

# Neutrino Physics with Deep Learning on NOvA

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Indiana University

On behalf of the

NOvA Collaboration





# NOvA

A long baseline neutrino oscillation experiment utilizing the NuMI beam at Fermilab.



## Physics Program:

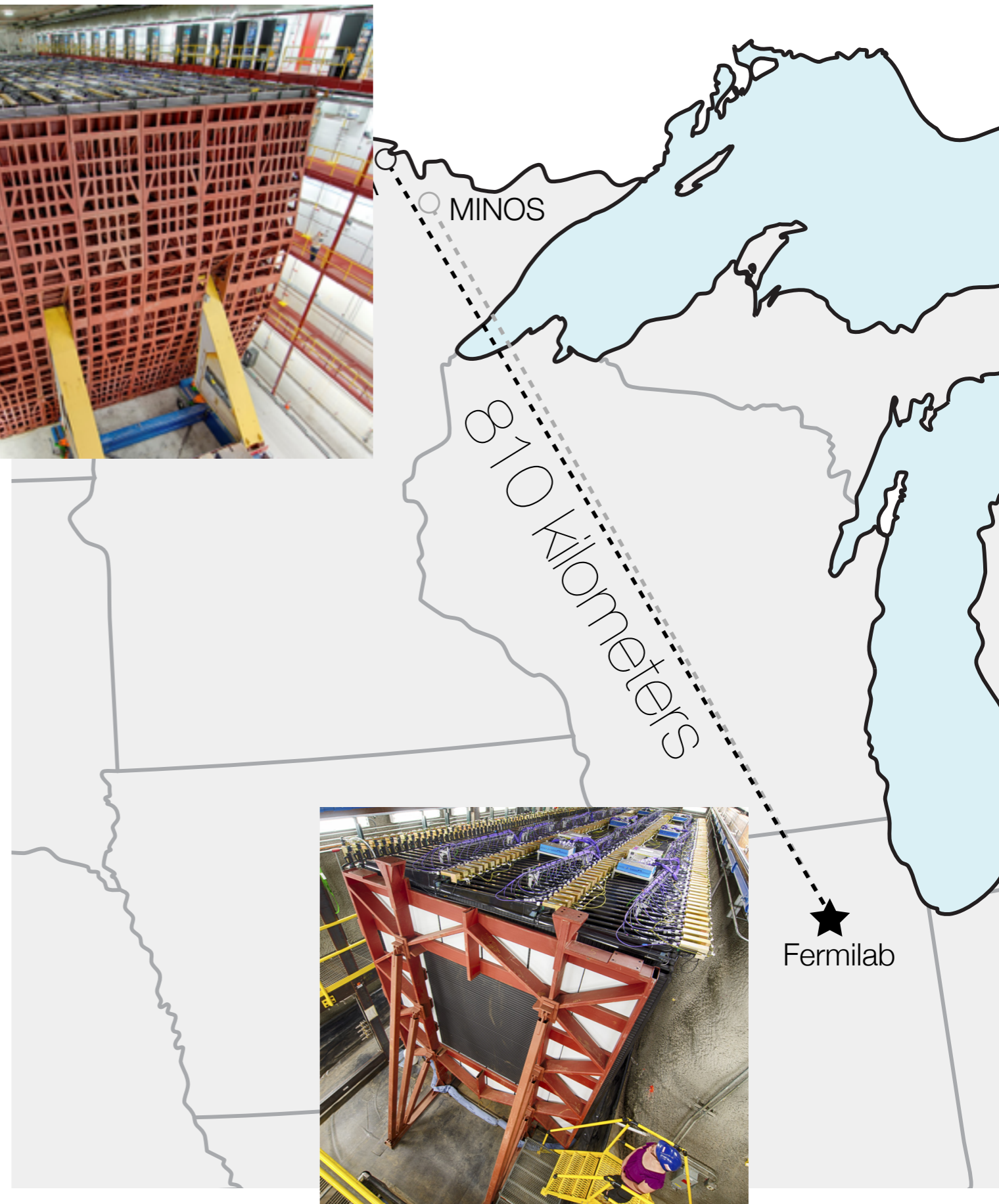
Neutrino Oscillations

Neutrino Cross Sections

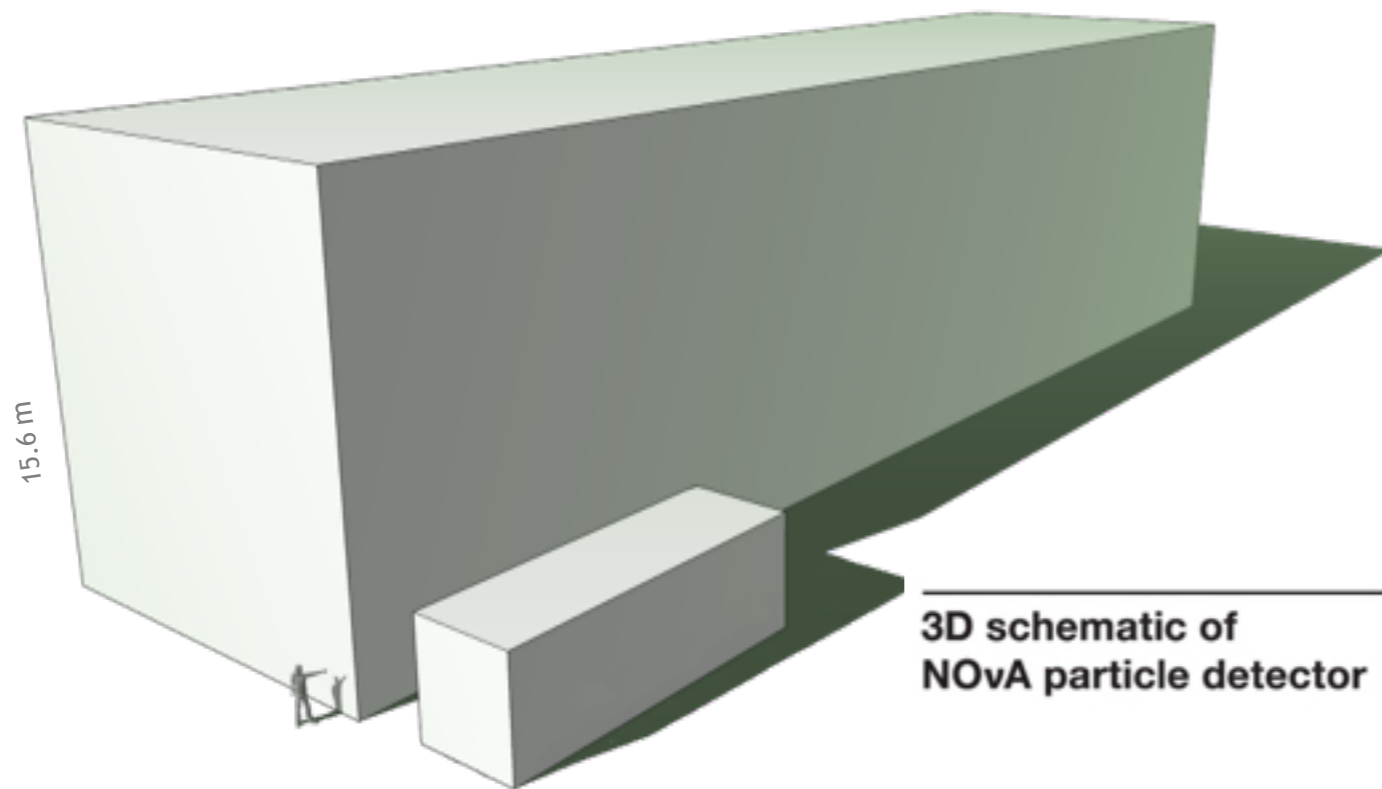
Supernova Neutrinos

Exotic Phenomena

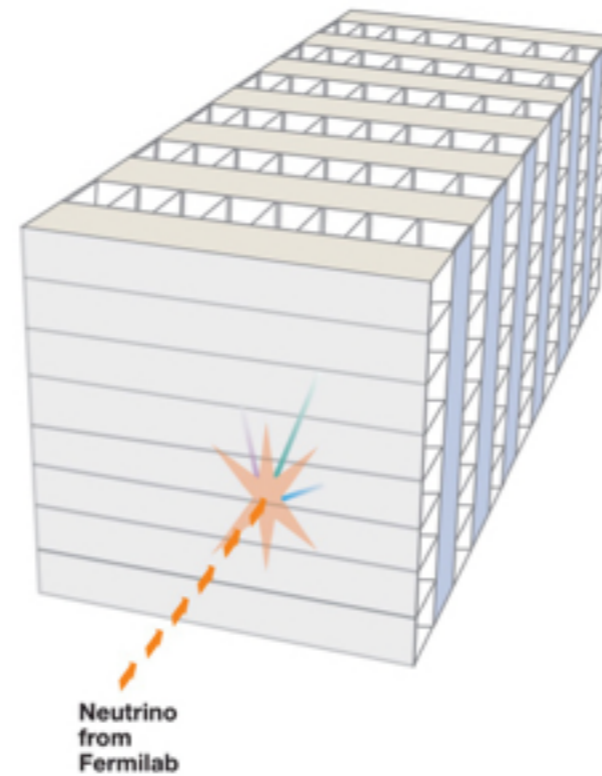
***Measurables are Neutrino Flavor and Energy***



# NOvA

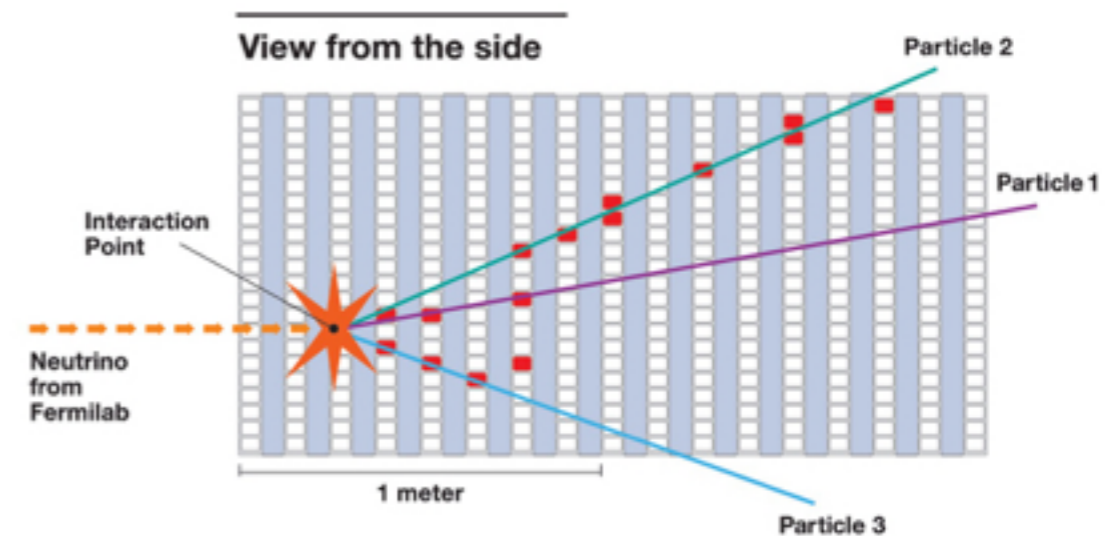
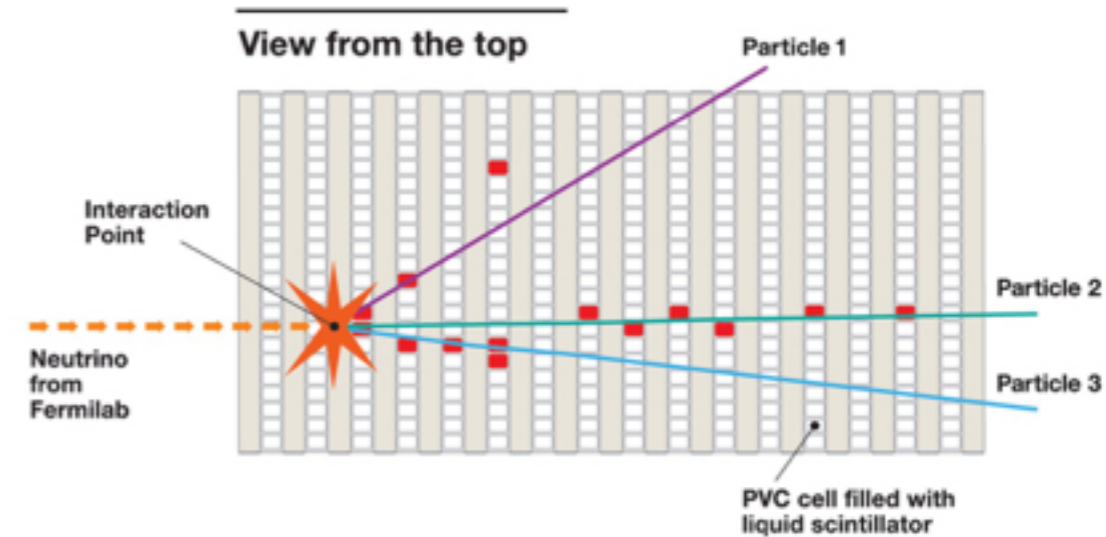


3D schematic of NOvA particle detector

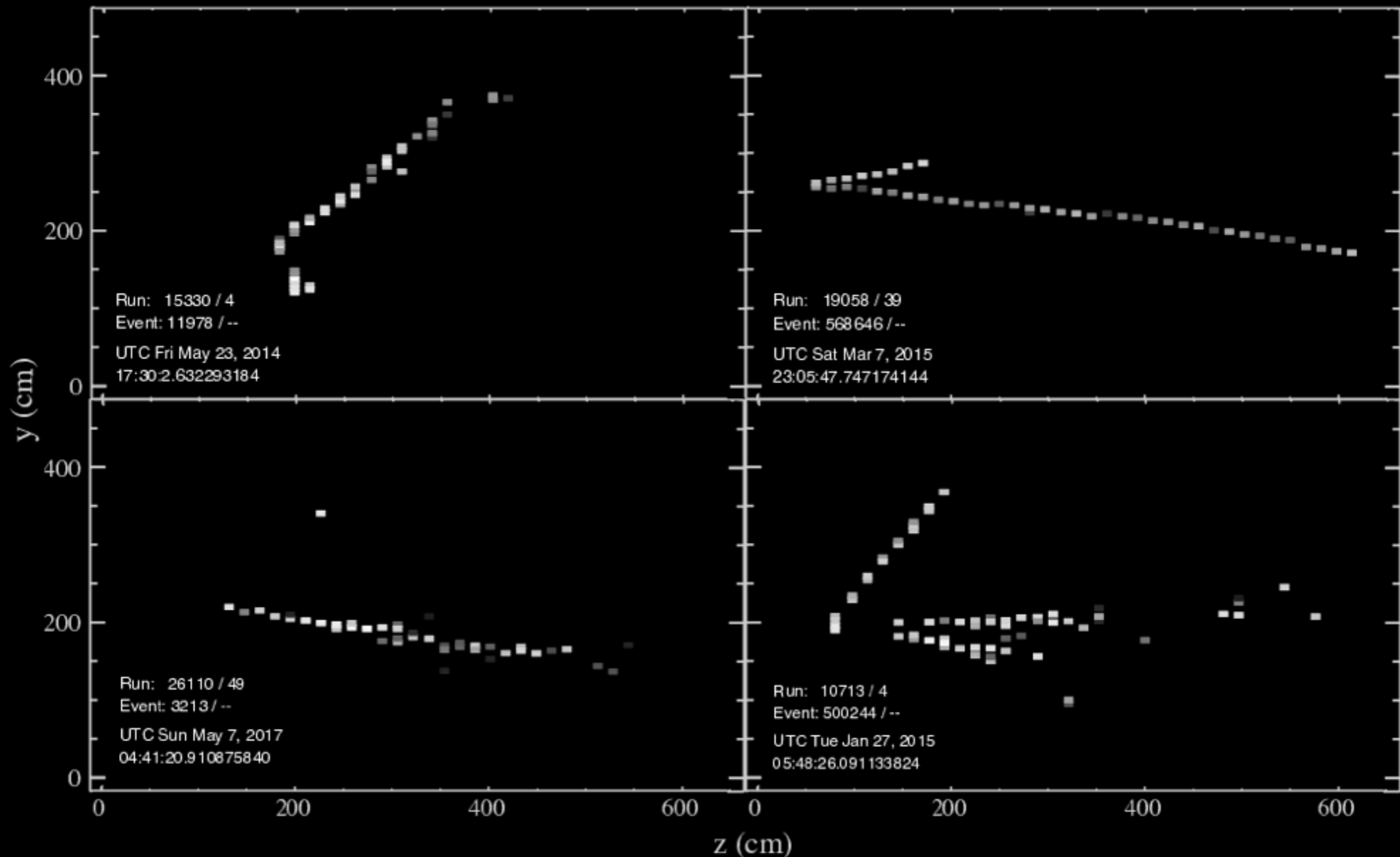


Both detectors are functionally equivalent sampling calorimeters.

Detect scintillation light from charged particles.

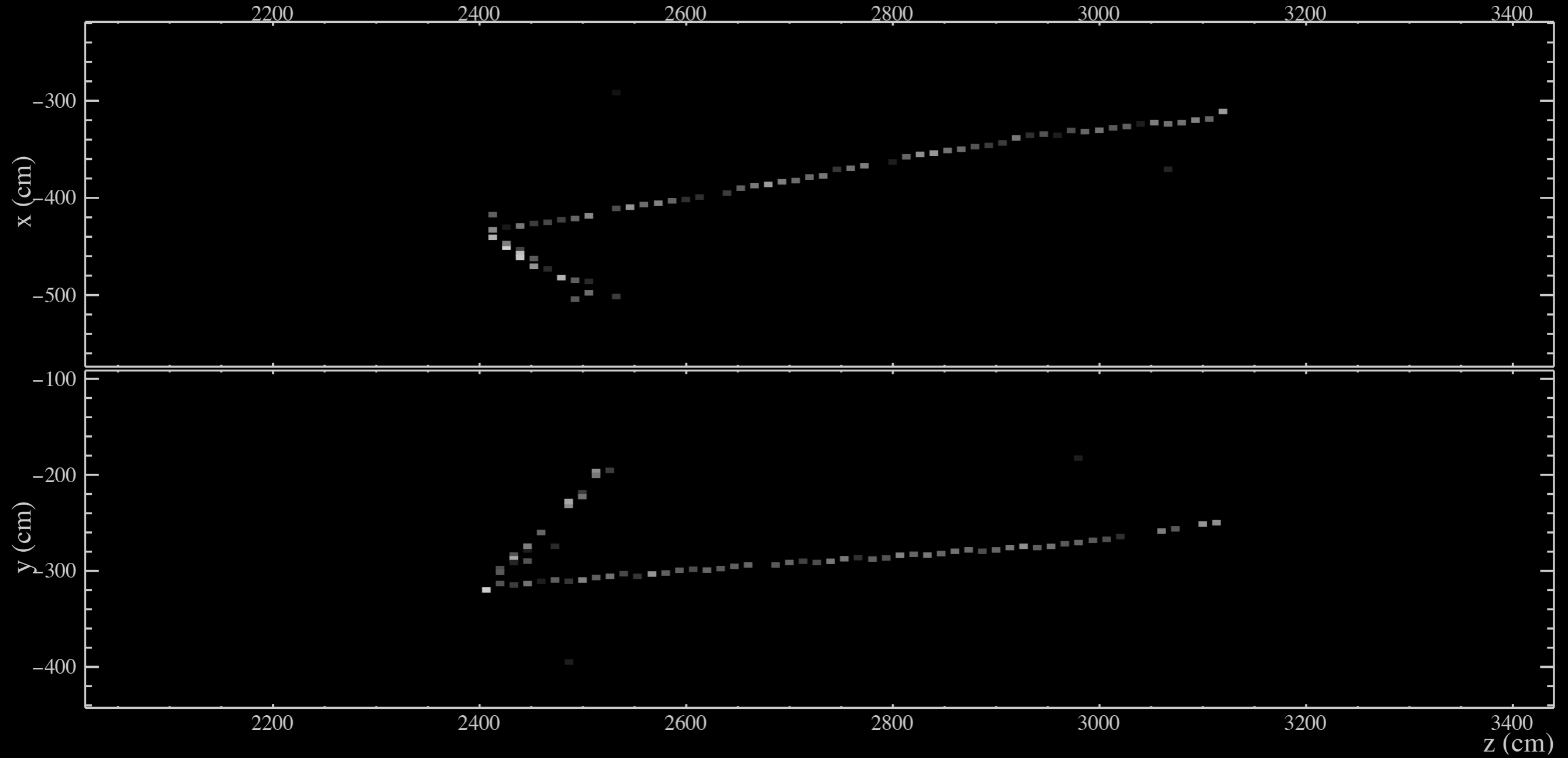


# Signature Data Events





# NOvA Events



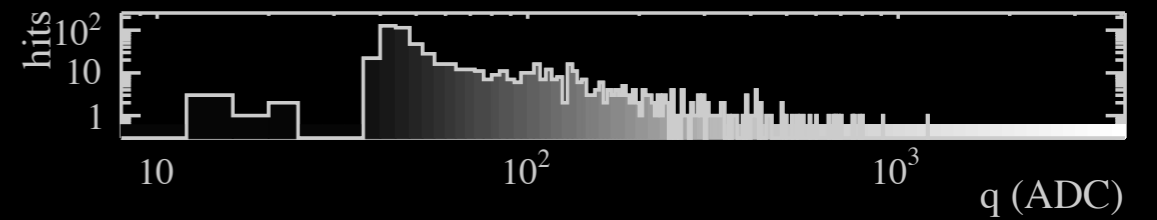
## NOvA - FNAL E929

Run: 14828 / 38

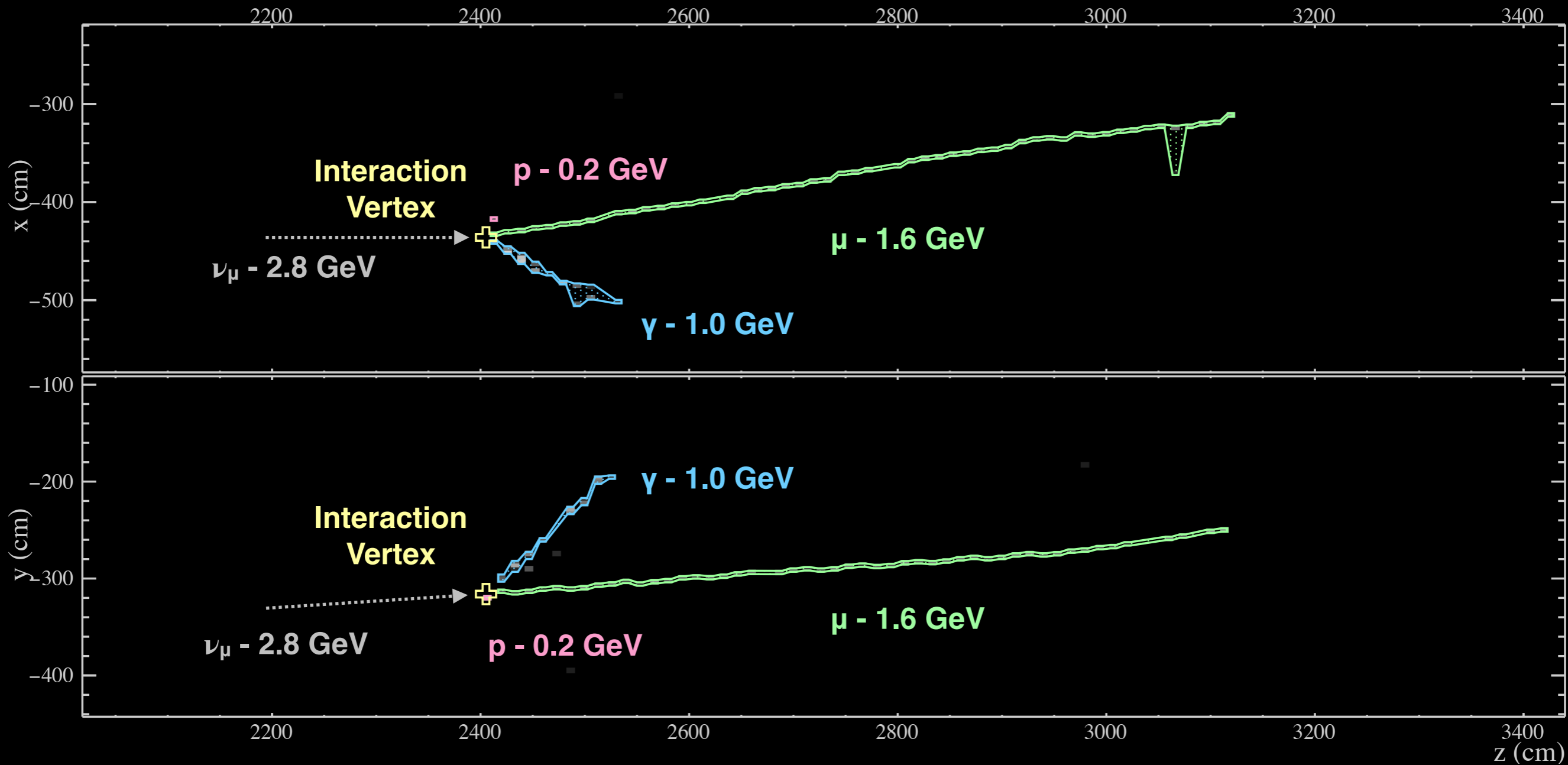
Event: 192569 / --

UTC Tue Apr 22, 2014

21:41:51.422846016



# Reconstruction



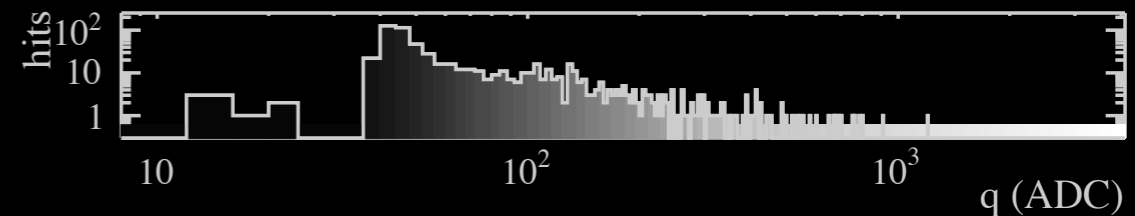
NOvA - FNAL E929

Run: 14828 / 38

Event: 192569 / --

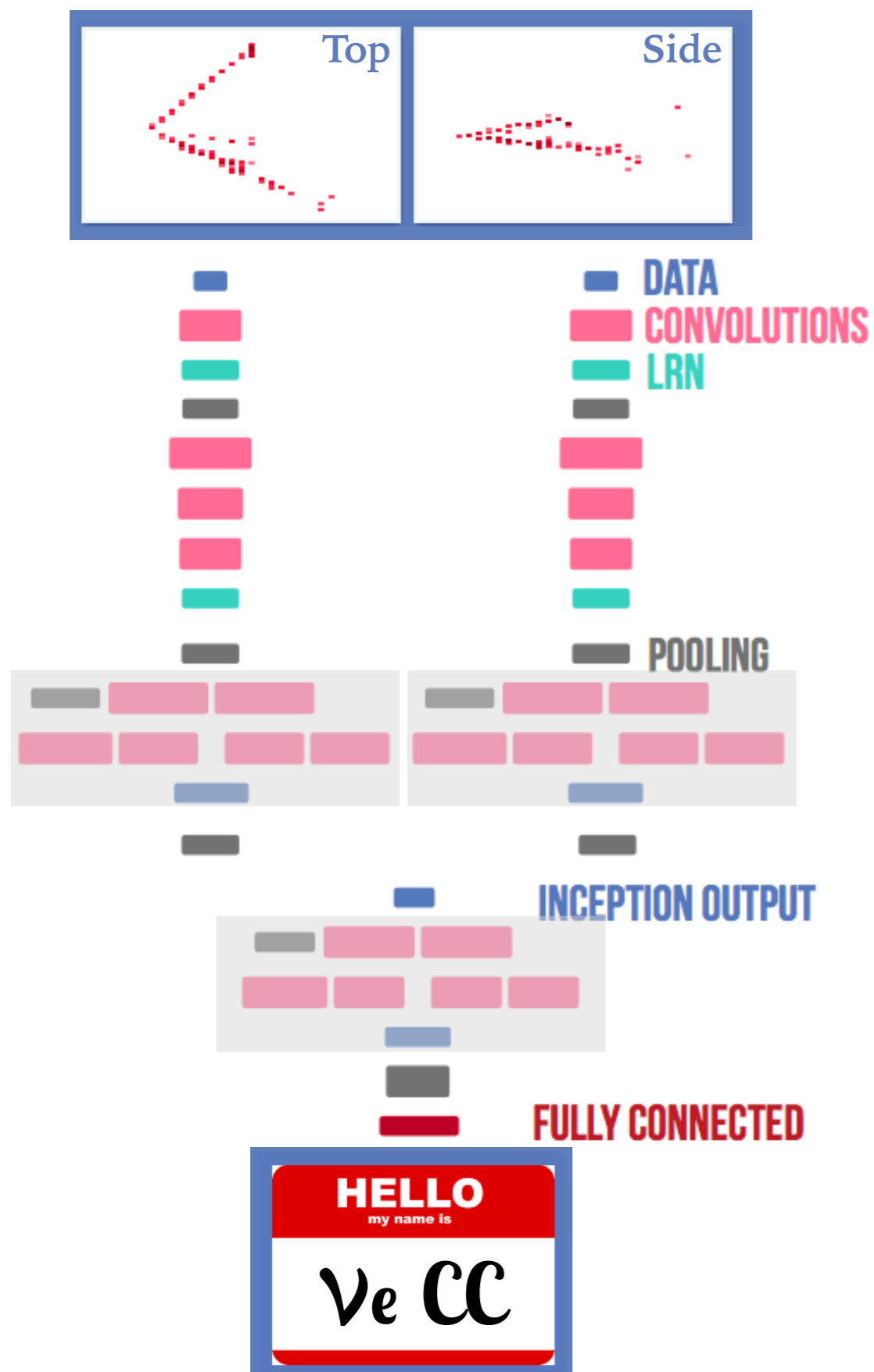
UTC Tue Apr 22, 2014

21:41:51.422846016





# Event Classification



Classify neutrino events using two tower network, **Convolutional Visual Network**, based on googlenet.

