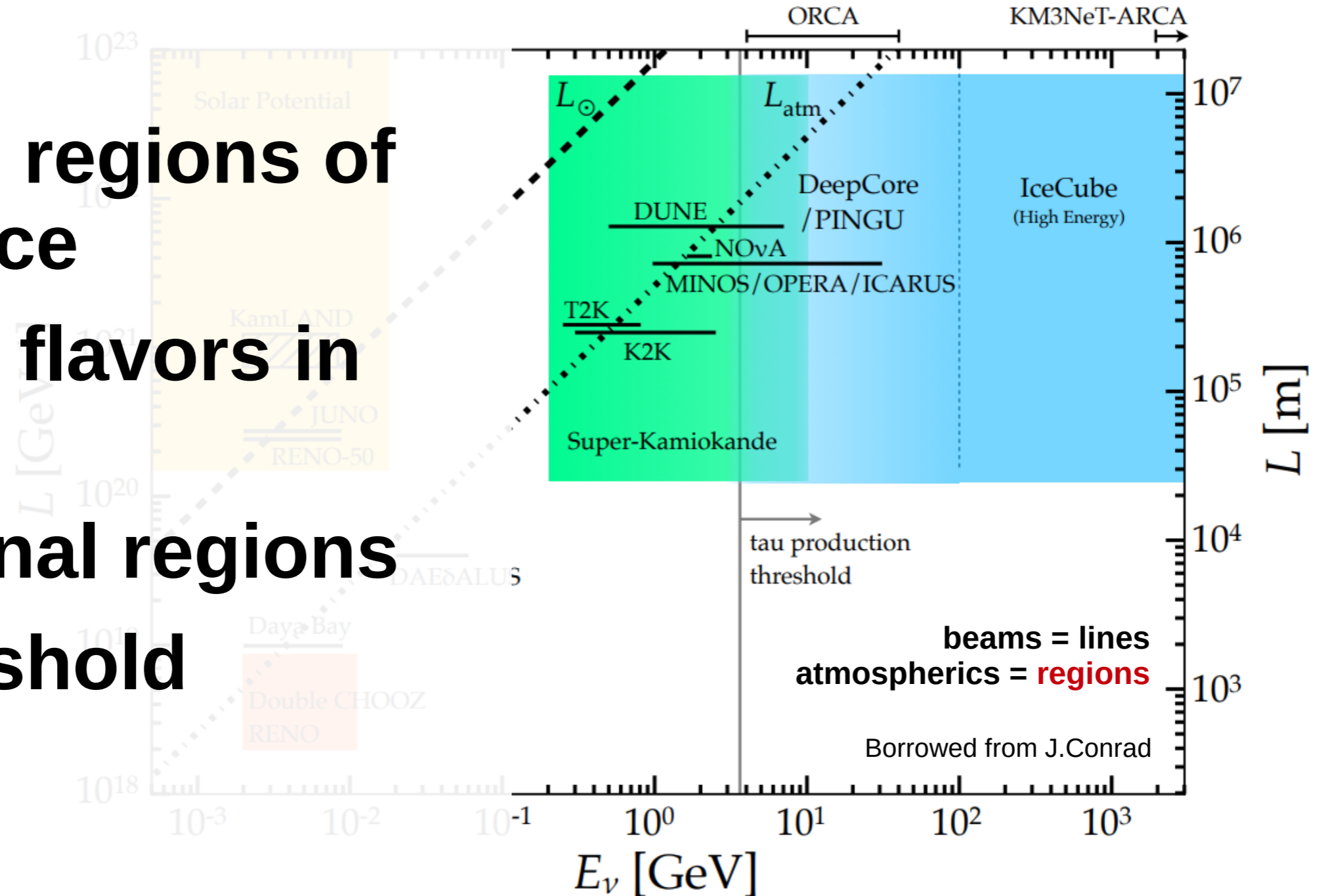
different **e^- density**
along paths

wide baseline, energy range



wide baseline, energy range

- large **L&E** regions of phase space
- 2 **ν , anti- ν** flavors in “beam”
- on/off signal regions
- **$E > \tau$** threshold

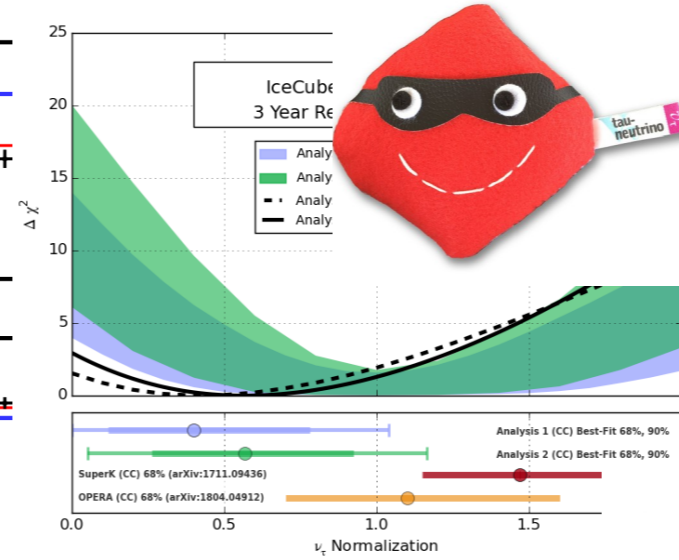
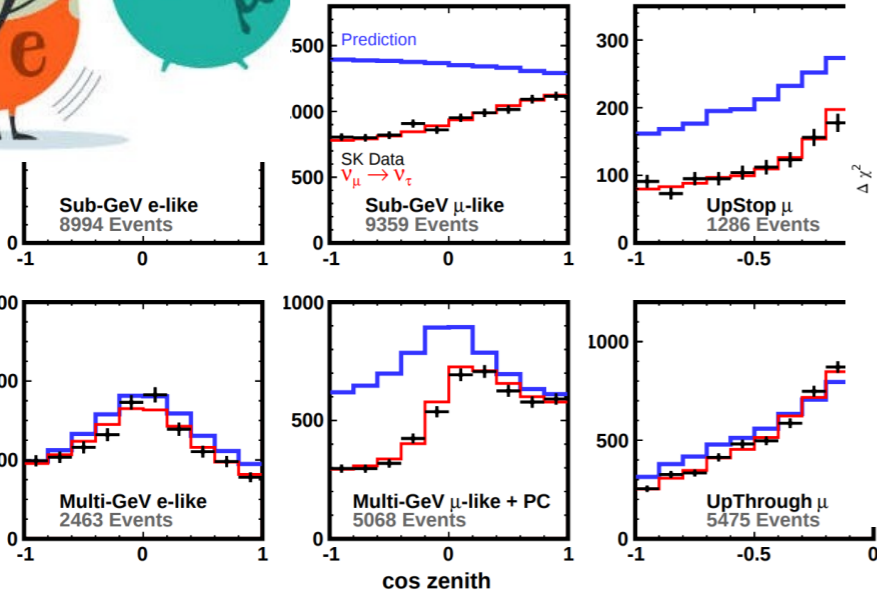


multiple physics topics to study

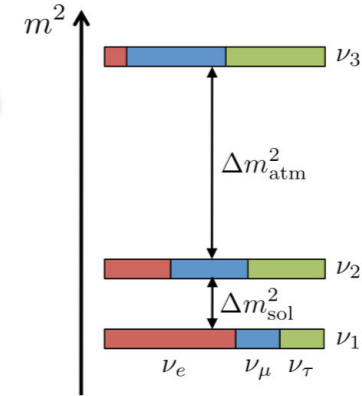


SK-I+II+III+IV, 4581 Days

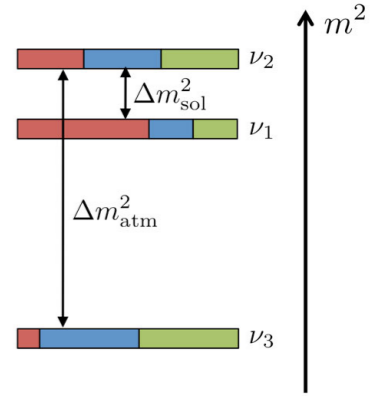
Number of Events



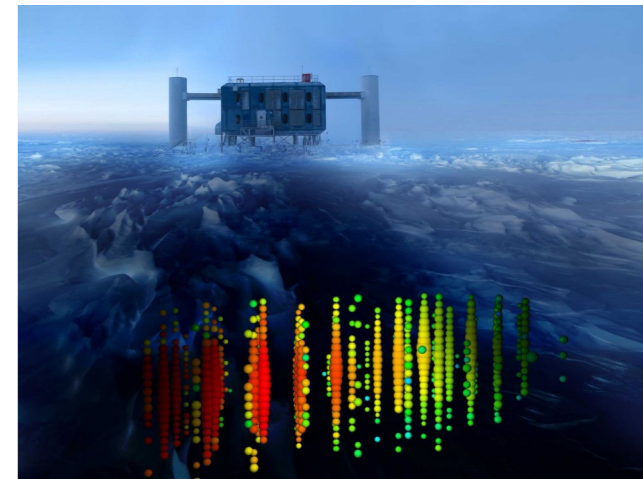
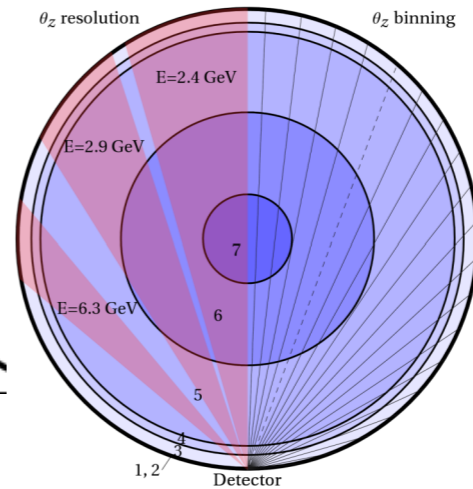
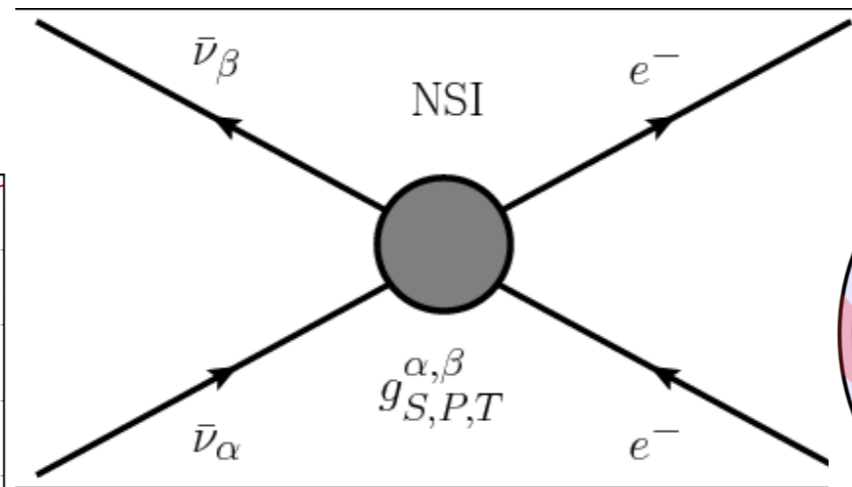
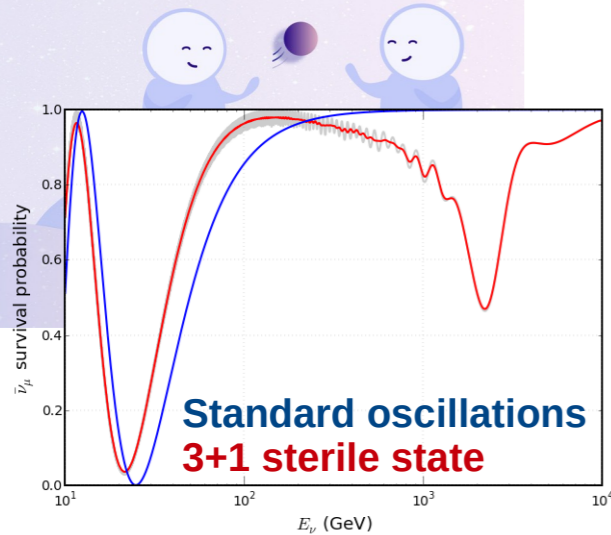
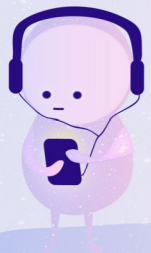
normal hierarchy (NH)



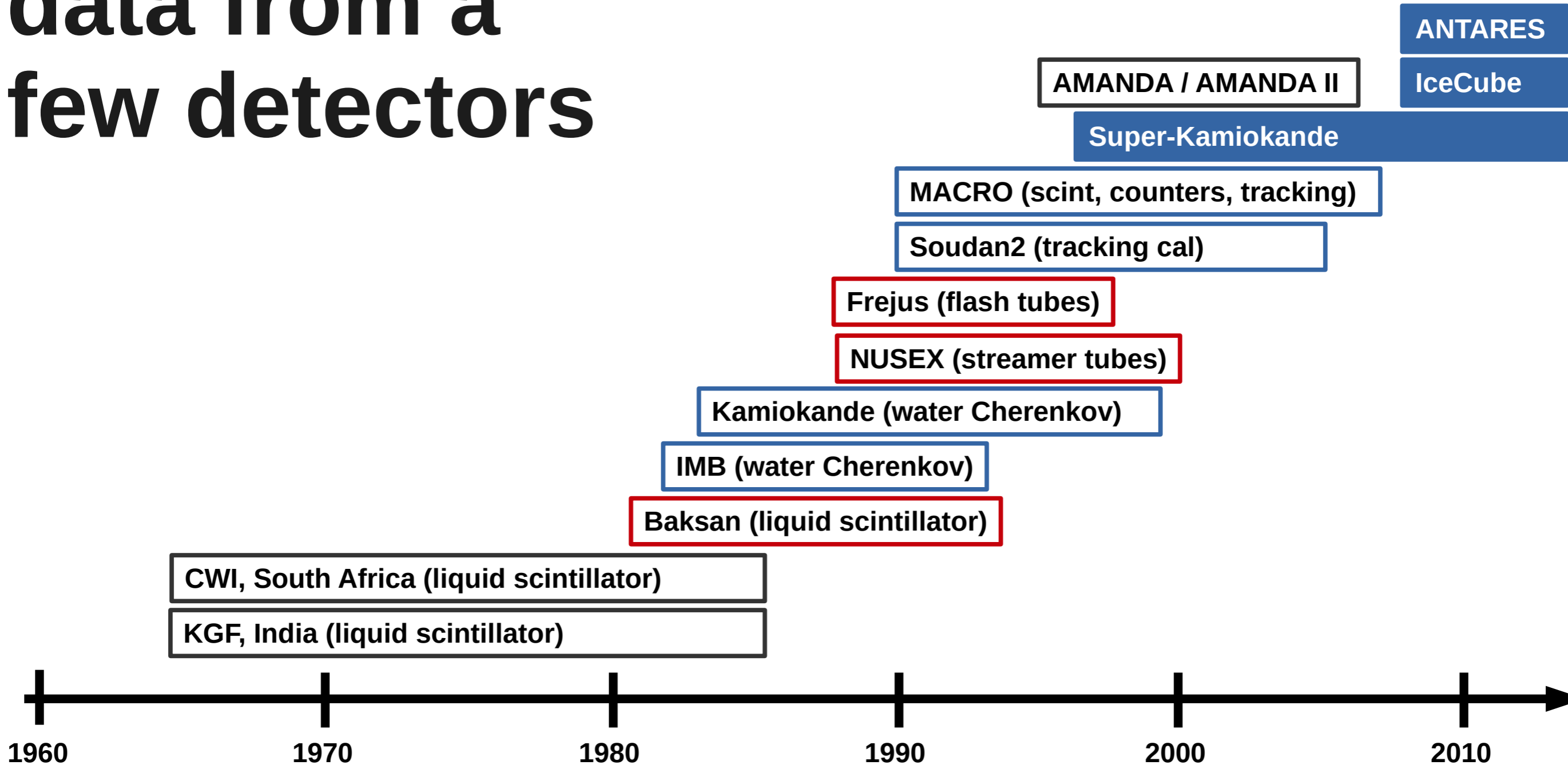
inverted hierarchy (IH)



STERILE NEUTRINOS



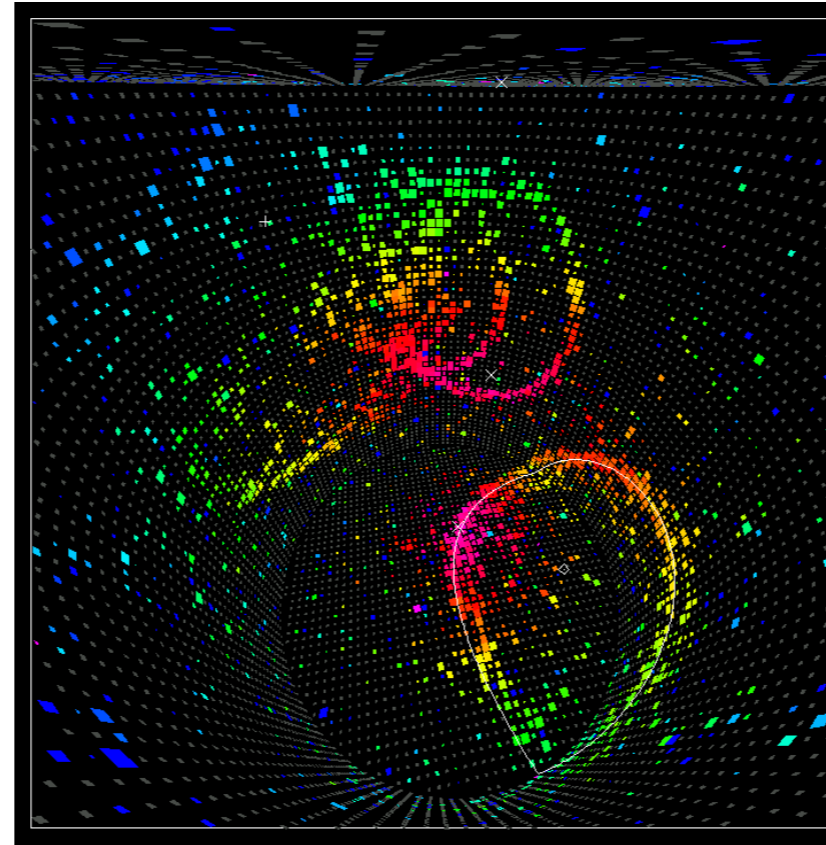
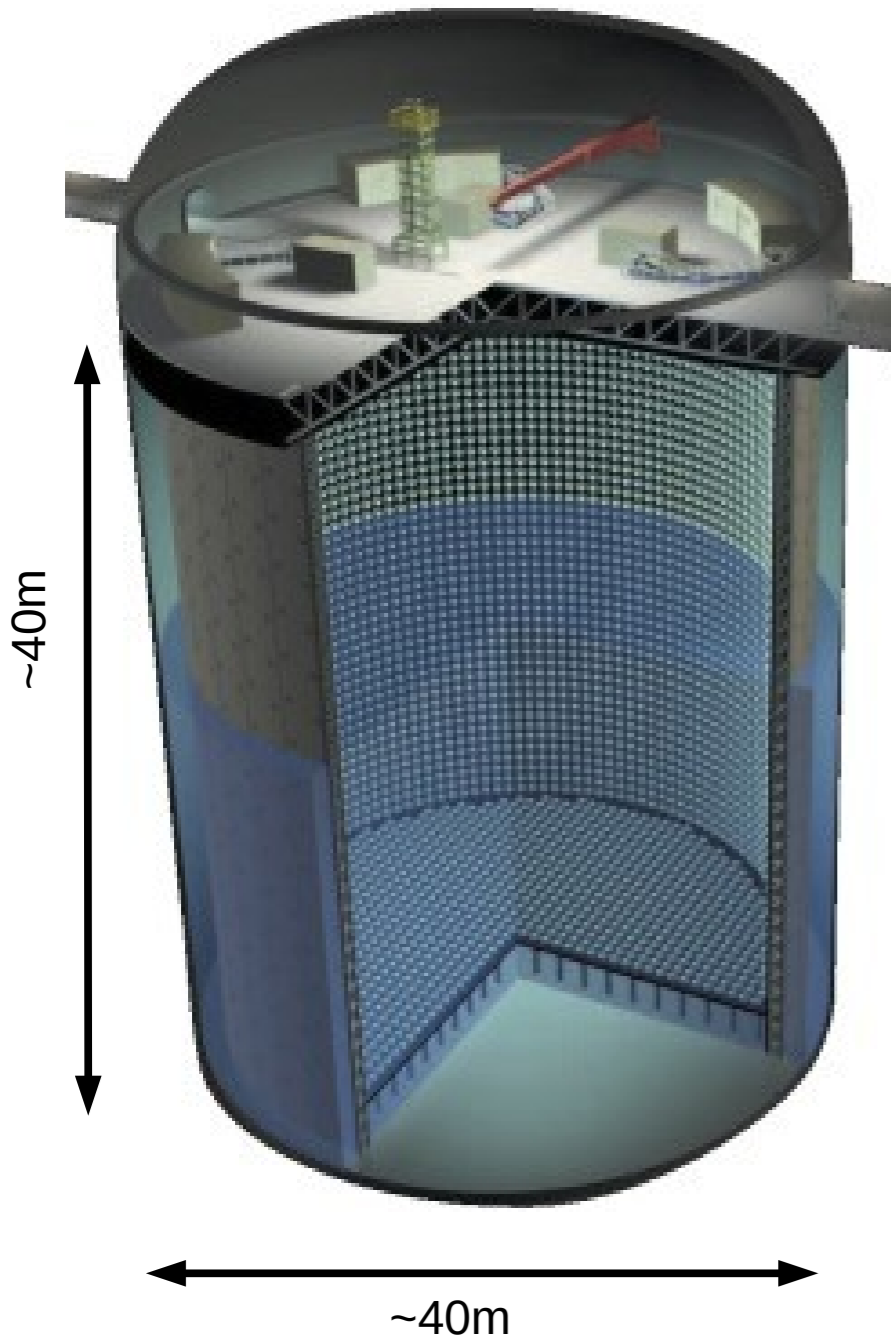
data from a few detectors



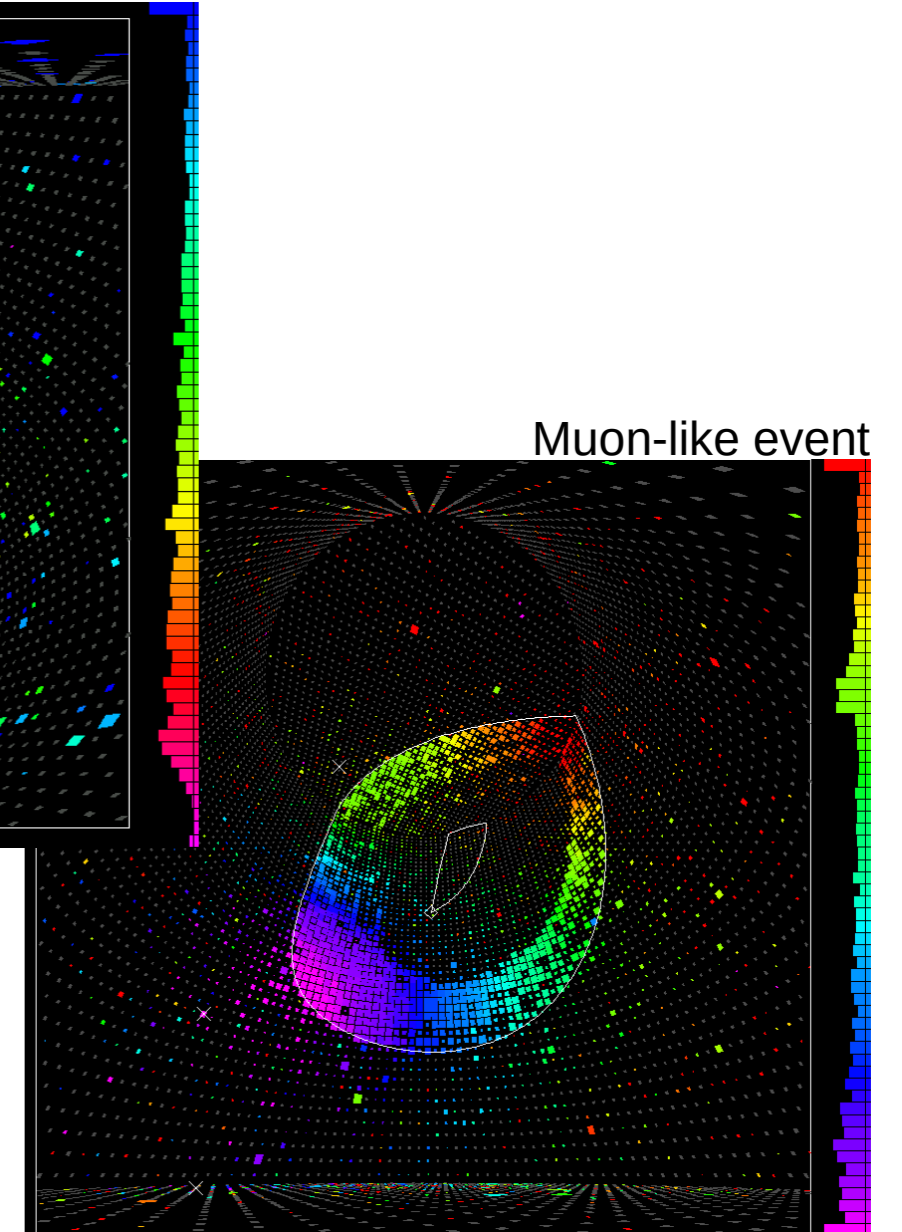
*take dates with caution – list is incomplete

recent atmospheric neutrino measurements

Super-Kamiokande



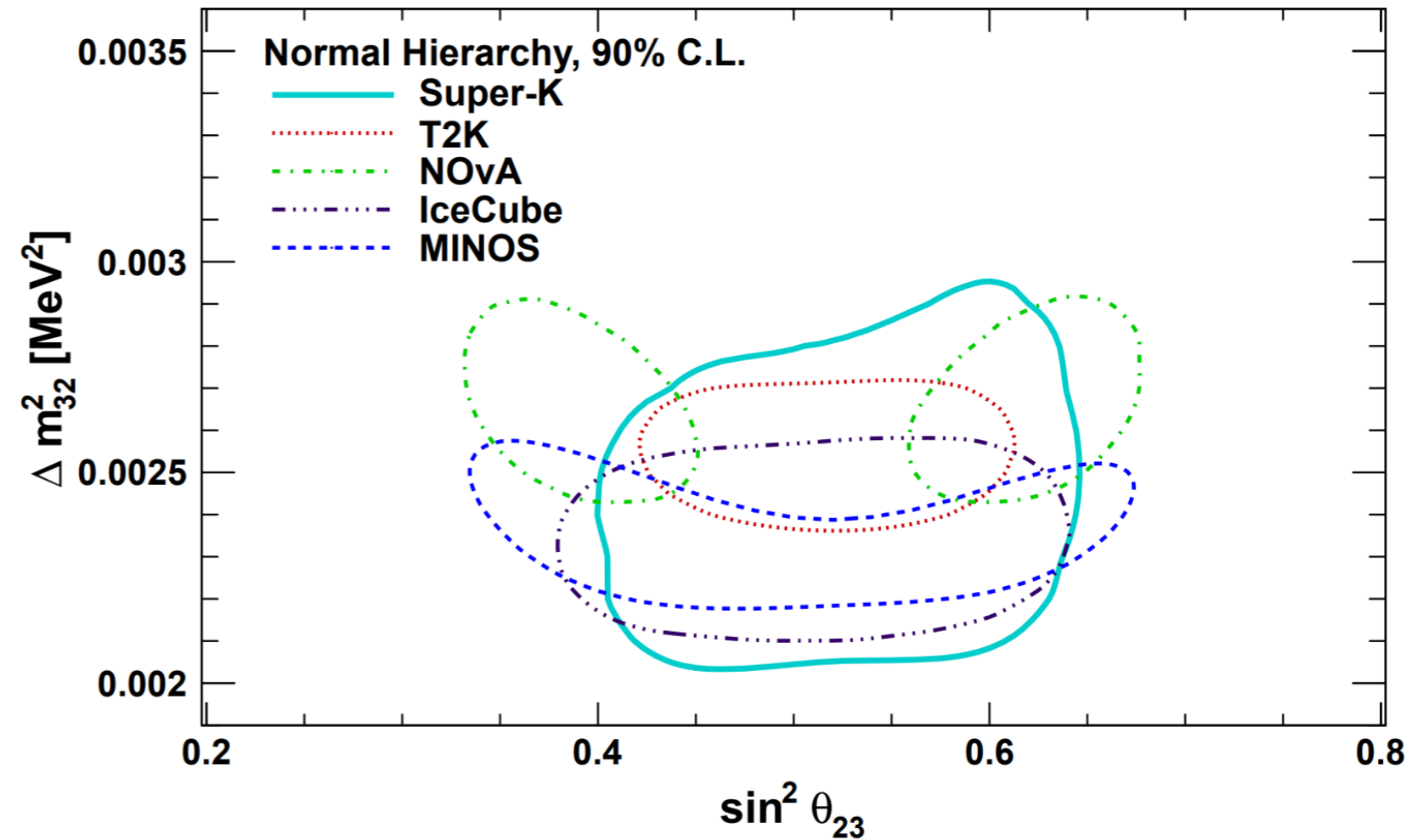
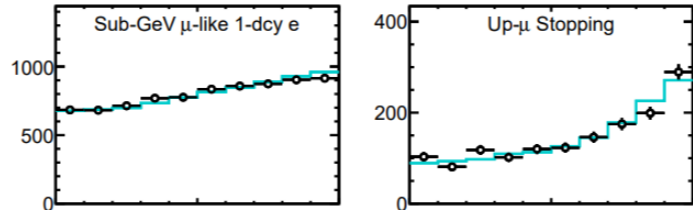
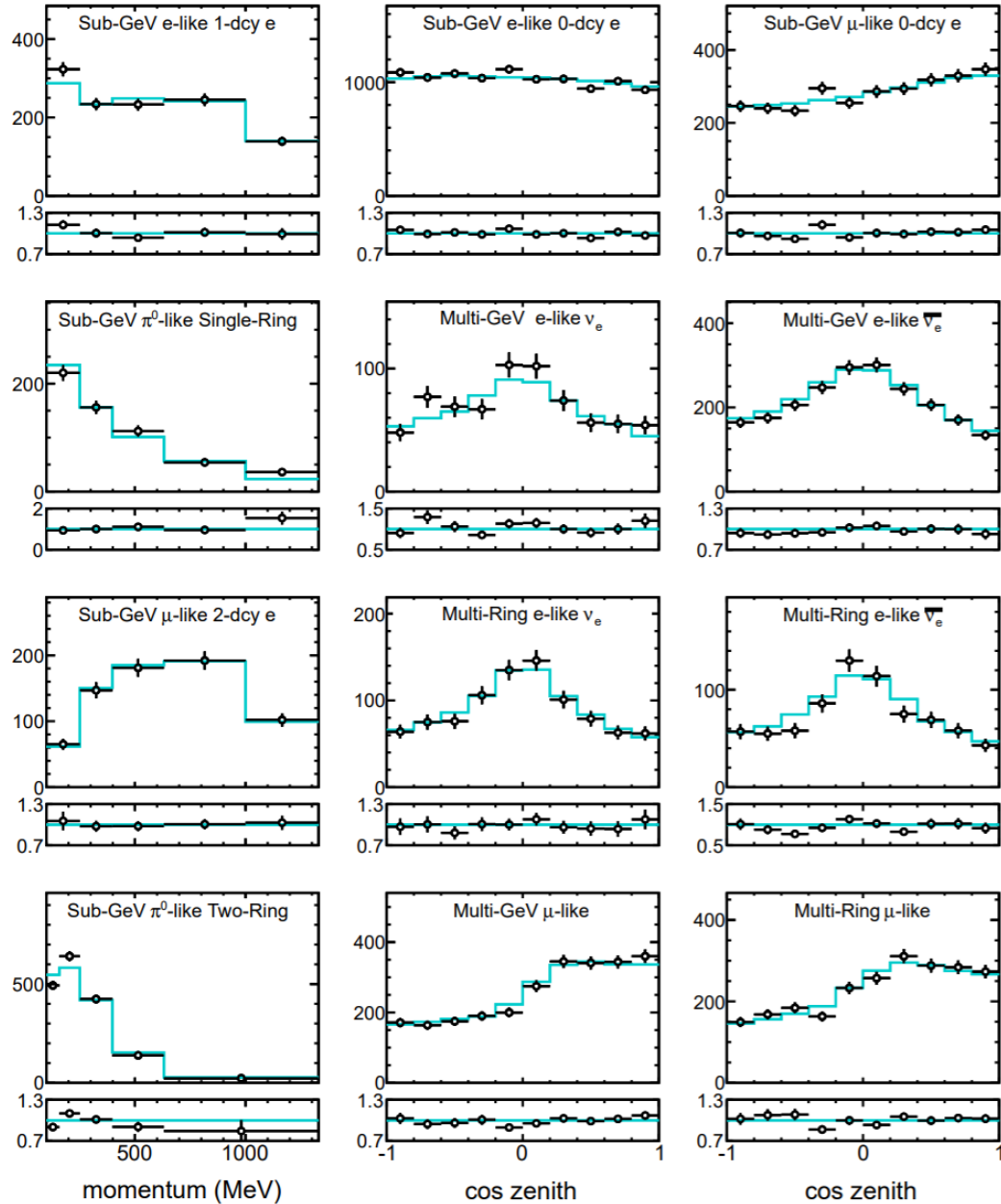
Two-gamma-like event