Each view of the event is examined separately for most of feature extraction.

NOvA was the first experiment to apply CNNs to a HEP result in its 2016 analysis.

Yielded an effective **30%** increase in exposure.

Aurisano et al., "A Convolutional Neural Network Neutrino Event Classifier", JINST 11, P09001 (2016).

# Event Classification

NOvA Preliminary

Color is Efficiency

Cosmic

NC

$\nu_\tau$

$\nu_e$

$\nu_\mu$

Selected

0.0042

0.00044

0.00064

0.0013

0.97

0.08

0.1

0.41

0.89

0.019

0.0058

0.017

0.25

0.028

1e-07

0.022

0.86

0.21

0.056

0.0017

0.89

0.015

0.13

0.027

0.009

$\nu_\mu$

$\nu_e$

$\nu_\tau$

NC

Cosmic

True

1

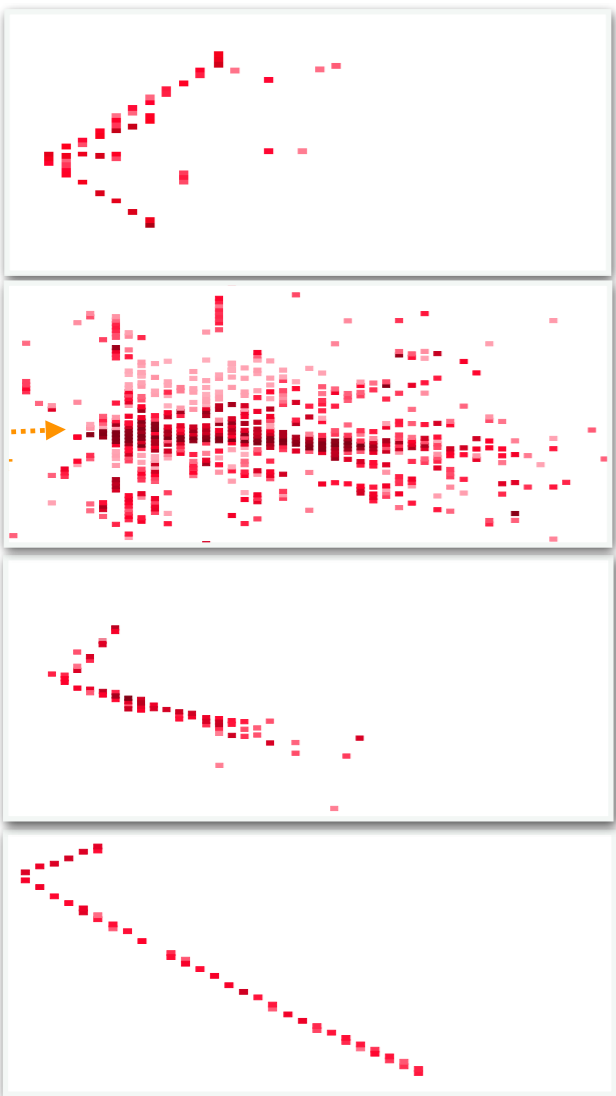
0.8

0.6

0.4

0.2

0





# t-SNE

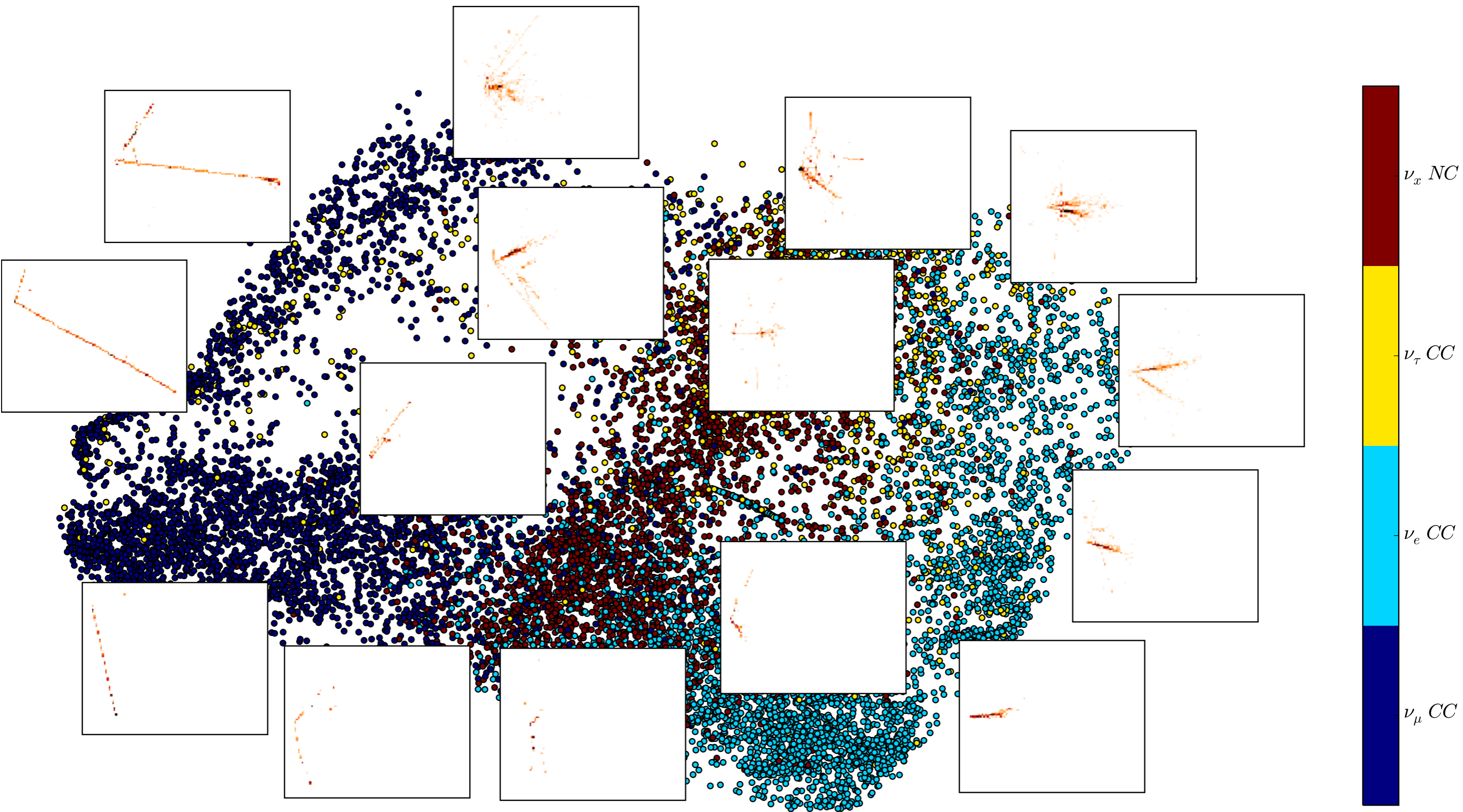
## t-Distributed Stochastic Neighbor Embedding



<https://indico.io/blog/visualizing-with-t-sne/>  
<https://www.nature.com/articles/s41586-018-0361-2>

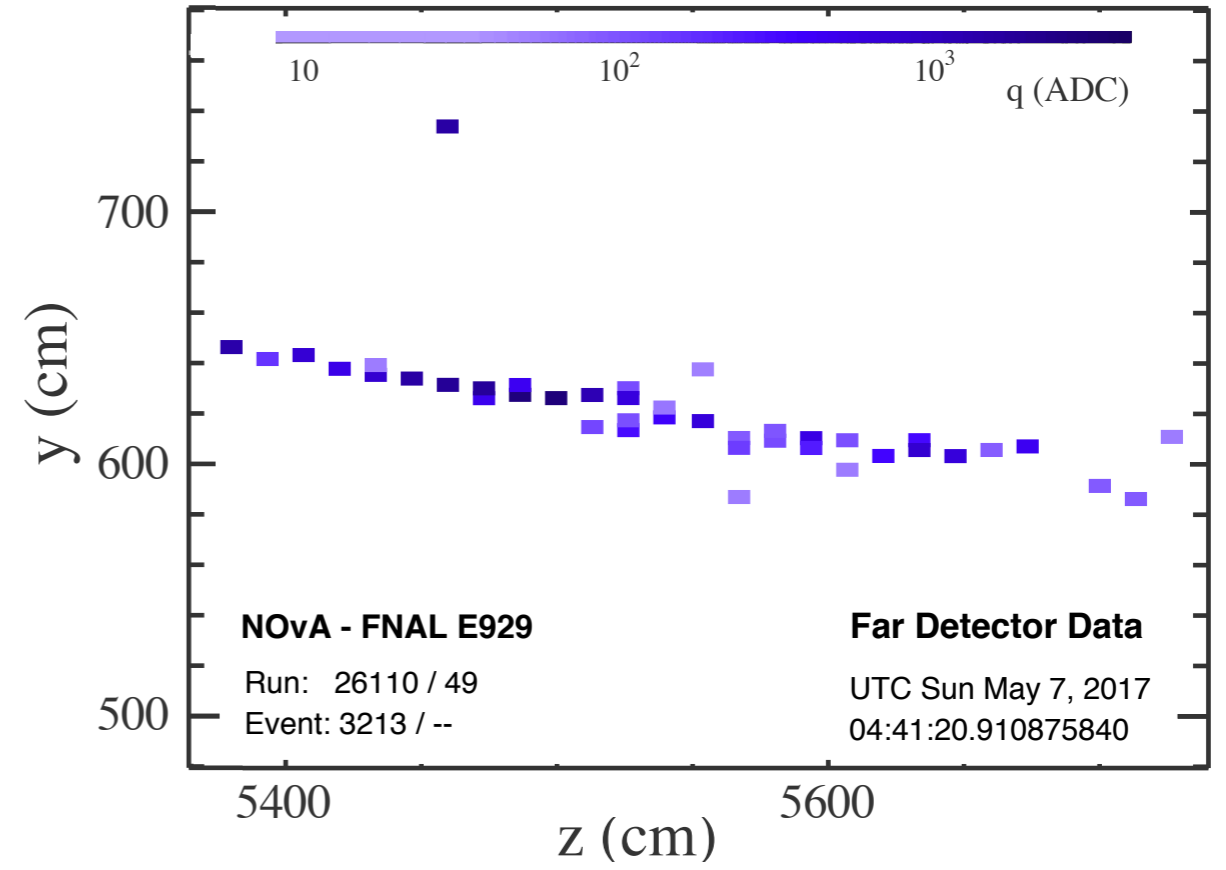
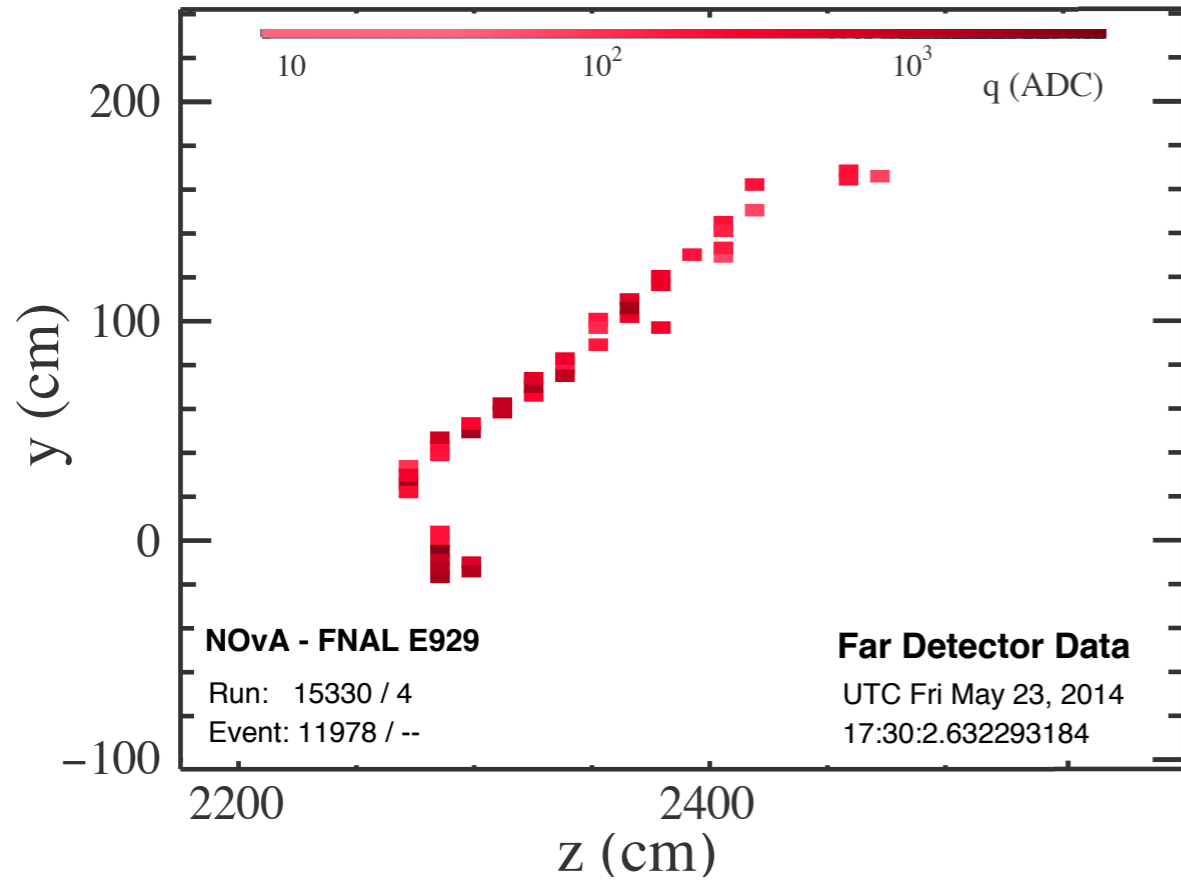
# t-SNE

## Example Event Topologies

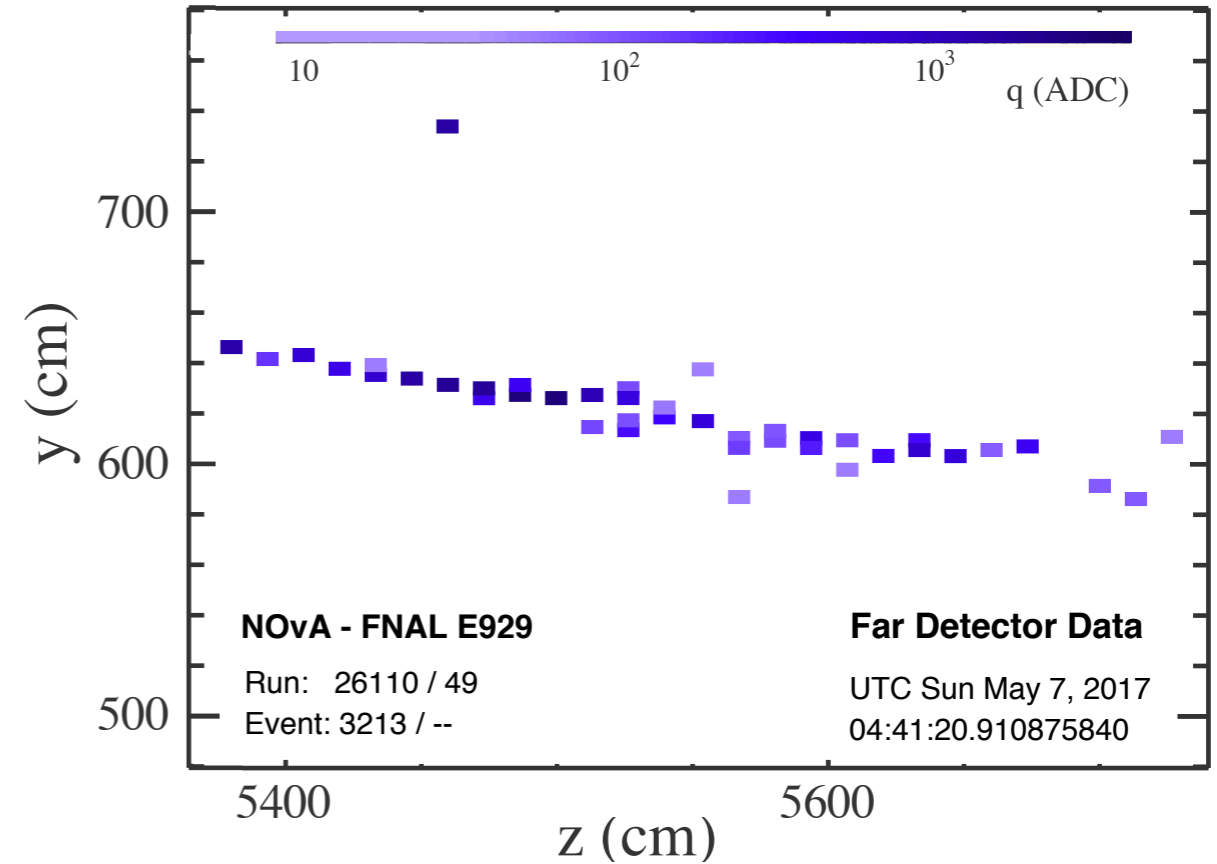
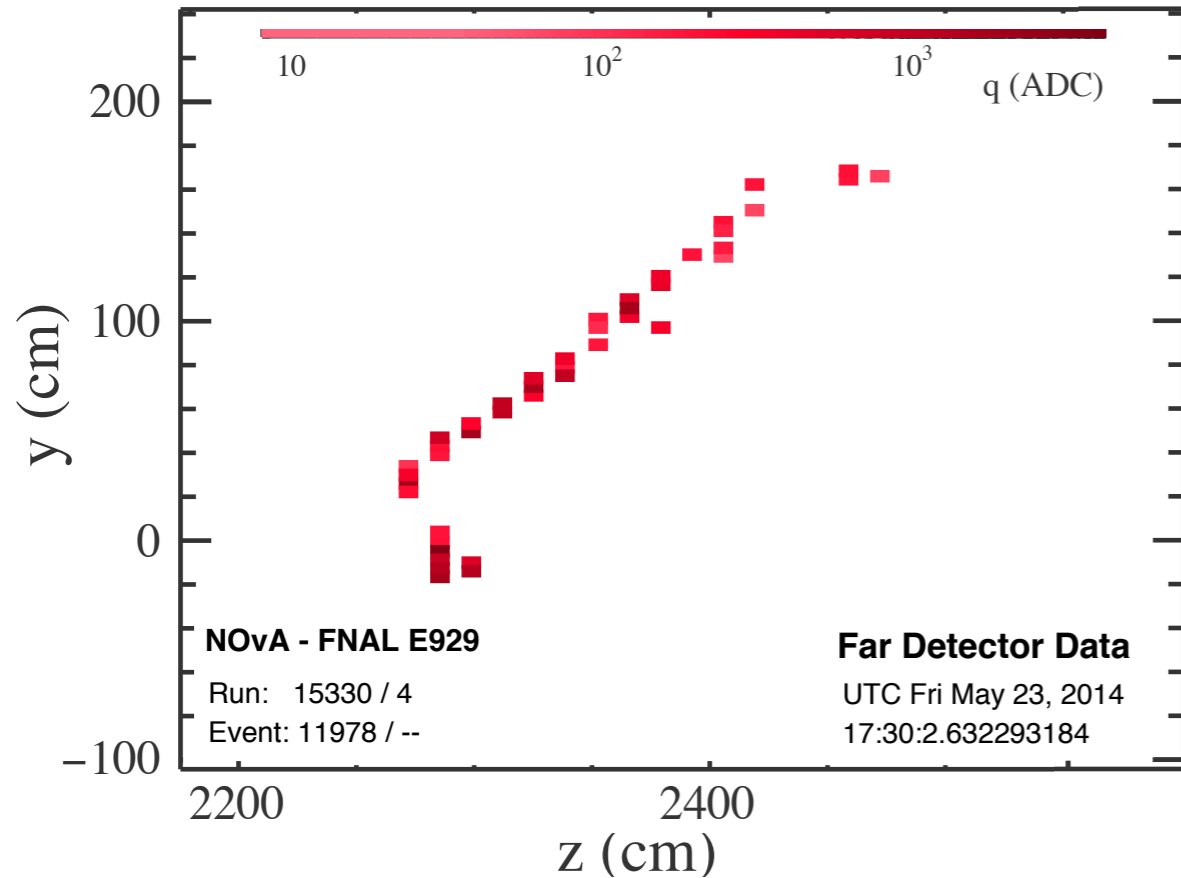




# Neutrinos and Anti-Neutrinos



# Neutrinos and Anti-Neutrinos

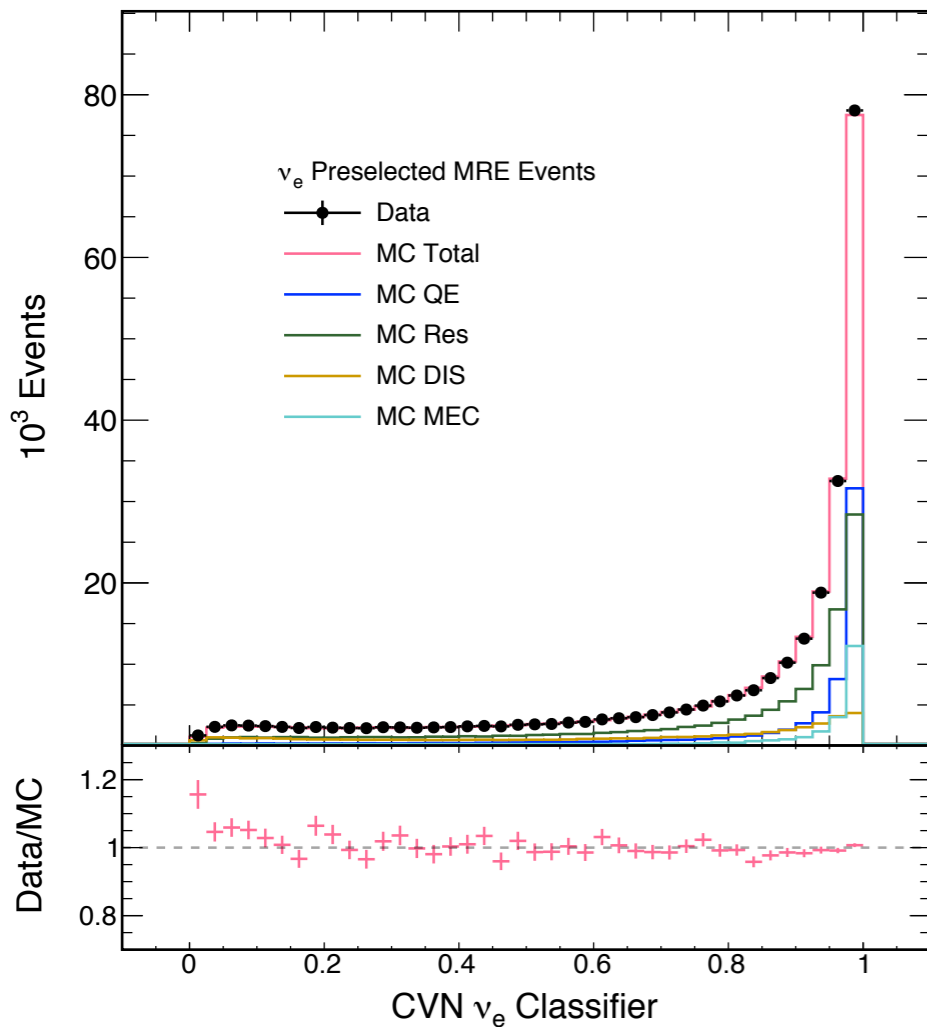
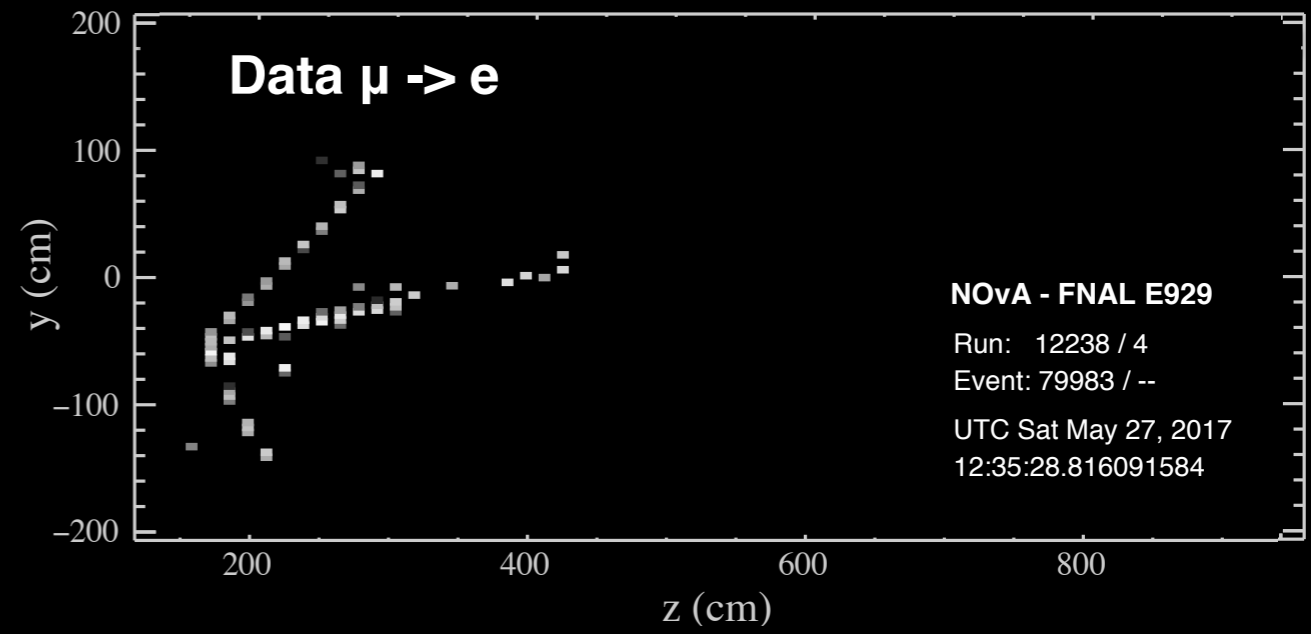
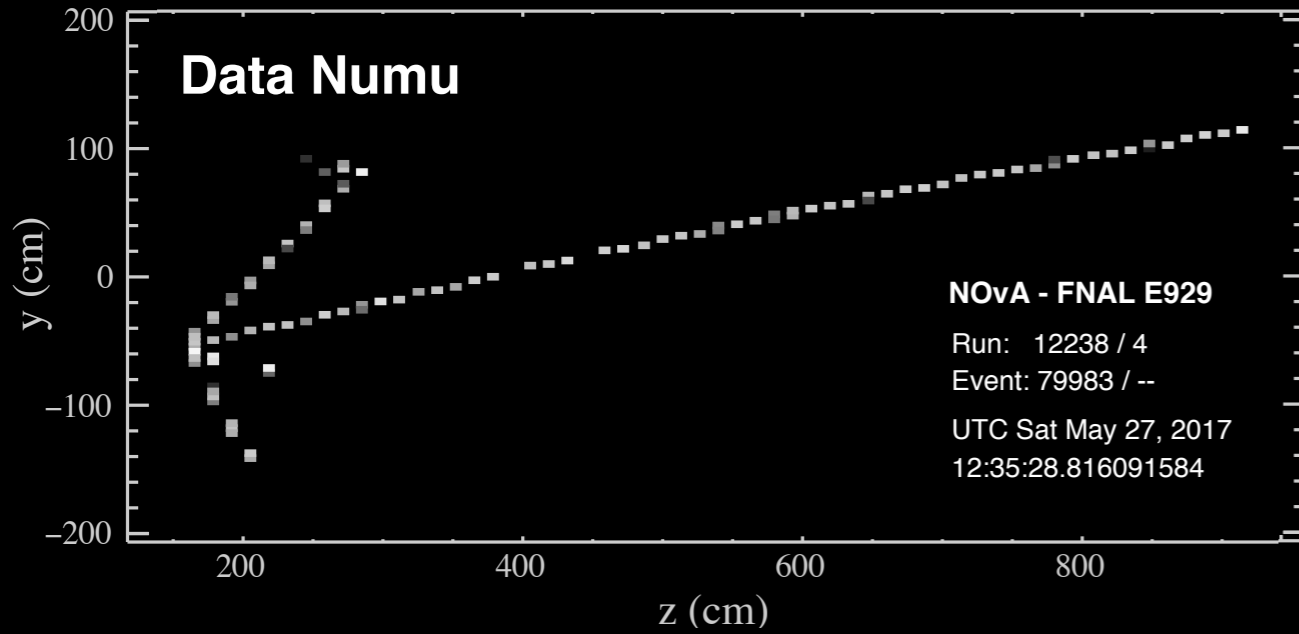


Train on **neutrino** beam and **anti-neutrino** beams separately.