Super-Kamiokande

Standard oscillations

Phys. Rev. D 97, 072001 (2018)



Super-Kamiokande

Standard oscillations

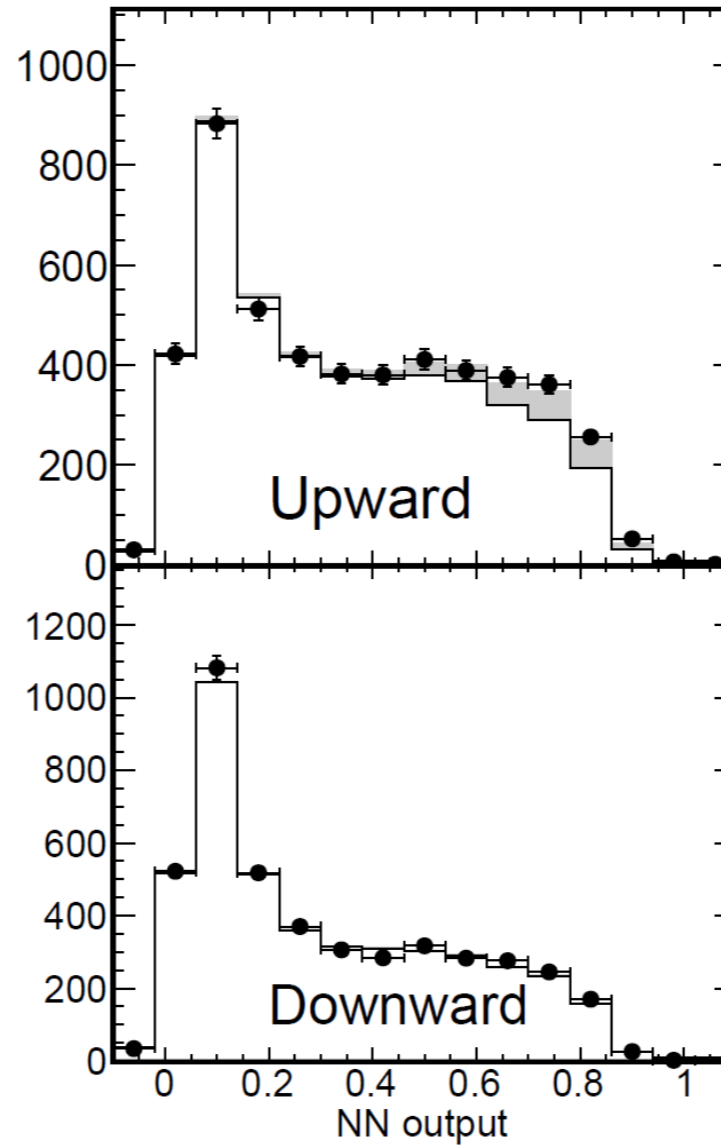
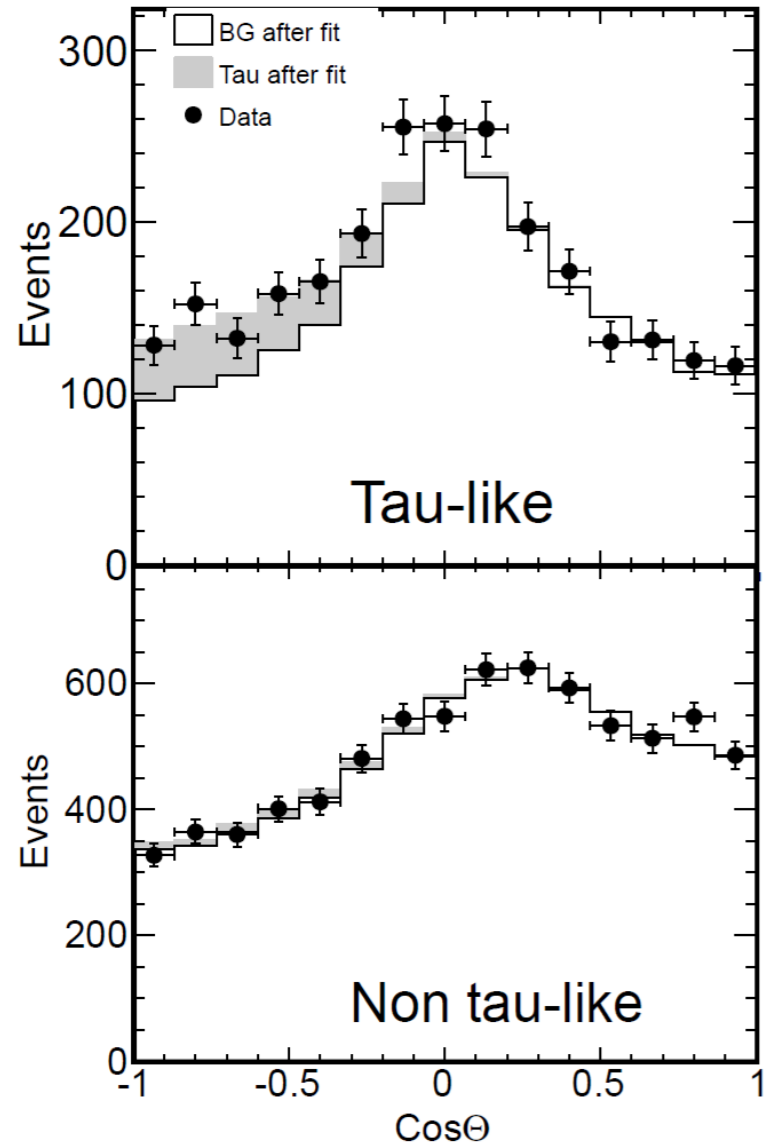
Phys. Rev. D 97, 072001 (2018)

- relevance of uncertainties **not given**
- atmospheric parameters **fit** in study
- some values found in **0.5-1 σ** uncertainty range

Systematic Error		Fit Value (%)	σ (%)	
Flux normalization	$E_\nu < 1 \text{ GeV}^a$	14.3	25	
	$E_\nu > 1 \text{ GeV}^b$	7.8	15	
$(\nu_\mu + \bar{\nu}_\mu)/(\nu_e + \bar{\nu}_e)$	$E_\nu < 1 \text{ GeV}$	0.08	2	
	$1 < E_\nu < 10 \text{ GeV}$	-1.1	3	
	$E_\nu > 10 \text{ GeV}^c$	1.6	5	
	$E_\nu < 1 \text{ GeV}$	1.6	5	
$\bar{\nu}_e/\nu_e$	$1 < E_\nu < 10 \text{ GeV}$	3.3	5	
	$E_\nu > 10 \text{ GeV}^d$	-1.6	8	
$\bar{\nu}_\mu/\nu_\mu$	$E_\nu < 1 \text{ GeV}$	0.24	2	
	$1 < E_\nu < 10 \text{ GeV}$	2.9	6	
Up/down ratio	$E_\nu > 10 \text{ GeV}^e$	-2.9	15	
	< 400 MeV	<i>e</i> -like	-0.026	0.1
		μ -like	-0.078	0.3
		0-decay μ -like	-0.286	1.1
	> 400 MeV	<i>e</i> -like	-0.208	0.8
		μ -like	-0.130	0.5
		0-decay μ -like	-0.442	1.7
	Multi-GeV	<i>e</i> -like	-0.182	0.7
		μ -like	-0.052	0.2
	Multi-ring Sub-GeV	<i>e</i> -like	-0.104	0.4
		μ -like	-0.052	0.2
	Multi-ring Multi-GeV	<i>e</i> -like	-0.078	0.3
		μ -like	-0.052	0.2
	PC		-0.052	0.2
Horizontal/vertical ratio	< 400 MeV	<i>e</i> -like	0.018	0.1
		μ -like	0.018	0.1
		0-decay μ -like	0.054	0.3
	> 400 MeV	<i>e</i> -like	0.252	1.4
		μ -like	0.341	1.9
		0-decay μ -like	0.252	1.4
	Multi-GeV	<i>e</i> -like	0.576	3.2
		μ -like	0.414	2.3
	Multi-ring Sub-GeV	<i>e</i> -like	0.252	1.4
		μ -like	0.234	1.3
Multi-ring Multi-GeV	<i>e</i> -like	0.504	2.8	
	μ -like	0.270	1.5	
PC		0.306	1.7	
K/ π ratio in flux calculation ^f		-9.3	10	
Neutrino path length		-2.13	10	
Sample-by-sample	FC Multi-GeV	-6.6	5	
	PC + Stopping UP- μ	0.22	5	
Matter effects		0.52	6.8	

Super-Kamiokande

NuTau appearance
Phys. Rev. D 98, 052006 (2018)



**4.6 σ evidence
for NuTau
appearance**

Super-Kamiokande

NuTau appearance

Phys. Rev. D 98, 052006 (2018)

Systematic error	σ (%)
NC/CC ratio	20
DIS q^2 dependence for low W	10
Meson exchange current	10
1π axial coupling	10
DIS q^2 dependence for high W	10
Coherent π cross section	100
<u>Flux normalization ($E_\nu > 1\text{GeV}$)</u>	<u>15</u>
1π background scale factor	10
1π axial form factor	10
CCQE cross section	10
Single pion π^0/π^\pm ratio	40
<u>$\bar{\nu}_\mu/\nu_\mu$ ratio ($E_\nu > 10\text{ GeV}$)</u>	<u>15</u>
<u>$\nu/\bar{\nu}$ ratio ($E_\nu > 10\text{ GeV}$)</u>	<u>5</u>
DIS cross section ($E_\nu < 10\text{ GeV}$)	10
FC multi-GeV normalization	5
<u>$\bar{\nu}_e/\nu_e$ ratio ($E_\nu > 10\text{GeV}$)</u>	<u>8</u>
<u>K/π ratio</u>	<u>10</u>
Single meson cross section	20
Single-pion $\bar{\nu}/\nu$ ratio	10
<u>Horizontal/vertical ratio</u>	<u>1</u>
CCQE $\nu/\bar{\nu}$ ratio	10
DIS cross section	5
Matter effect	6.8
Neutrino path length	10

- sources of uncertainty
ranked by impact

- only **relevant** ones here

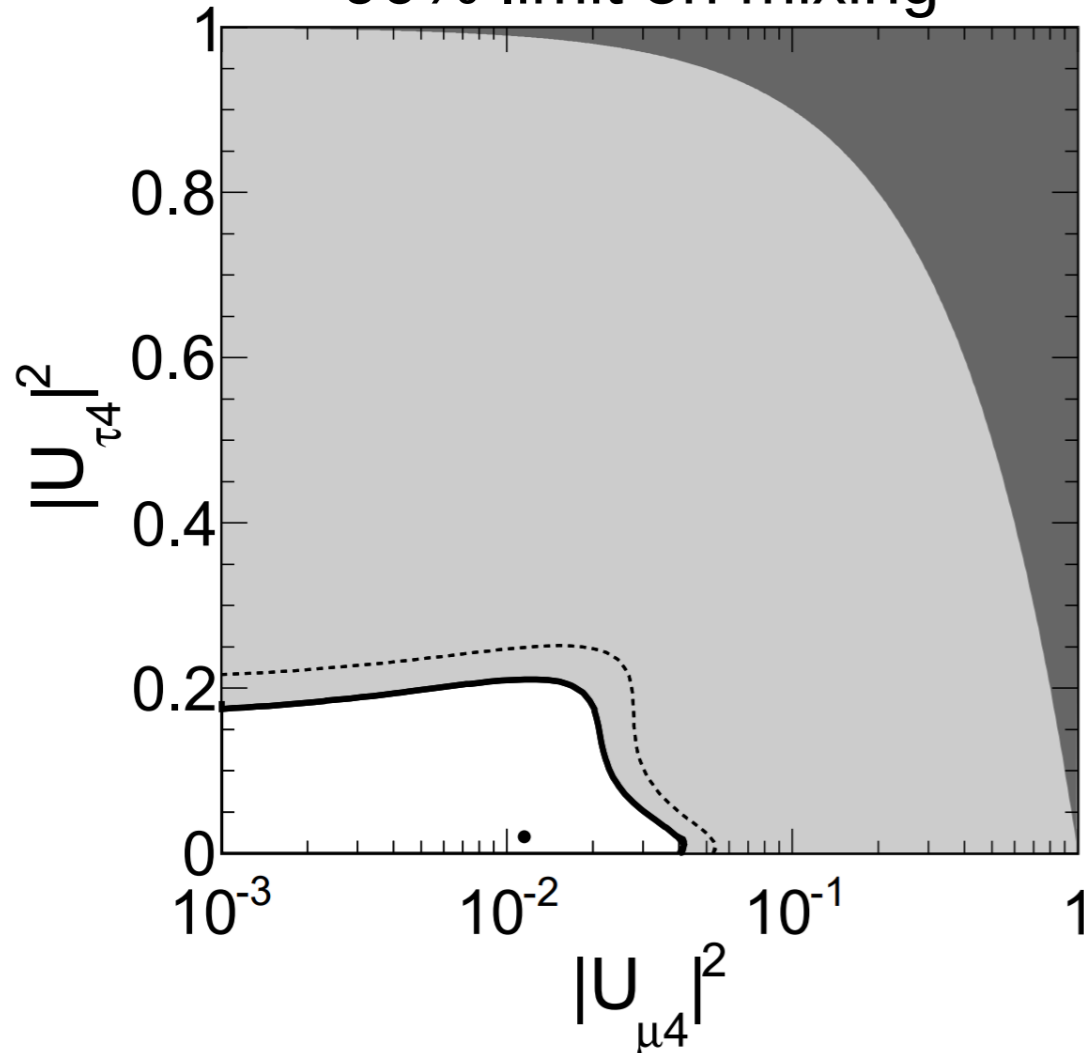
- **flux** uncertainties right
behind cross sections

Super-Kamiokande

Sterile neutrinos

Phys. Rev. D 91, 052019 (2015)

90% limit on mixing



- search for spectral **distortions** due to steriles
- sensitive to **mu-tau** mix
- **flux** uncertainties show largest pulls in fit

Systematic Uncertainty	No steriles (σ)	Best fit (σ)
$(\nu_\mu + \bar{\nu}_\mu)/(\nu_e + \bar{\nu}_e), < 1 \text{ GeV}$	-0.49	-0.13
$(\nu_\mu + \bar{\nu}_\mu)/(\nu_e + \bar{\nu}_e), 1 - 10 \text{ GeV}$	-0.50	-0.09
CCQE ν_μ/ν_e	0.36	0.01

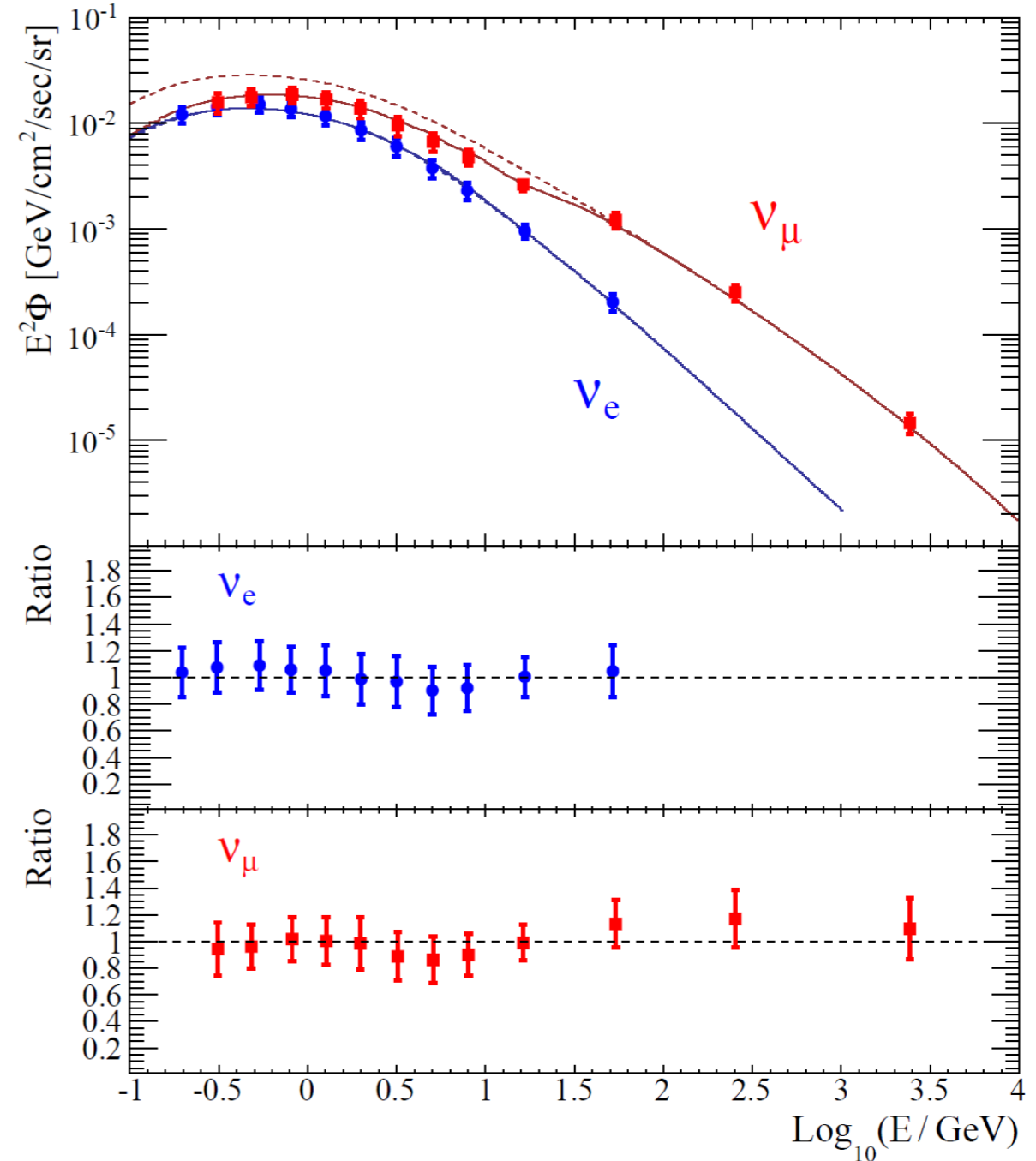
Flux unfolding

Phys. Rev. D 94, 052001 (2016)

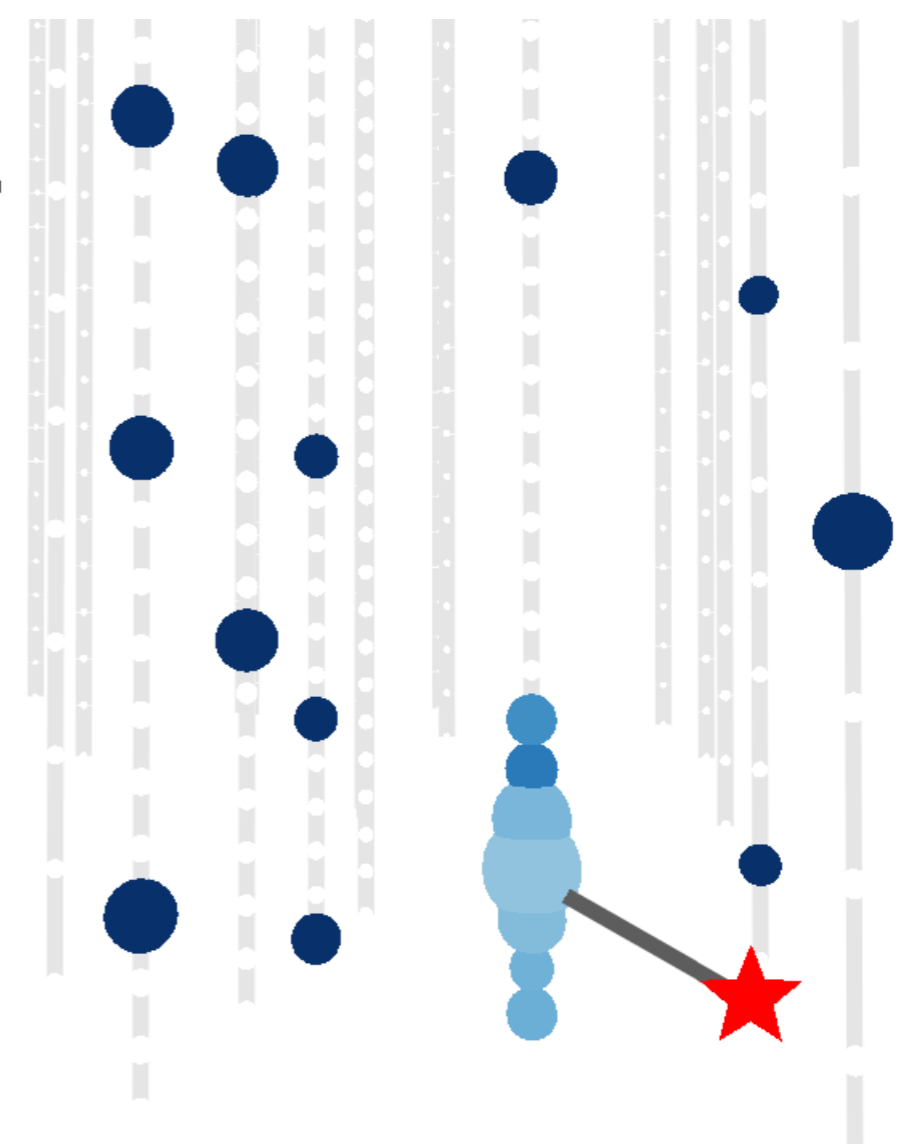
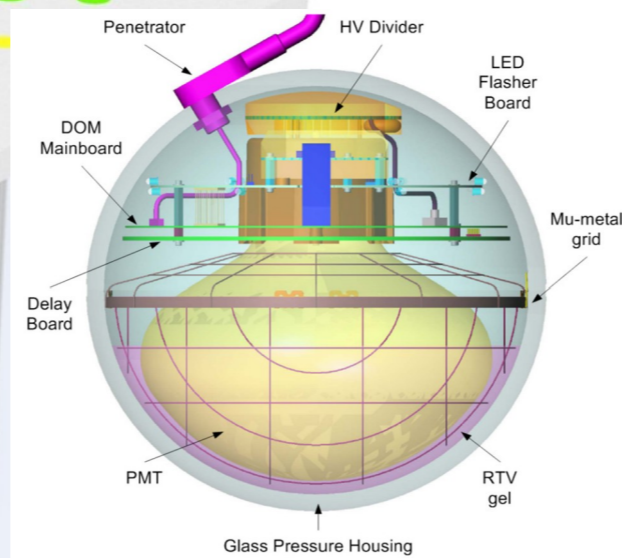
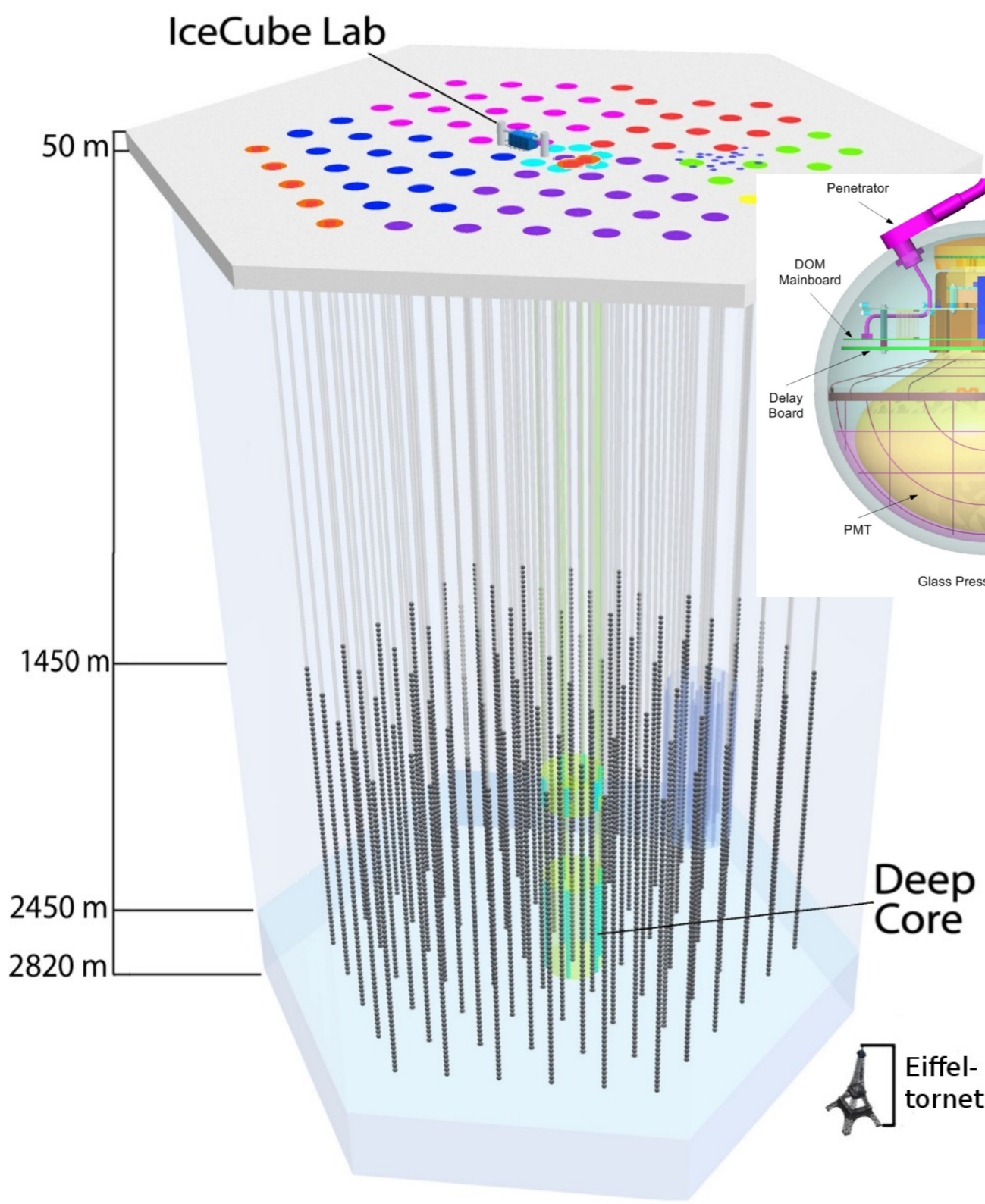
- direct measurement of **total** fluxes