Utilize differences in event topology.

$\bar{\nu}$ Efficiency Improvement		
Training Sample (ID > 0.9)		
$\bar{\nu}_e$ CC Signal	$\bar{\nu}_\mu$ CC Signal	$\bar{\nu}$ NC Signal
14%	6%	10%

# Example Data Check: MRE



## Muon Removed - Electron Added:

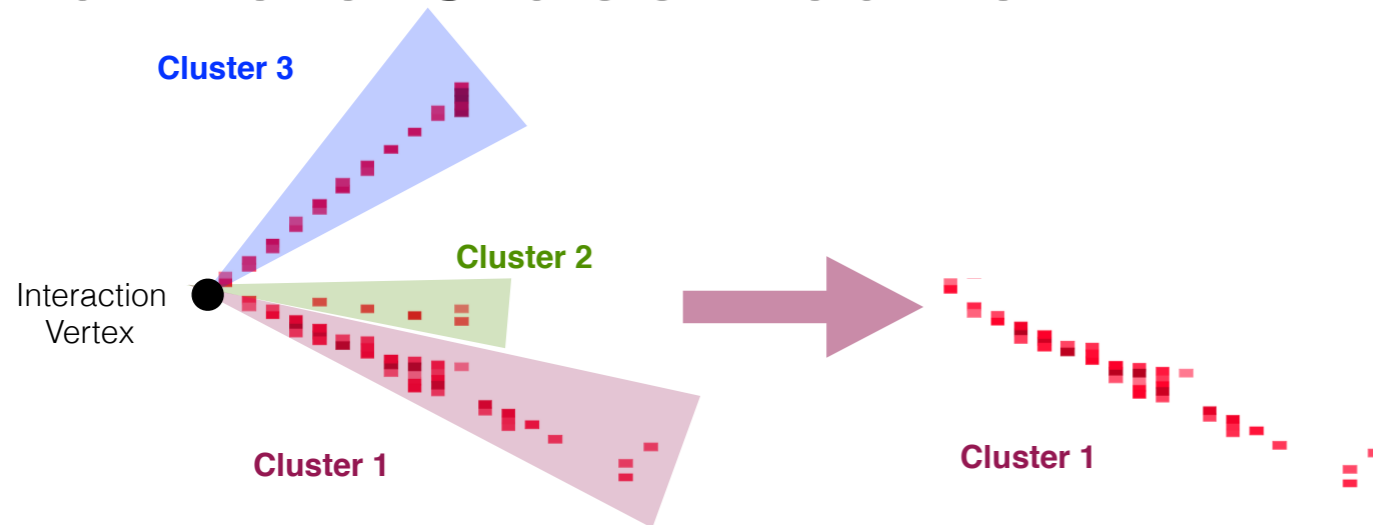
Select a muon neutrino interaction.

Remove the muon hits and replace with a simulated electron.

	Pre Selection	Full Selection	Efficiency
Data Events	486083	316009	0.6501
MC Events	511287	341119	0.6672



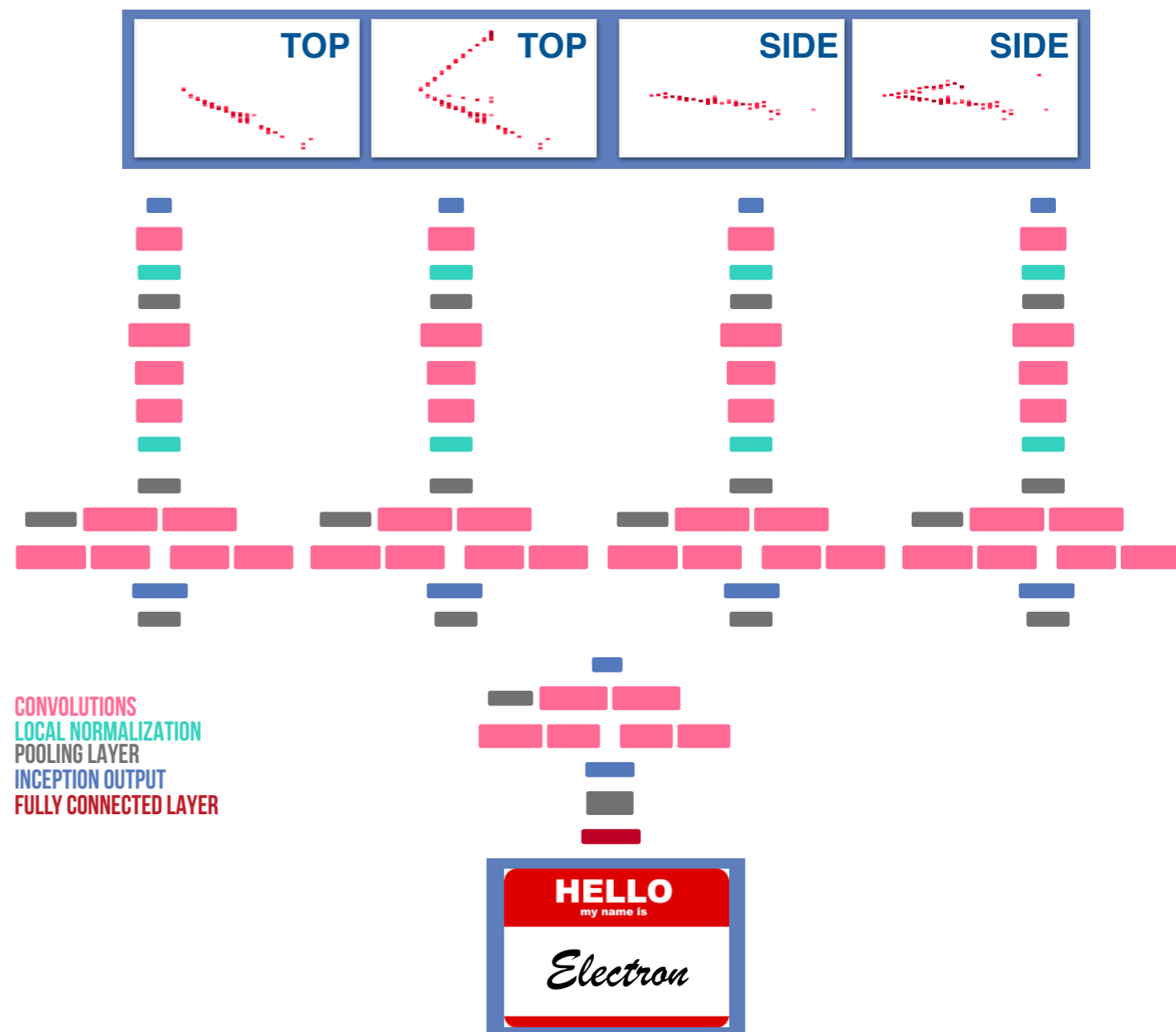
# Particle Classification



Single particles are currently separated using geometric reconstruction methods.

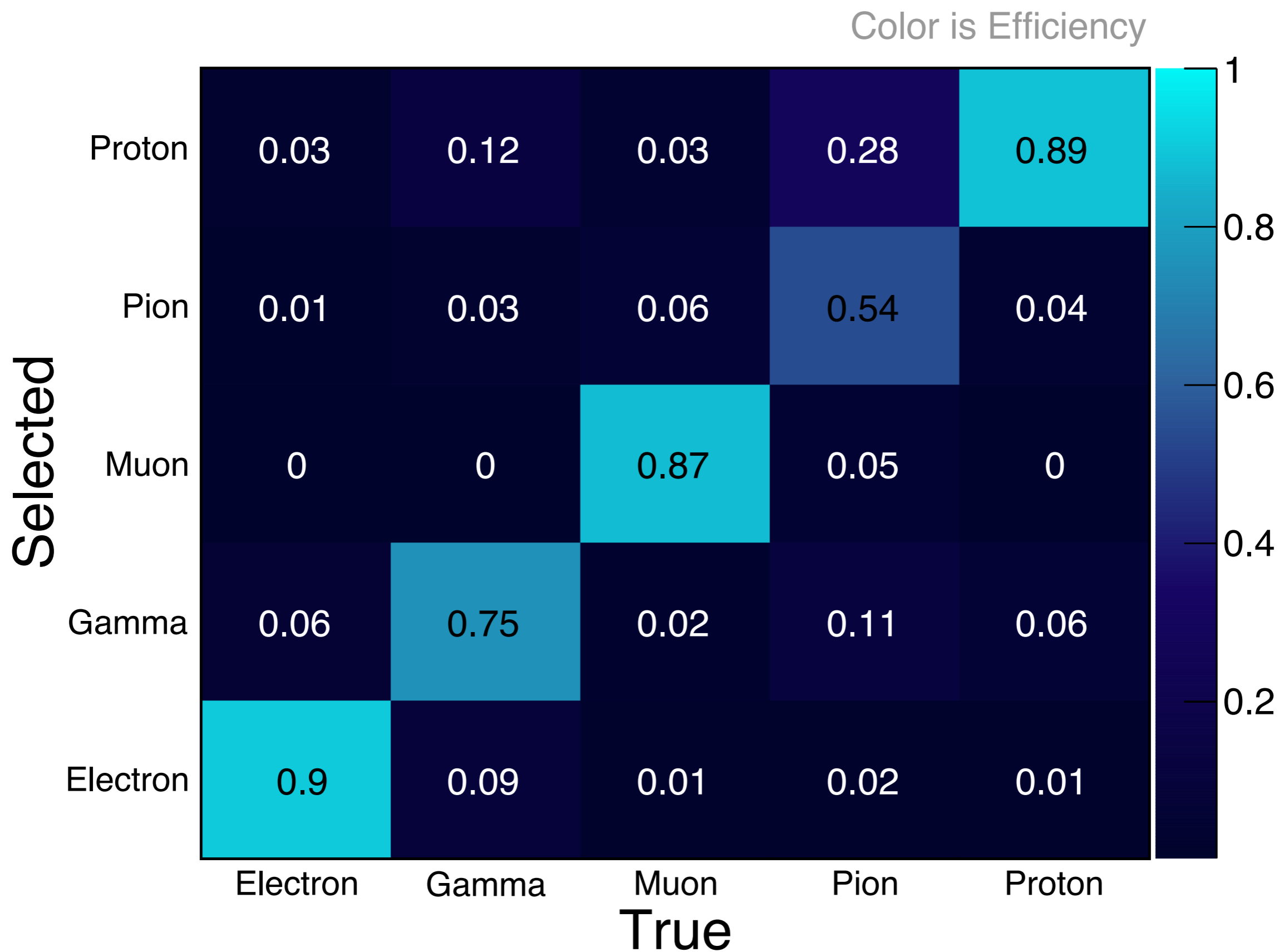
Classify particles using both views of the **particle** and both views of the entire **event**.

This shows the network **contextual information** about single particles.



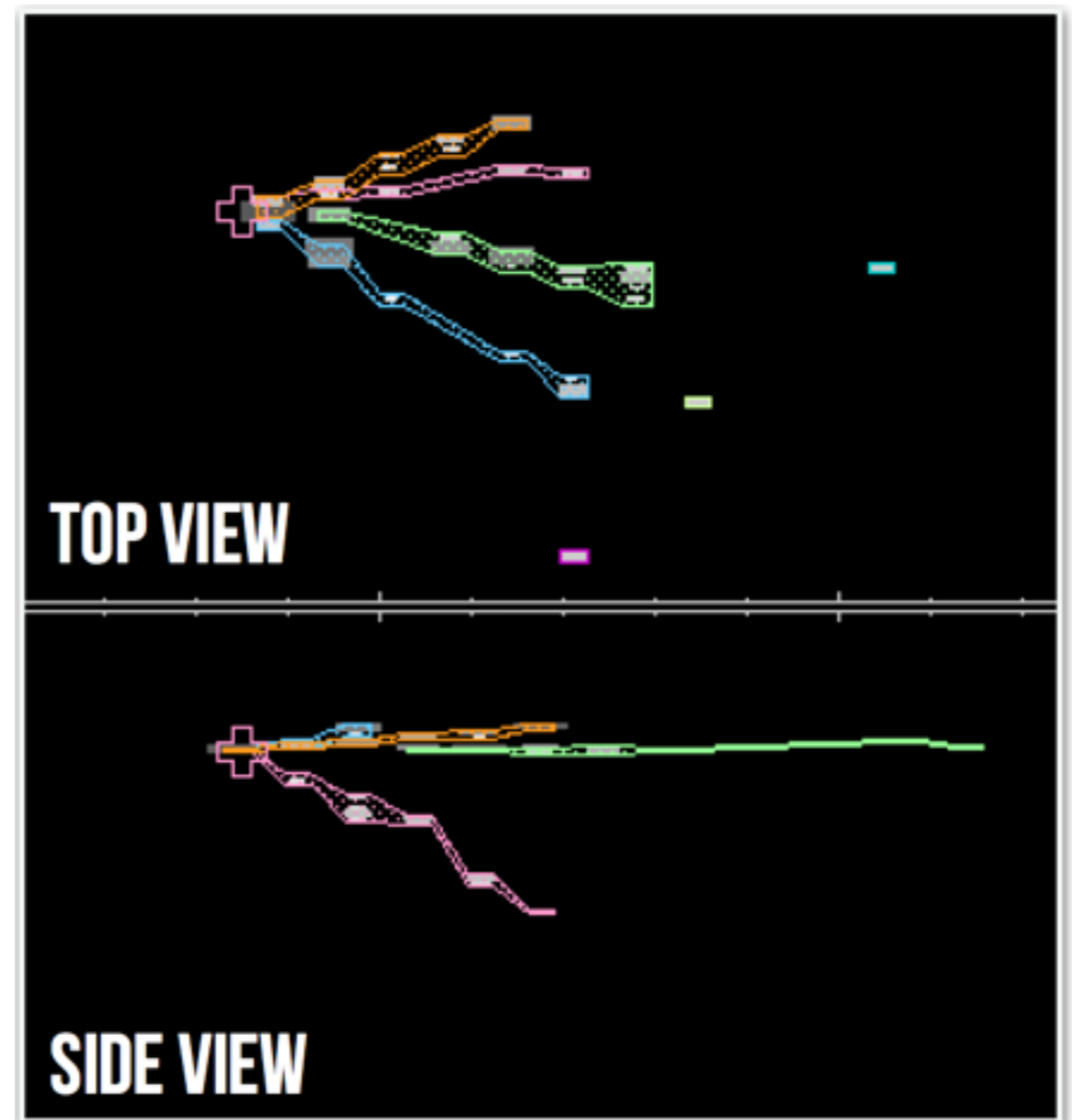
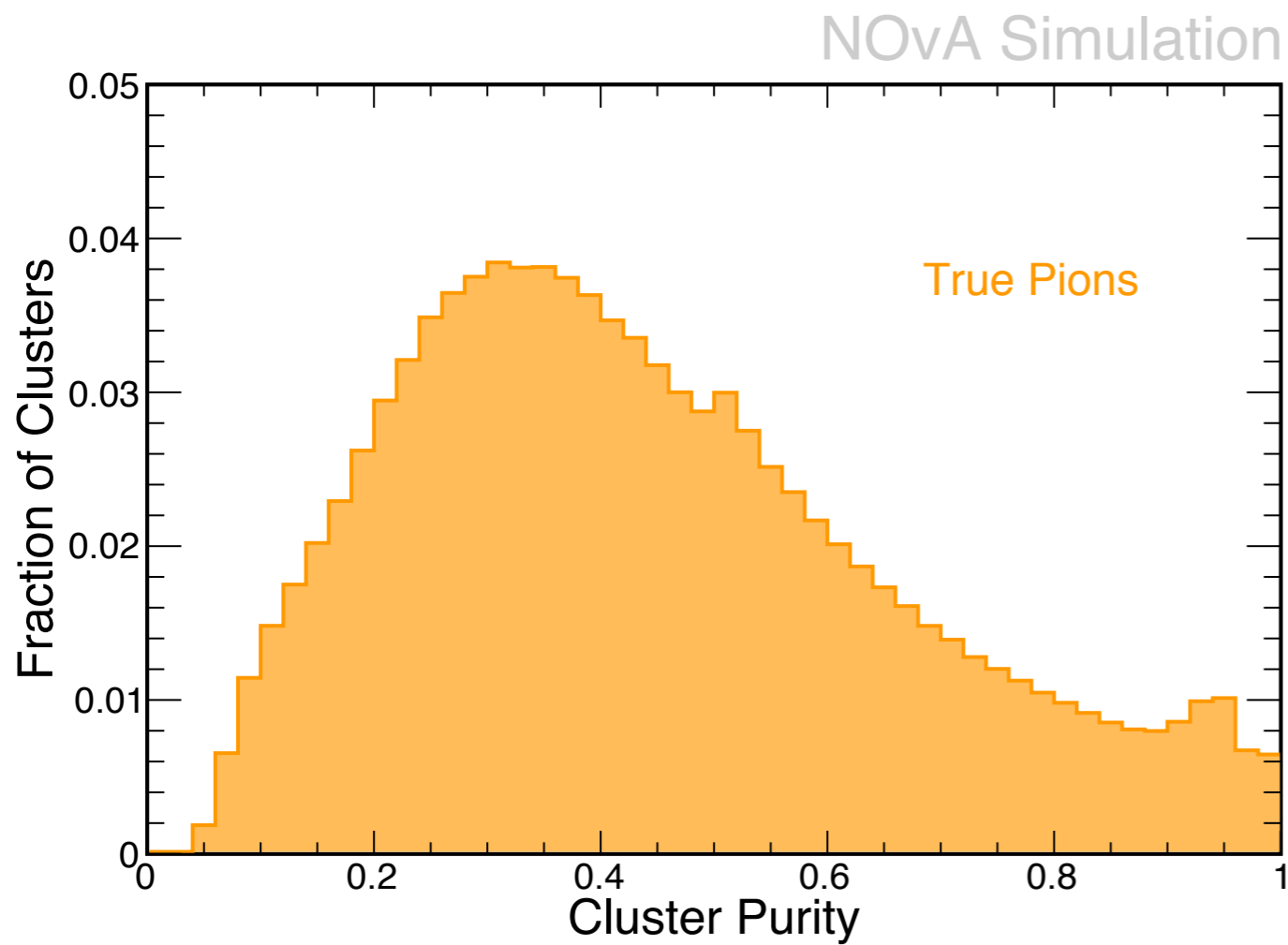
Paper in preparation.

# Particle Classification



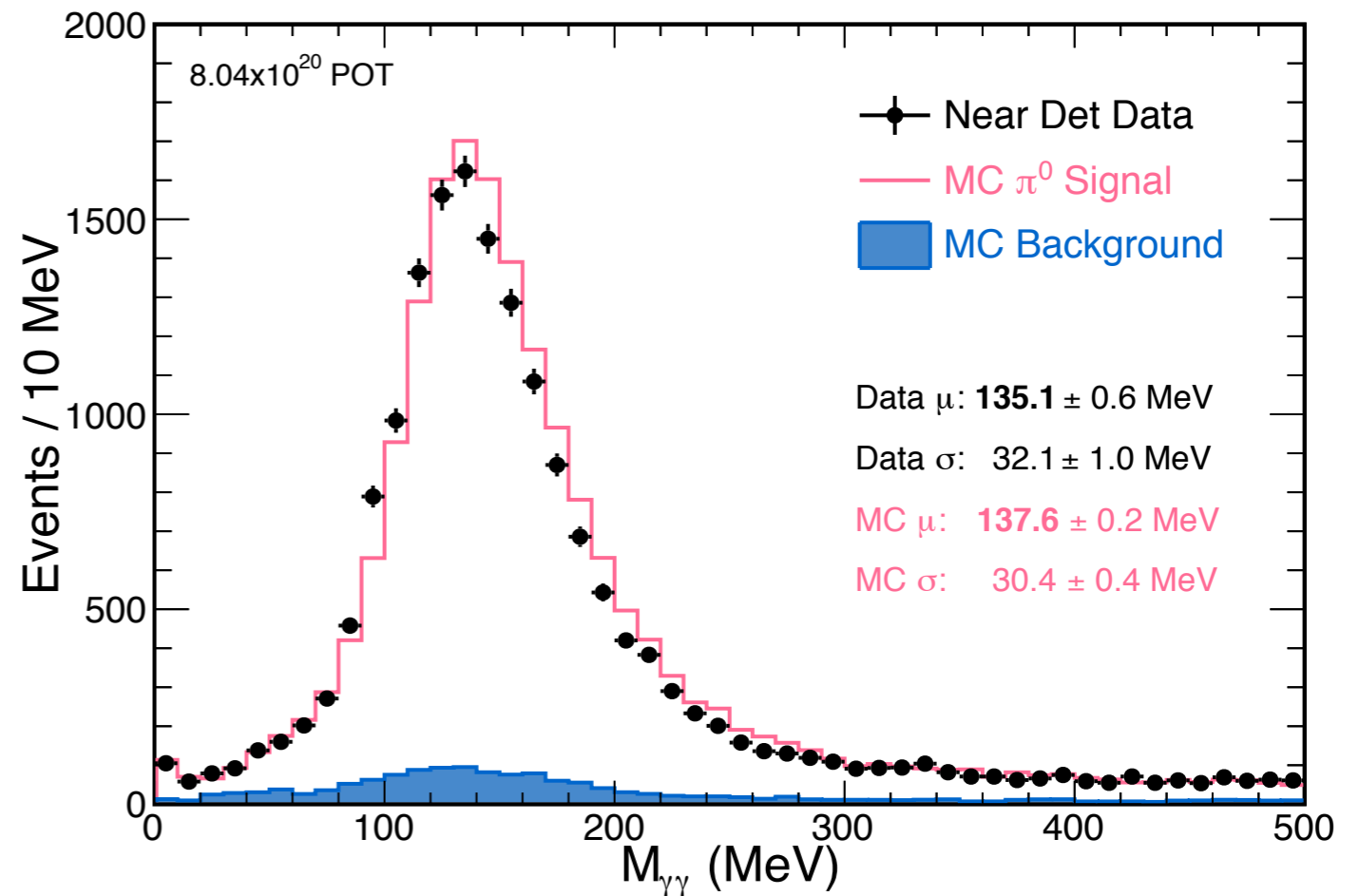
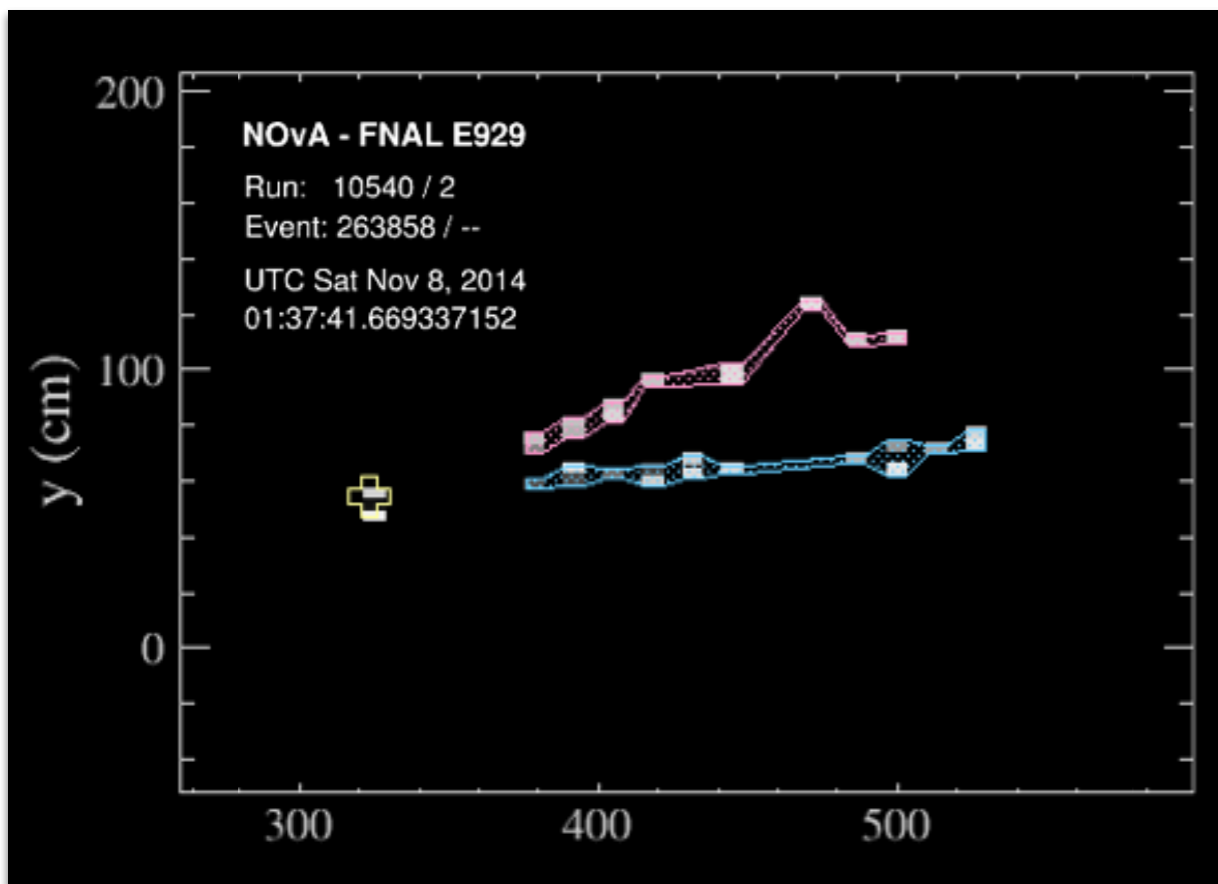
# Reconstruction Caveats

Single particle classifier is dependent on the quality of the already existing clusters.





# Example Data Check: $\pi^0$ Mass Peak



$\pi^0$  mass reconstructed using invariant mass of pairs of photons identified using the single particle classifier.