- **unfolding** with special attention to low-energies

Super-Kamiokande



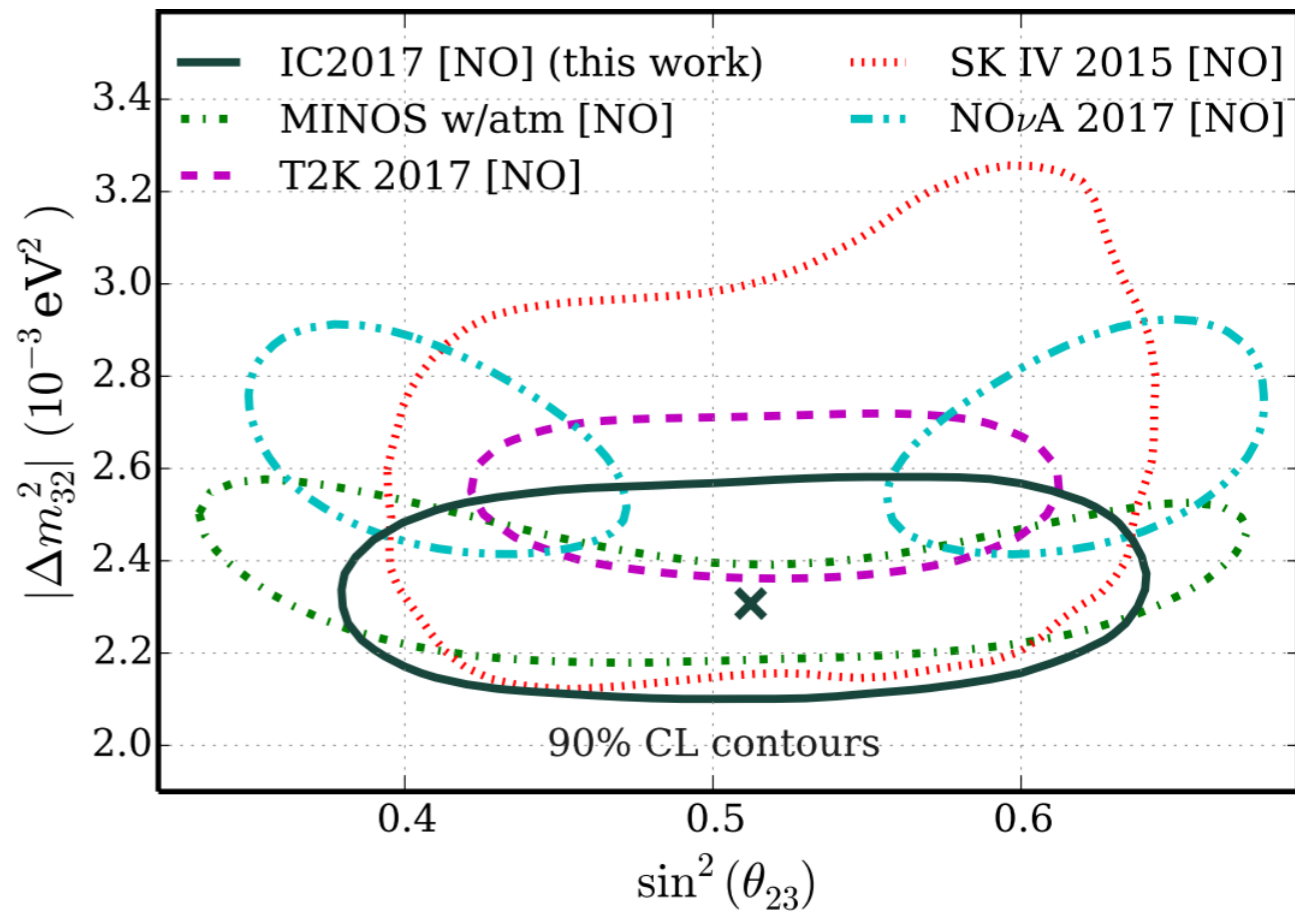
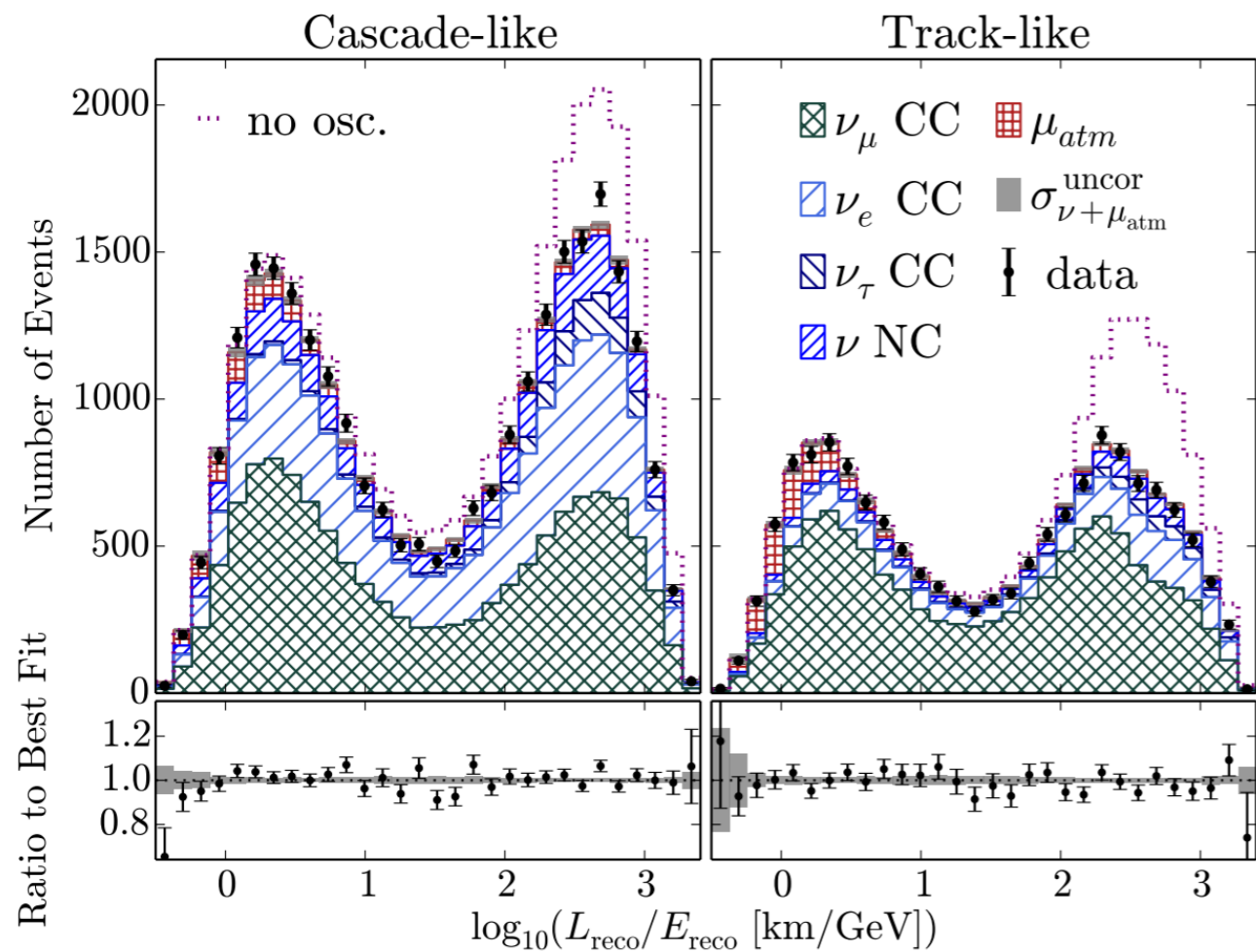
IceCube DeepCore



IceCube DeepCore

Standard oscillations

Phys. Rev. Lett. 120, 071801 (2018)



IceCube DeepCore

Standard oscillations

Phys. Rev. Lett. 120, 071801 (2018)

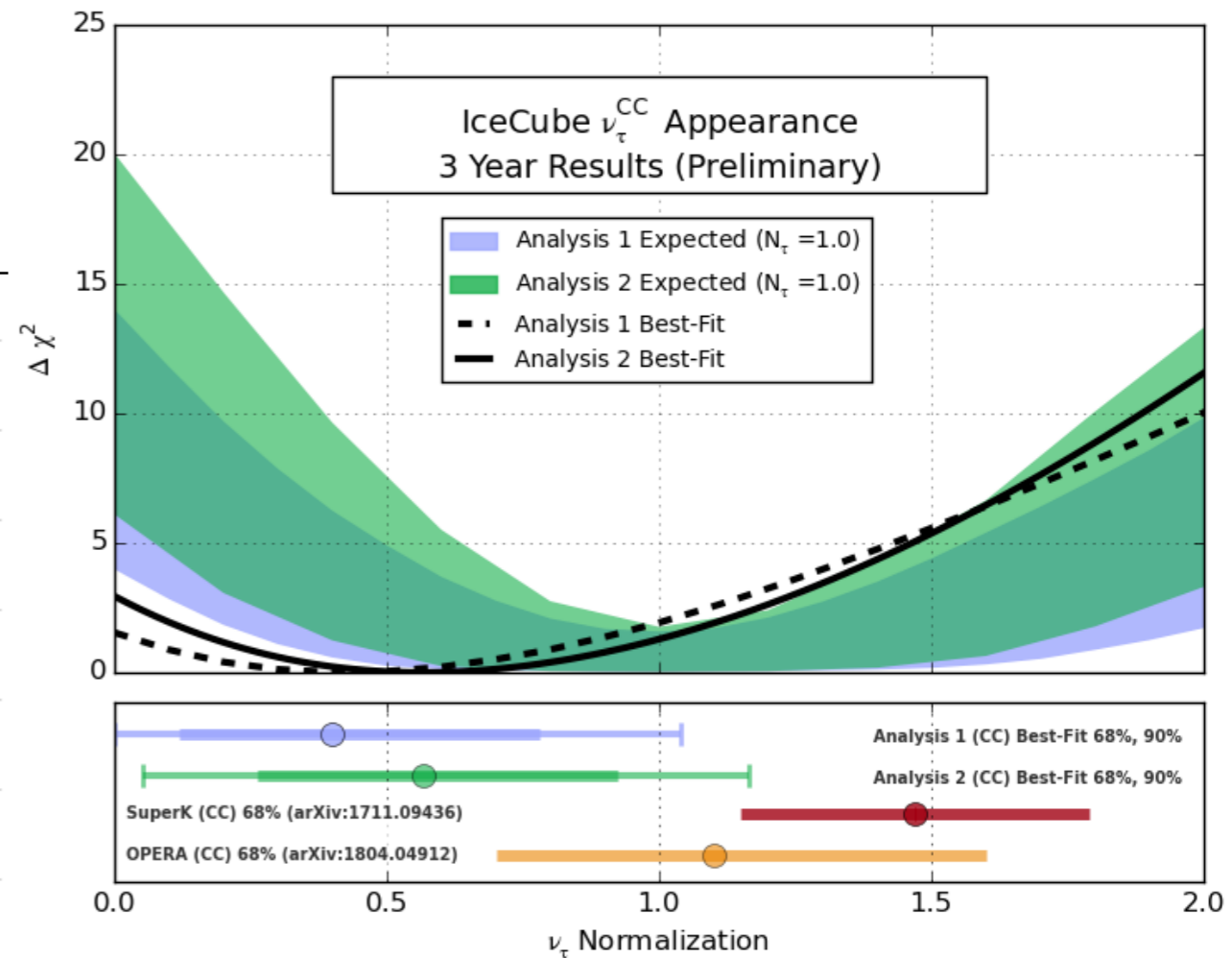
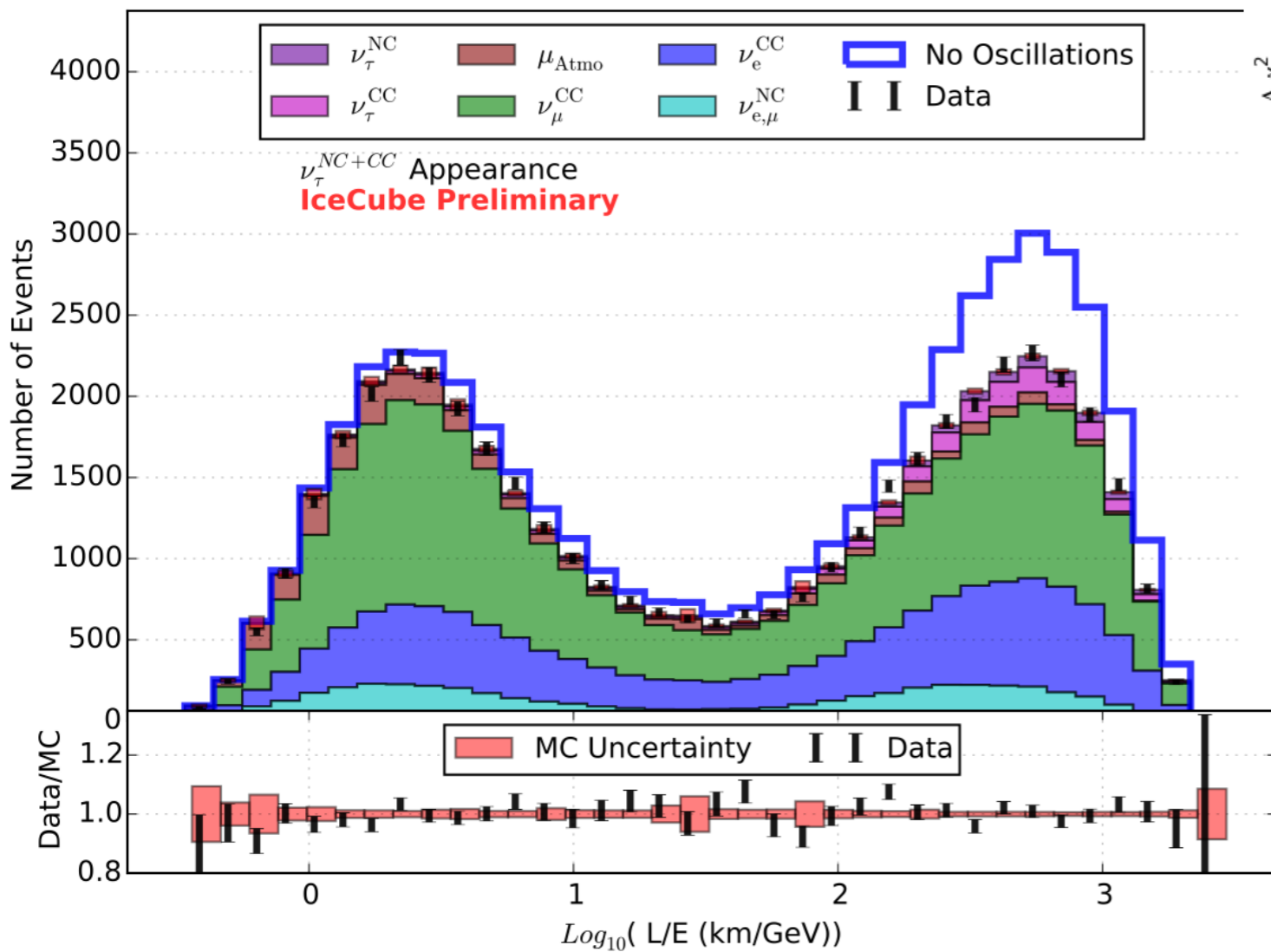
- no uncertainty relevance **given**
- atmospheric parameters **fit** in study
- all flux values found in **0.5-1 σ** uncertainty range

Parameters	Priors	Best Fit	
		NO	IO
Flux and cross section parameters			
Neutrino event rate [% of nominal]	no prior	85	85
$\Delta\gamma$ (spectral index)	0.00 ± 0.10	-0.02	-0.02
M_A (resonance) [GeV]	1.12 ± 0.22	0.92	0.93
<u>$\nu_e + \bar{\nu}_e$ relative normalization [%]</u>	<u>100 ± 20</u>	<u>125</u>	<u>125</u>
NC relative normalization [%]	100 ± 20	106	106
<u>Hadronic flux, energy dependent [σ]</u>	<u>0.00 ± 1.00</u>	<u>-0.56</u>	<u>-0.59</u>
<u>Hadronic flux, zenith dependent [σ]</u>	<u>0.00 ± 1.00</u>	<u>-0.55</u>	<u>-0.57</u>
Detector parameters			
overall optical eff. [%]	100 ± 10	102	102
relative optical eff., lateral [σ]	0.0 ± 1.0	0.2	0.2
relative optical eff., head-on [a.u.]	no prior	-0.72	-0.66
Background			
Atm. μ contamination [% of sample]	no prior	5.5	5.6

IceCube DeepCore

NuTau appearance

In preparation

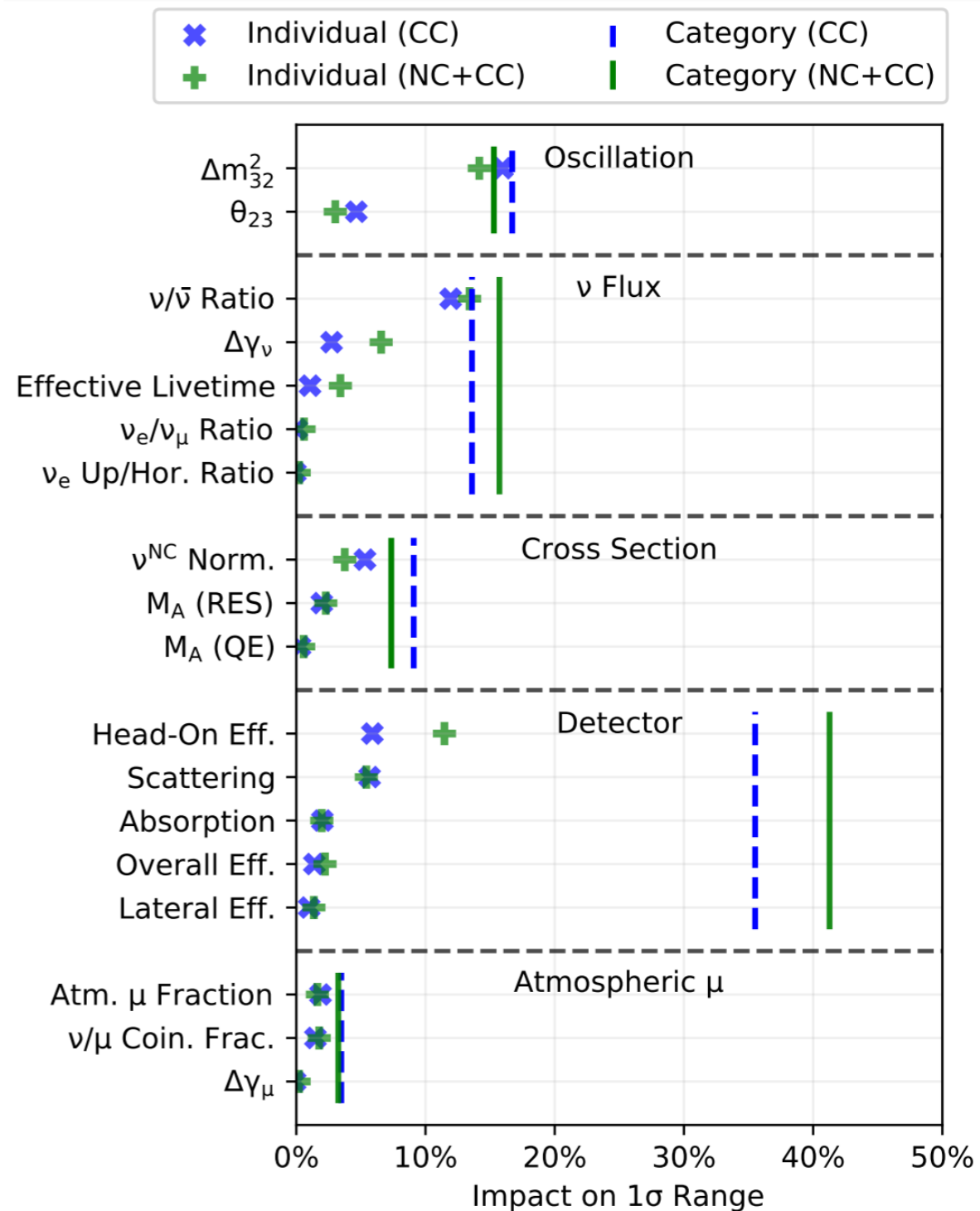


IceCube DeepCore

NuTau appearance

In preparation

- **nu-flux** uncertainty as important as **oscillation** parameters
- still **second** to detector effects

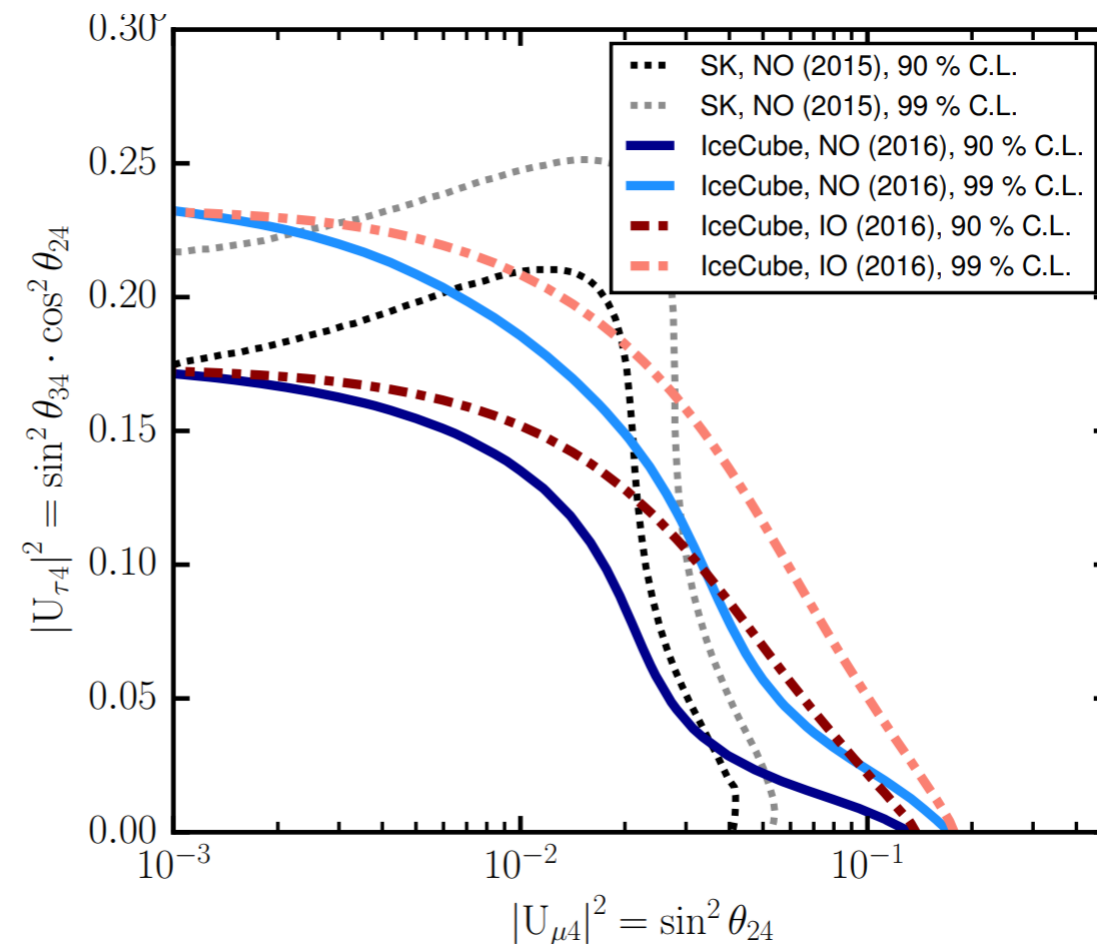


IceCube DeepCore

Sterile neutrinos

Phys. Rev. D 95, 112002 (2017)

- flux has **little impact** on this study (so far)

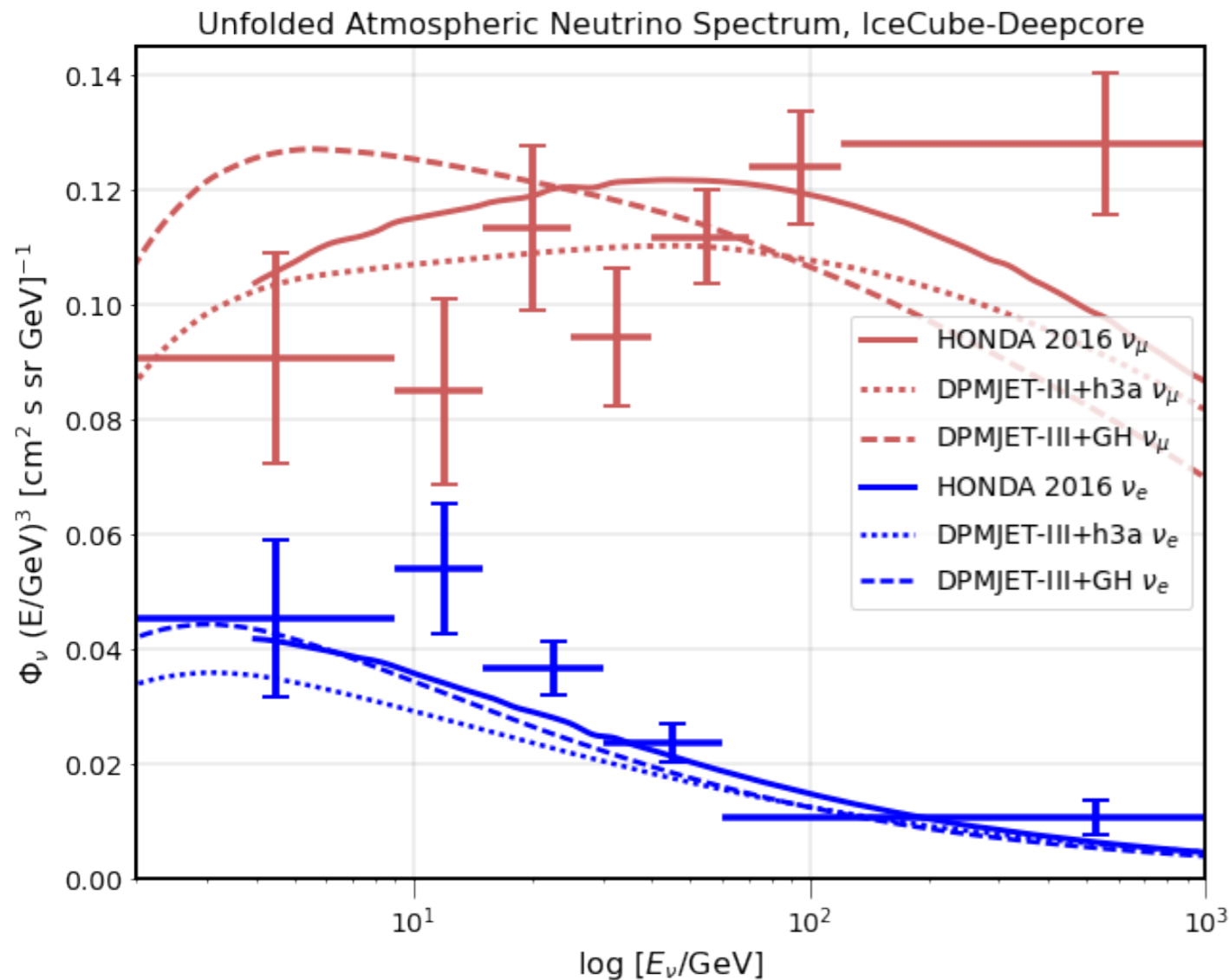


Parameter	Priors	Best fit (NO)	Best fit (IO)
Sterile mixing parameters			
$ U_{\mu 4} ^2$	no prior	0.00	0.00
$ U_{\tau 4} ^2$	no prior	0.08	0.06
Standard mixing parameters			
$\Delta m_{32}^2 [10^{-3} \text{eV}^2]$	no prior	2.52	-2.61
$\sin^2 \theta_{23}$	no prior	0.541	0.473
Flux parameters			
γ	no prior	-2.55	-2.55
ν_e normalization	1 ± 0.05	0.996	0.997
$\Delta(\nu/\bar{\nu})$, energy dependent	$0 \pm 1\sigma$	0.19σ	0.21σ
$\Delta(\nu/\bar{\nu})$, zenith dependent	$0 \pm 1\sigma$	0.19σ	0.16σ