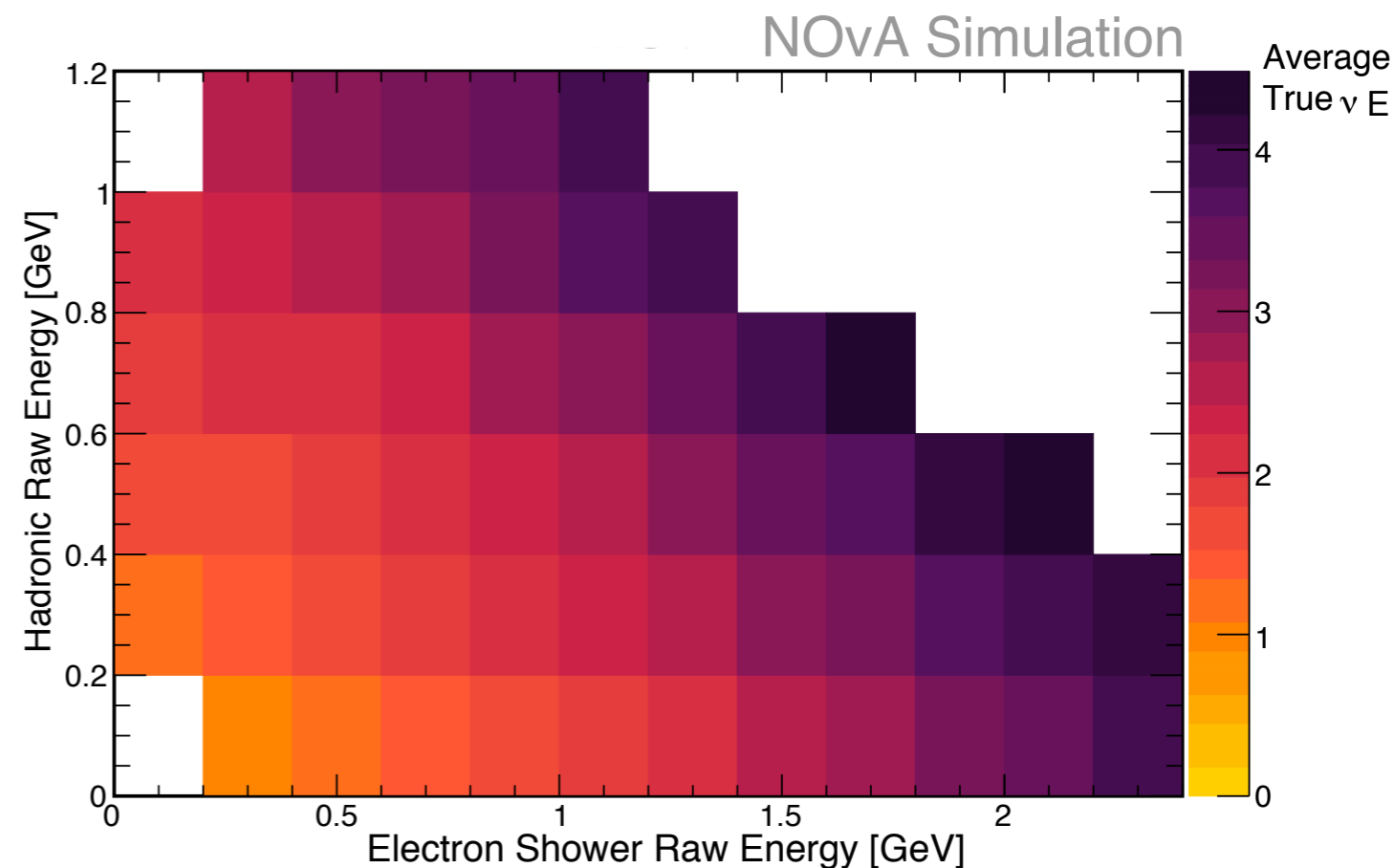
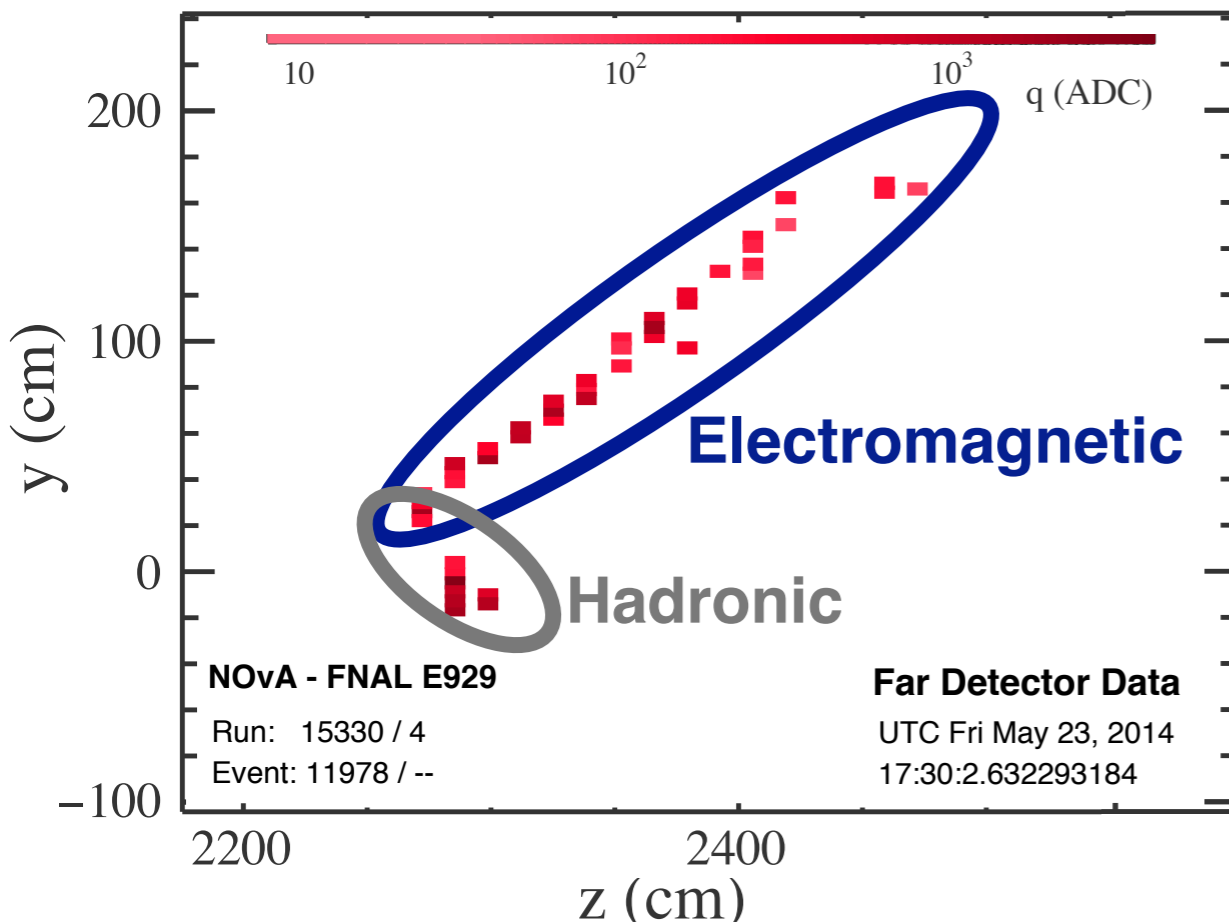
Shows a 60% reduction in backgrounds over previous techniques.

# Energy Estimation

Each cluster is identified as hadronic or electromagnetic.

This method shows an energy resolution of 11%.

$$E_{reco} = f(E_{EM}, E_{had})$$



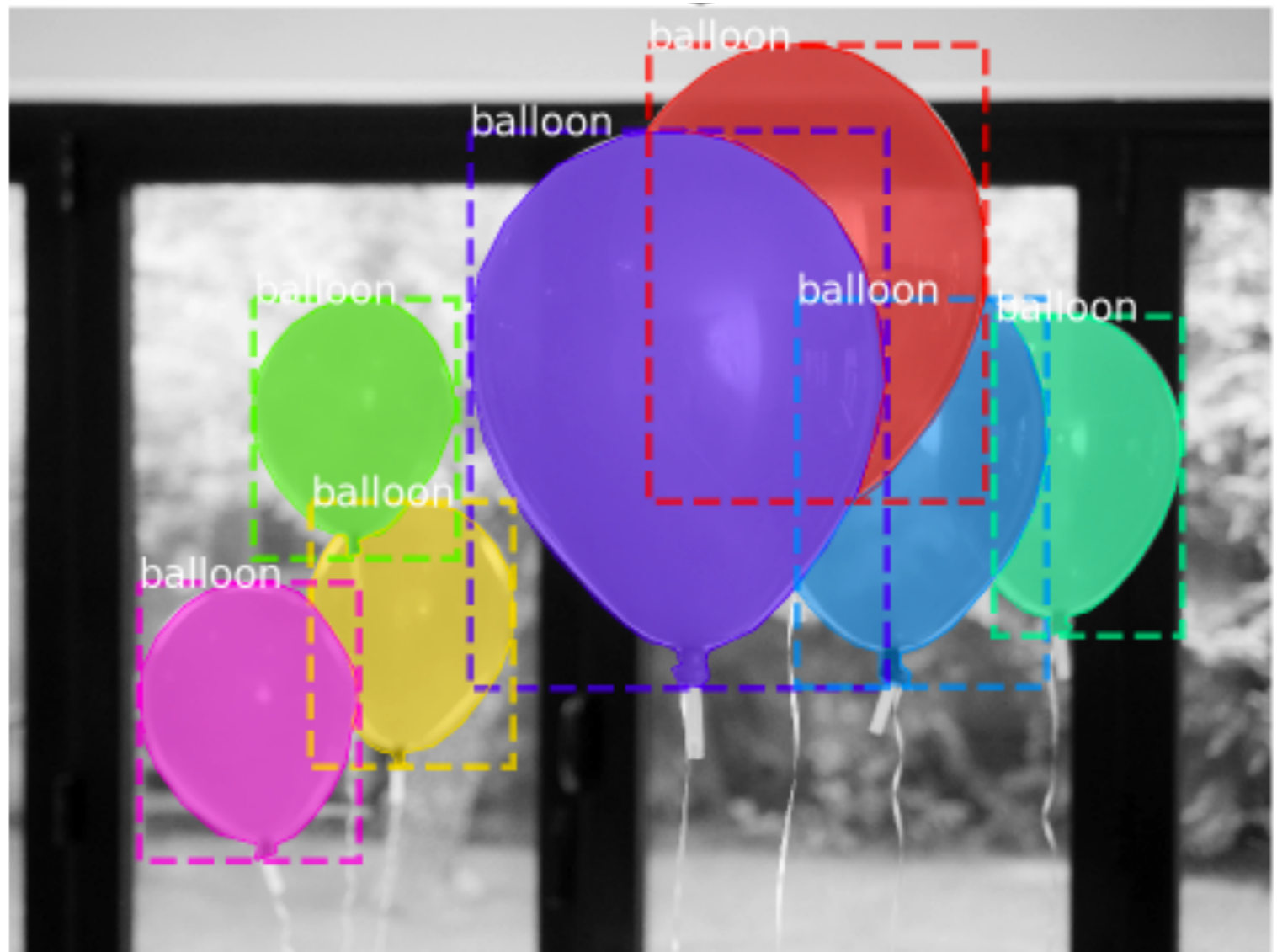
# Full Event Reconstruction

**Cluster and classify** objects simultaneously using **instance aware semantic segmentation**.

Use machine learning to reconstruct an event **hit by hit**.

Three outputs:

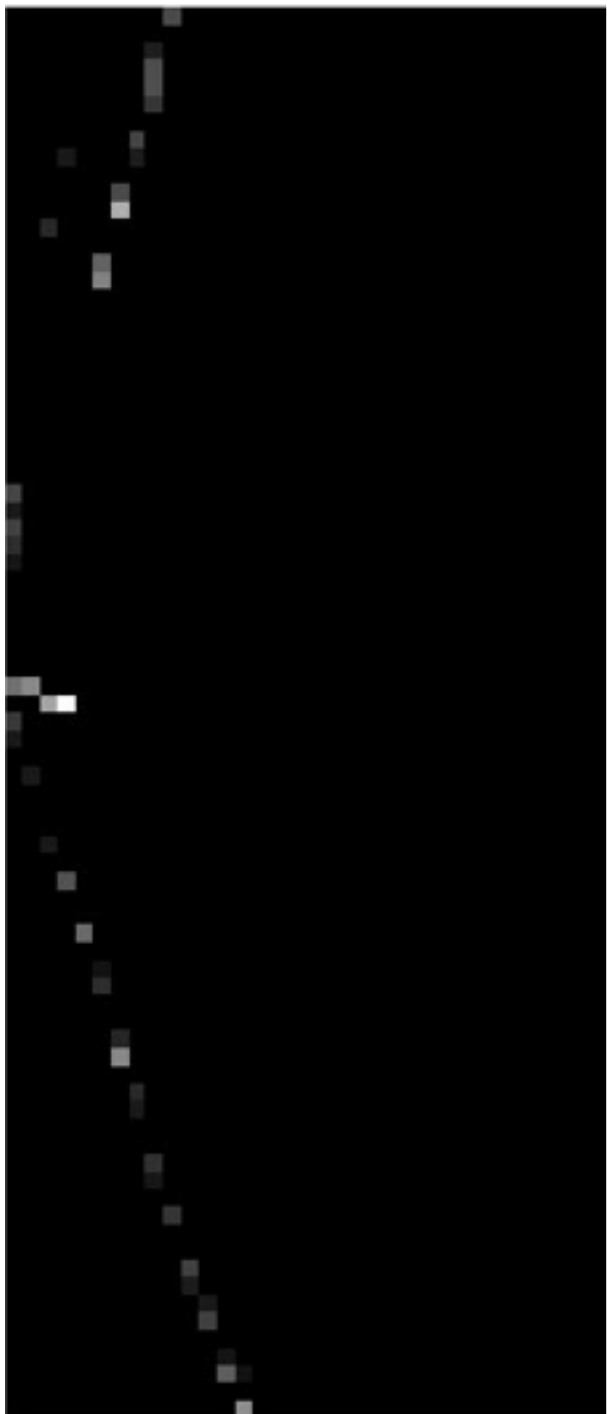
1. **Bounds**
2. **ID Score**
3. **Clusters**



Using an implementation of Mask R-CNN:  
K. He, G. Gkioxari, P. Dollar, and R. Girshick. Mask R-CNN.  
arXiv:1703.06870, 2017.

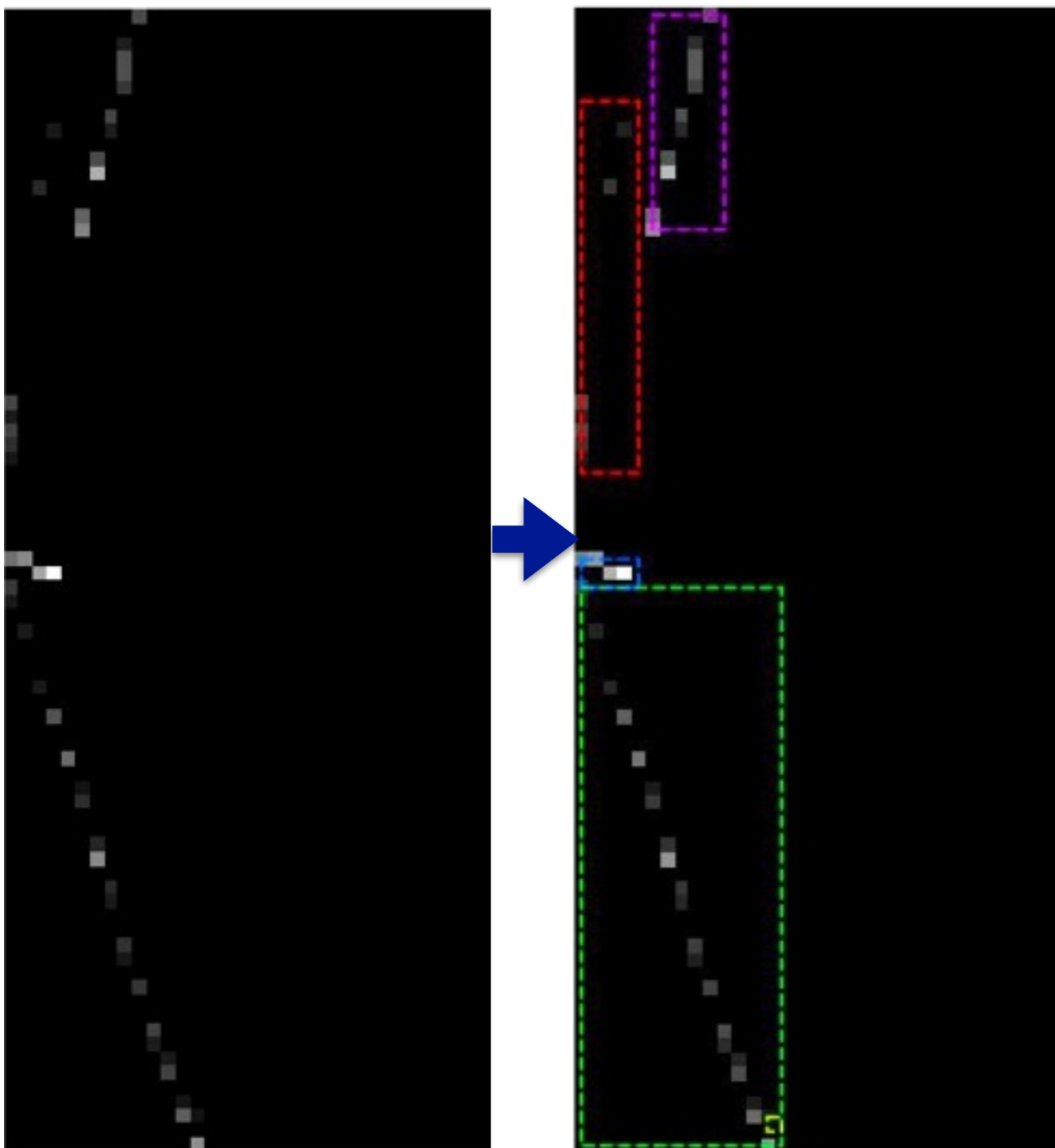


# Full Event Reconstruction



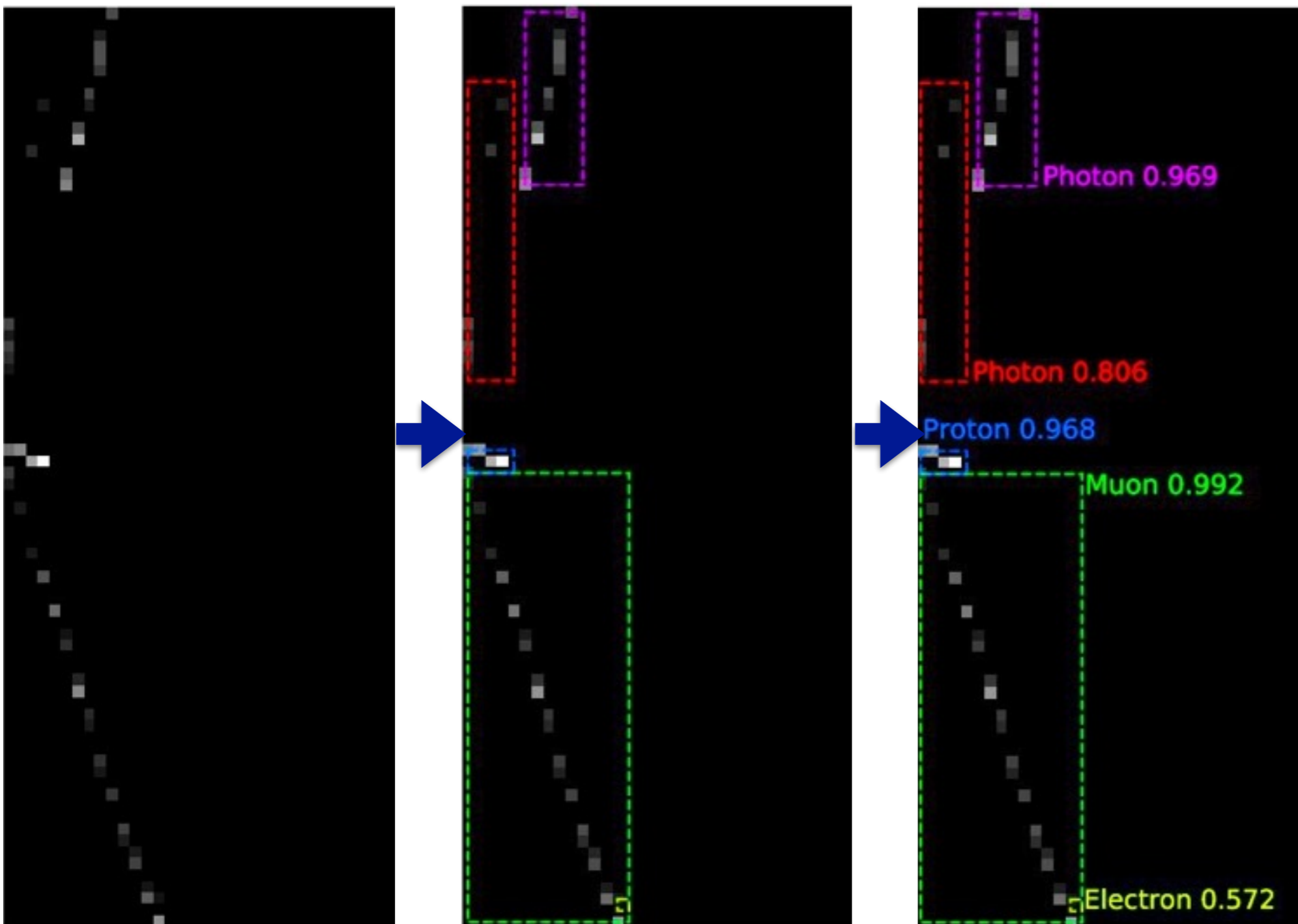
# Full Event Reconstruction

**Bounds** - Look for individual particles within the event and construct bounding boxes containing each.



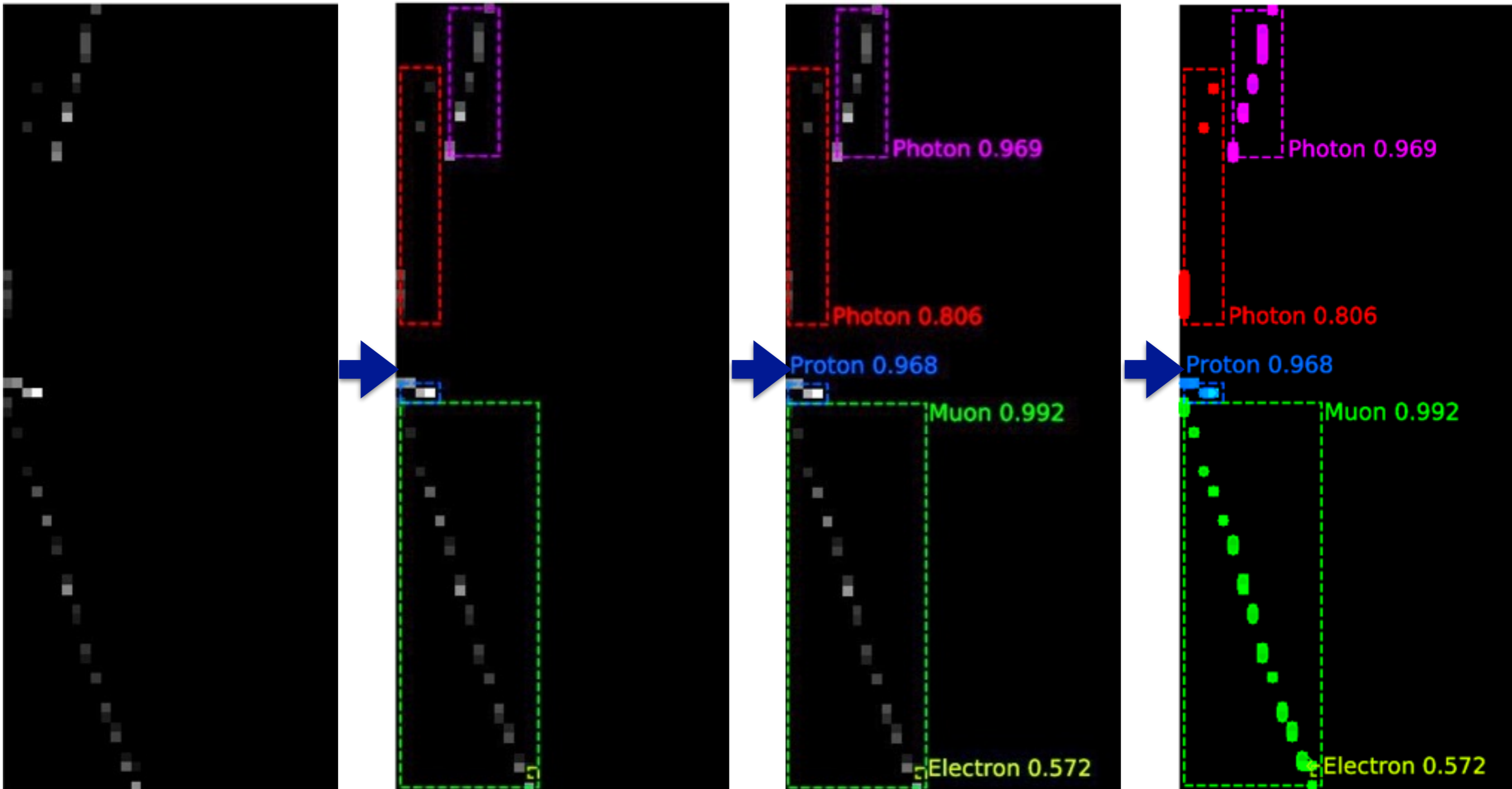
# Full Event Reconstruction

**ID Score** - Use a softmax function to classify the particle contained within each box.



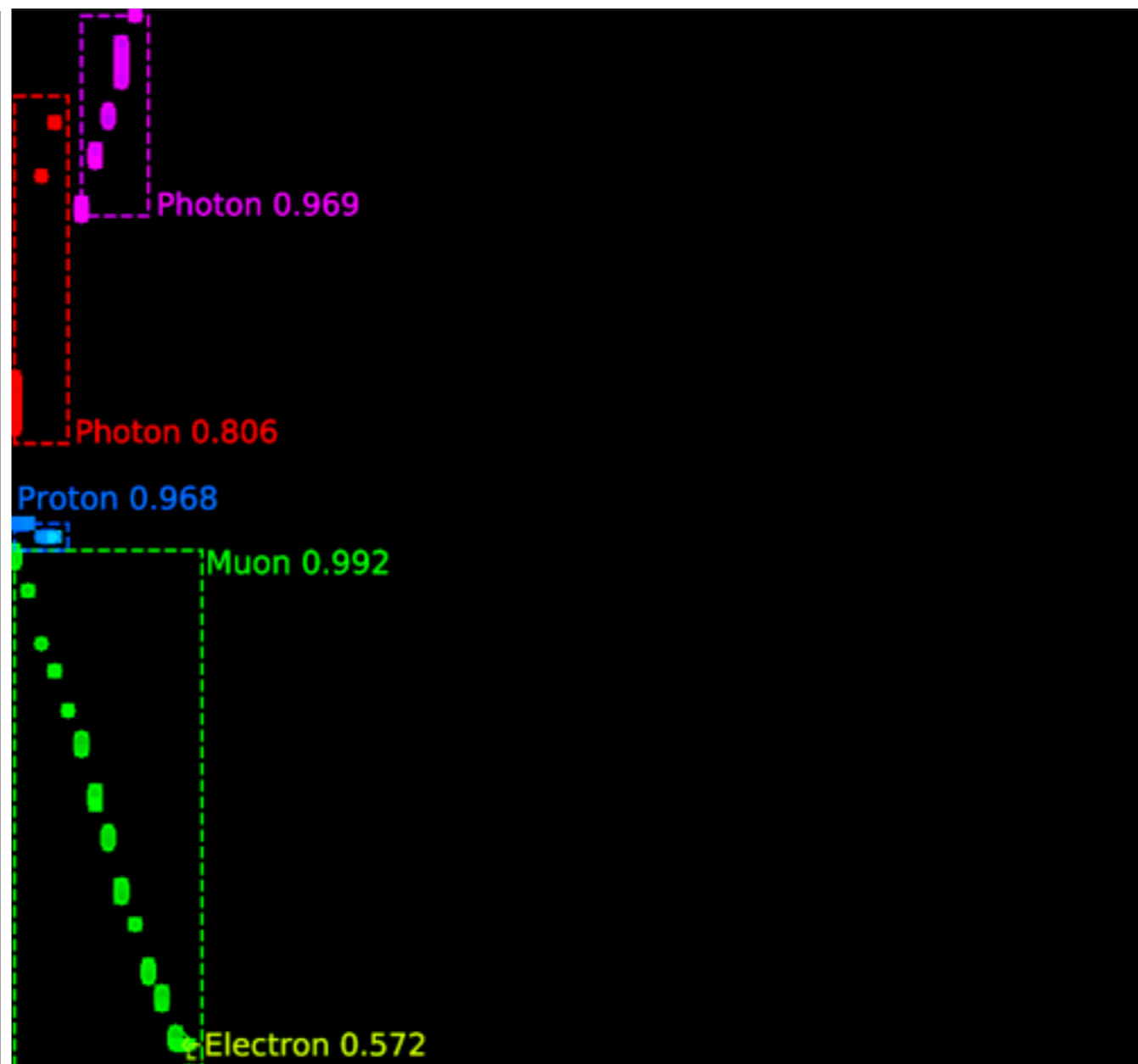
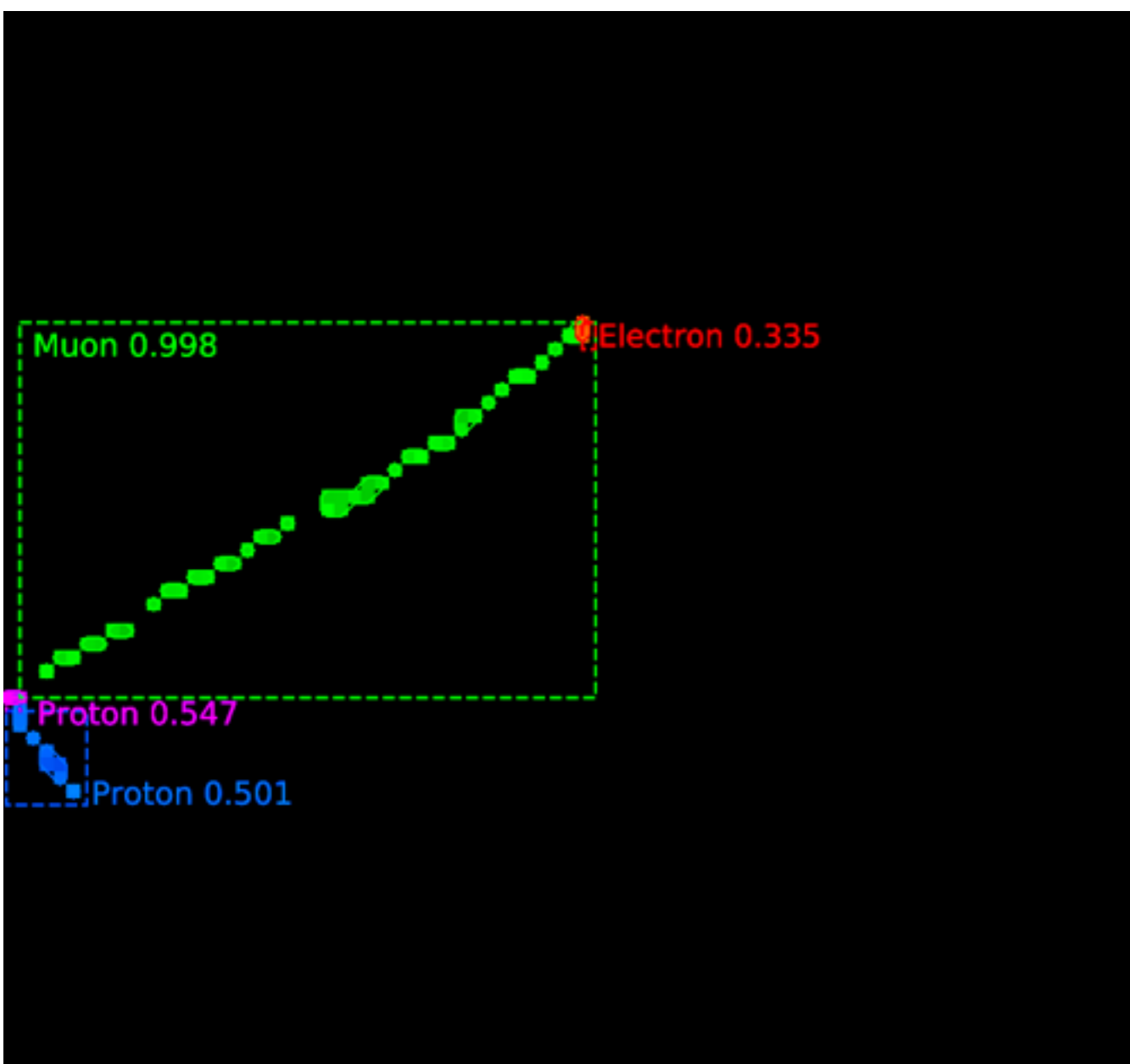
# Full Event Reconstruction

**Clusters** - Group together hits within each box to make clusters for each particle.

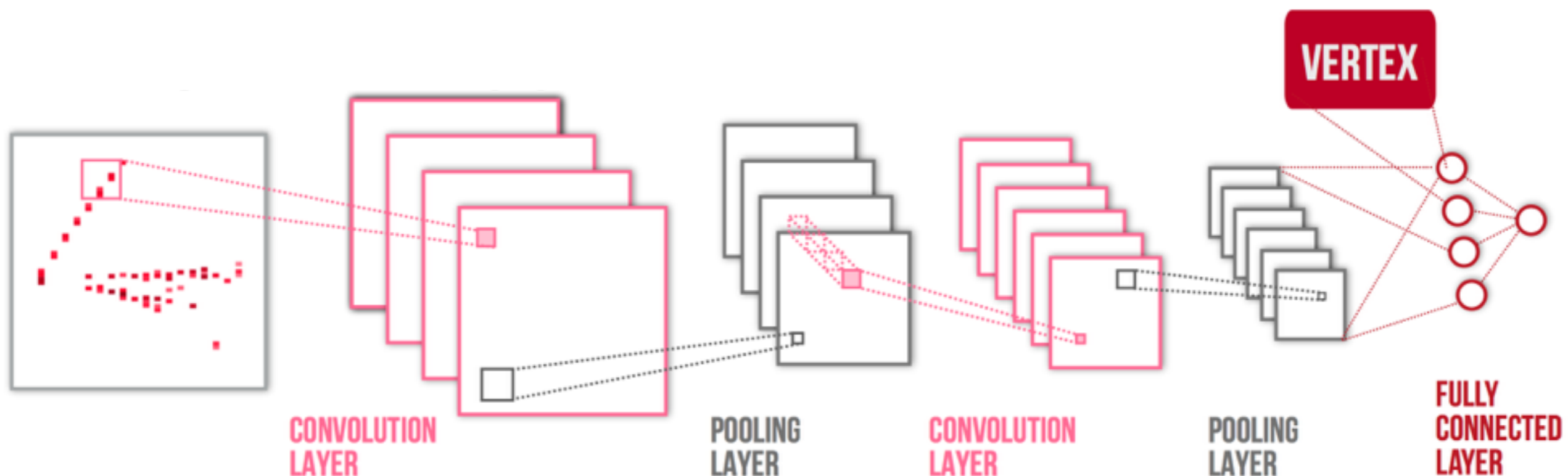




# Full Event Reconstruction



# Energy Regression

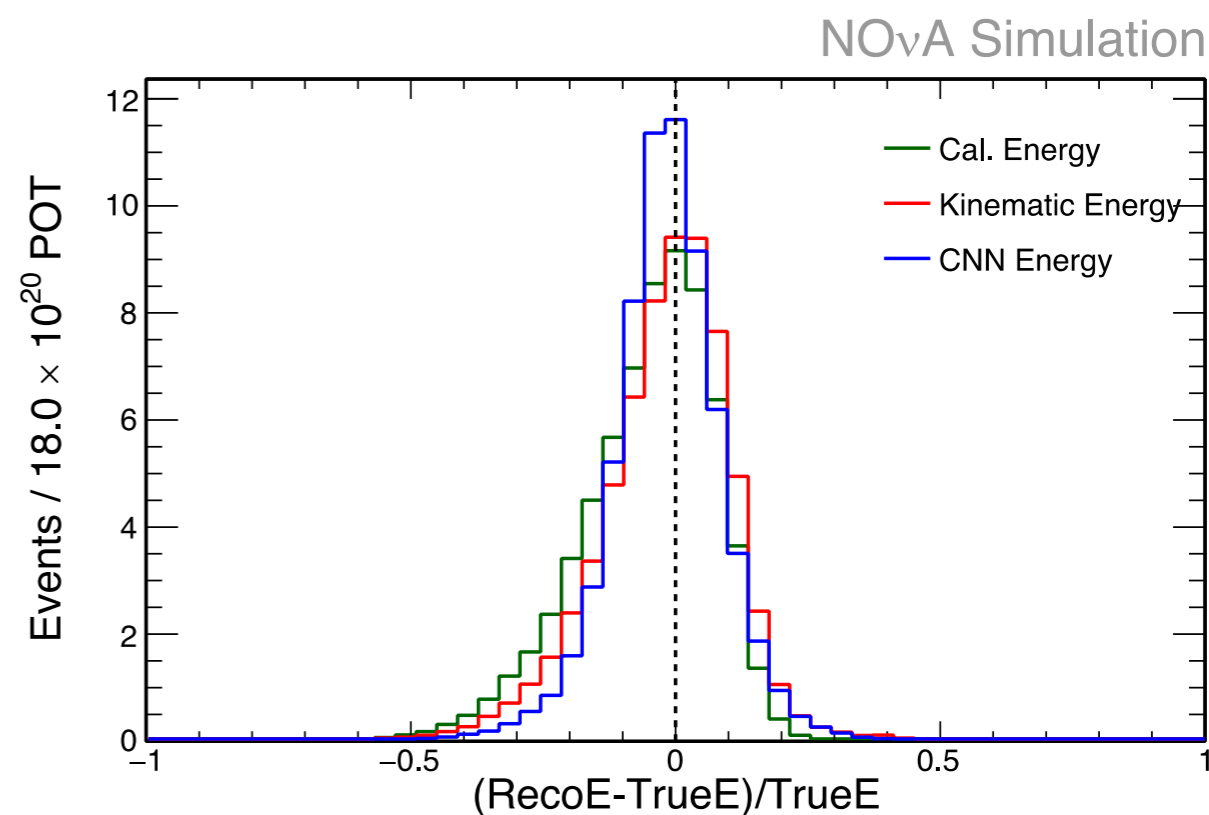


Use linear output rather than classification for continuous variables.

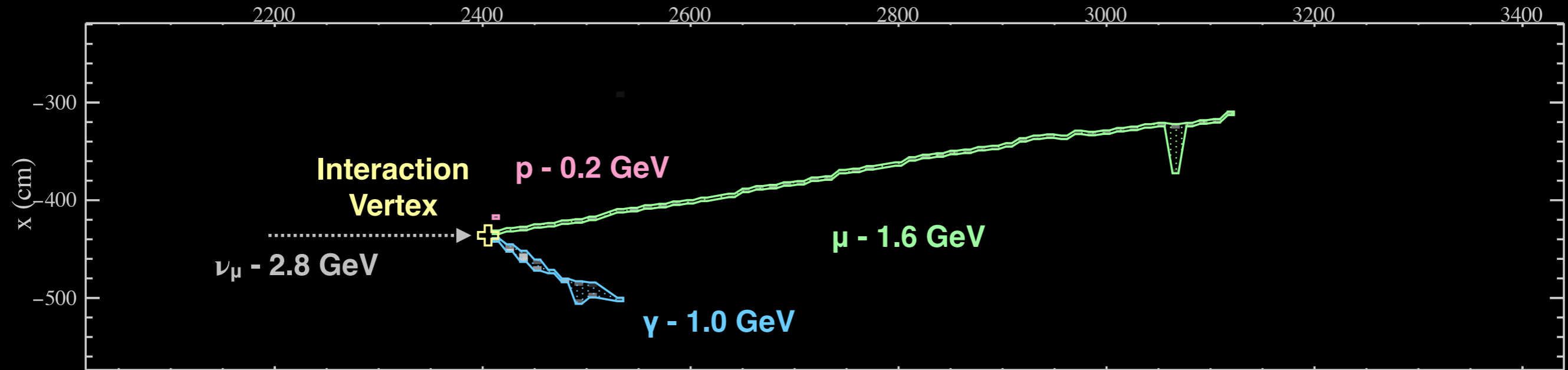
Shows better resolution and smaller dependence on interaction model.

See Jianming Bian's Poster!

Paper in final stages



# Summary



**NOvA uses deep learning for neutrino flavor and particle ID in our analyses.**

**Used to improve oscillation measurements since 2016, and now being incorporated into cross sections analyses.**

**Our deep learning program incorporates data driven cross checks from cosmic, neutrino, and soon test beam data!**

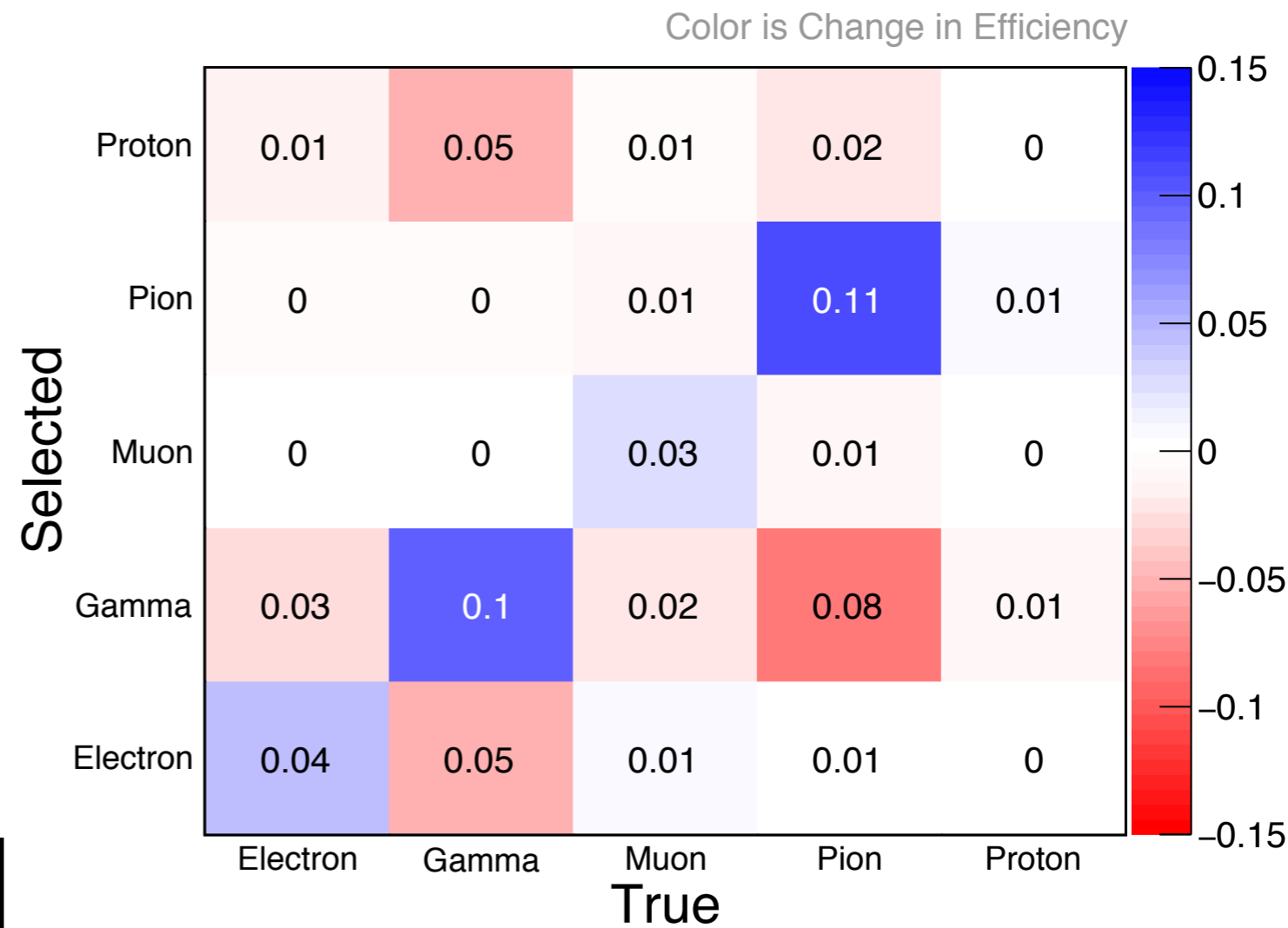
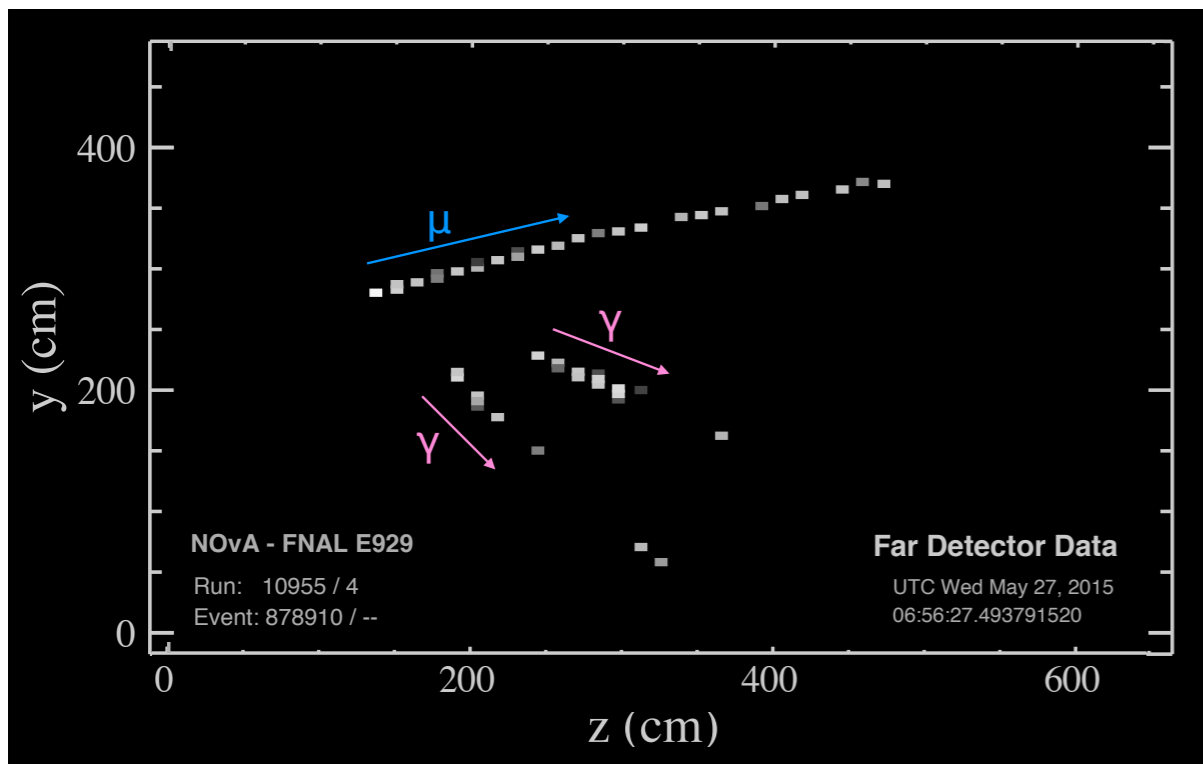
**NOvA deep learning efforts are broad, including algorithms for identification, clustering, energy reconstruction, and more!**

# Backup

# Utilizing Context

Showing the network the entire event teaches the network **contextual** information.

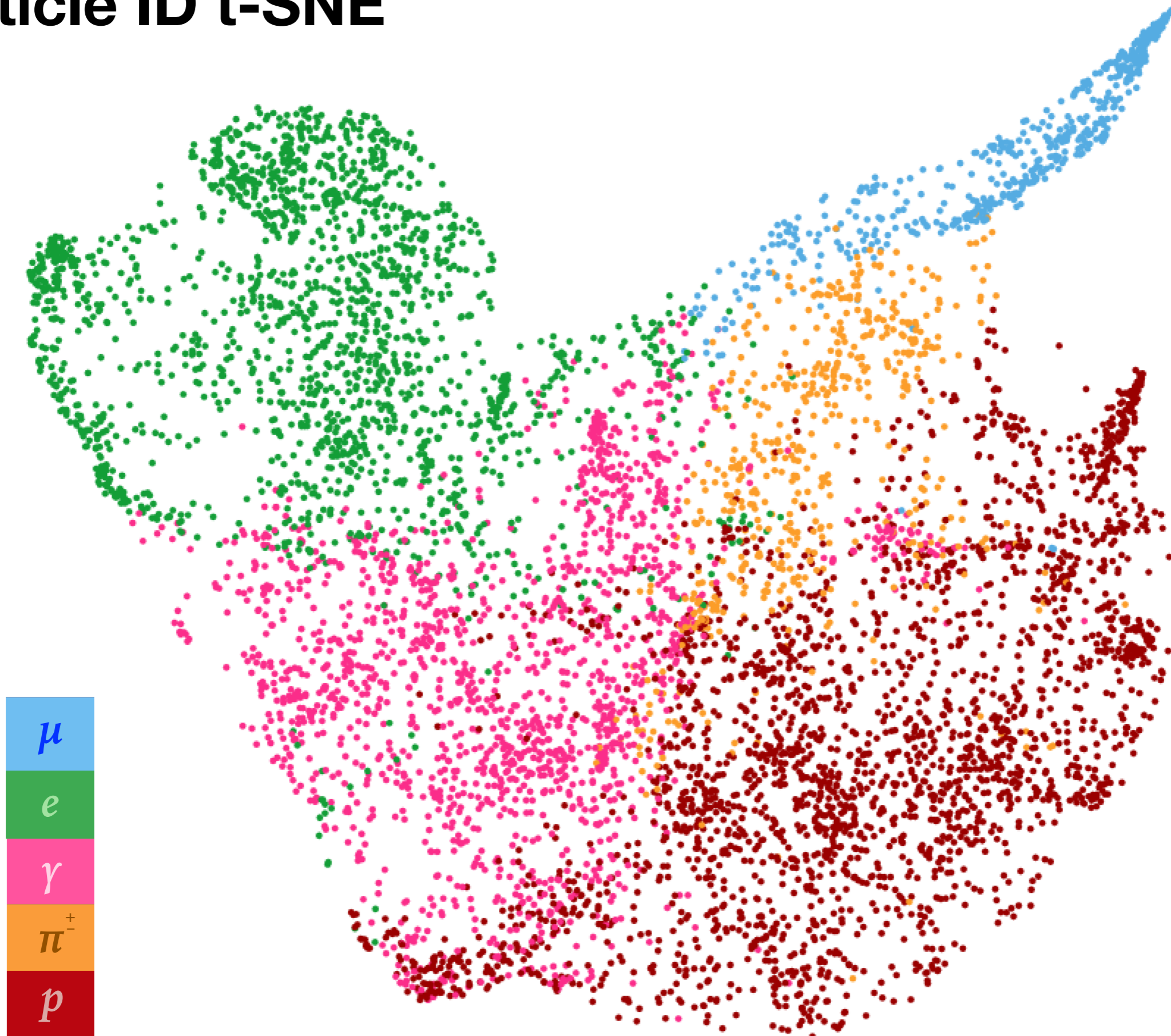
Particularly useful in the classification of photons.



The change in efficiency for each category from inclusion of context information.

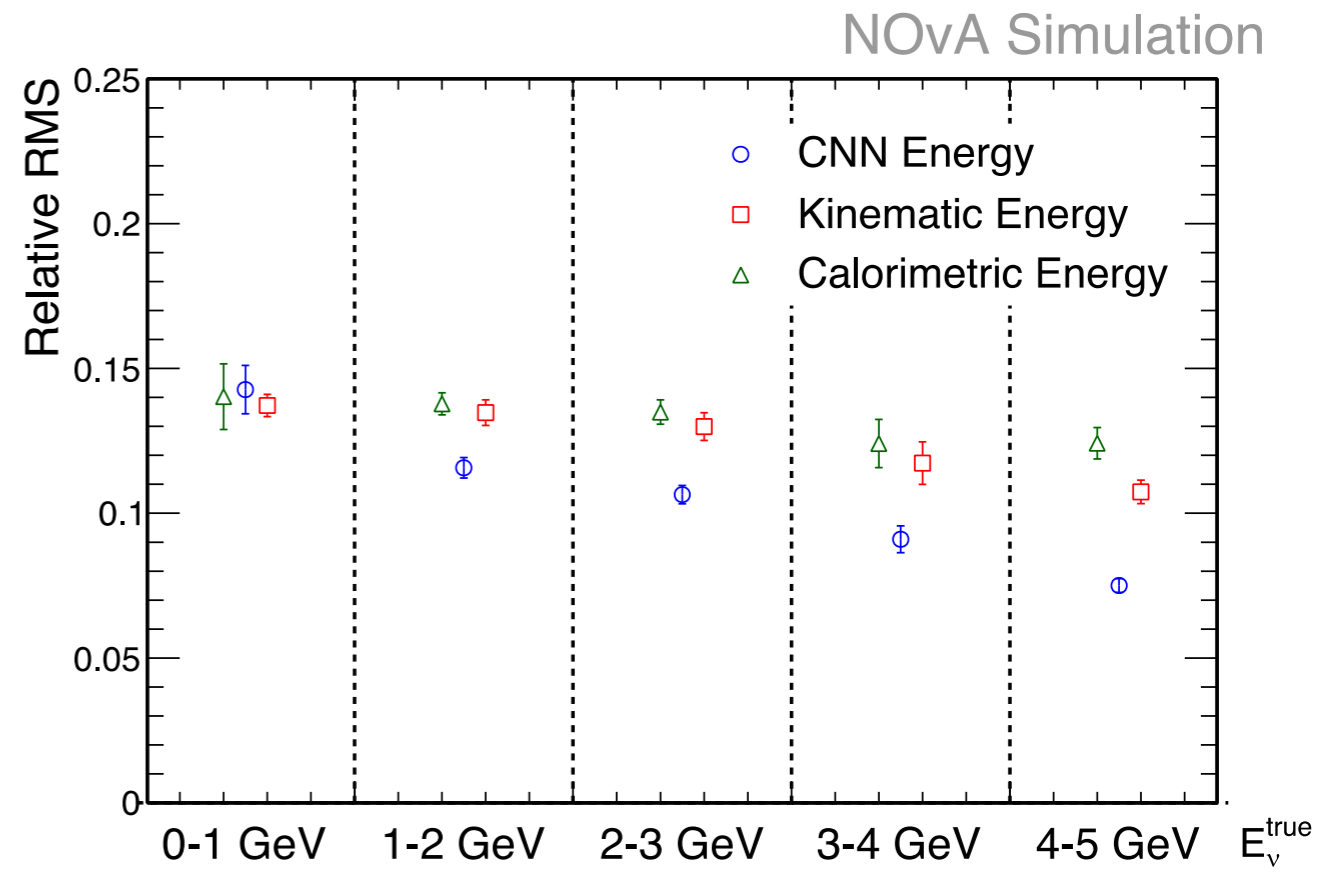
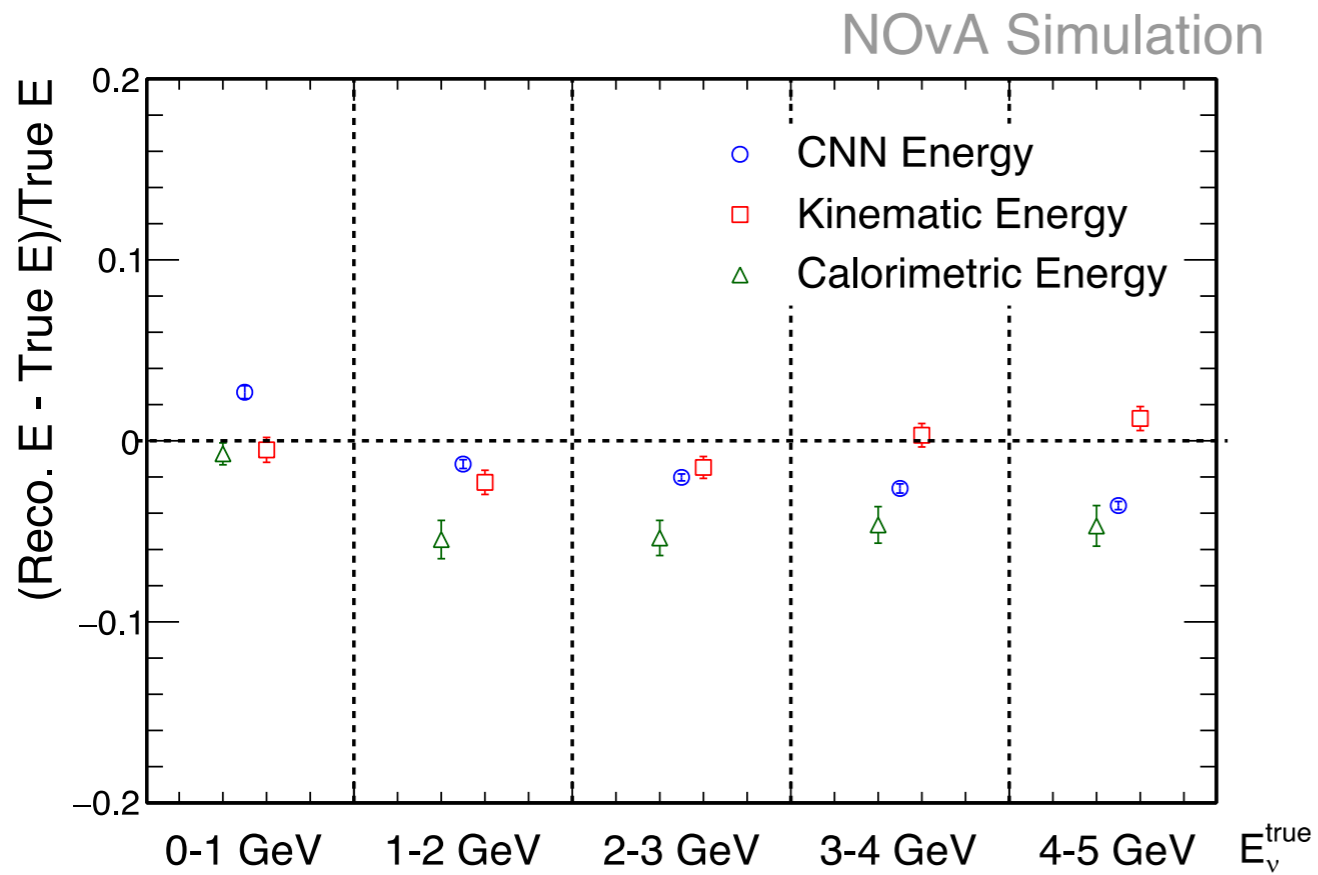