IceCube DeepCore

Flux unfolding

In preparation



fit of **total** fluxes
per flavor

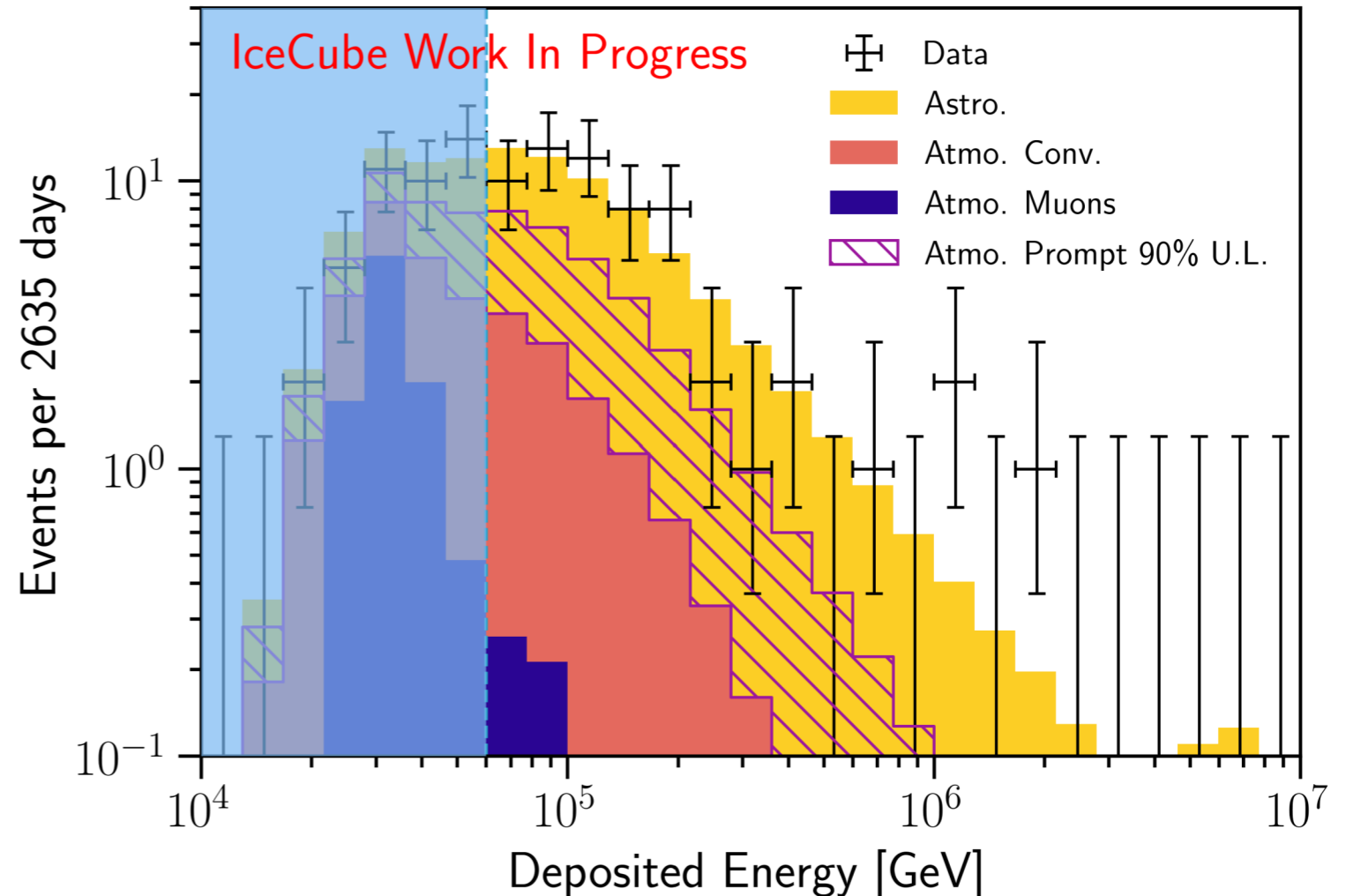
NuMu **excess** at
high energies

tensions with fixed
models

cosmic neutrinos in telescopes

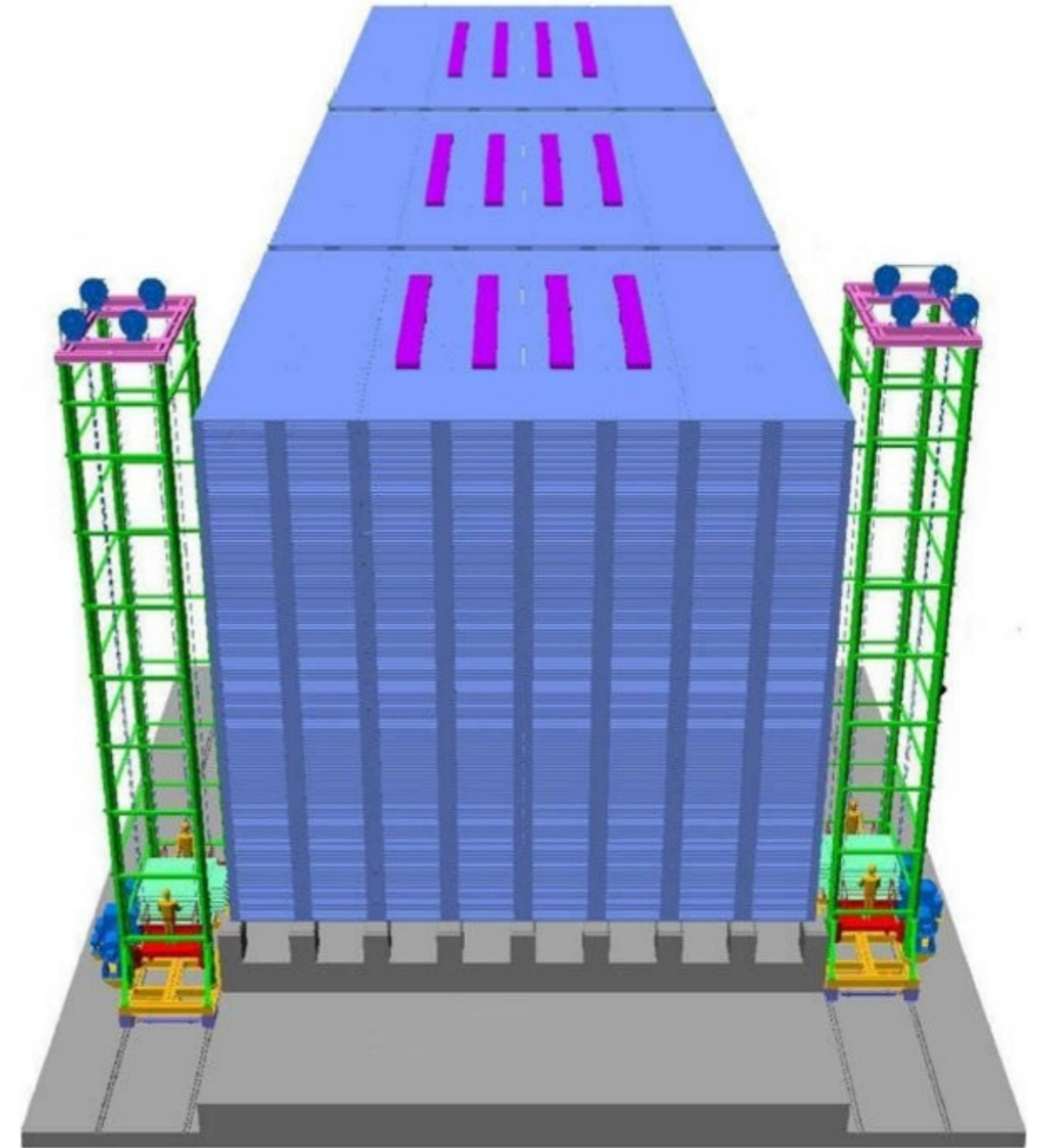
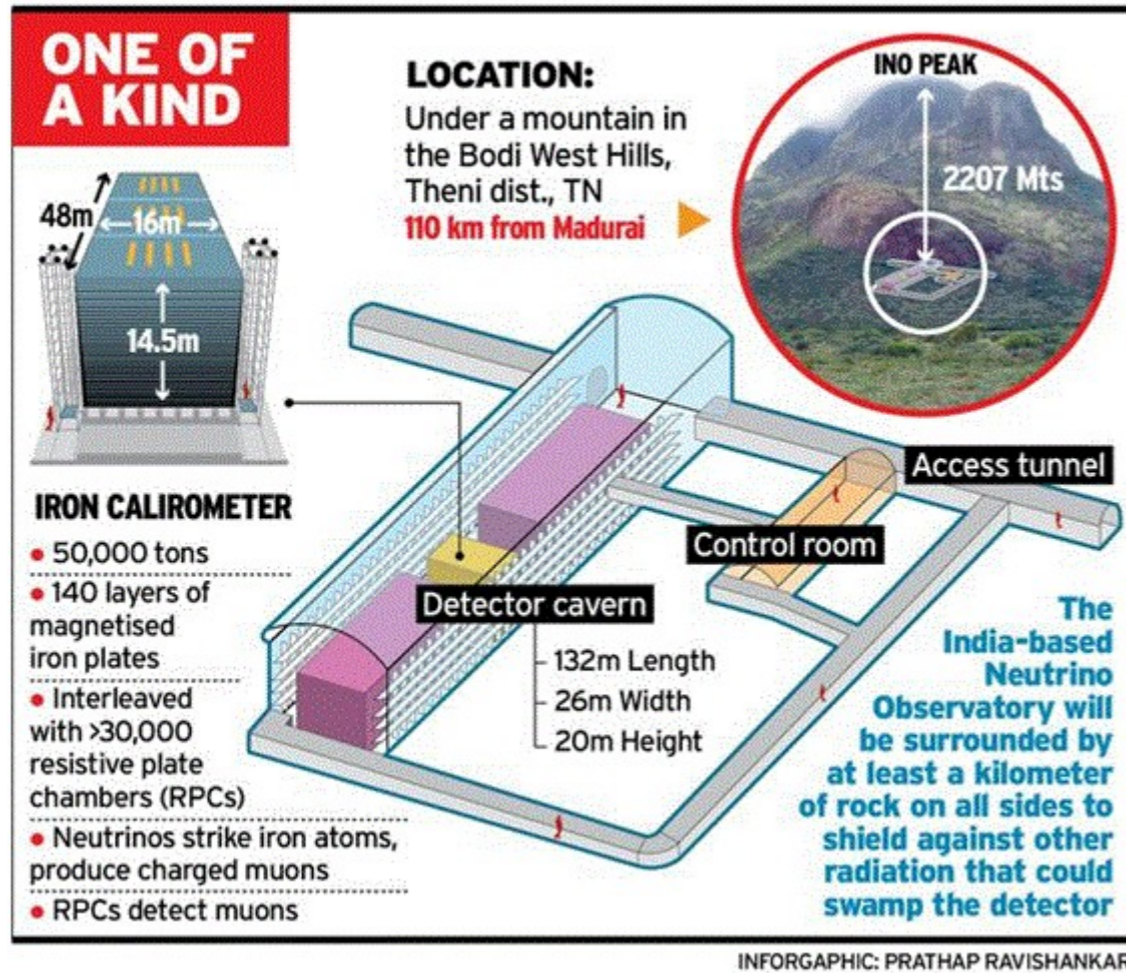
See results from IceCube, ANTARES and future projections

atmospherics are
background for
cosmic searches
neutrinos from
charmed mesons
very uncertain



future atmospheric neutrino measurements

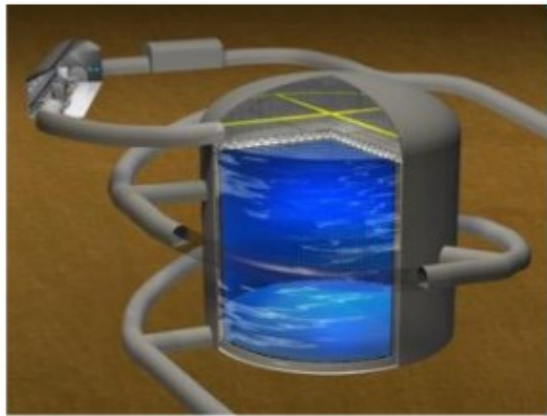
INO



-individual particle **tracking**
-**charge** identification

Hyper-Kamiokande

- 8x** Super-Kamiokande's FV / tank
- 260kt mass / tank
- atmospheric+beam nus

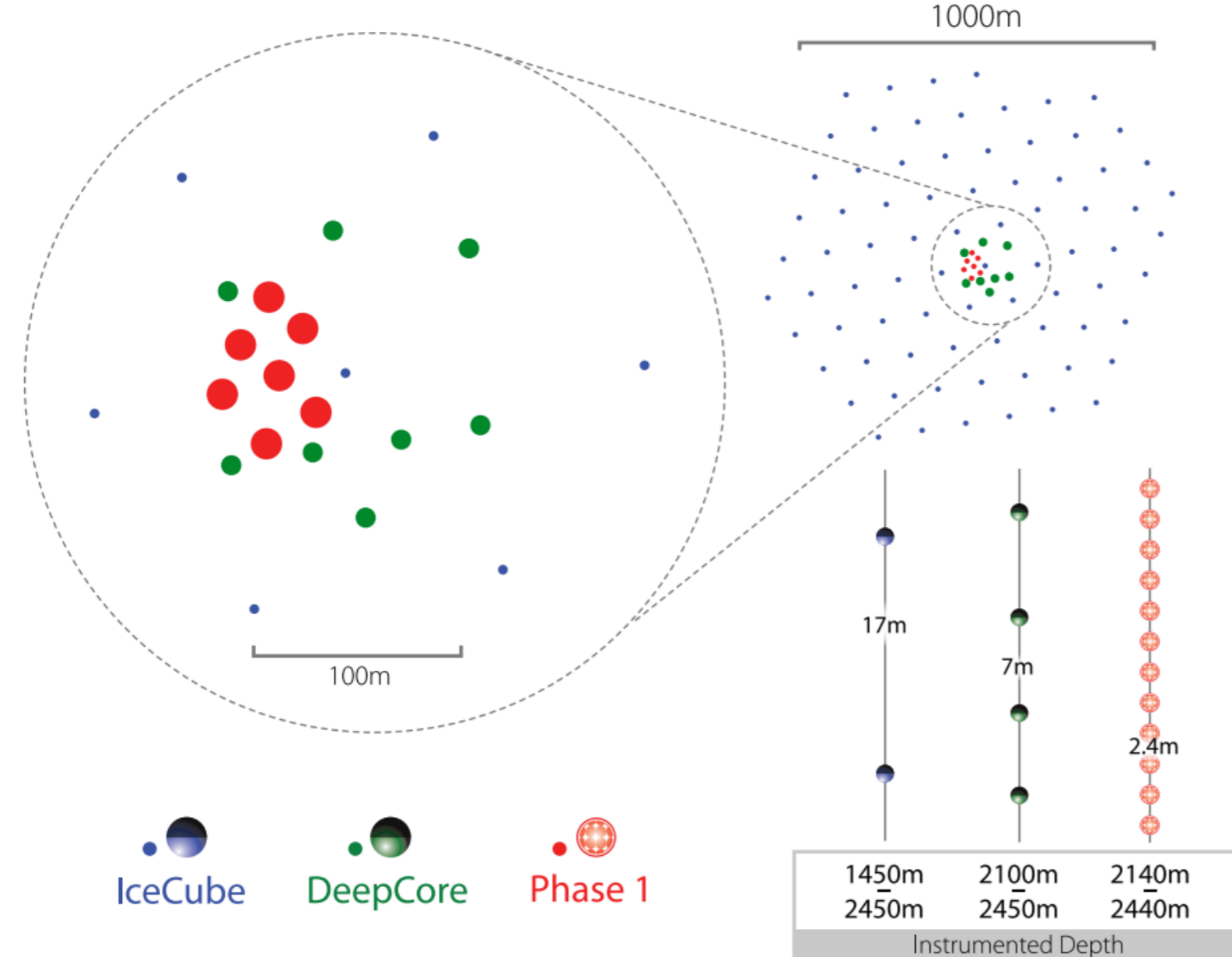


Hyper-K



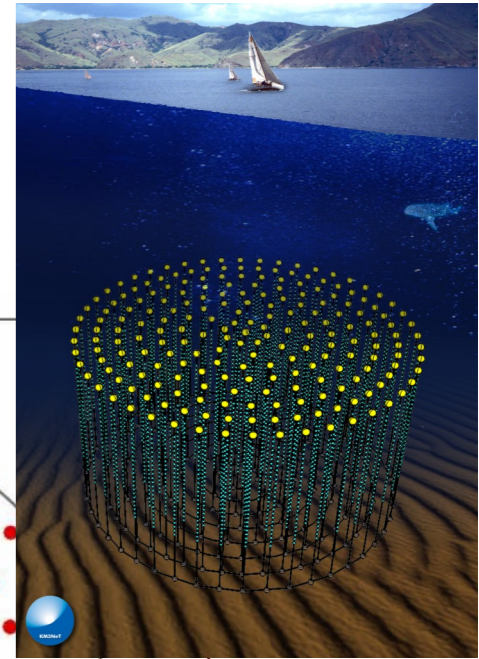
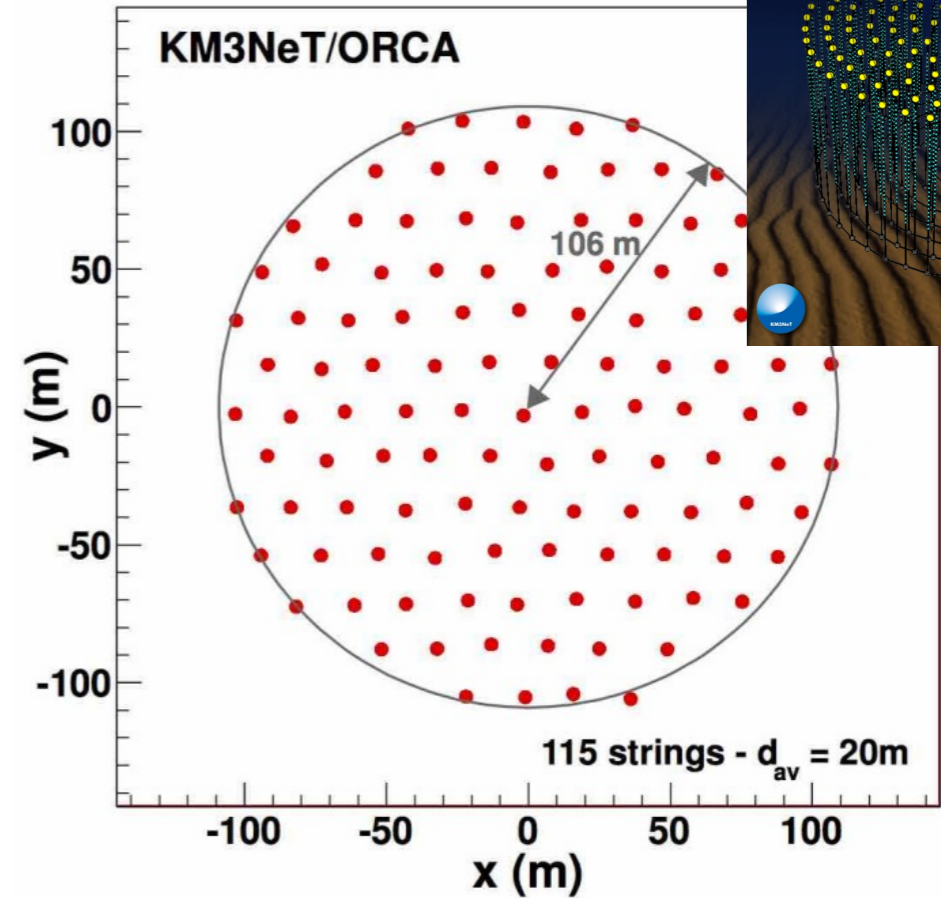
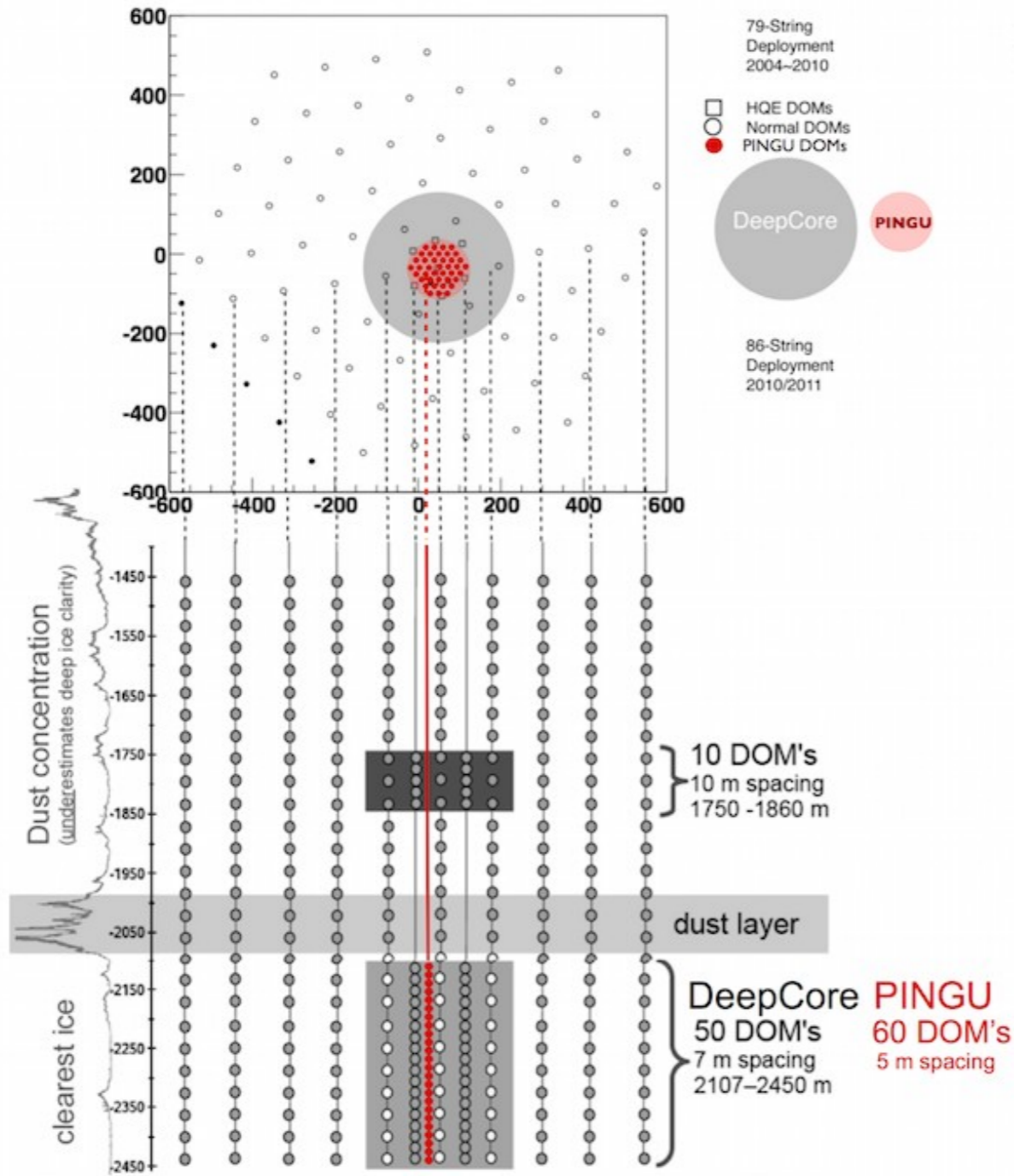
J-PARC

The IceCube upgrade

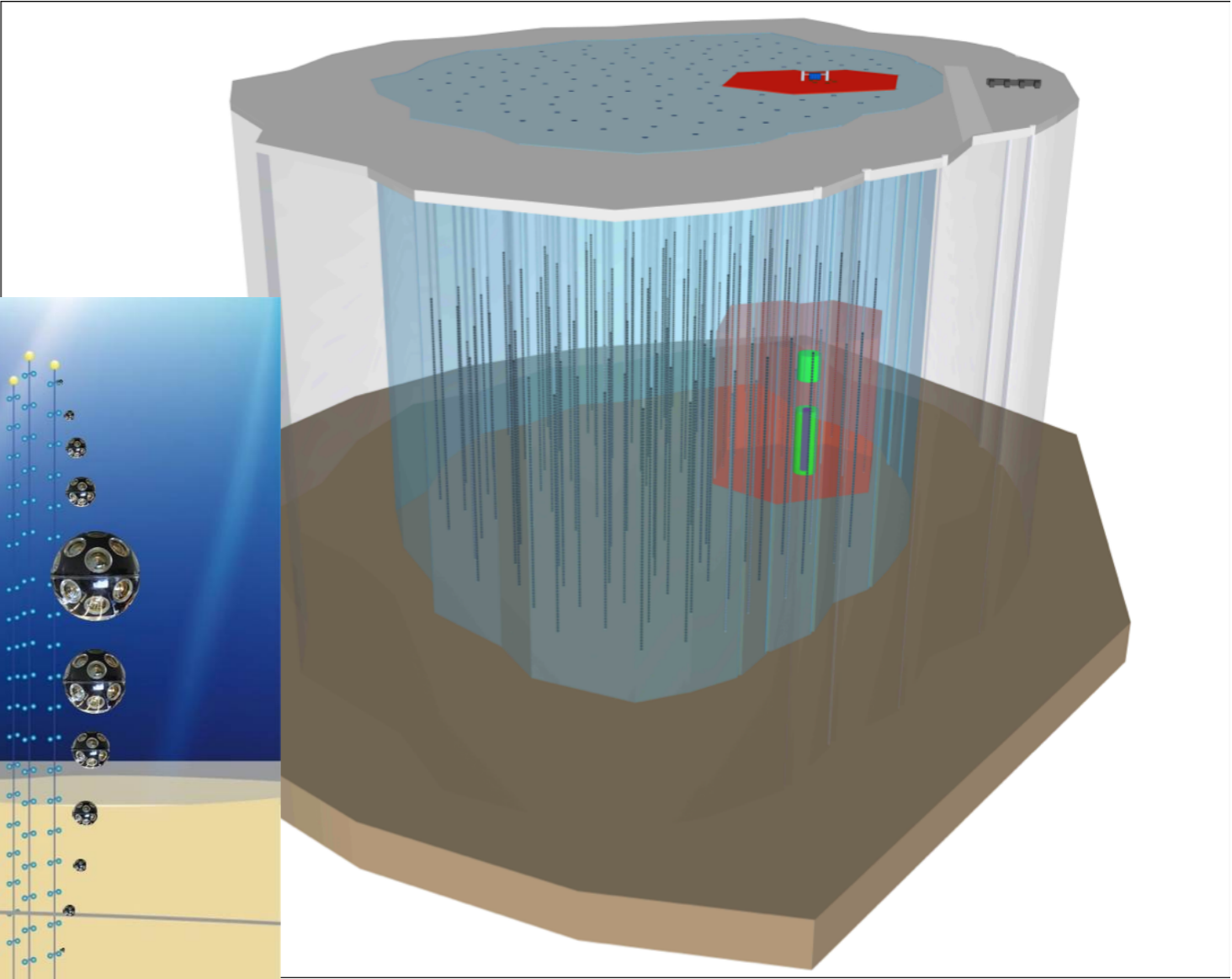
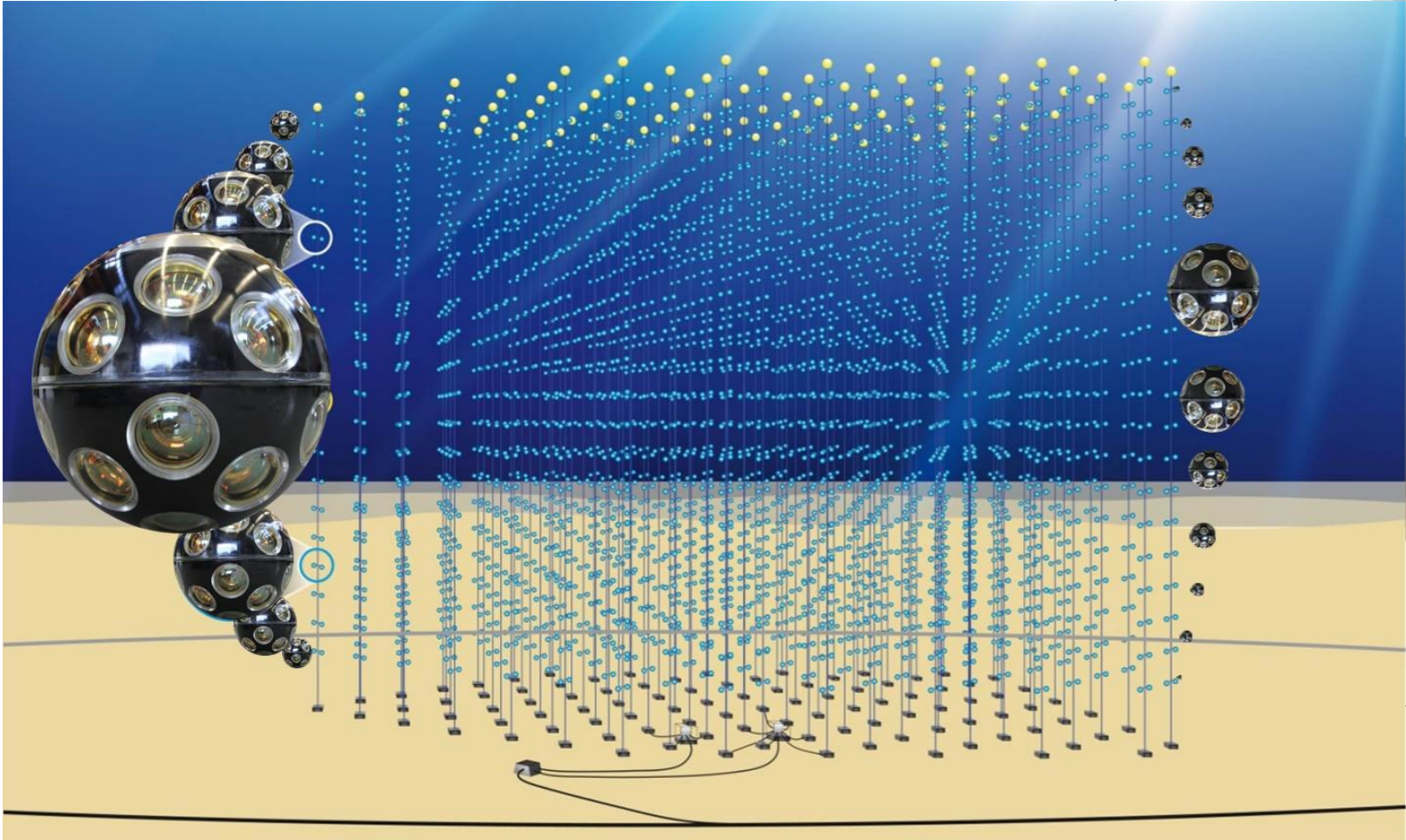