# Particle ID t-SNE

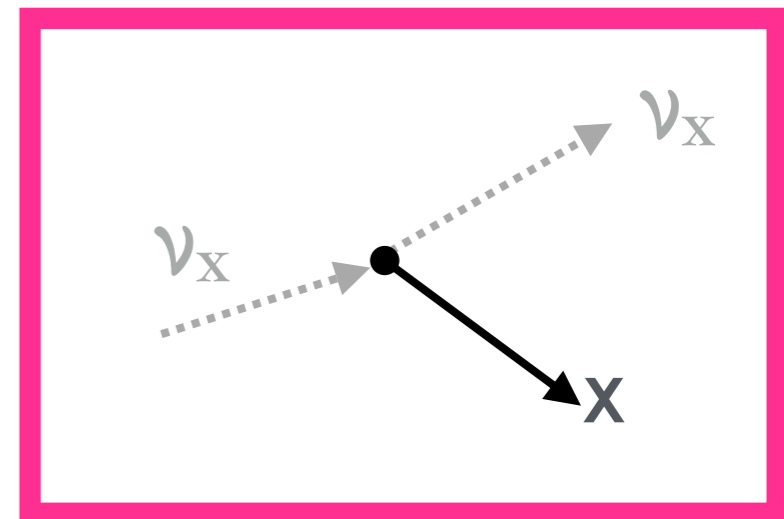
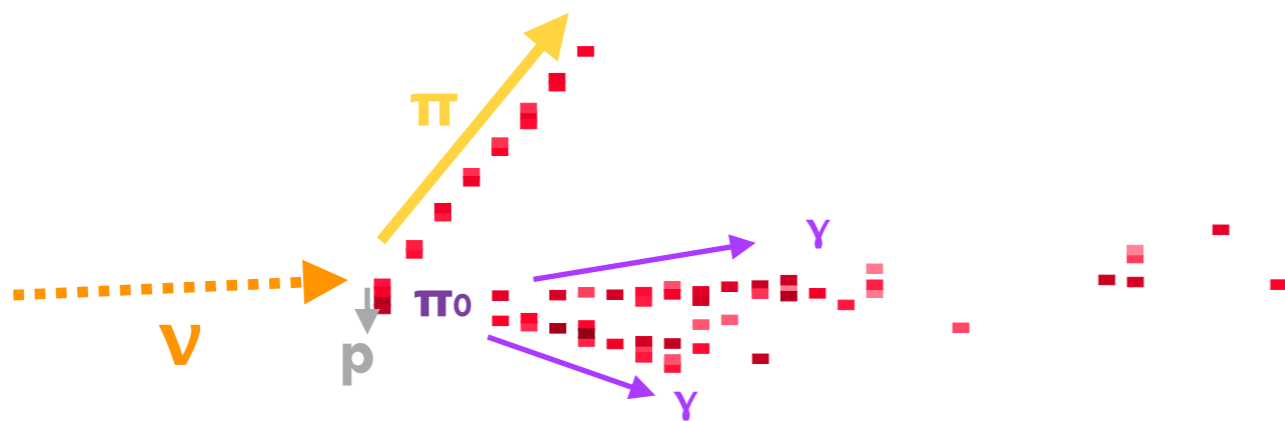
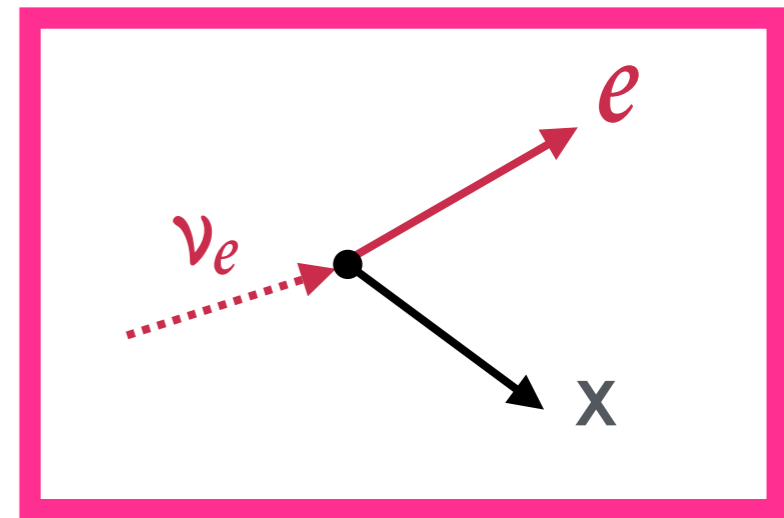
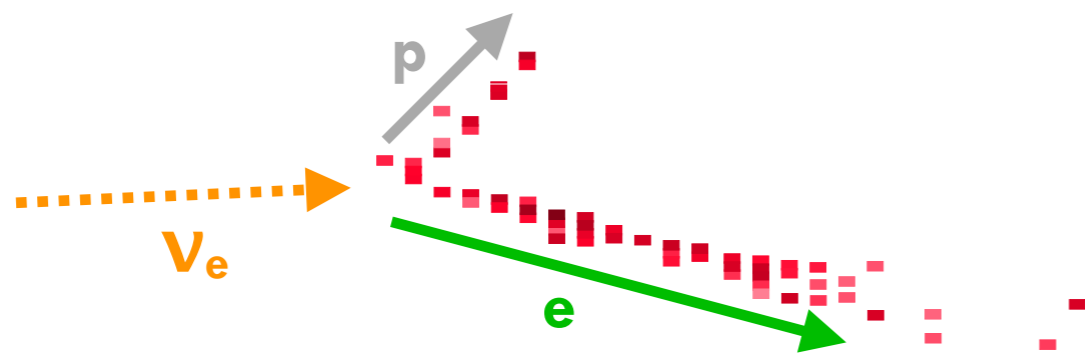
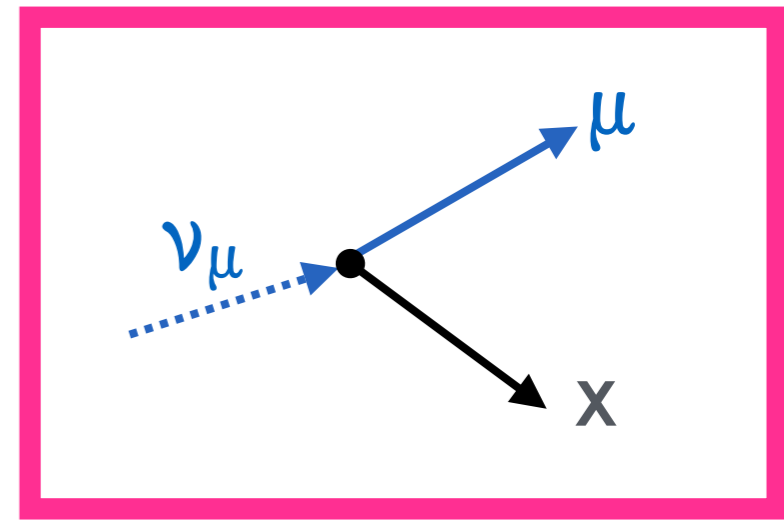
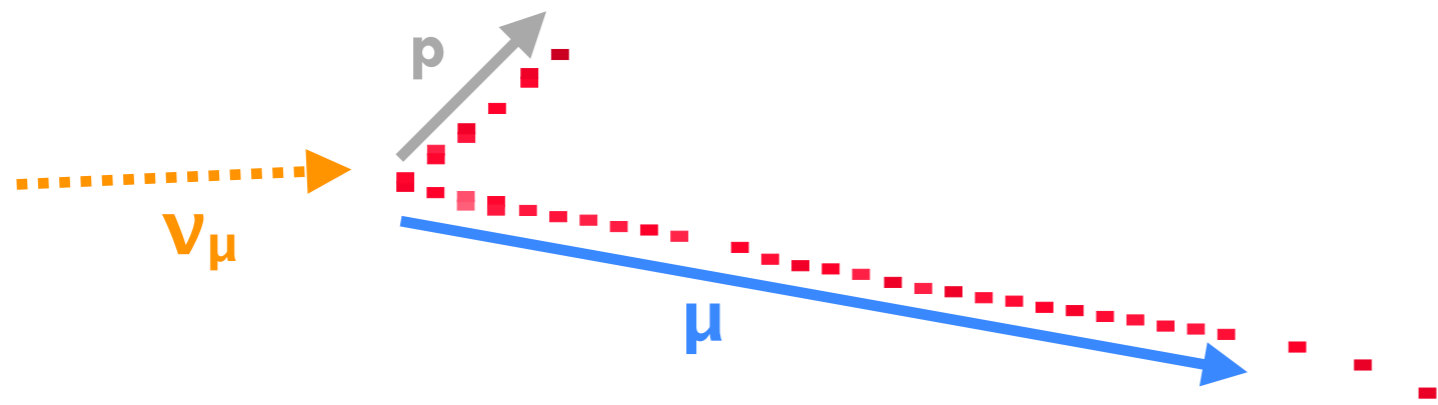


# Regression CNN

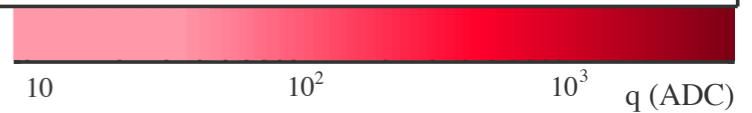
Bias against true energy.

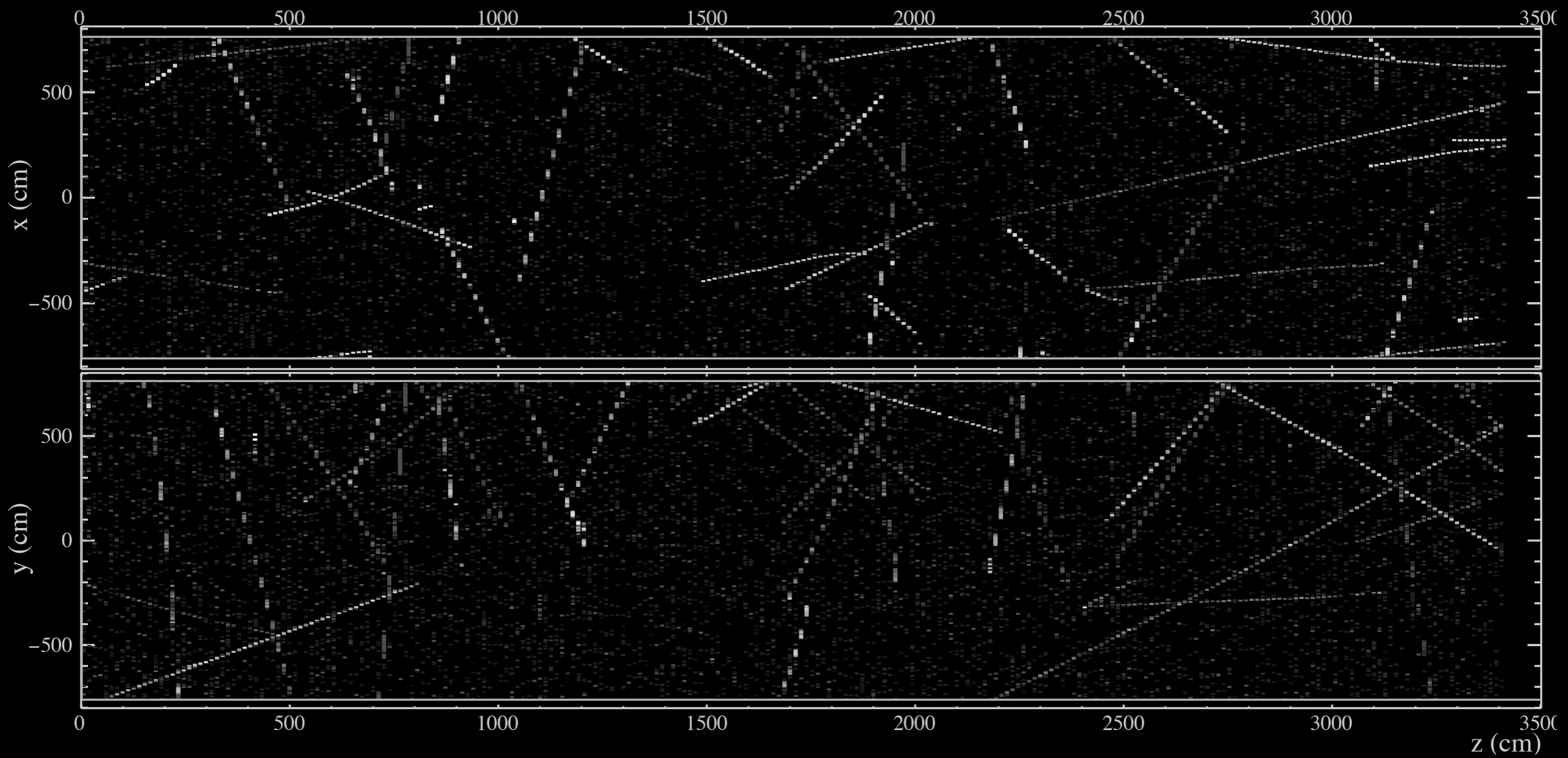


# Signature Data Events

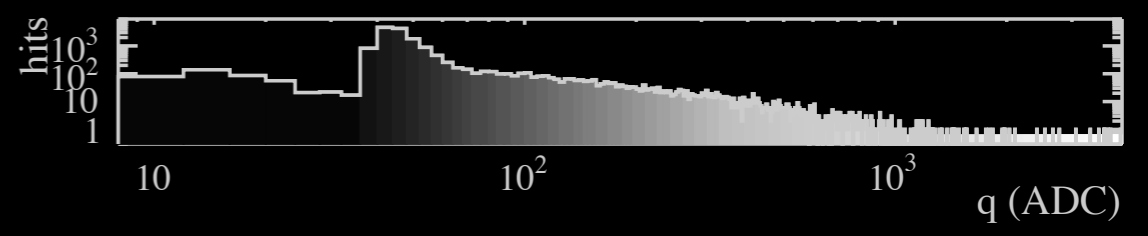
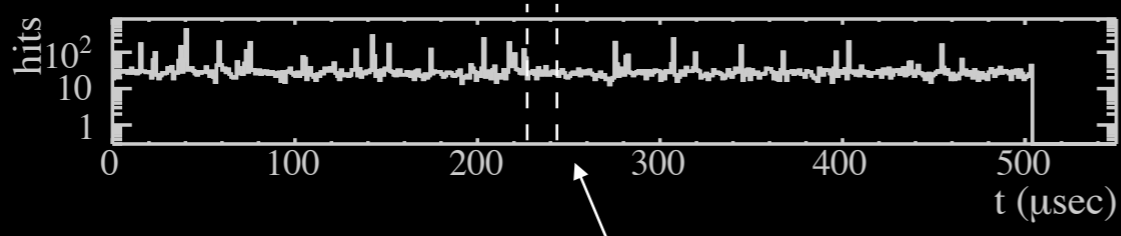


1m  
1m





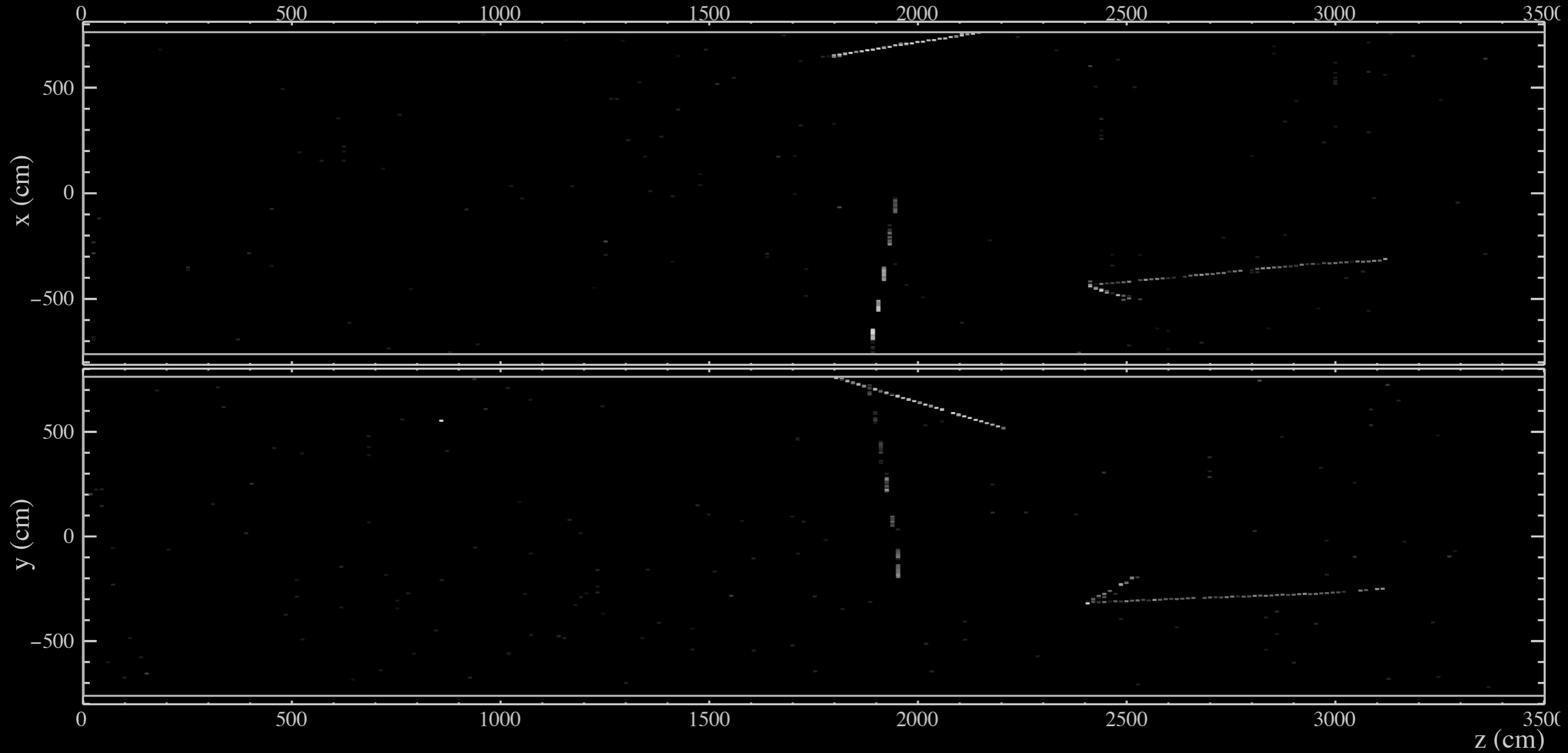
**NOvA - FNAL E929**  
 Run: 14828 / 38  
 Event: 192569 / --  
 UTC Tue Apr 22, 2014  
 21:41:51.422846016



beam window

# NOvA Events

Separate hits by time and space



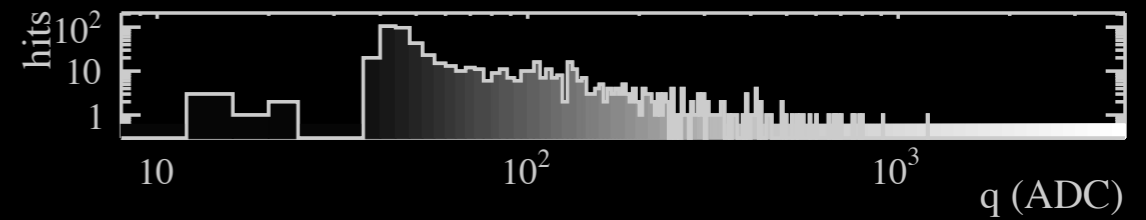
## NOvA - FNAL E929

Run: 14828 / 38

Event: 192569 / --

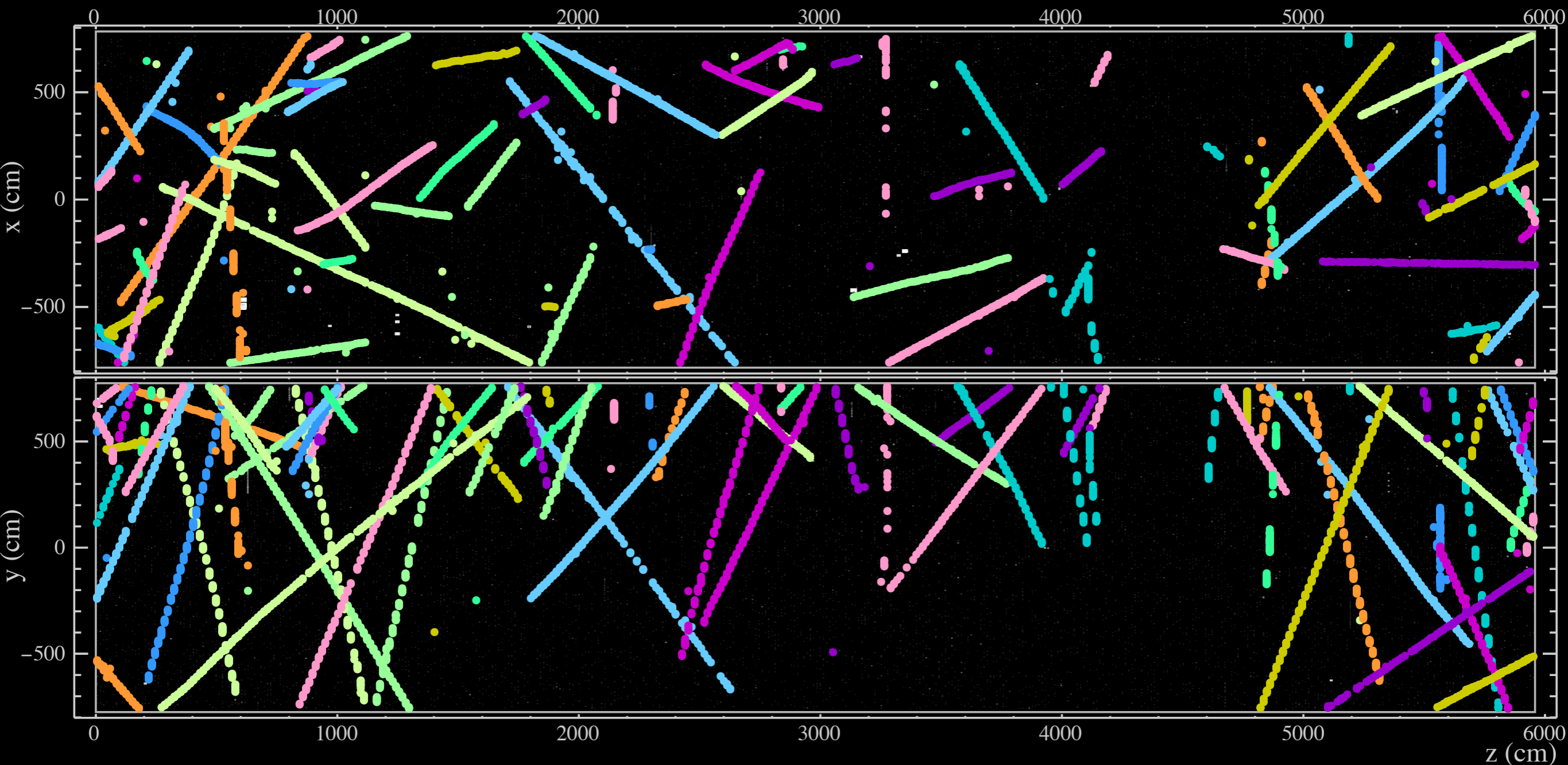
UTC Tue Apr 22, 2014

21:41:51.422846016



Beam window only.