-DeepCore infill
-**lower** energy
threshold

PINGU & ORCA

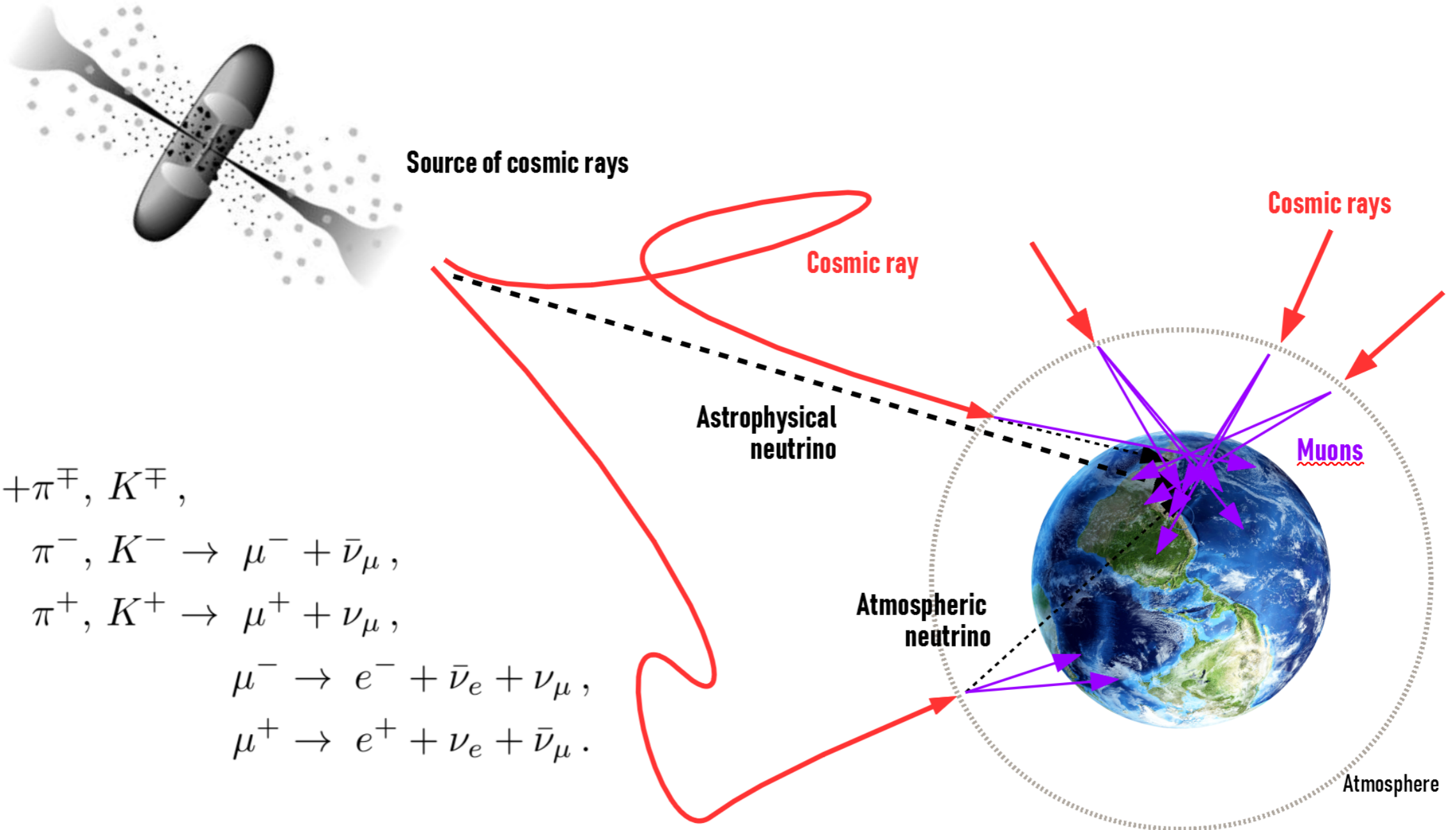


Km3NeT & IceCube Gen2



**modeling
atmospheric
neutrinos:
what's new?**

from cosmic rays to neutrinos



$$CR + N \rightarrow X + \pi^{\mp}, K^{\mp},$$

$$\pi^{-}, K^{-} \rightarrow \mu^{-} + \bar{\nu}_{\mu},$$

$$\pi^{+}, K^{+} \rightarrow \mu^{+} + \nu_{\mu},$$

$$\mu^{-} \rightarrow e^{-} + \bar{\nu}_{e} + \nu_{\mu},$$

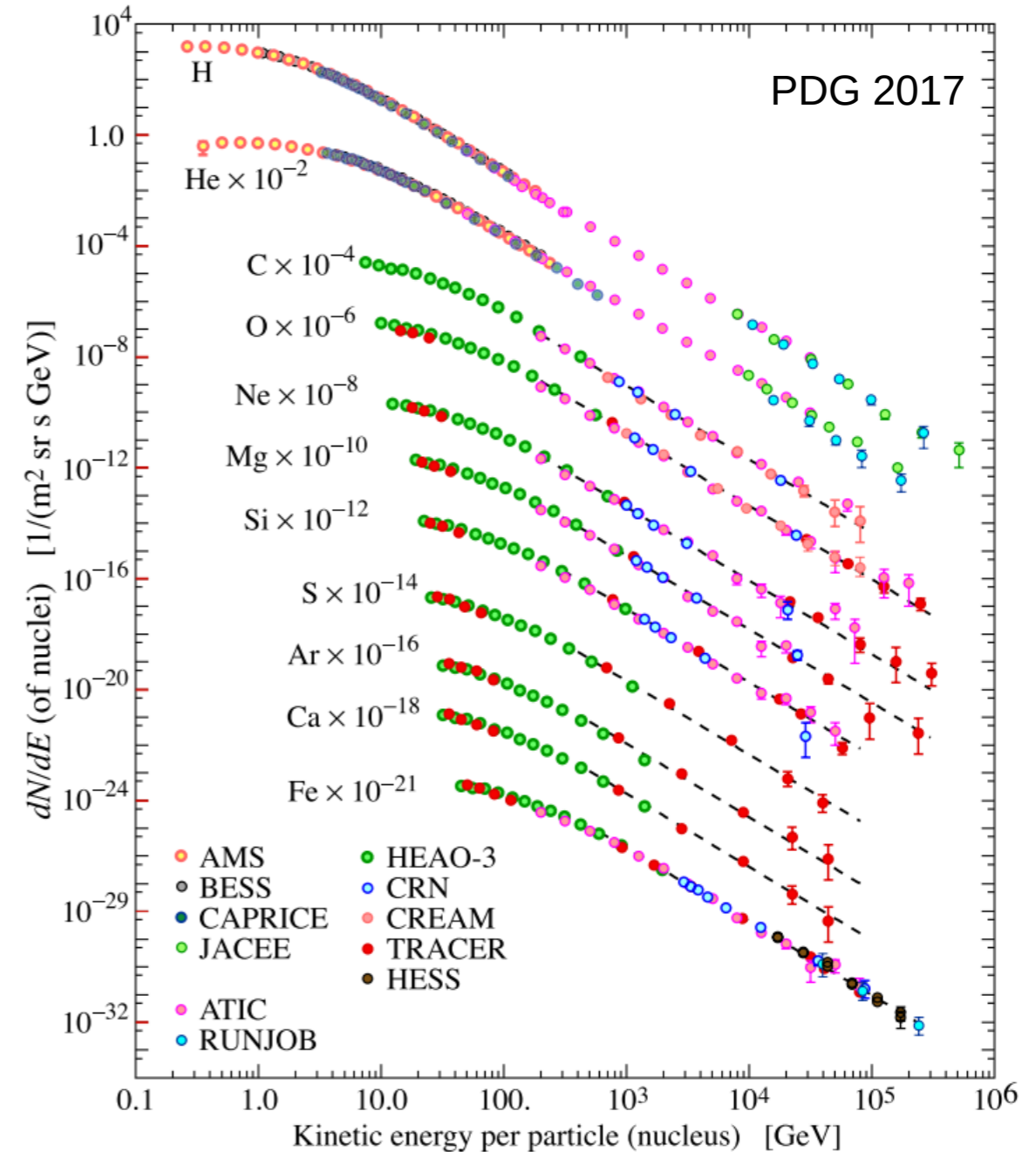
$$\mu^{+} \rightarrow e^{+} + \nu_{e} + \bar{\nu}_{\mu}.$$

cosmic ray flux

- particle spectra

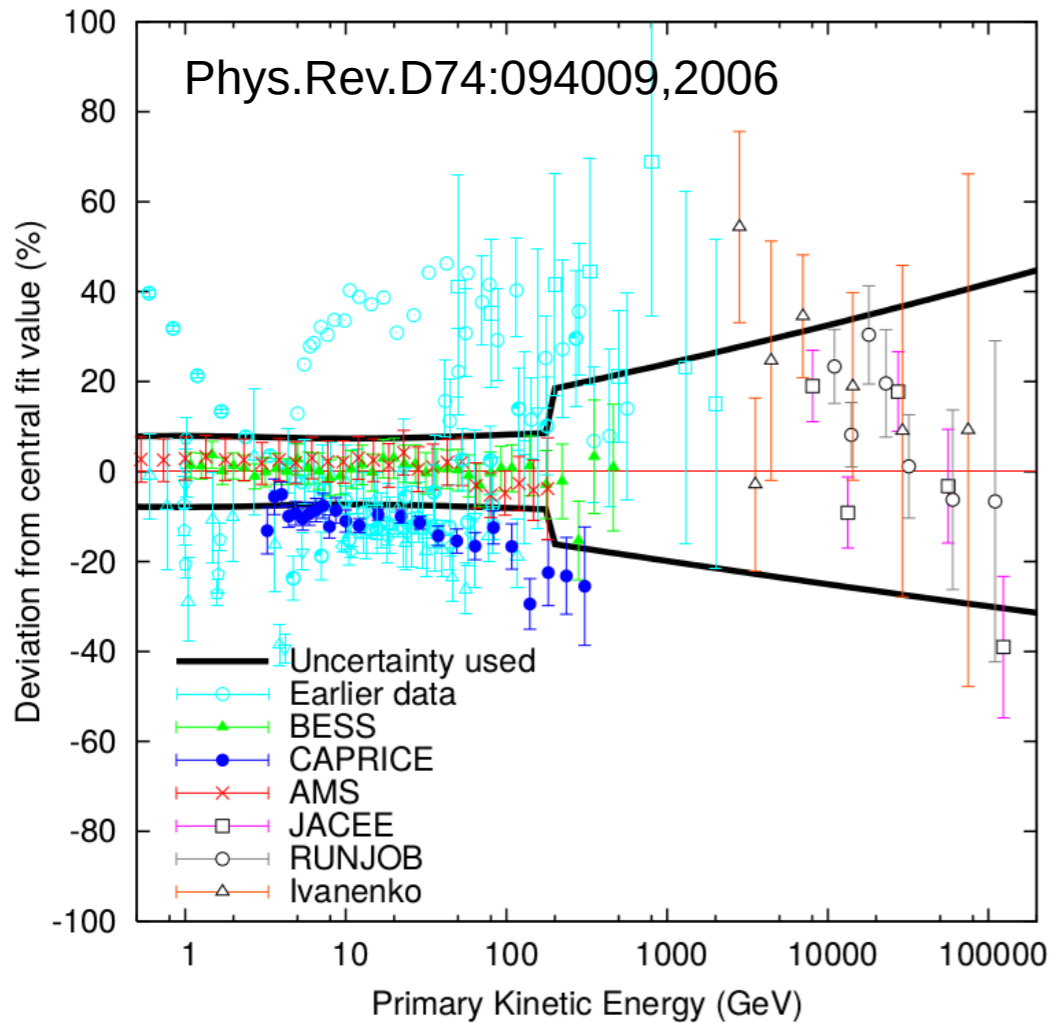
-4 new measurements in last ~10 years (AMS-II, PAMELA, Cream, BESS)

-more detailed flux characterization

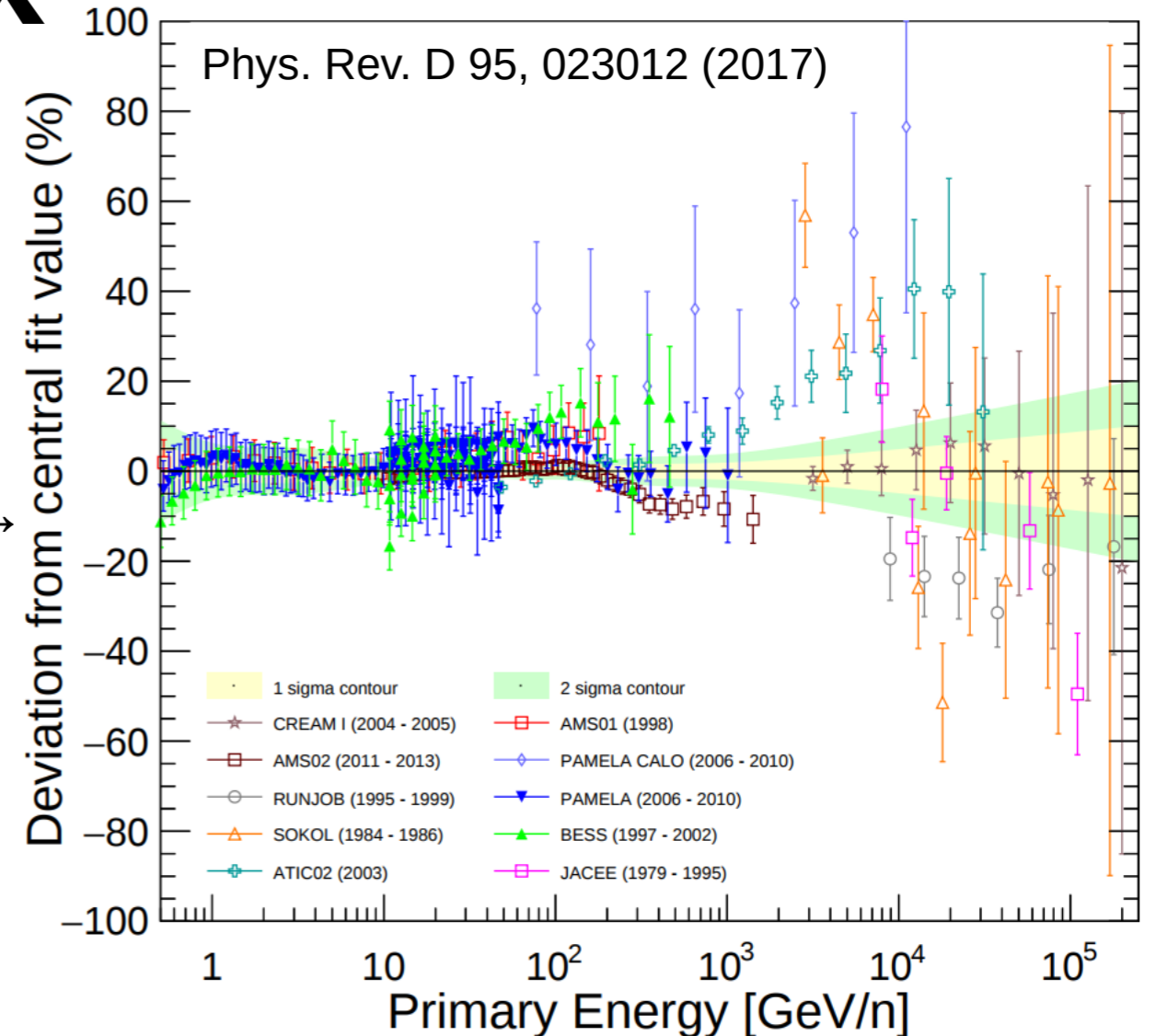


cosmic ray flux

- modeling the flux

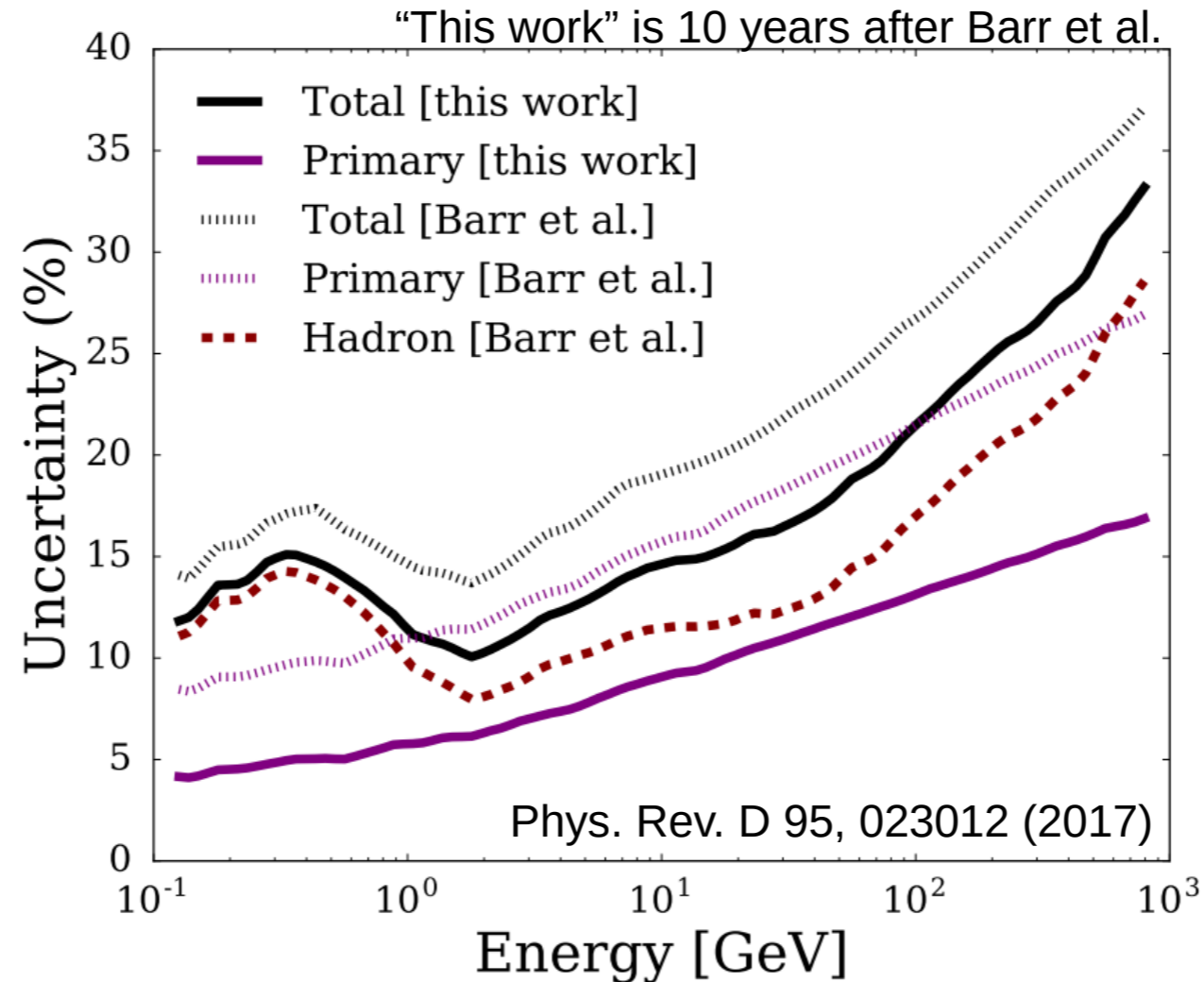


→ 10 years →



cosmic ray flux

- **uncertainties** → **neutrino impact**

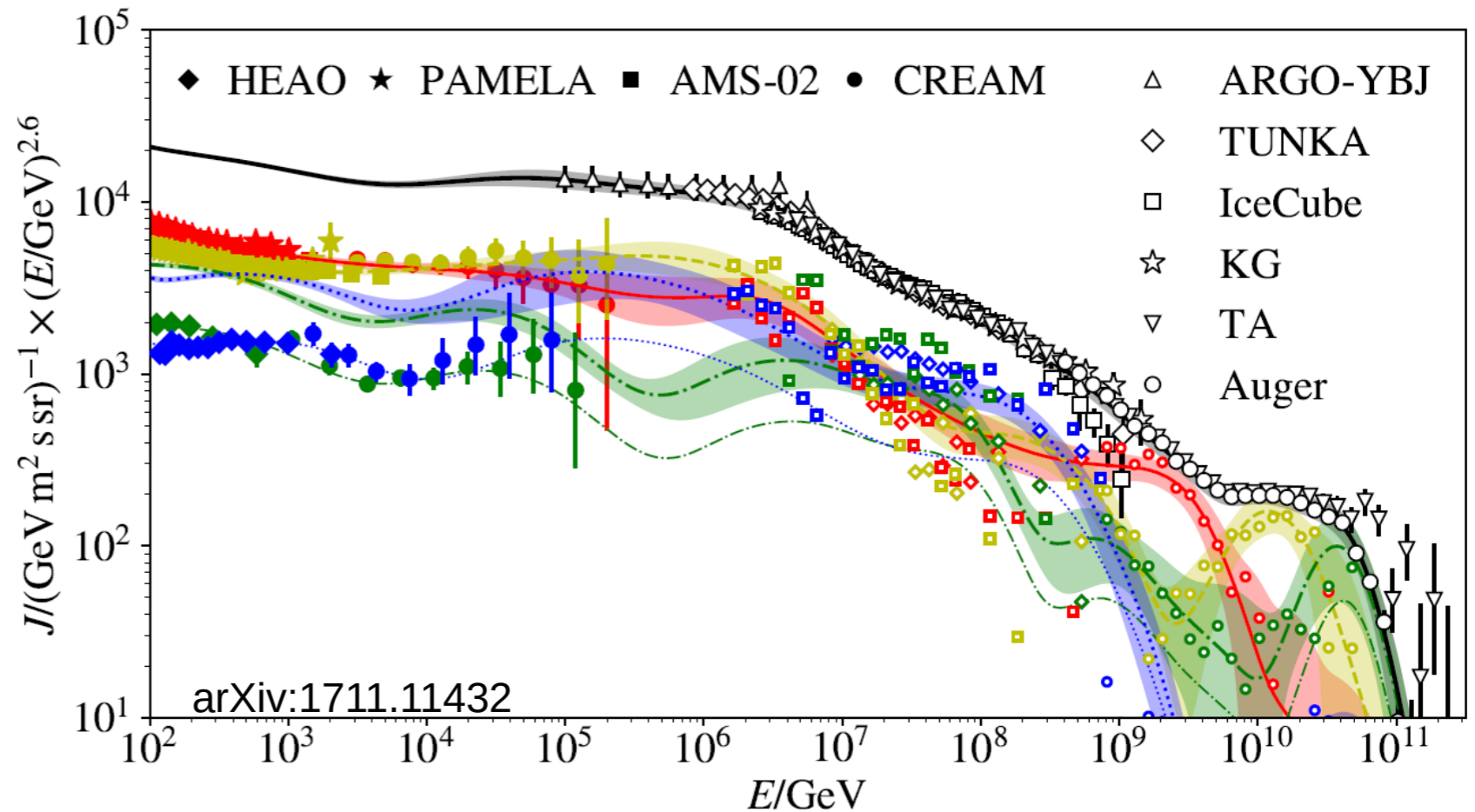


cosmic ray flux

- a **new** way to model

-standard model: power law primary fluxes

-new approach:
global fit to data

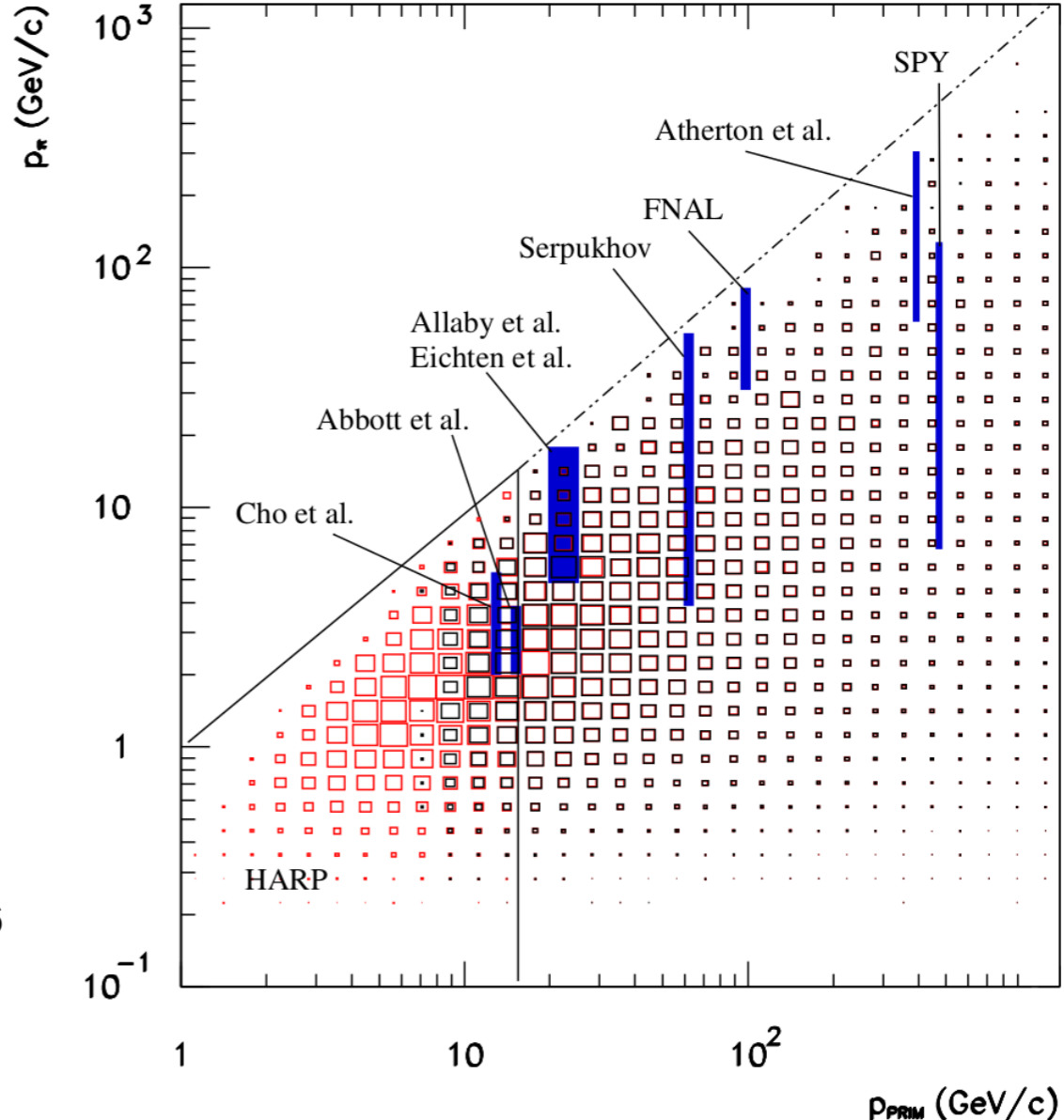


hadronic interactions

- meson yields from showers

Regions measured in color
Boxes correspond to phase space relevant to atmospheric neutrinos that could be measured (MC)
Red/black are geomagnetic effects

Phys.Rev.D74:094009,2006

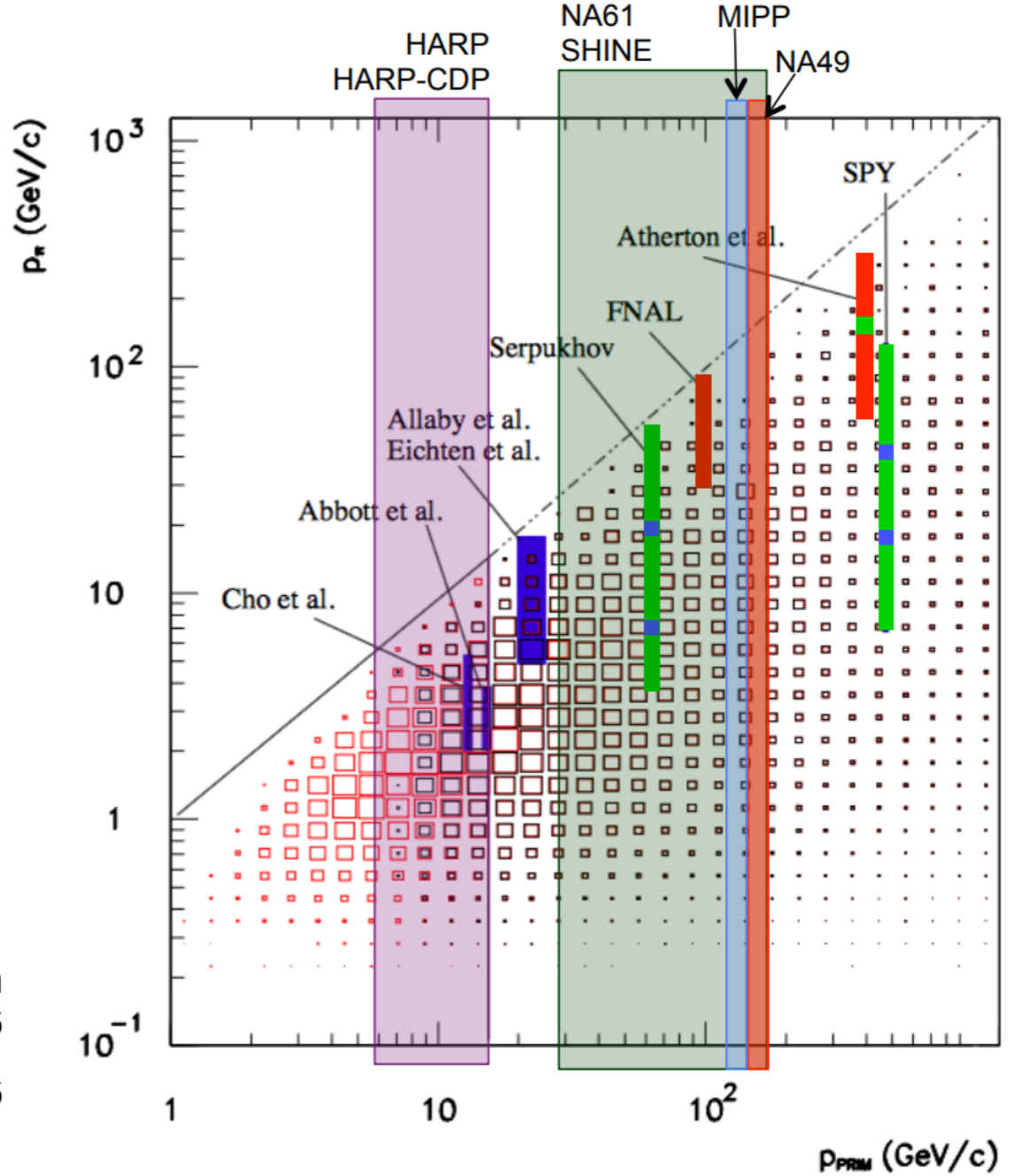


hadronic interactions

- meson yields from showers

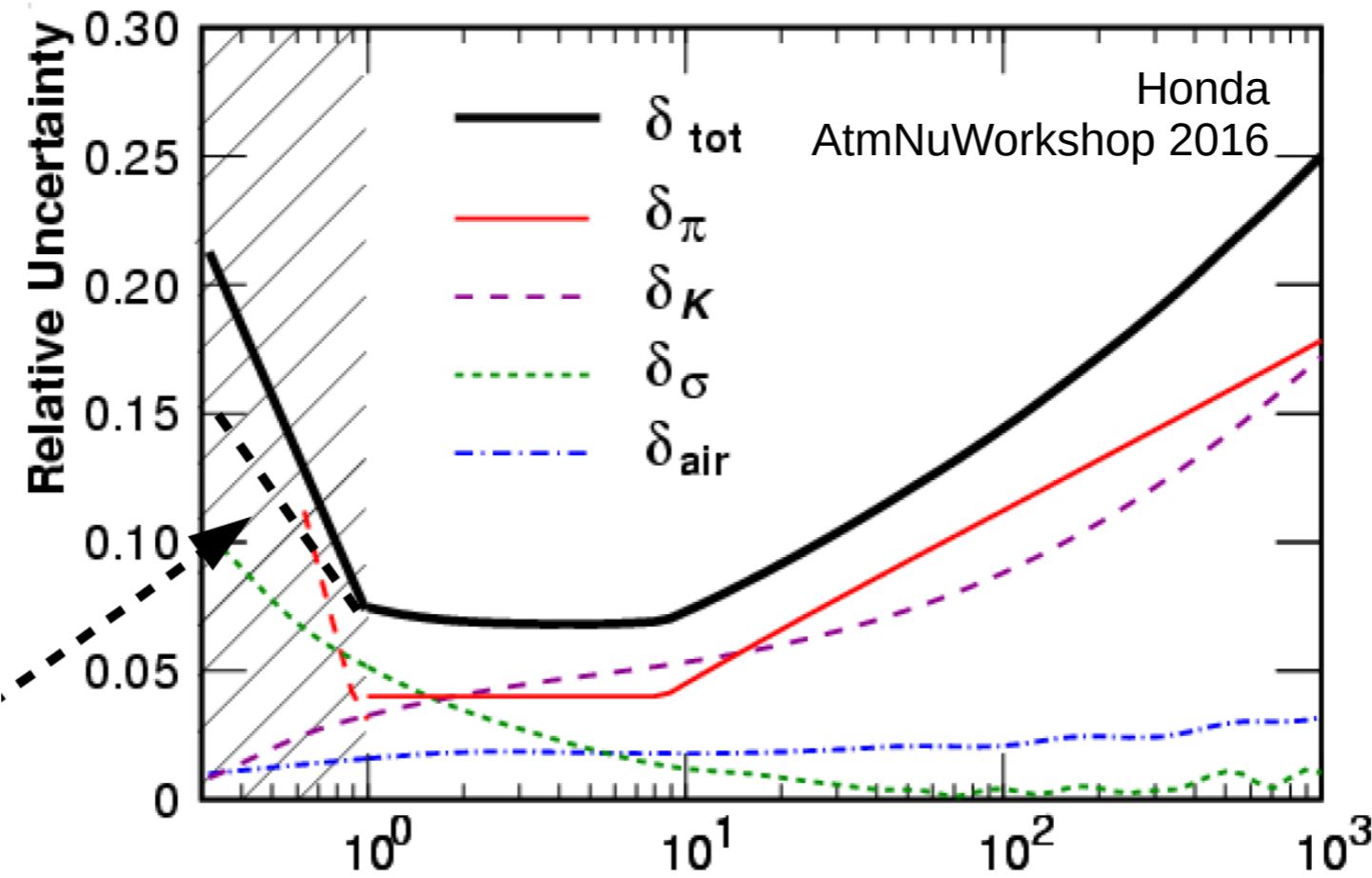
Regions measured in color
 Boxes correspond to phase space relevant to atmospheric neutrinos that could be measured (MC)

Updated from
 Phys.Rev.D74:094009,2006
 Barr, AtmNuWorkshop16



hadronic interactions

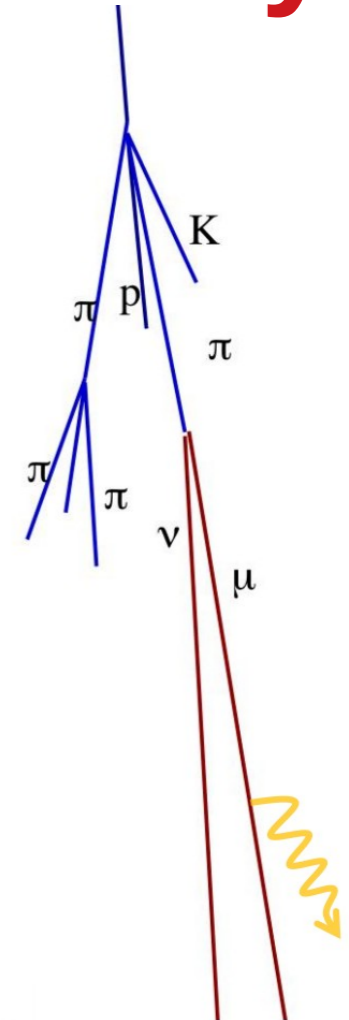
-estimated **uncertainties**



MCEq: a new tool

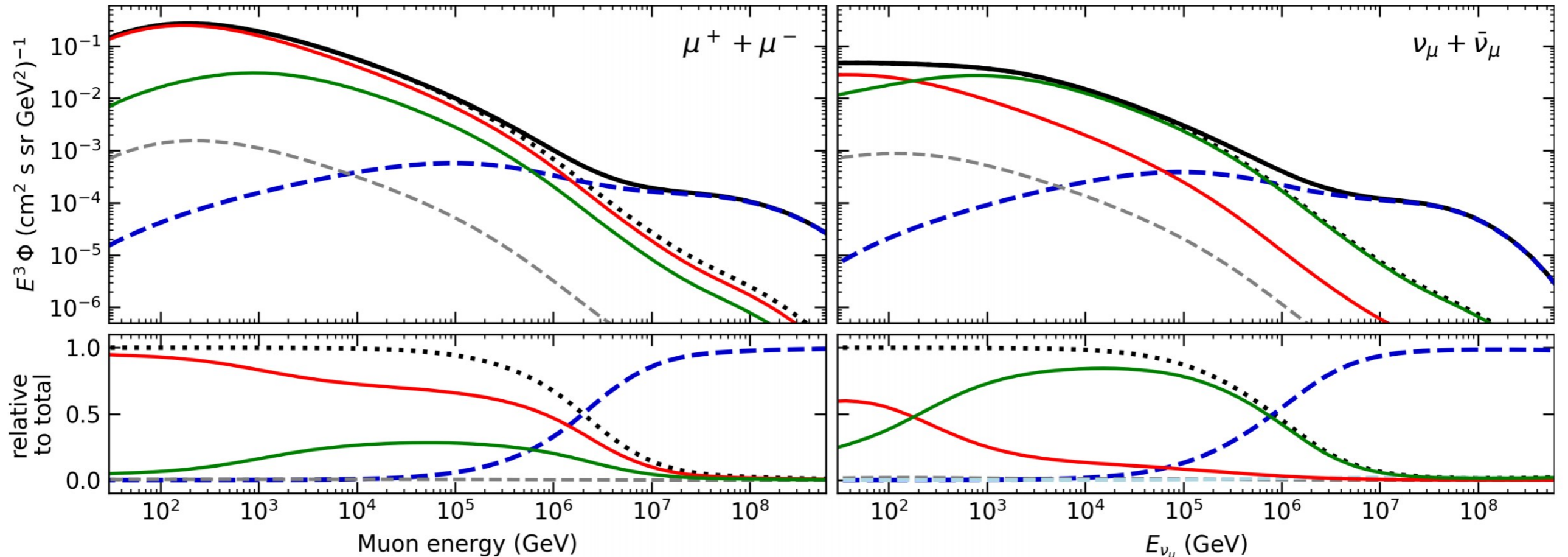
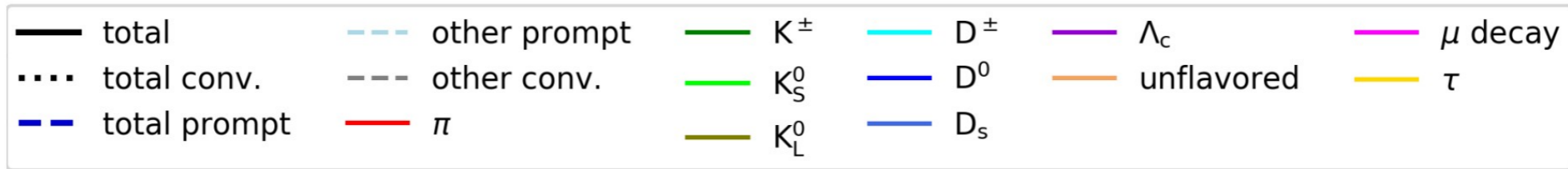
-solving transport equations **numerically**

$$\begin{aligned}
 \frac{d\Phi_h(E, X)}{dX} = & - \frac{\Phi_h(E, X)}{\lambda_{\text{int},h}(E)} && \text{Interactions with air} \\
 & - \frac{\Phi_h(E, X)}{\lambda_{\text{dec},h}(E, X)} && \text{Decays} \\
 & - \frac{\partial}{\partial E} (\mu(E)\Phi_h(E, X)) && \text{Continuous losses} \\
 & + \sum_k \int_E^\infty dE_k \frac{dN_{k(E_k) \rightarrow h(E)}}{dE} \frac{\Phi_k(E_k, X)}{\lambda_{\text{int},k}(E_k)} && \text{Re-injection from interactions} \\
 & + \sum_k \int_E^\infty dE_k \frac{dN_{k(E_k) \rightarrow h(E)}^{\text{dec}}}{dE} \frac{\Phi_k(E_k, X)}{\lambda_{\text{dec},k}(E_k, X)} && \text{Re-injection from decays}
 \end{aligned}$$



$$X(h_0) = \int_0^{h_0} dl \rho_{\text{air}}(l)$$

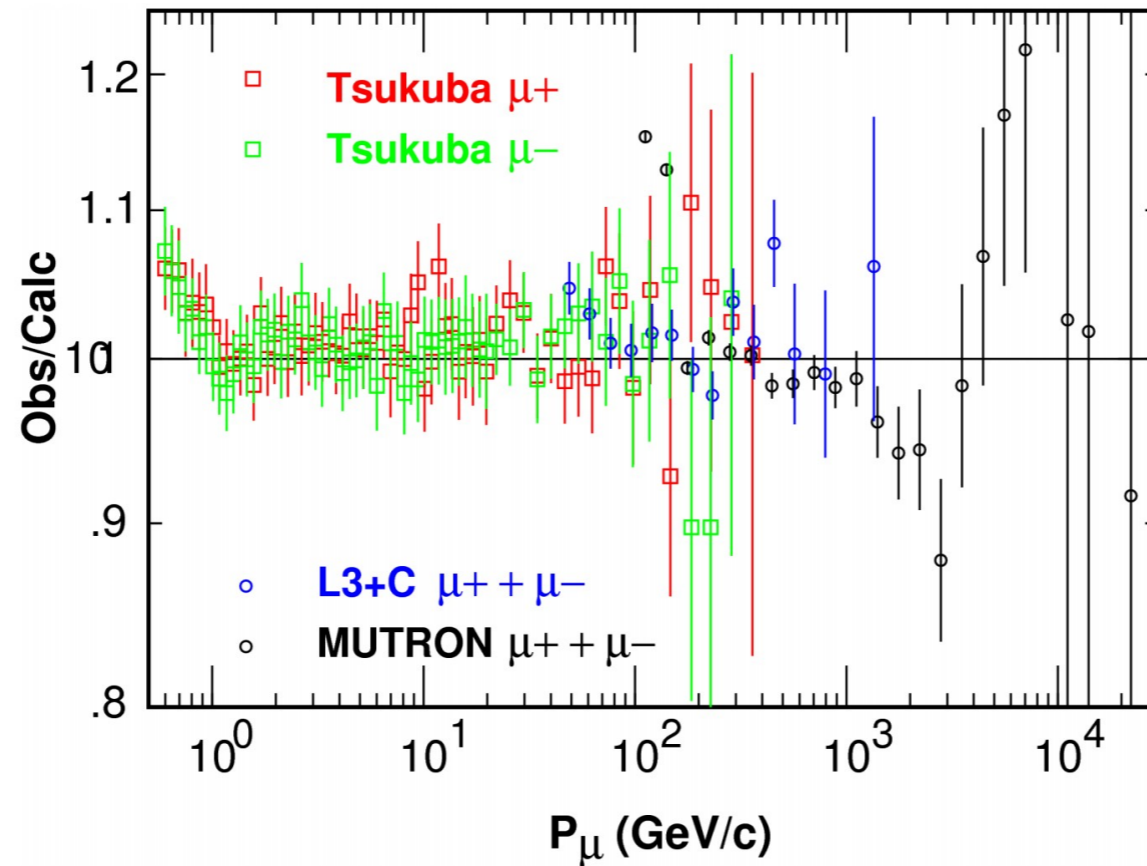
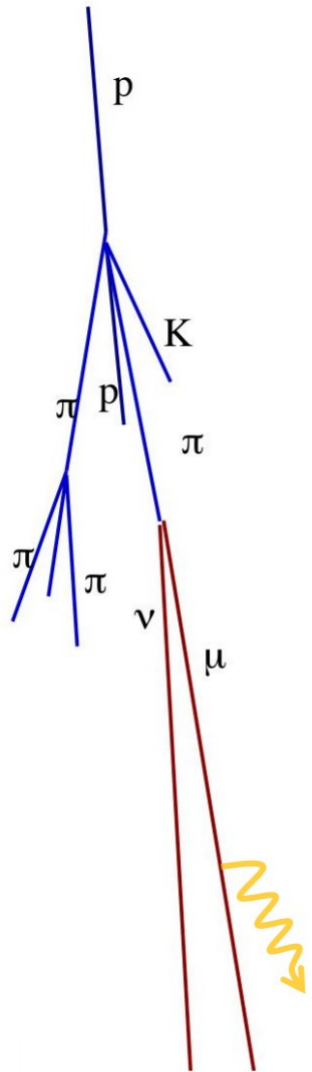
MCEq: a new tool



A. Fedynitch, PANE2018
<https://github.com/afedynitch/MCEq>

calibrating fluxes with muons

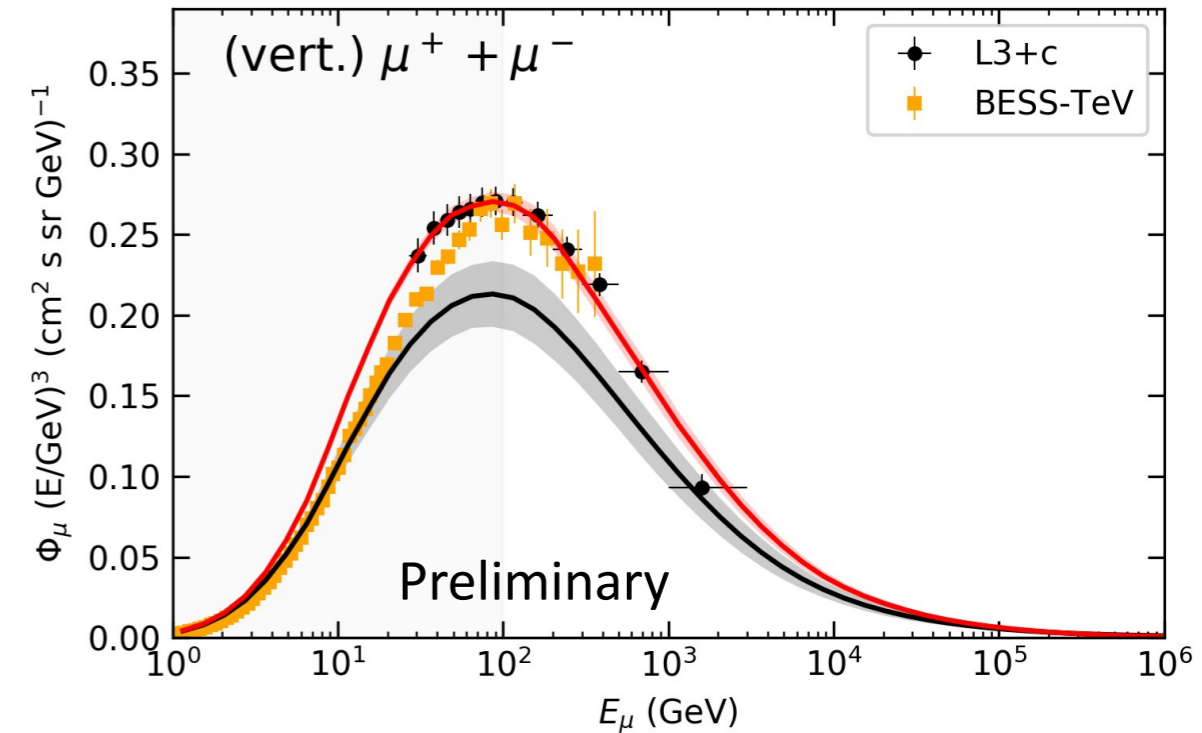
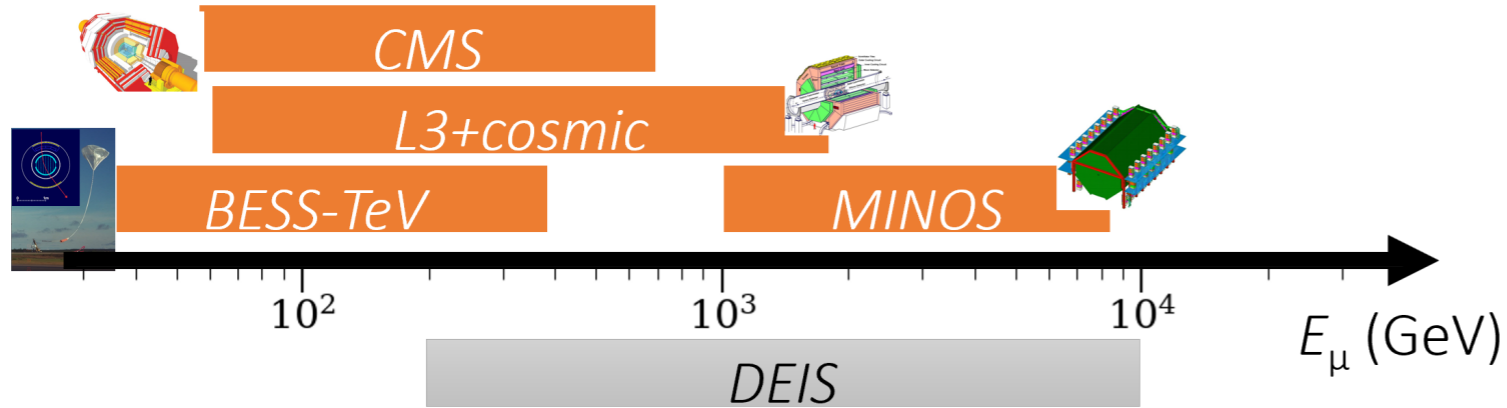
-use **cosmic muon** data to calibrate hadronic int. models → **nu flux**



Honda, PANE2018
Muon flux comparison after
tuning hadronic interaction models

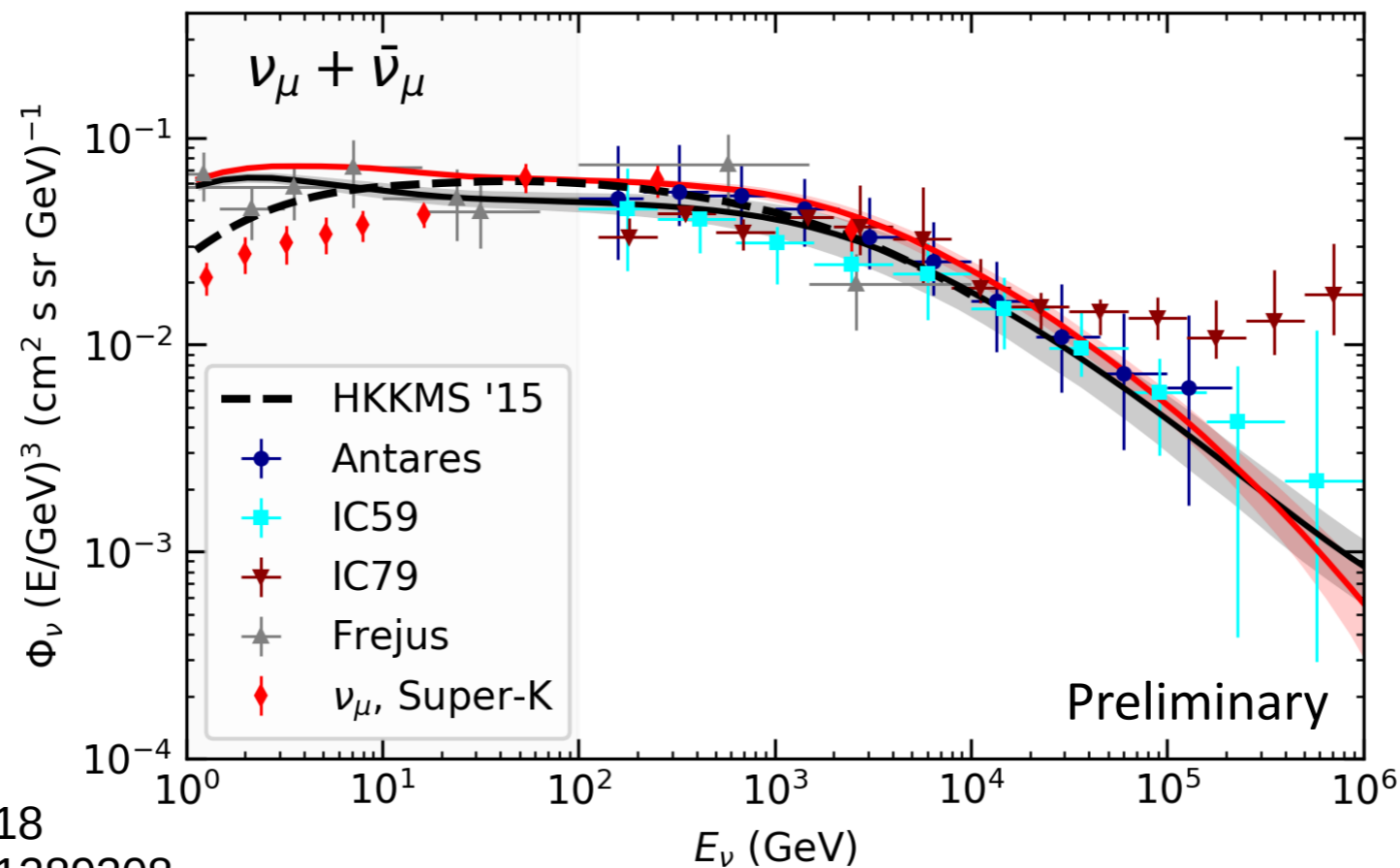
calibrating fluxes with muons

-use **all** available **cosmic muon** data to calibrate hadronic int. models → **nu flux**



calibrating fluxes with muons

-use **all** available **cosmic muon** data to calibrate hadronic int. models → **nu flux**



final words

summary & outlook

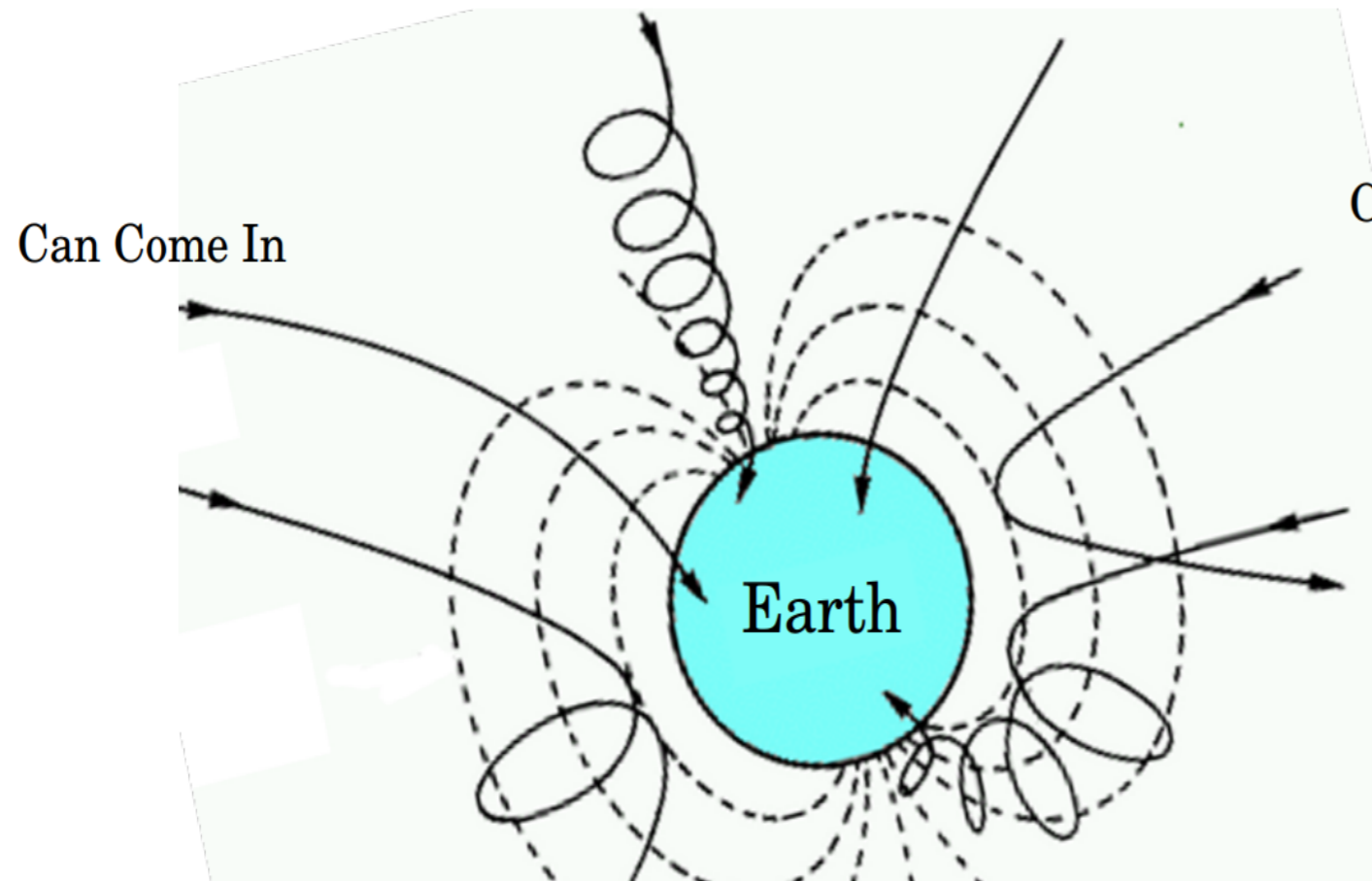
- atm. nus are an **invaluable tool** for neutrino physics
 - very large & unique phase space in **L/E, flavor**
- experiments producing **well understood, reliable** results
 - next generation measurements tough, but possible
 - neutrino flux unknowns already playing a role
- renewed efforts to **model & understand** atm nus ongoing
 - more data, new software, workshops in last years