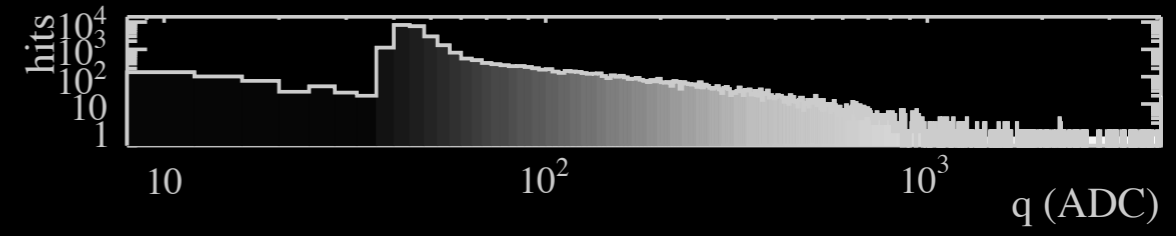
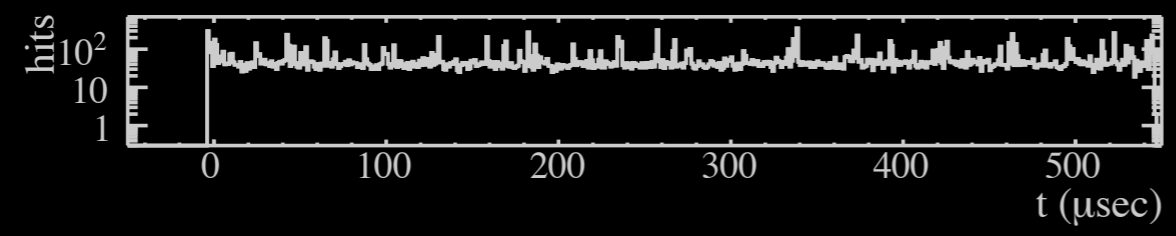
**NOvA - FNAL E929**

Run: 19193 / 13

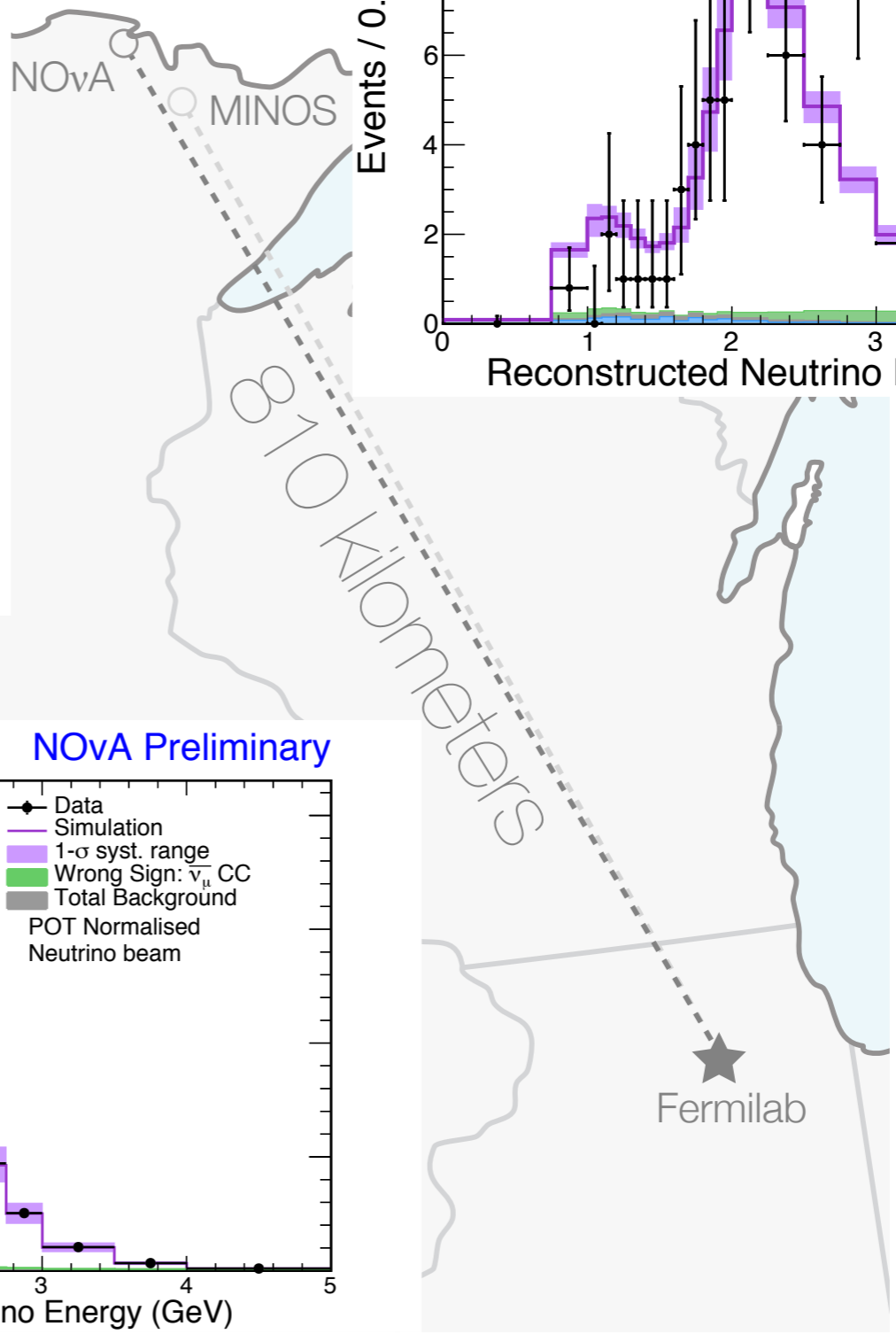
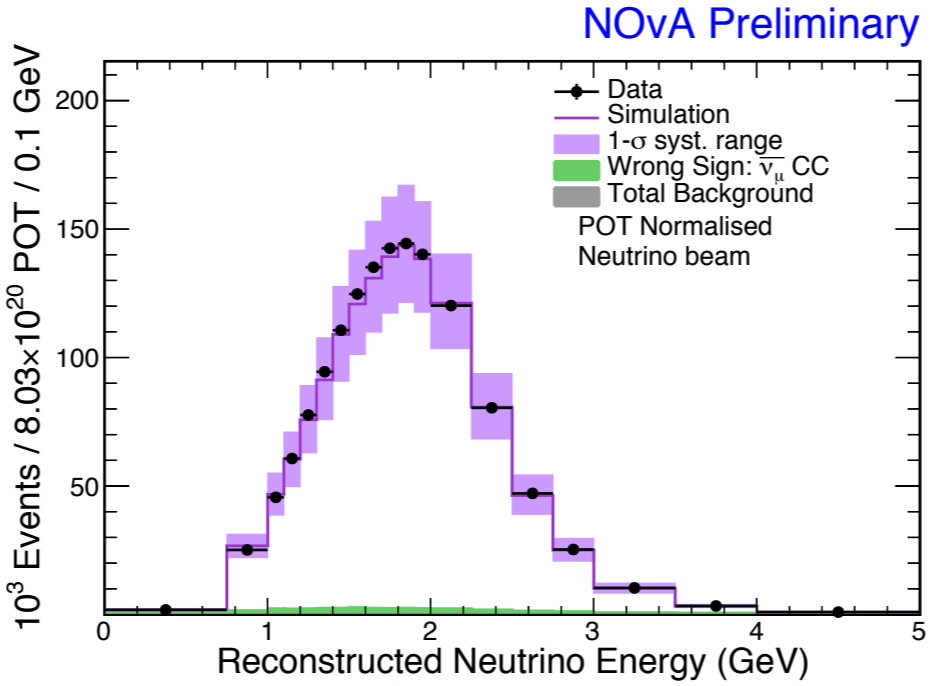
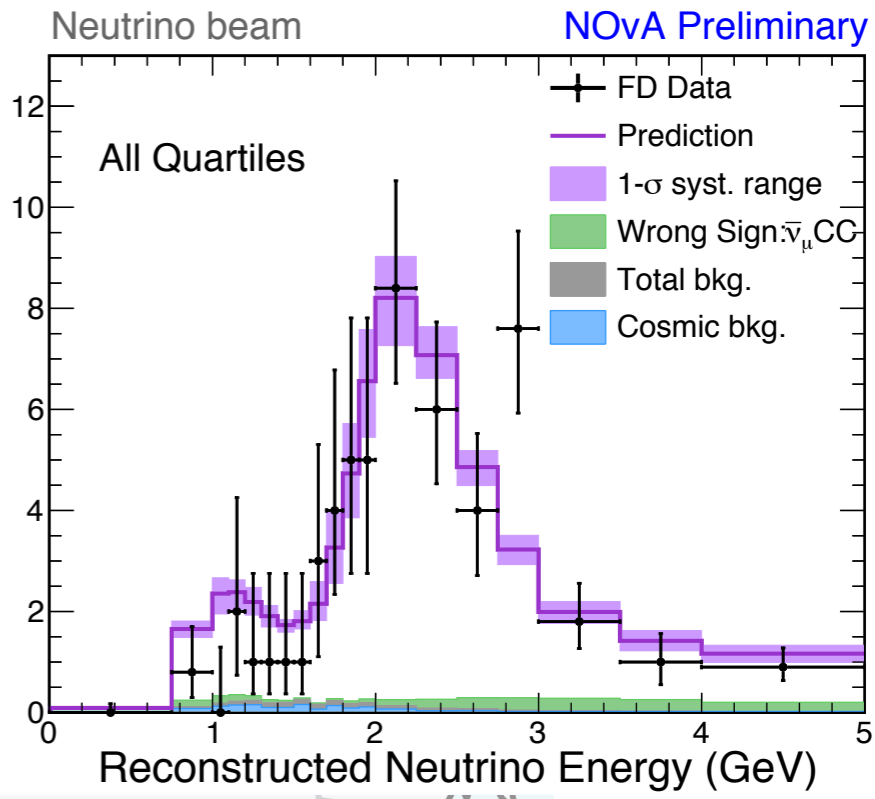
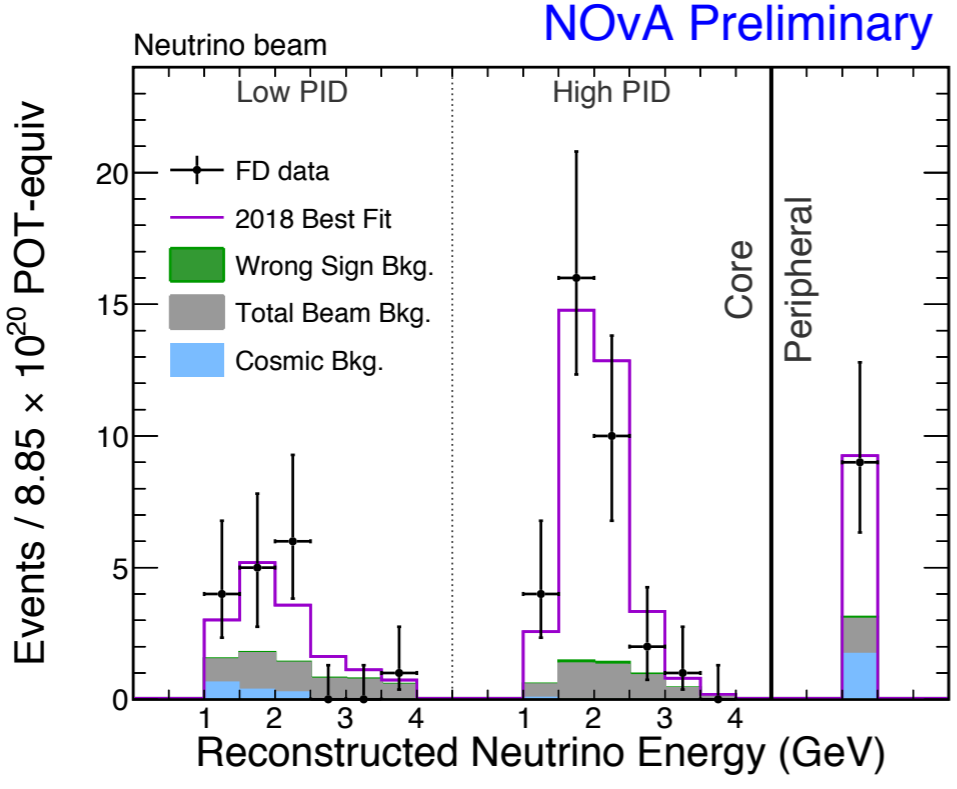
Event: 188331 / --

UTC Fri Mar 27, 2015

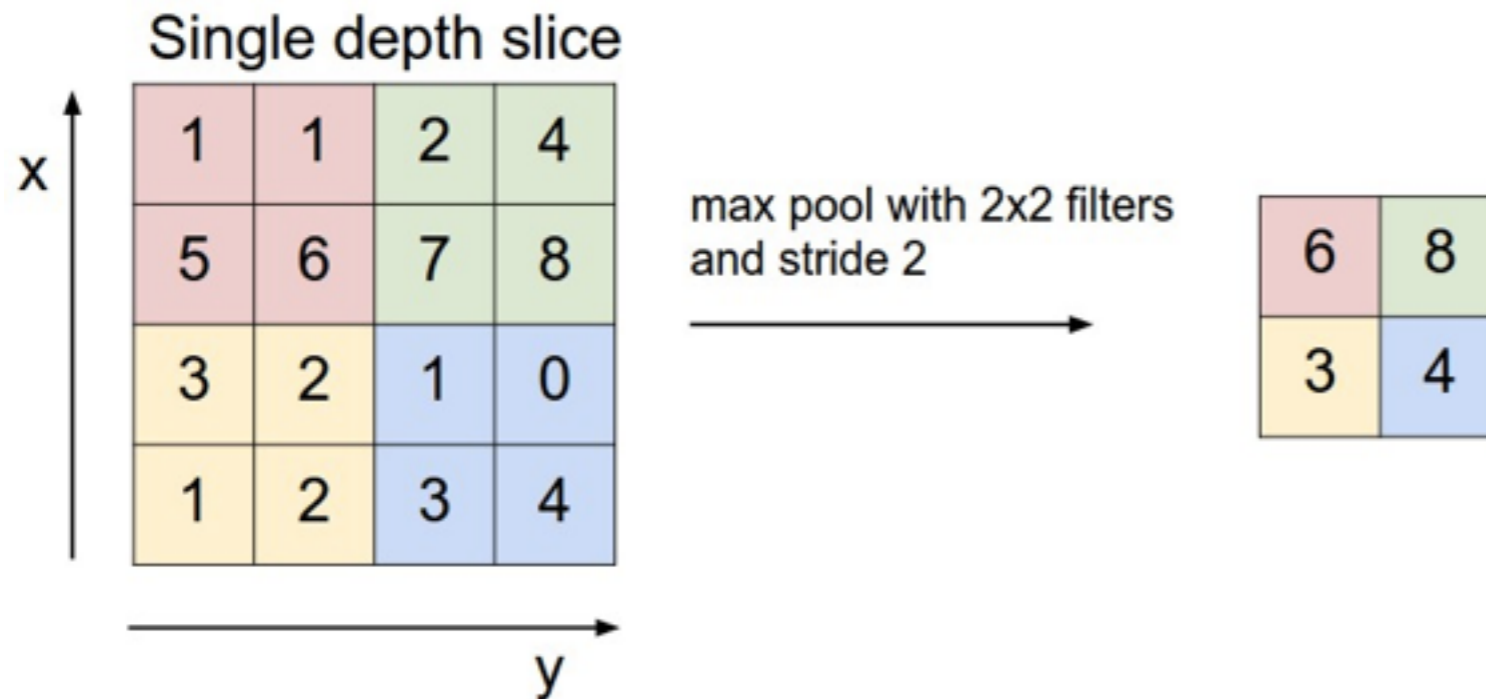
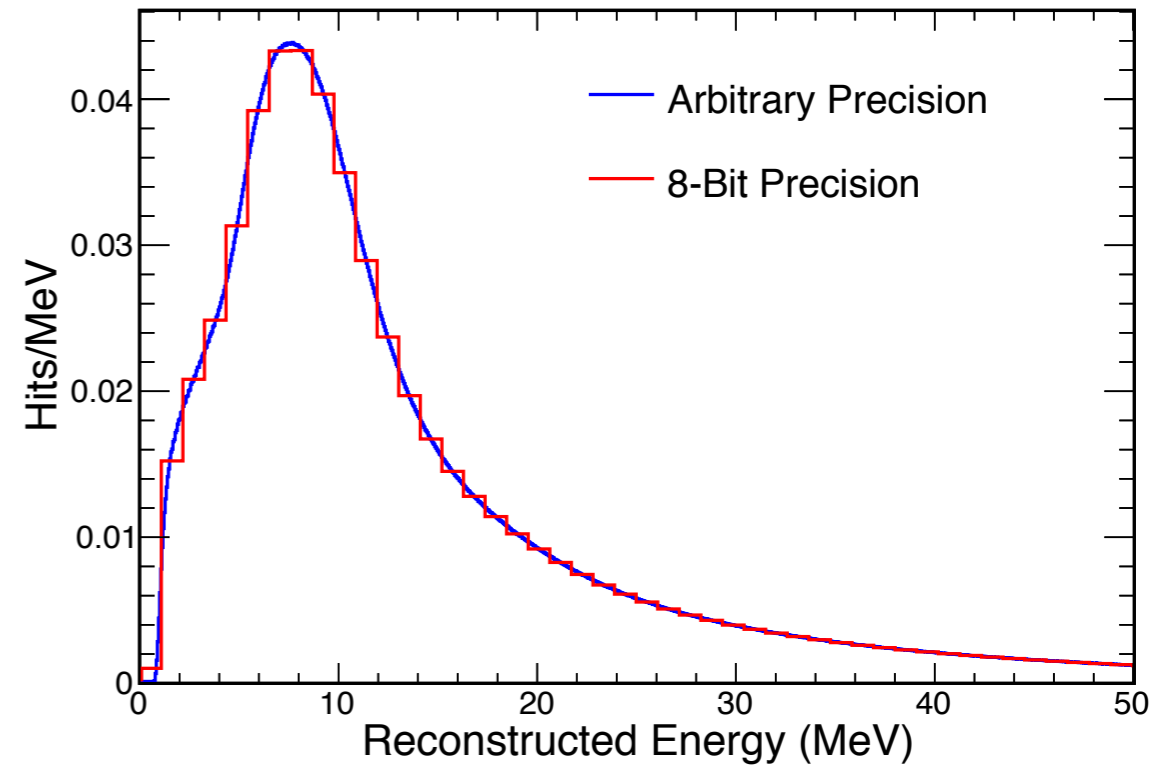
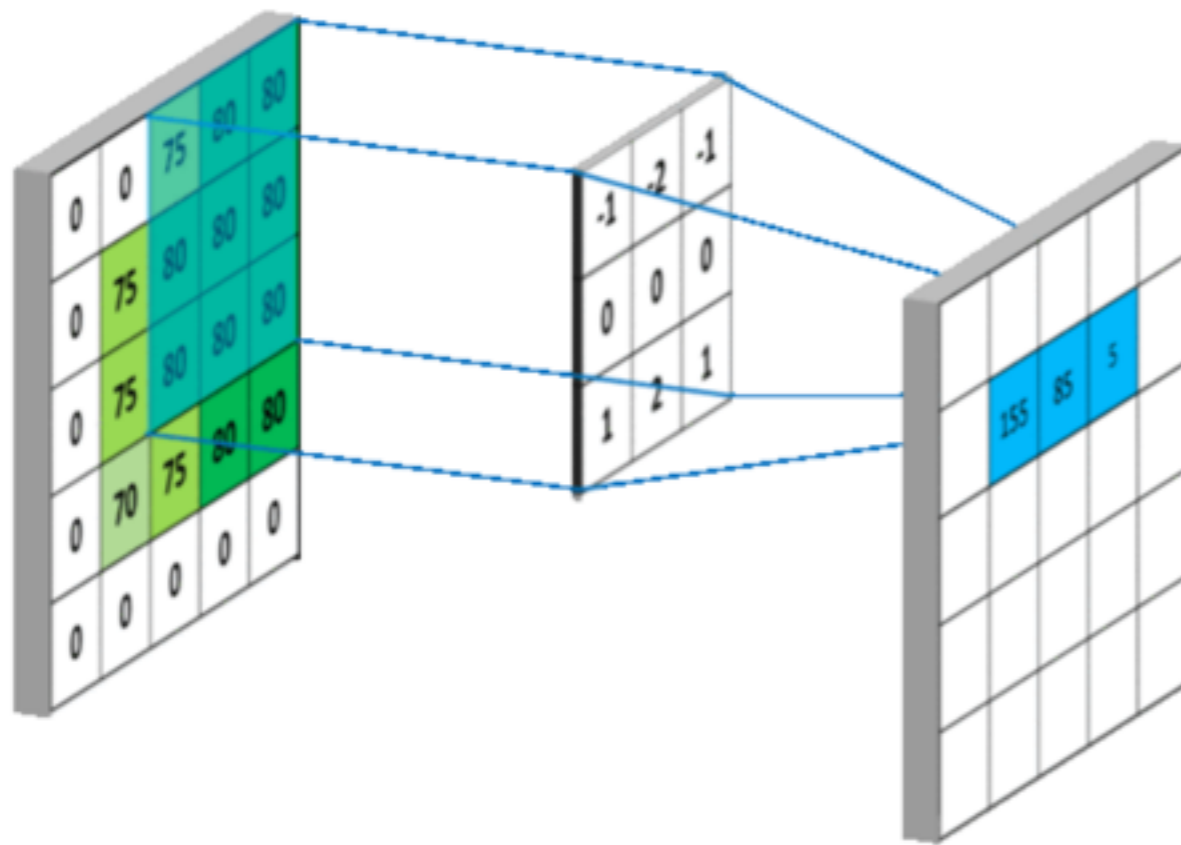
09:44:53.281953920



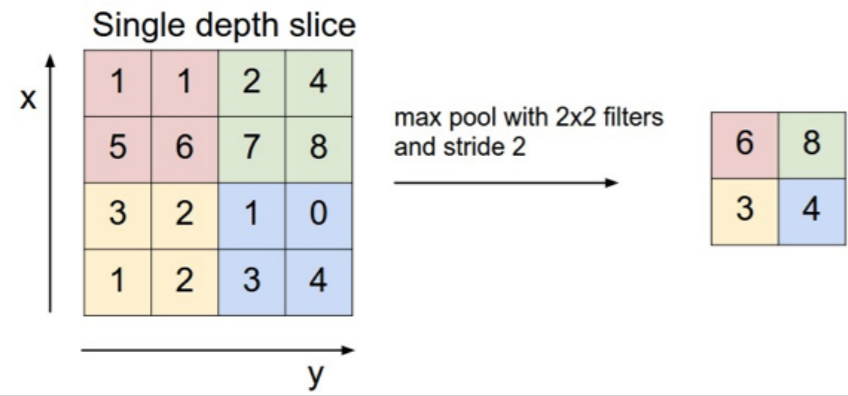
# NOvA Analysis



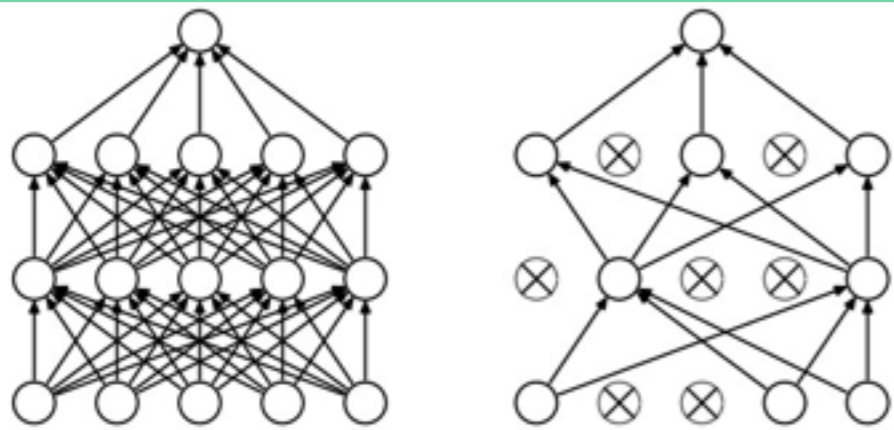
# Convolutions and Pooling



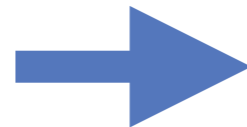
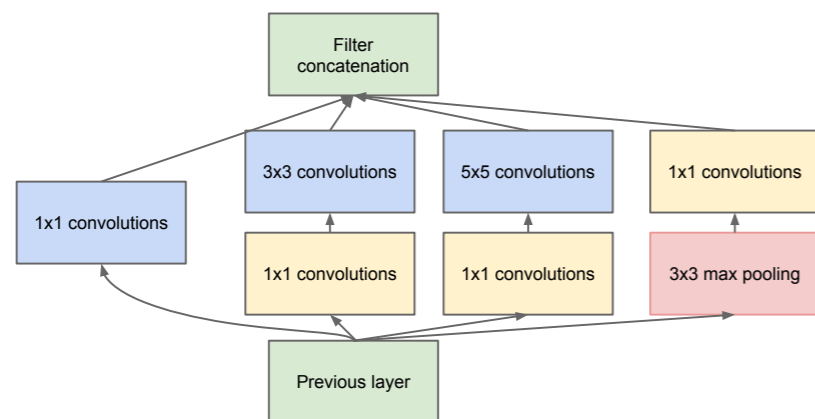
## Pooling



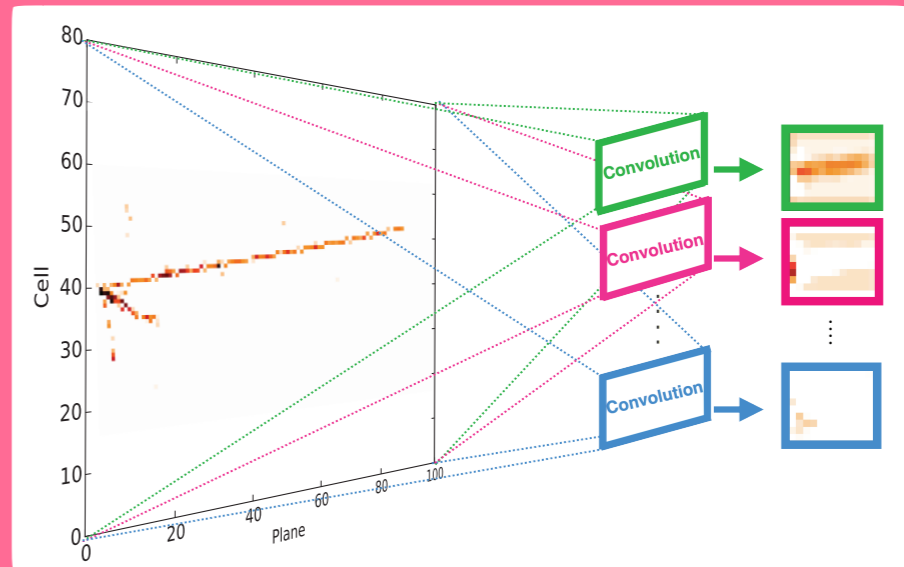
## Dropout



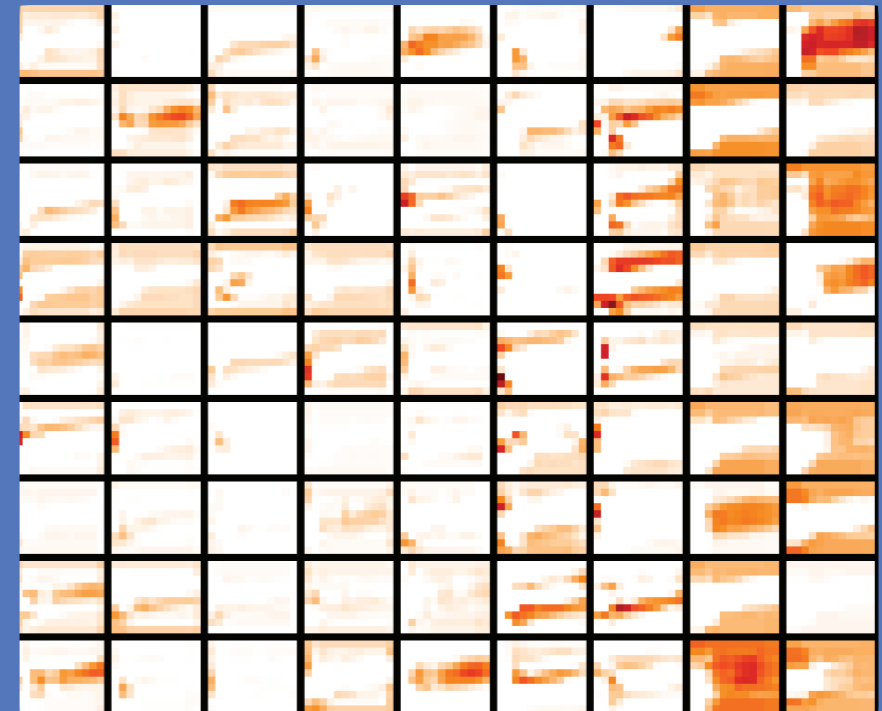
## Inception module



## Convolution



## Inception output



# Training

x4.7 million

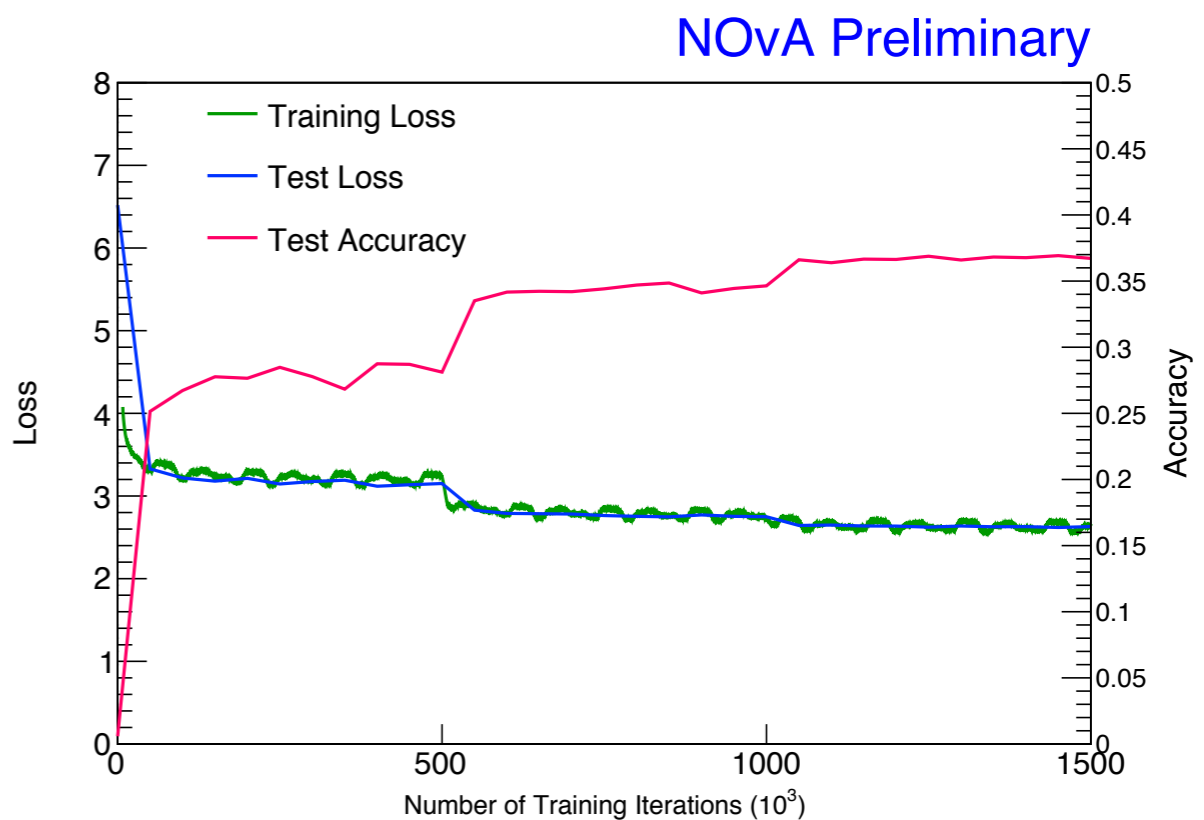
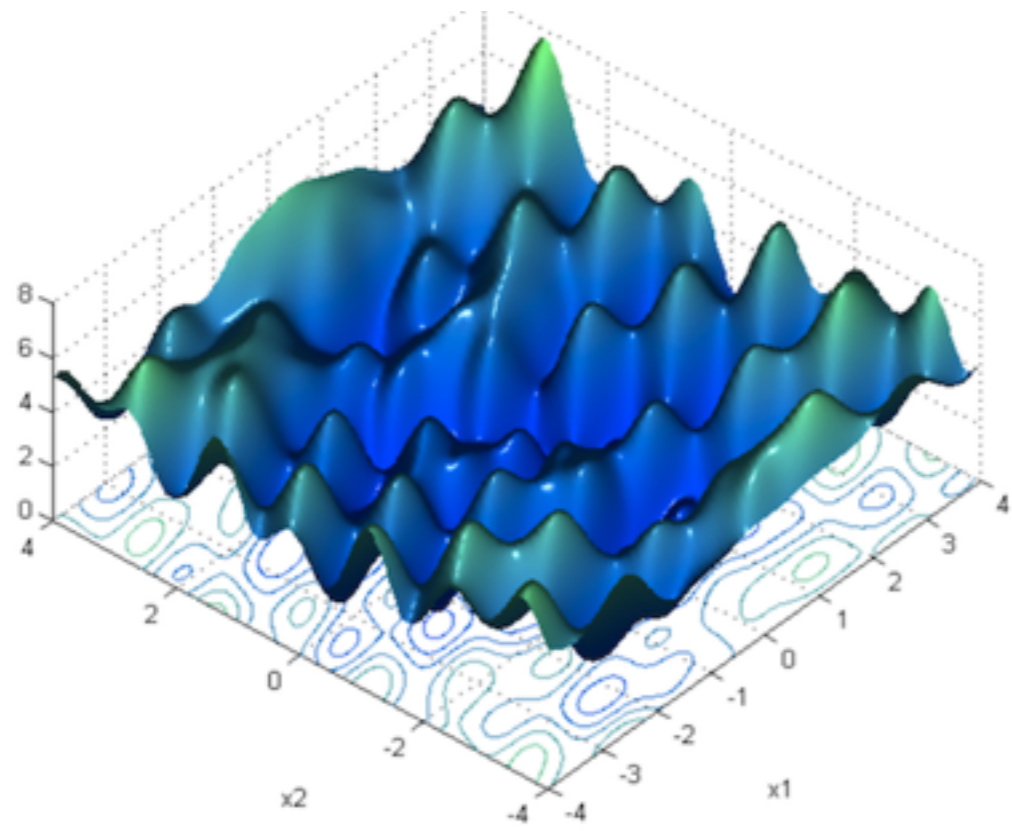


Training Sample

Test Sample



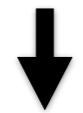
Weights are learned through a process to minimize the loss function.