backup

flux basic inputs

-geomagnetic **effects**

Can Come In



Can't Come In

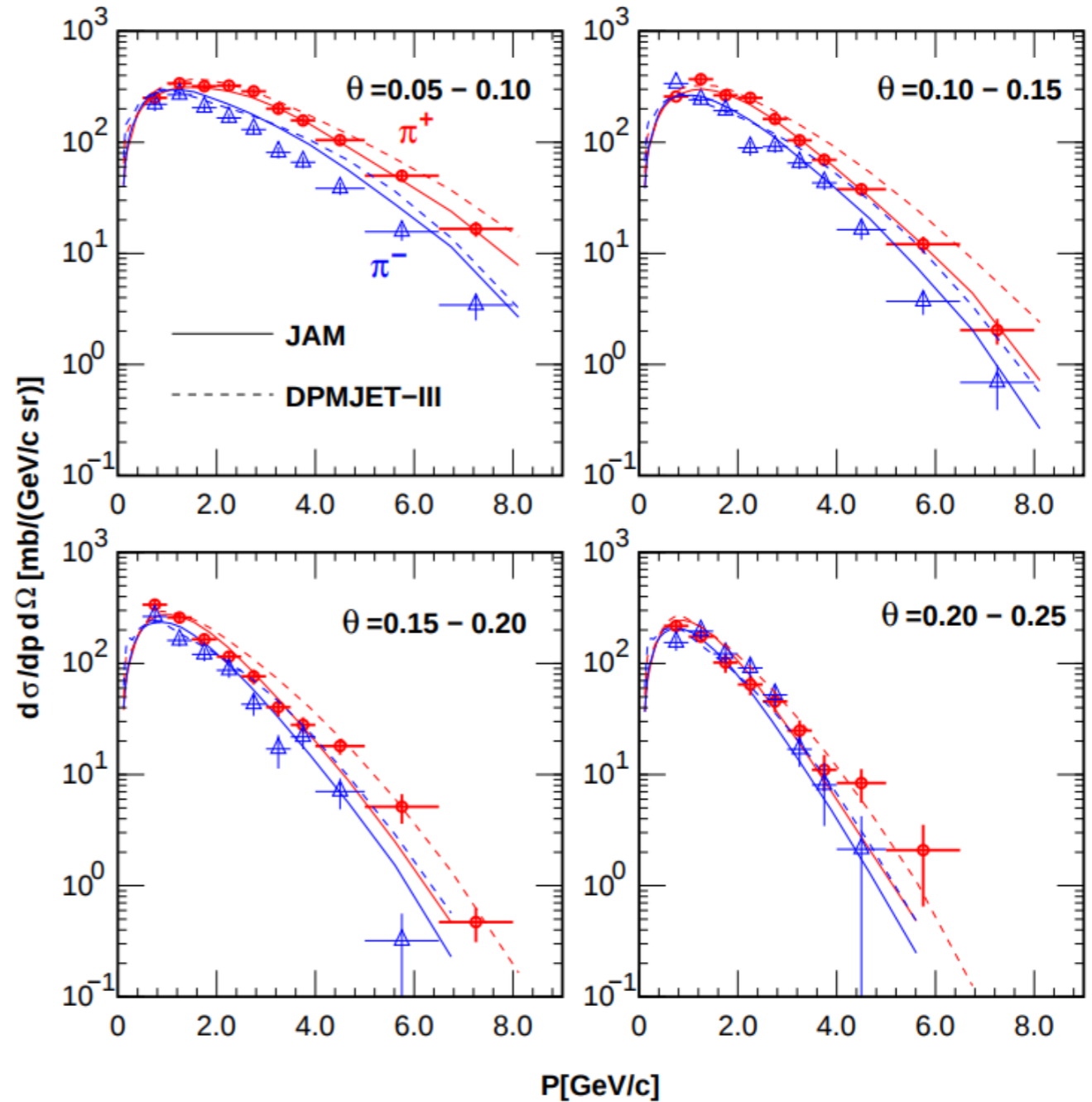
-3D calculations

-site-specific

flux basic inputs

-hadronic
interactions
HARP data

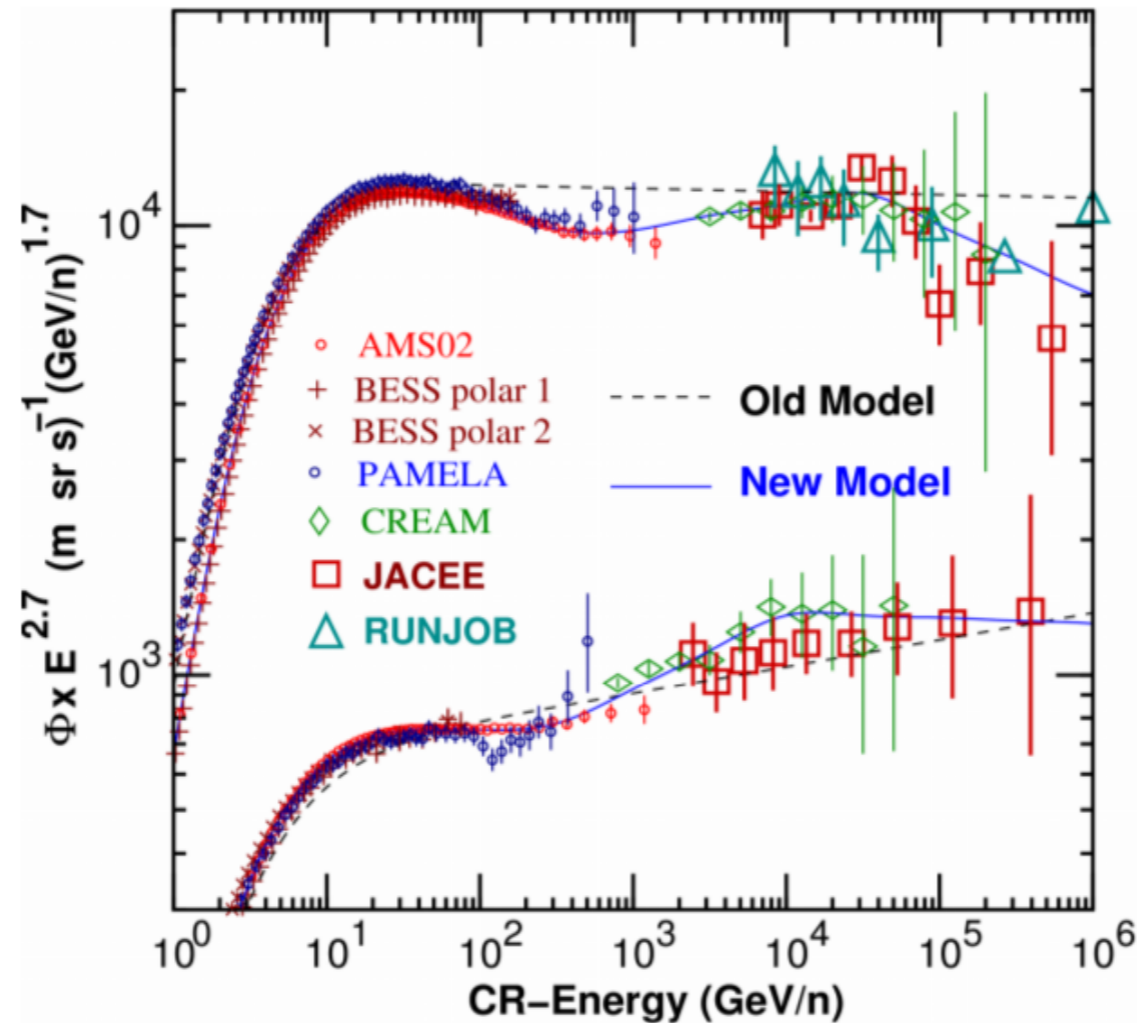
Phys.Rev.D83:123001,2011



HKKM CR flux

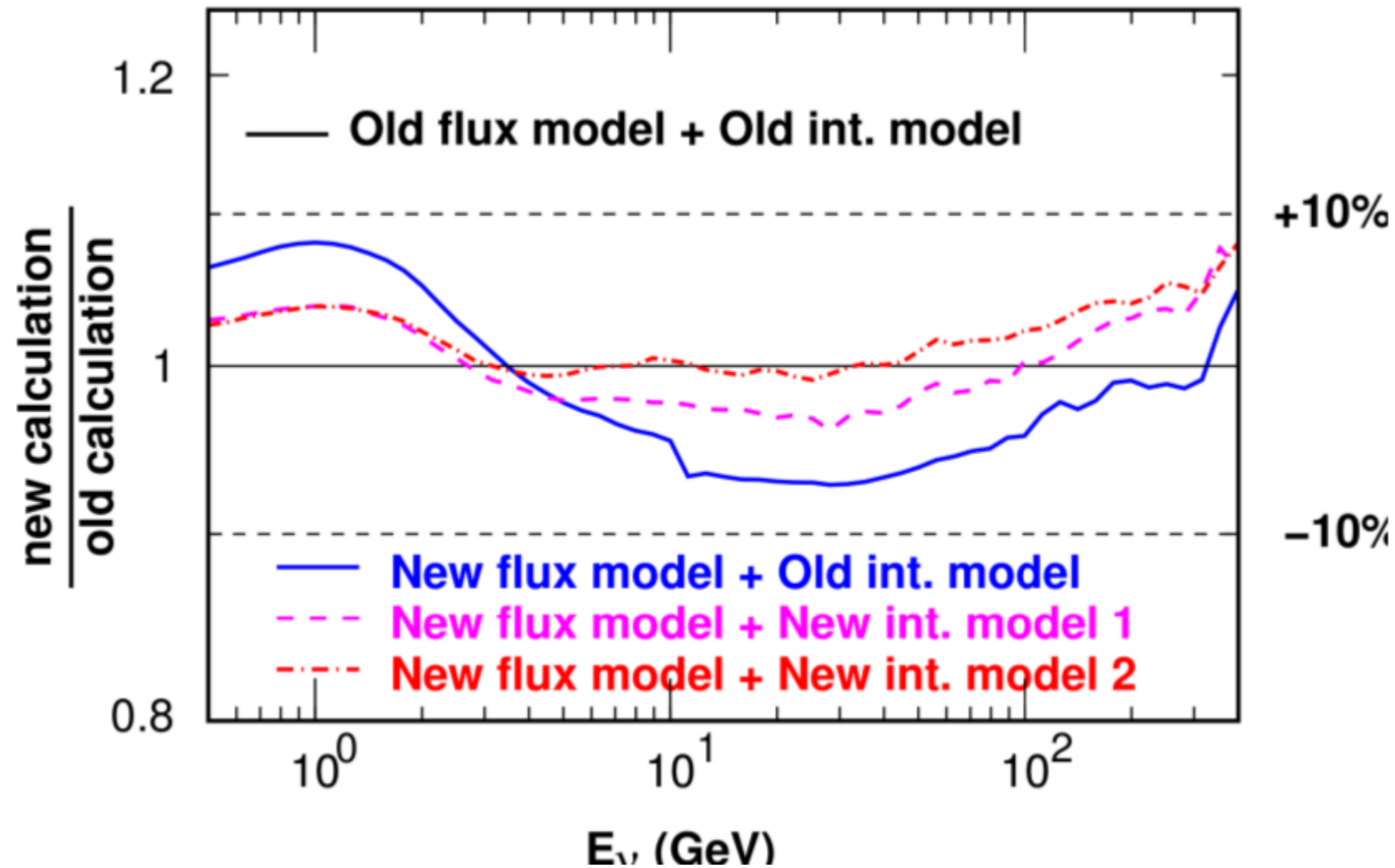
New Cosmic Ray Model with AMS02 and BESS-polar

Honda
AtmNuWorkshop 2016



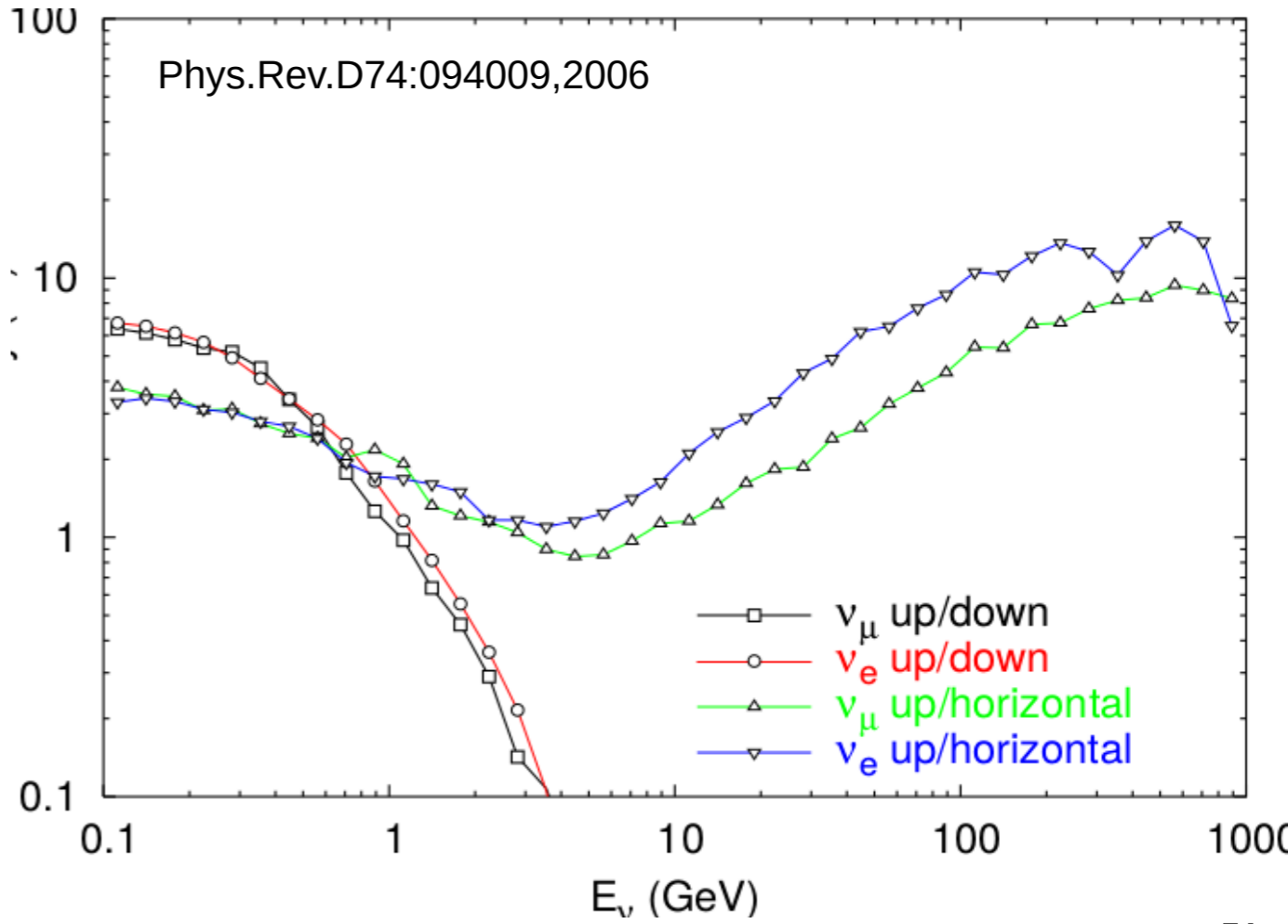
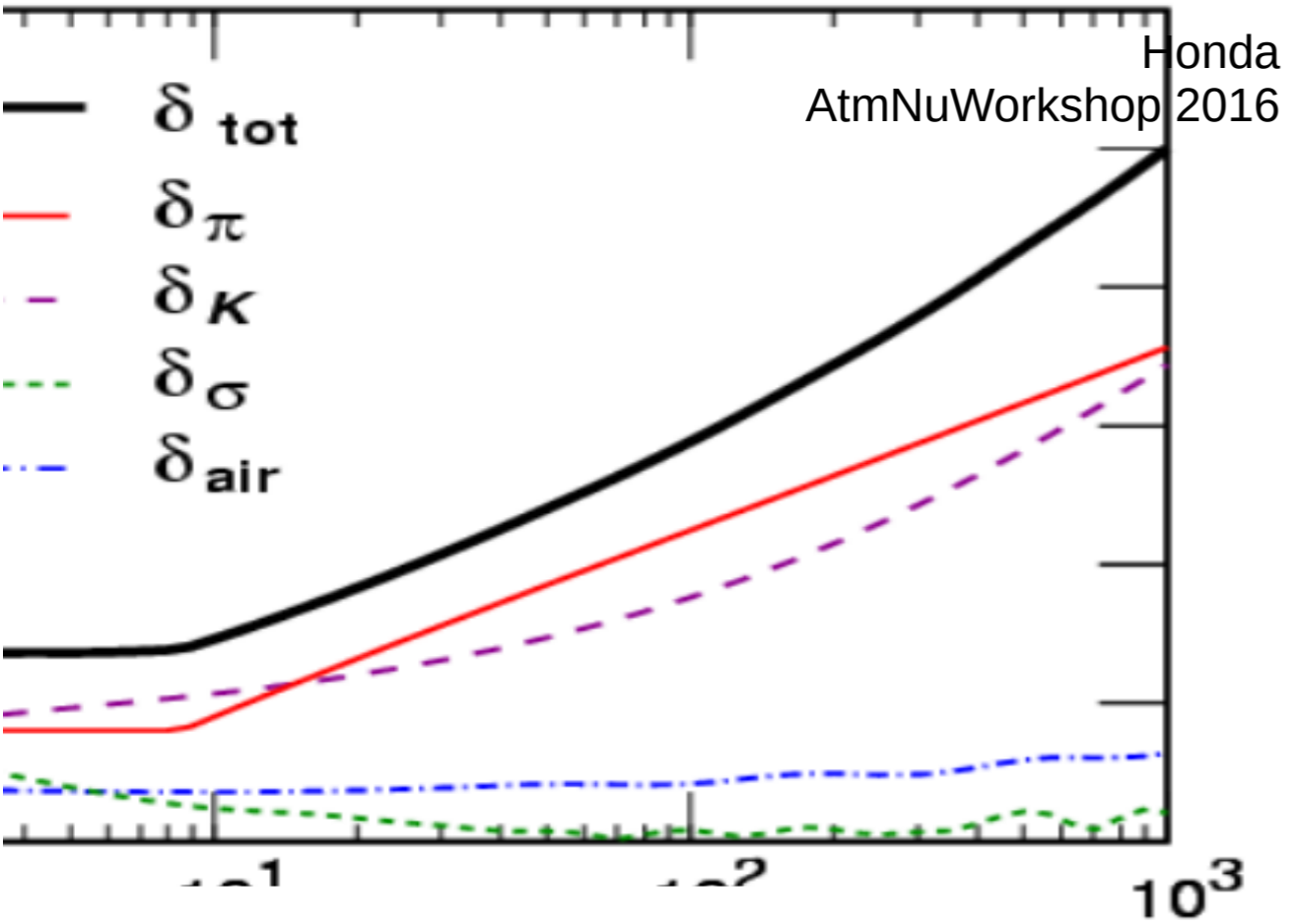
HKKM neutrino flux updates

Honda
AtmNuWorkshop 2016



atmospheric neutrino flux

-and its **uncertainties**

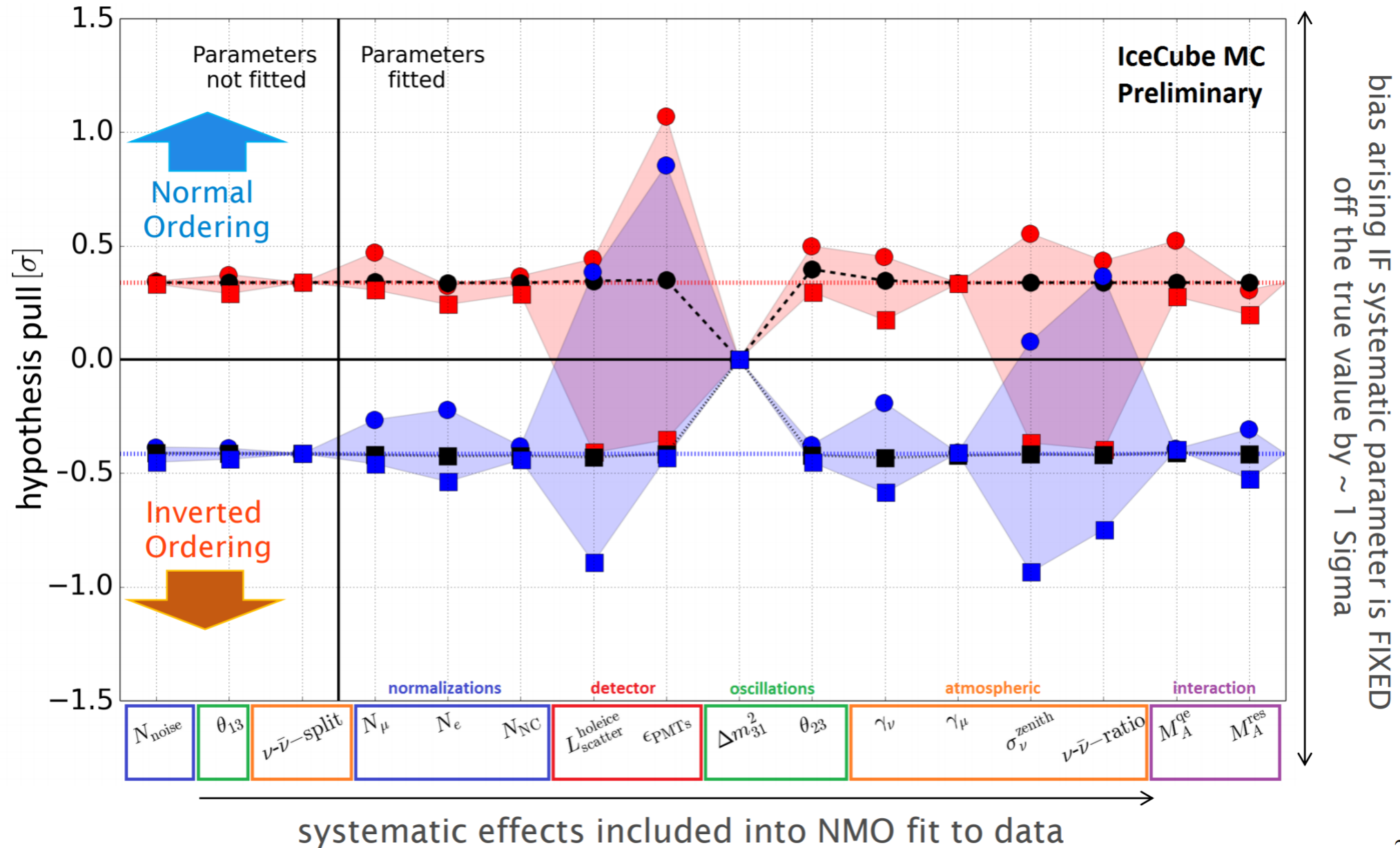


VLVNTs vs beam experiments

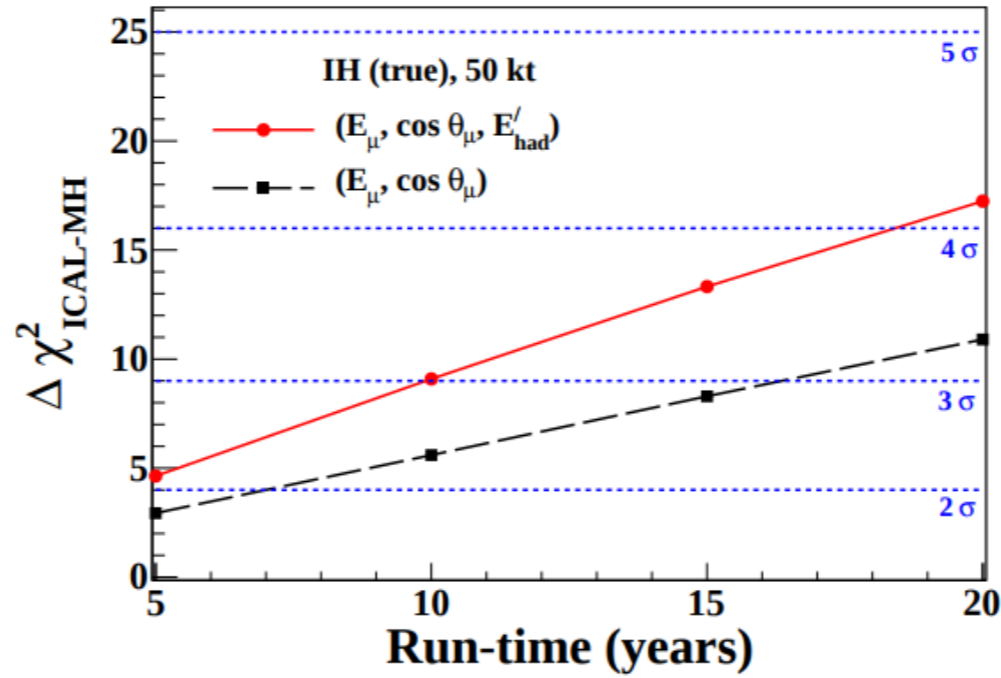
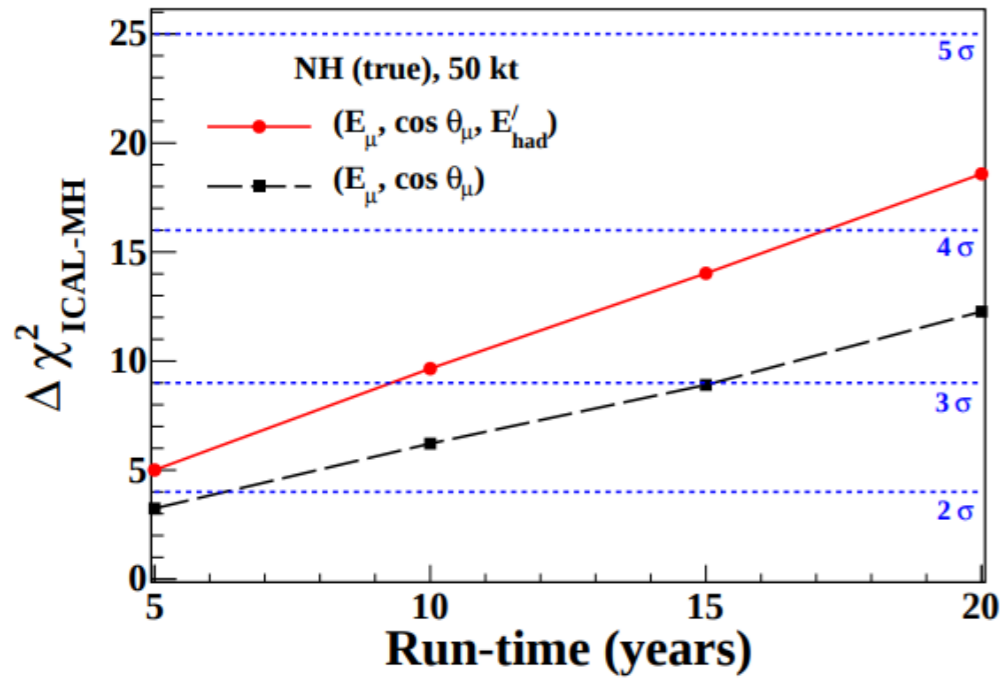
TABLE 1: Qualitative comparison of experiments measuring the atmospheric neutrino oscillation parameters. The table is divided into detector and flux characteristics. Note that the far detector of T2K is Super-Kamiokande but uses accelerator neutrinos. Detector performances taken from [4, 9, 38, 43, 49, 83, 95]. Expected neutrino events quoted from published results of ν_μ disappearance at analysis level (note that for VLVNTs this number can vary significantly depending on the studied range in energy, zenith angle, and topology). COH refers to coherent pion production. For details on the other interaction channels and energy ranges see Figure 8.

Parameter	VLVNT		SK	MINOS, T2K, and NOvA
	ANTARES	DeepCore		
Instrumentation density (m^{-3})	9.1×10^{-5} OMs	2.3×10^{-5} DOMs	0.2 OMs	15 channels
Detector (far)	Cherenkov light over tens of meters		Cherenkov rings	Trackers/calorimeters
E_ν resolution	$50\% \pm 22\%$	25% at 20 GeV	3% at 1 GeV	10–15% at 10 GeV
θ_ν resolution	3° at 20 GeV	8° at 20 GeV	2–3°	—
Particle ID capabilities	Muon/no muon in interaction		e, μ, π (rings)	Individual particles, charge
Source of neutrinos	Atmosphere: mix of $\nu_e, \bar{\nu}_e, \nu_\mu,$ and $\bar{\nu}_\mu$			Accelerator: $\nu_\mu/\bar{\nu}_\mu$ modes
Baseline	10–12700 km			300–800 km
Flux determination	Atm. ν models, self-fit		+top/down ratios	Near/far detector
Neutrino flux	10–100 GeV		Few MeV–few GeV	Few GeV
Energy range	DIS		QE	QE, RES, COH, and DIS
Main interaction channel	DIS		QE	QE, RES, COH, and DIS
ν events expected with osc.	530	1800	2000	30 (T2K), 900 (MINOS)
and without osc. (per year)	660	2300	2300	120 (T2K), 1050 (MINOS)

NMO in IceCube DeepCore



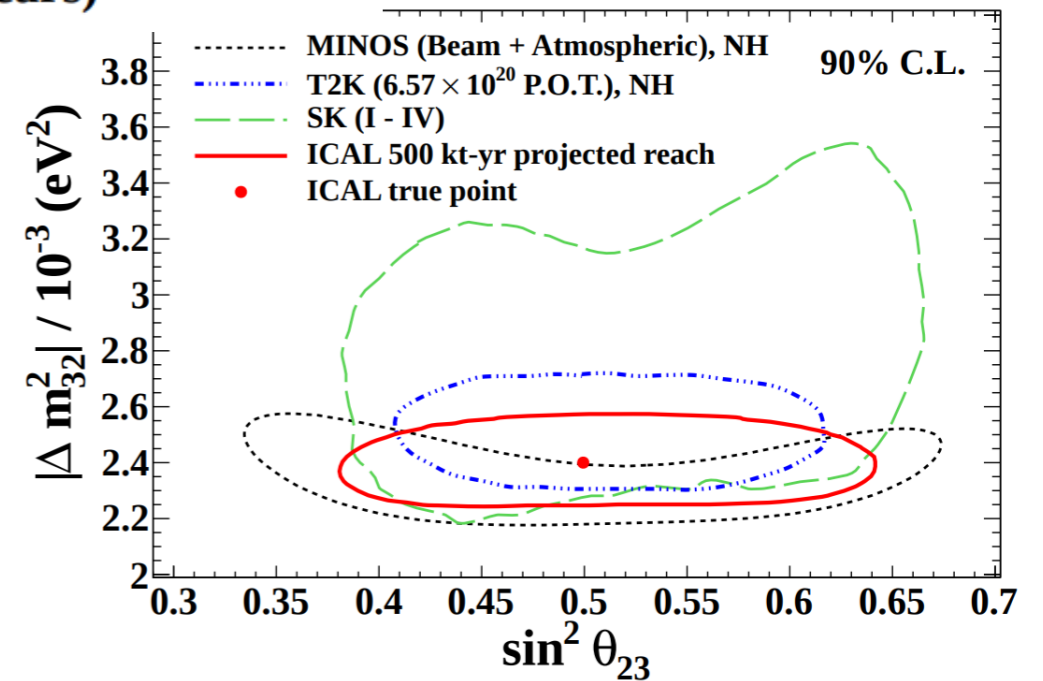
INO



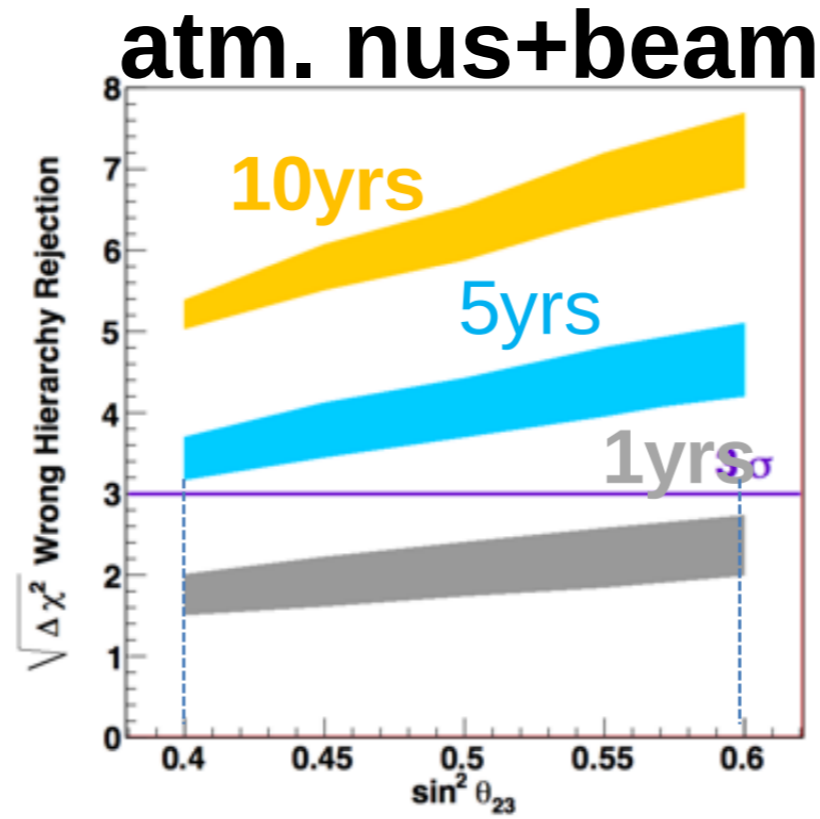
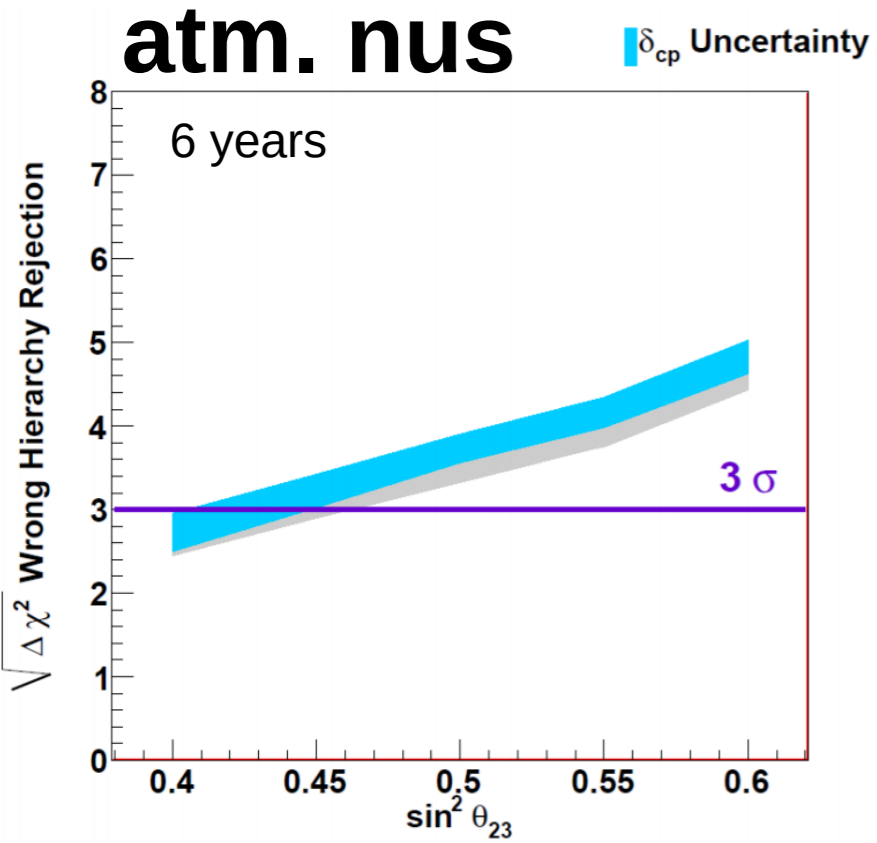
mass ordering

arXiv:1406.3689 [hep-ph]

oscillation parameters after 10 years of run-time



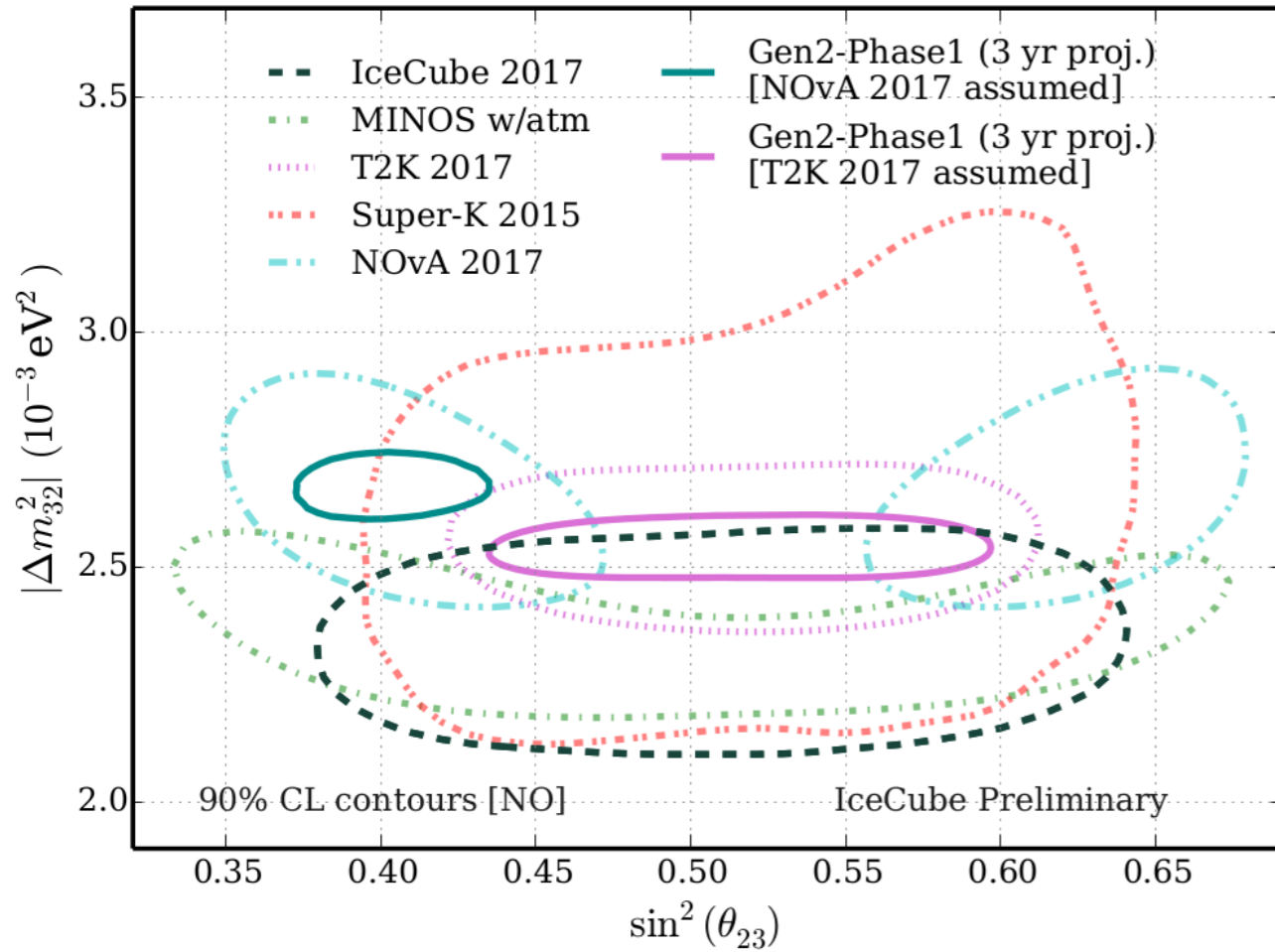
Hyper-Kamiokande (one tank)



CP-violation after 10 years (beam)

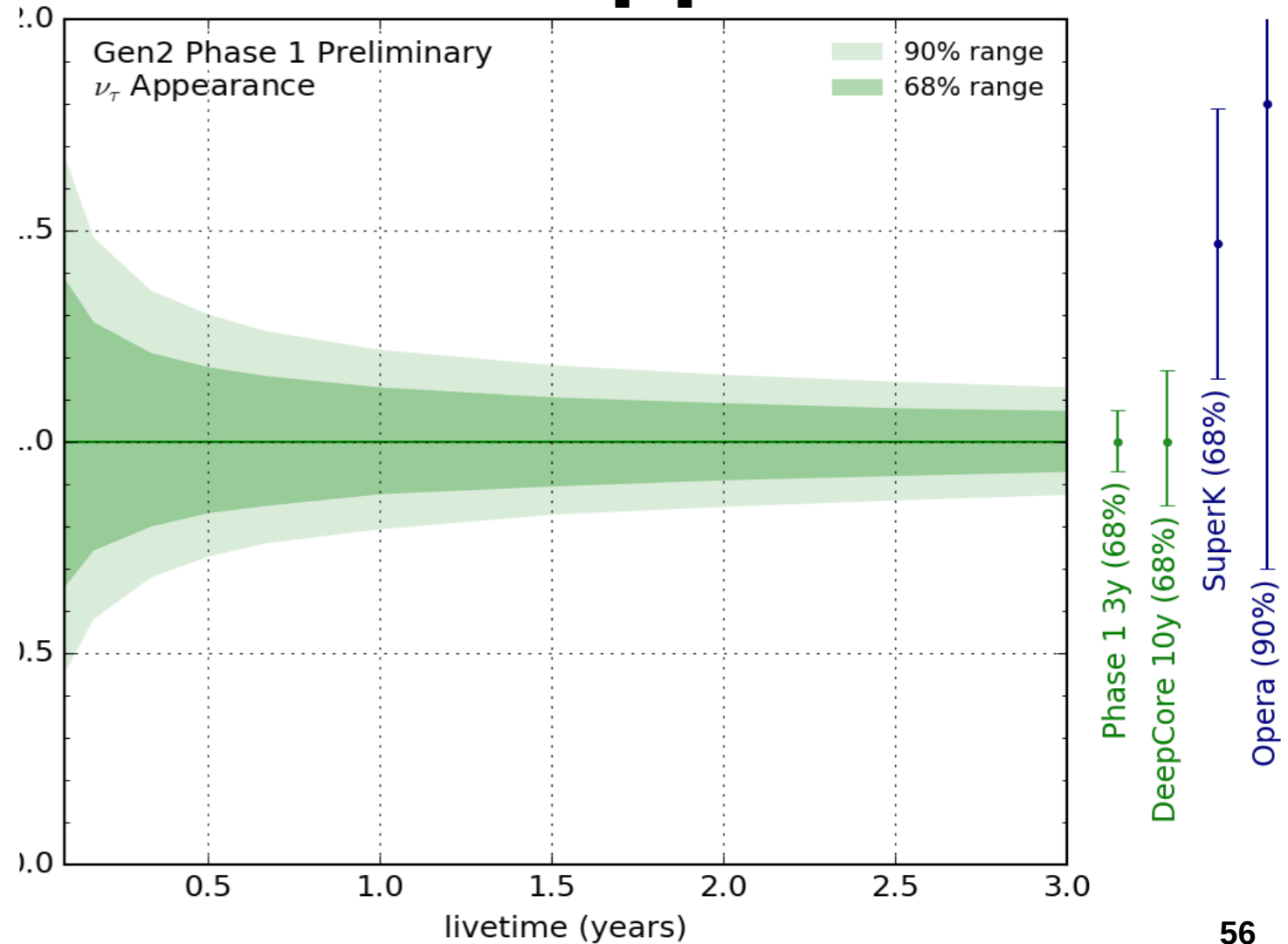
Zsoldos, ICRC2017

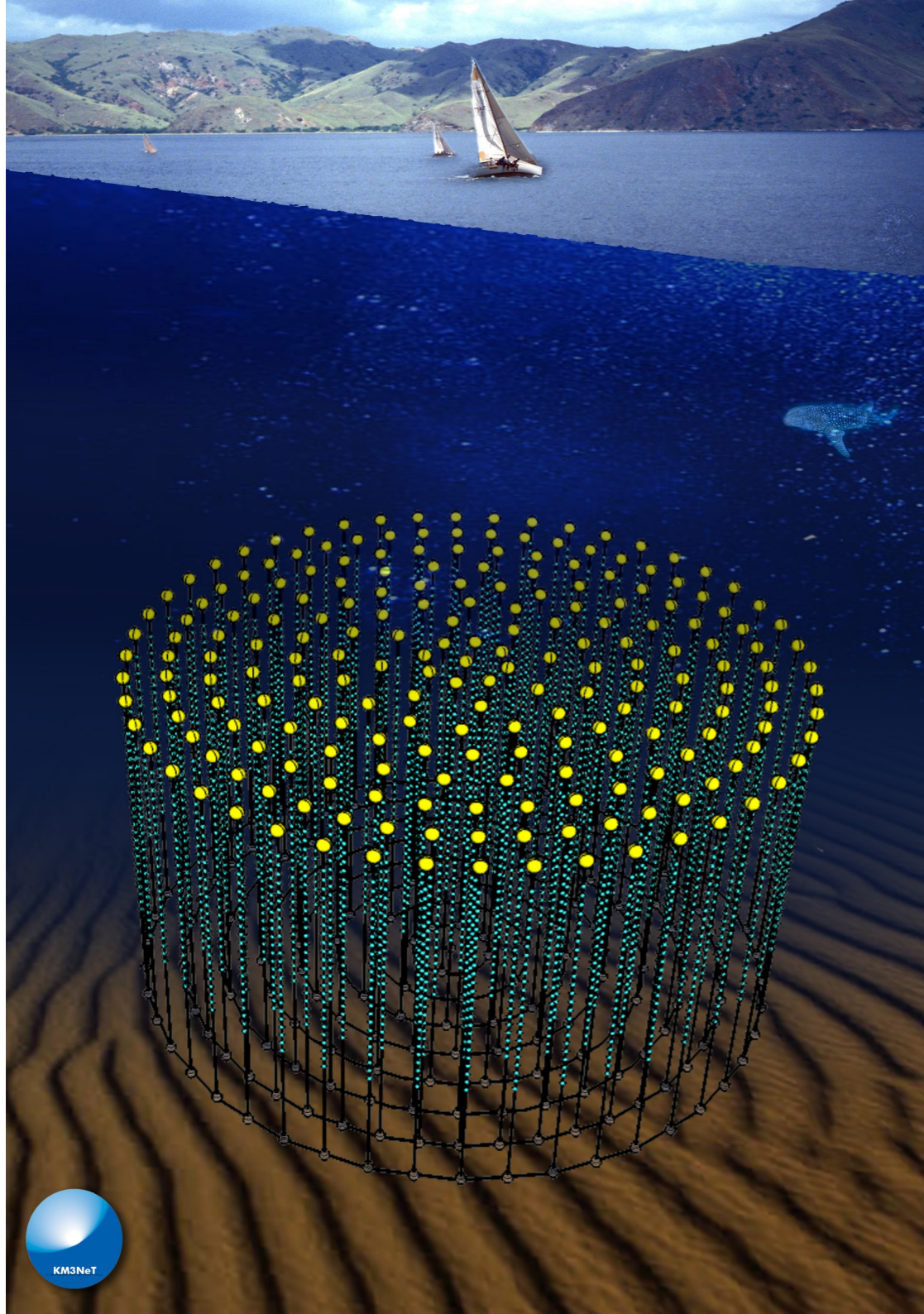
IceCube-Gen2 Phase1



osc. parameters

nutau appearance

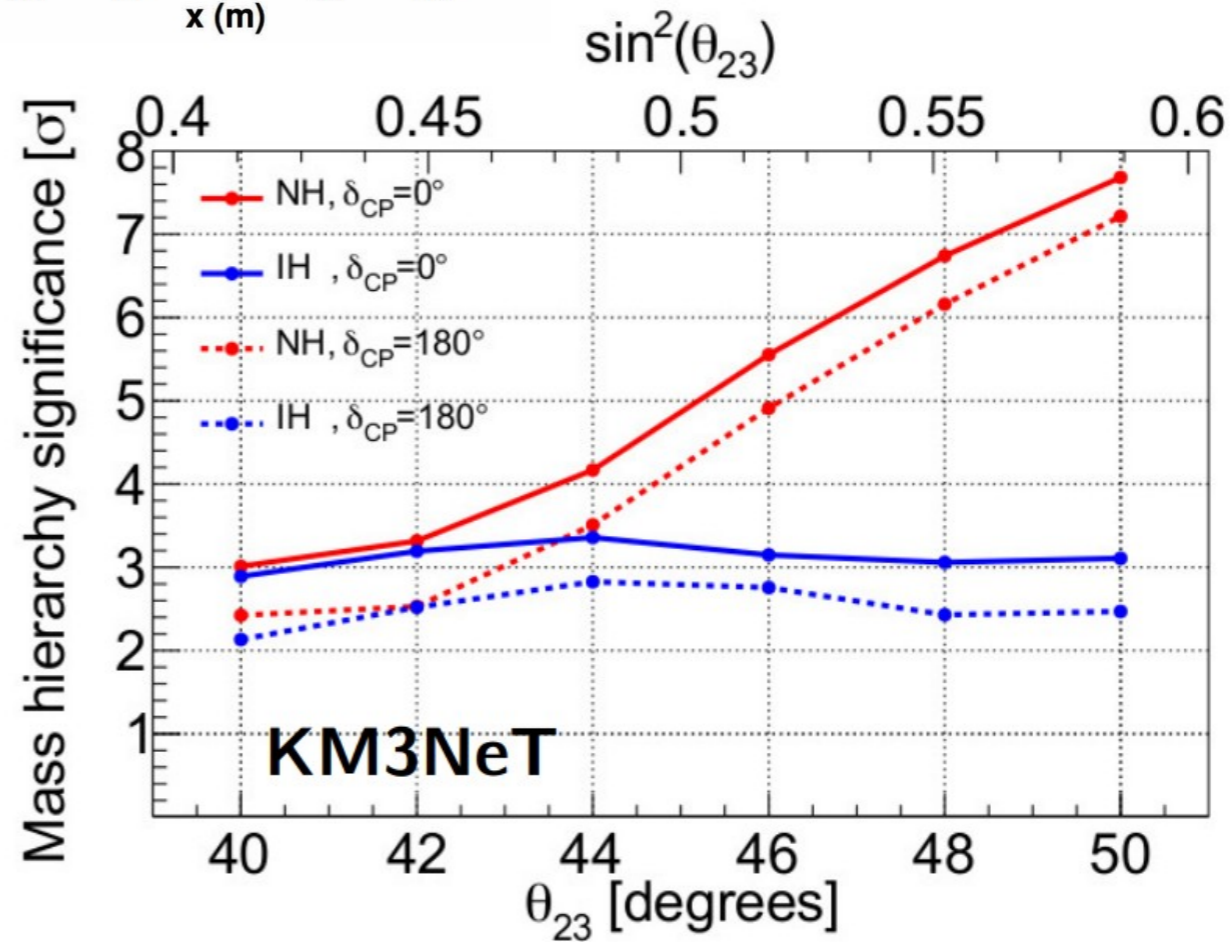
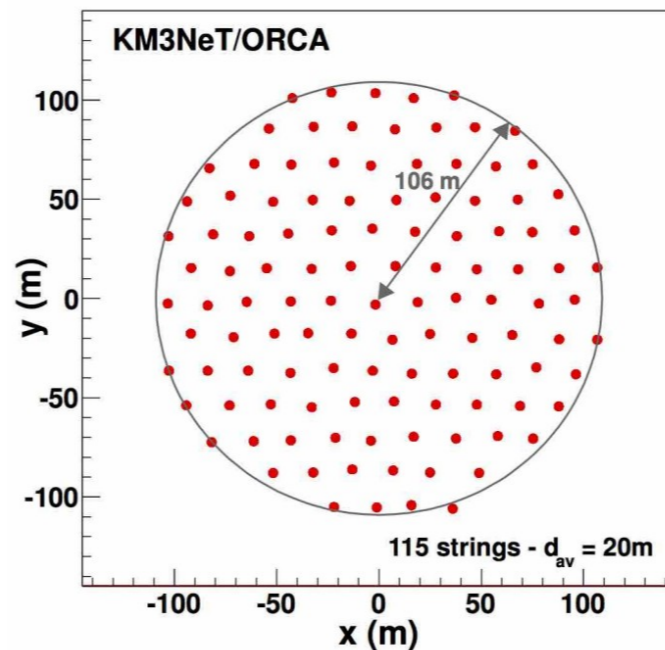




ORCA

mass ordering (3y)

J.Phys. G43 (2016) no.8, 084001



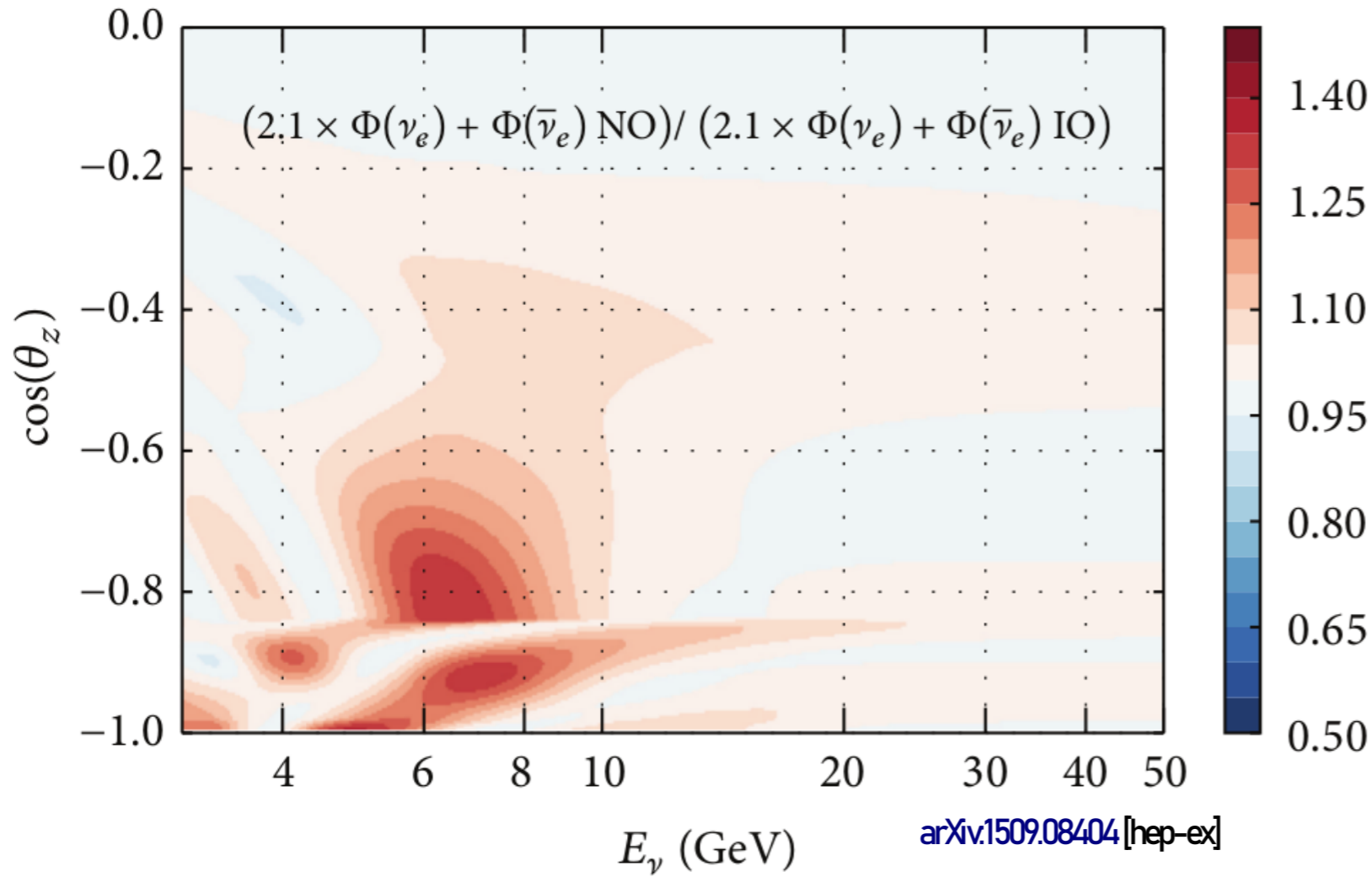


FIGURE 5: Expected interaction rate of electron neutrinos and antineutrinos predicted by a NO over the rate predicted assuming an IO. Using the oscillation parameters in [3]. Because of the flux ratio $\nu_\mu/\bar{\nu}_\mu$ and the cross section difference, estimated to be 2.1 times larger for neutrinos than antineutrinos, more electron neutrino interactions are expected for a NO.