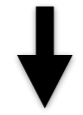


# Traditional Reconstruction

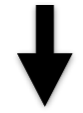
Group all hits with a common origin, the same neutrino interaction or cosmic.



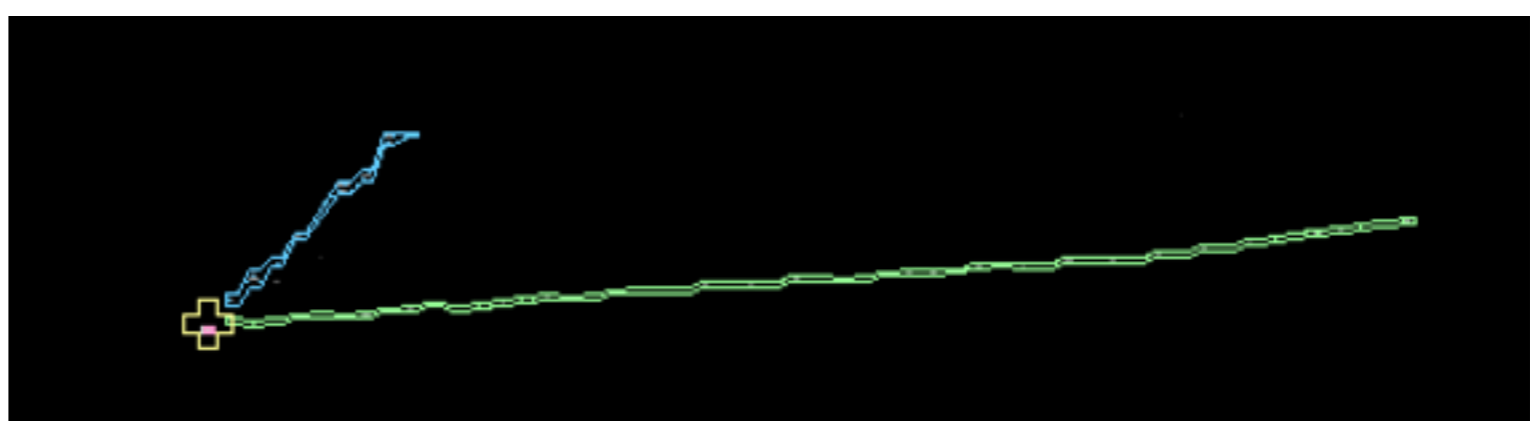
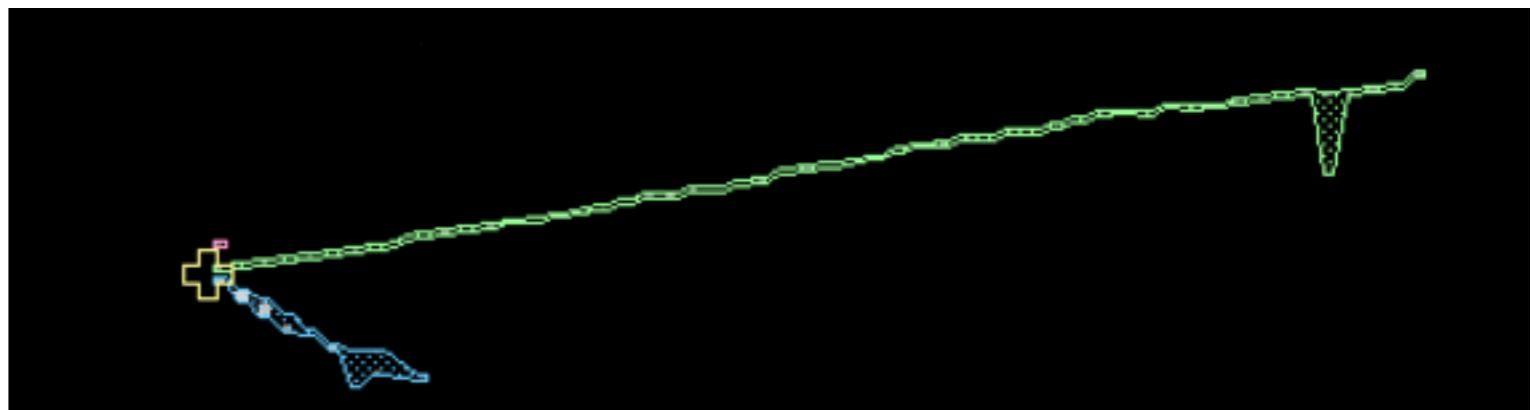
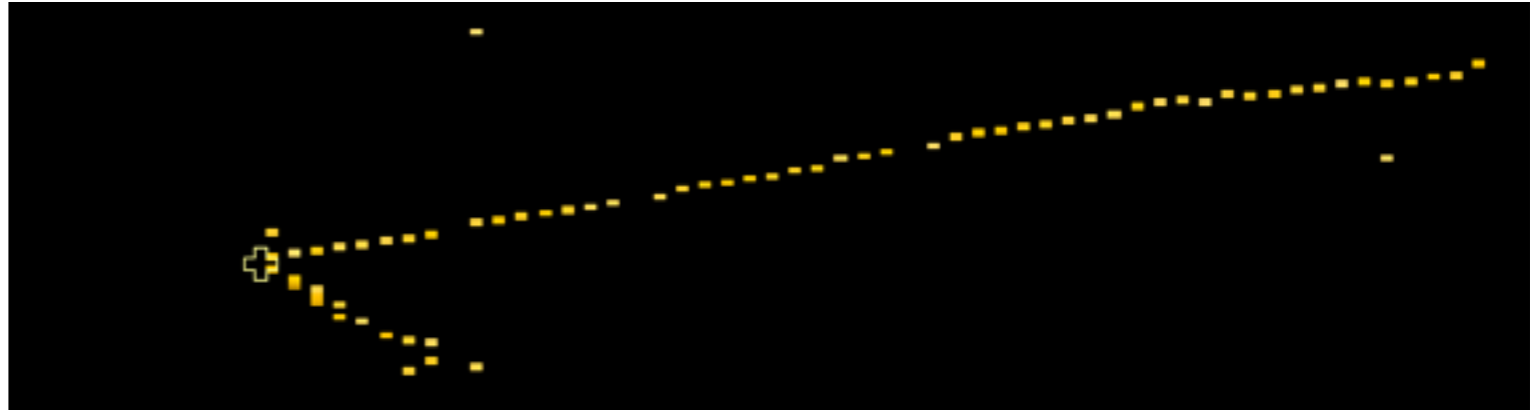
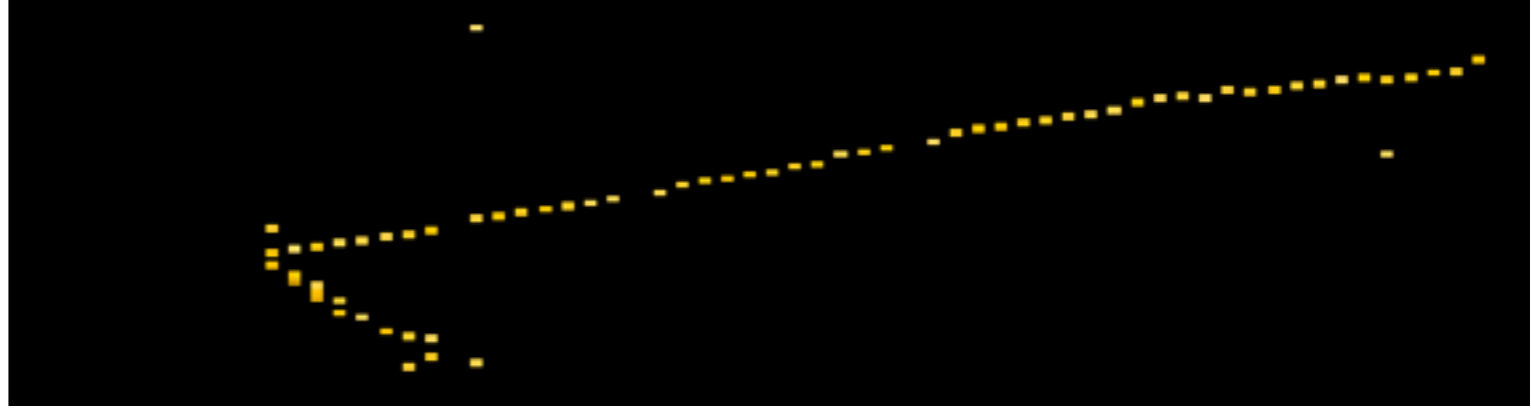
Reconstruct the global interaction vertex.



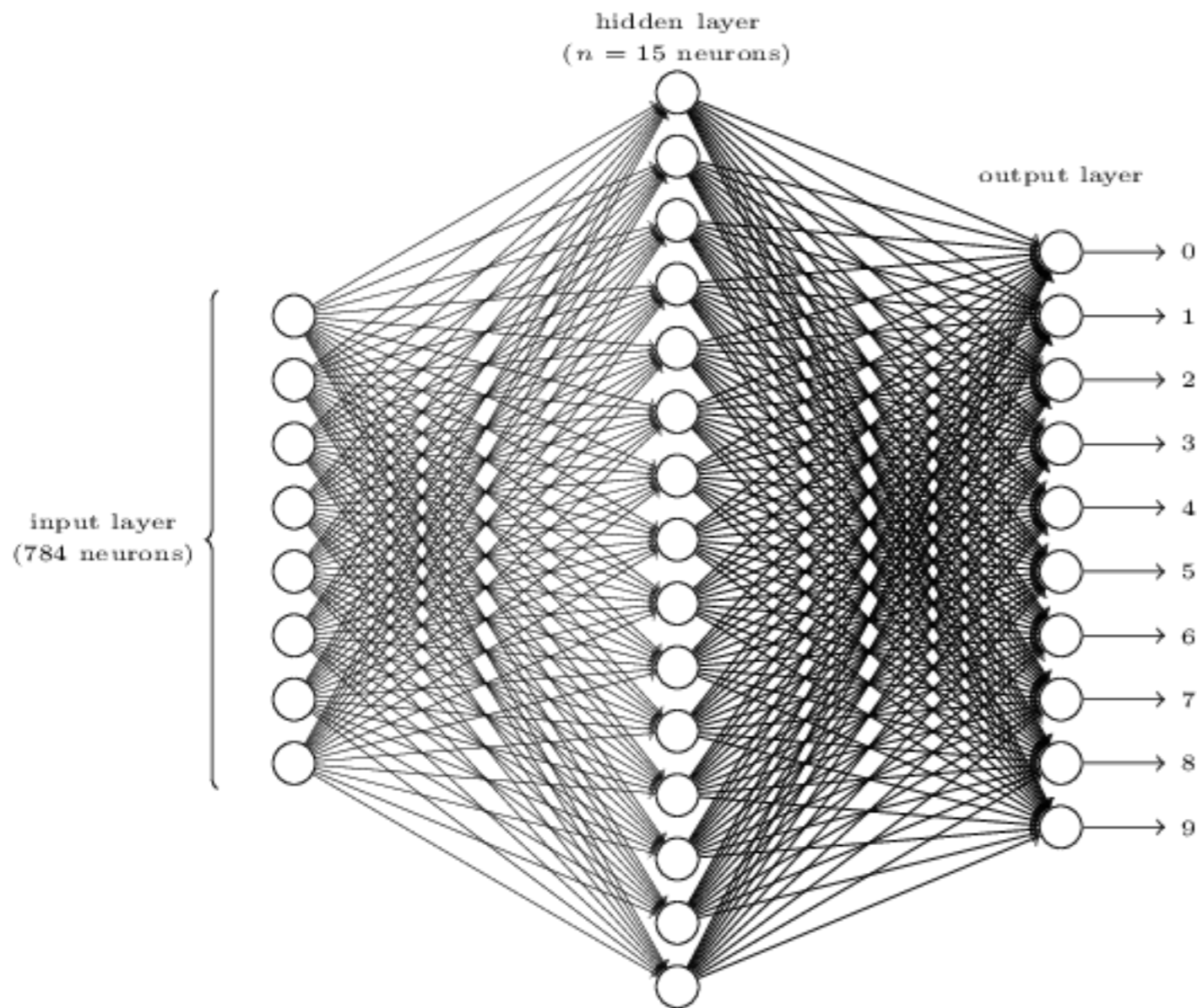
Cluster hits belonging to the same particle.



Match clusters across views to make 3D prongs



# Neural Networks



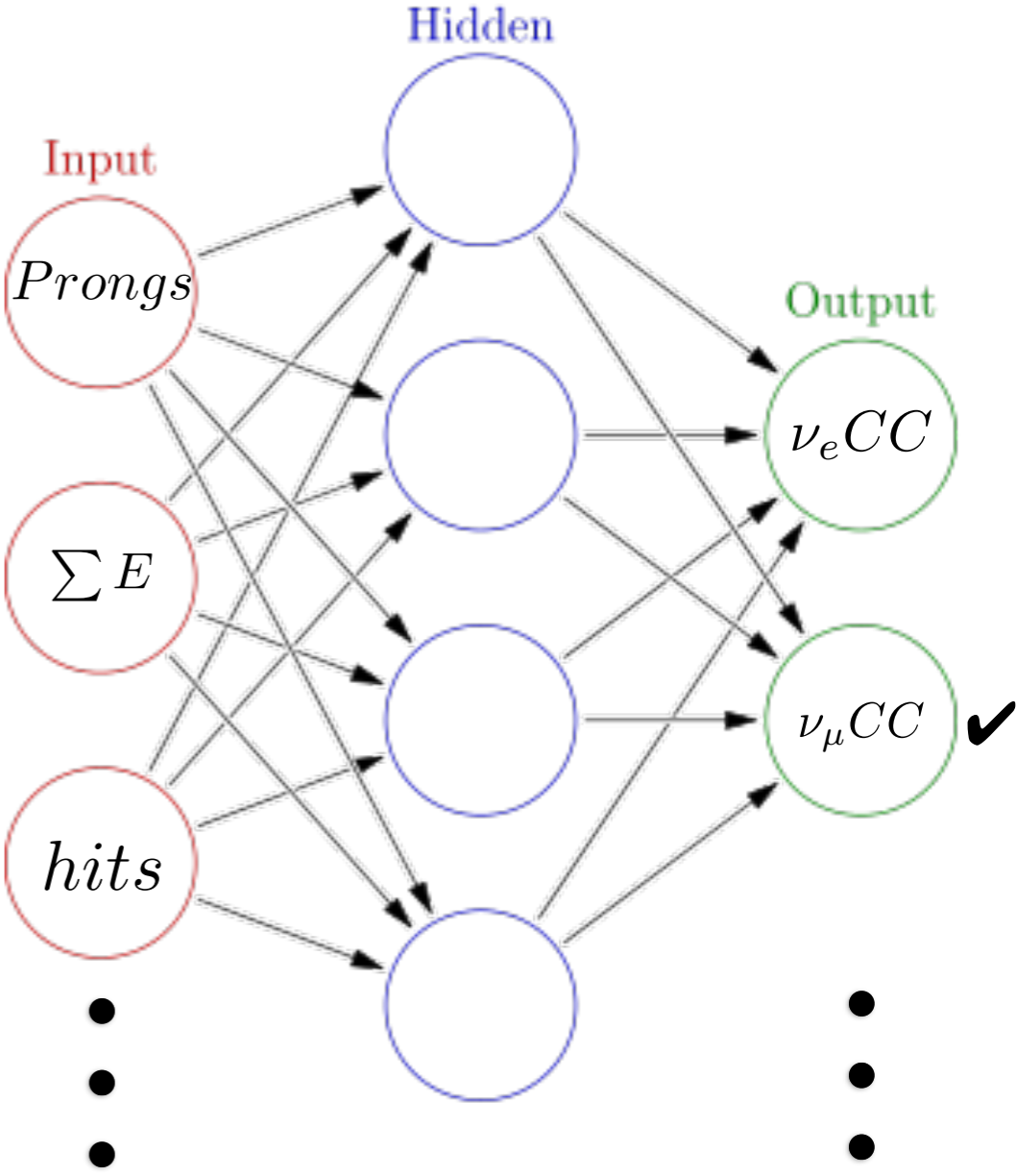
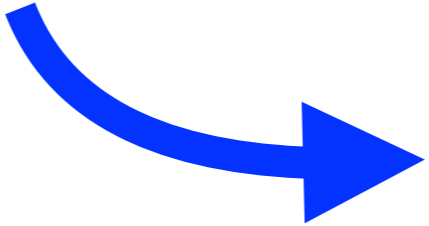
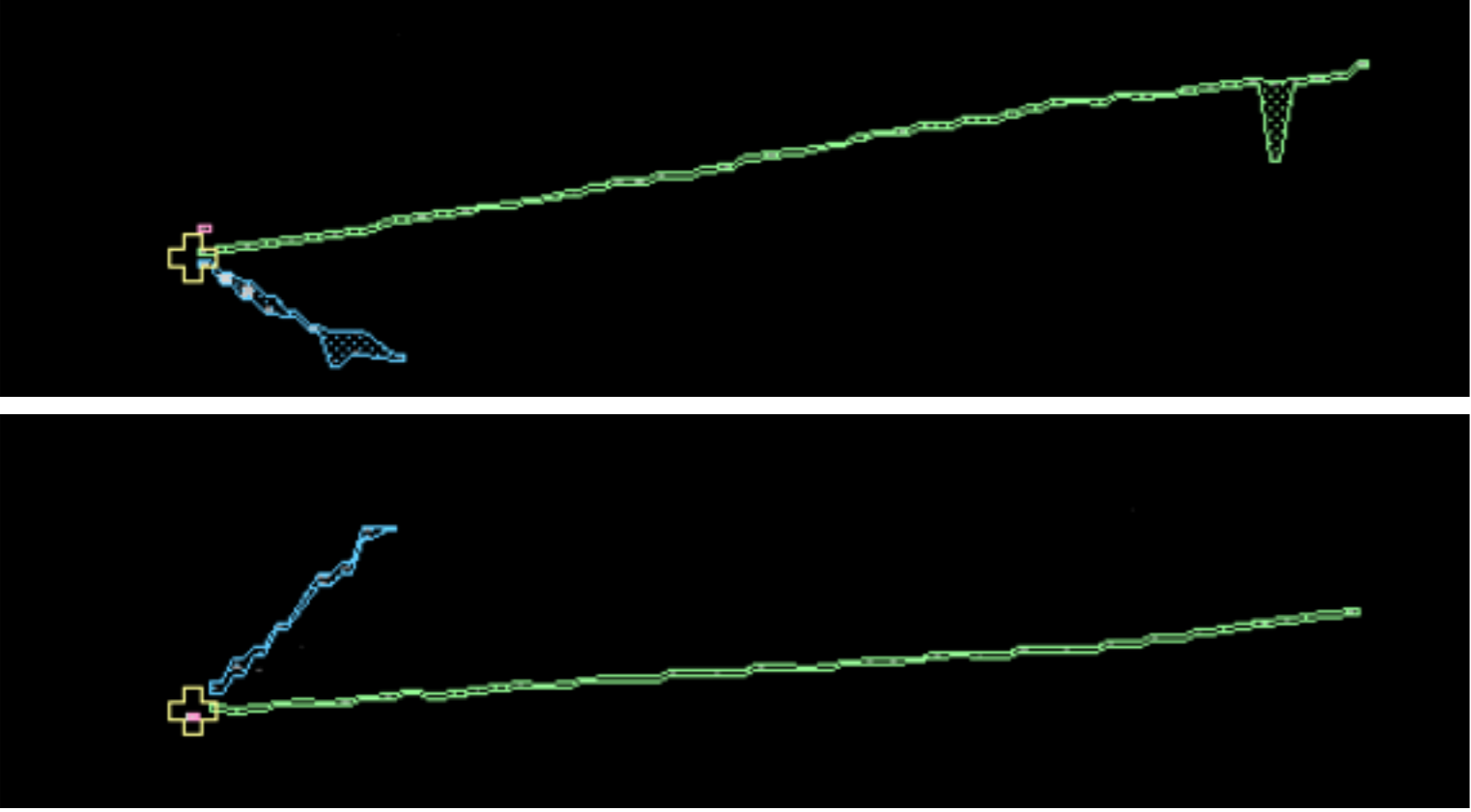
Identify neutrino flavor using neural networks.

Artificial Neural Network (ANN) consists of multiple layers of neurons.

Each neuron represents a function using the values from the previous layer.

Output layer has scores for each category.

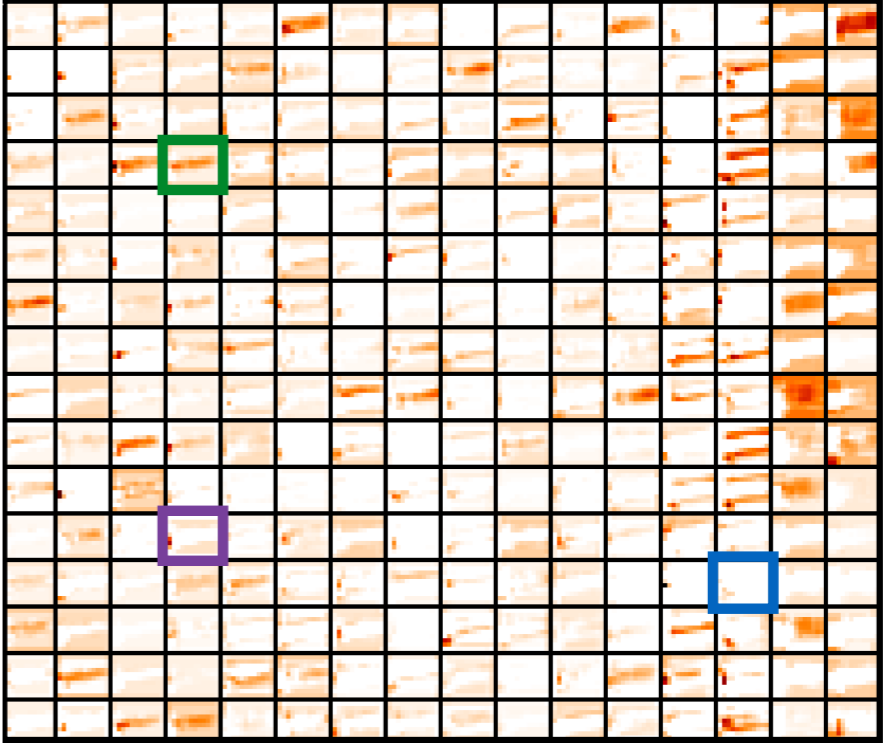
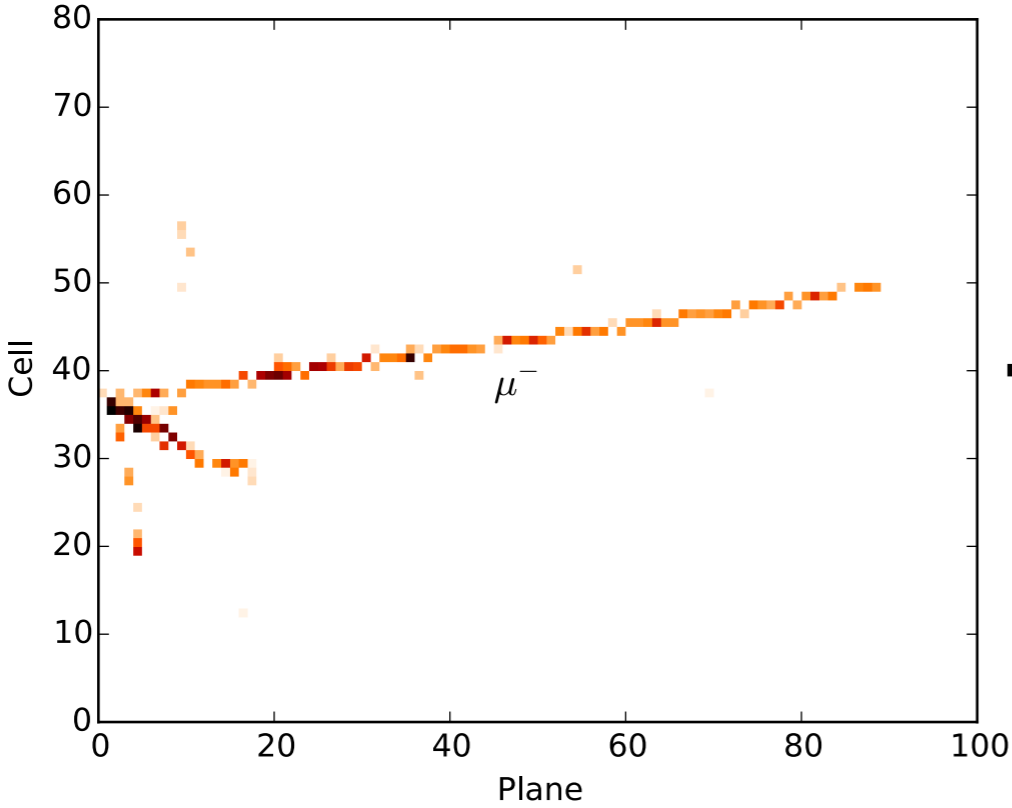
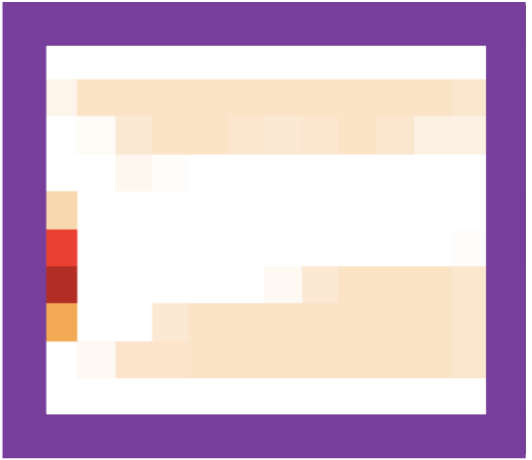
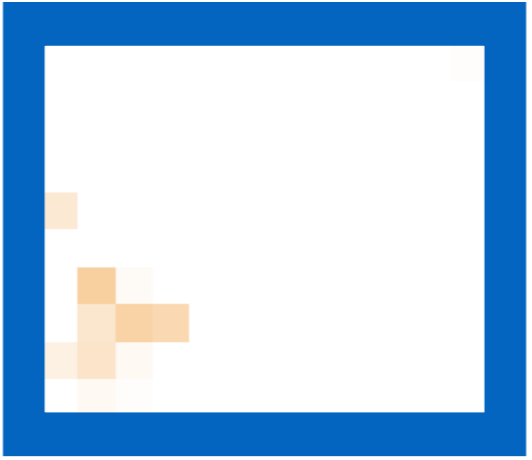
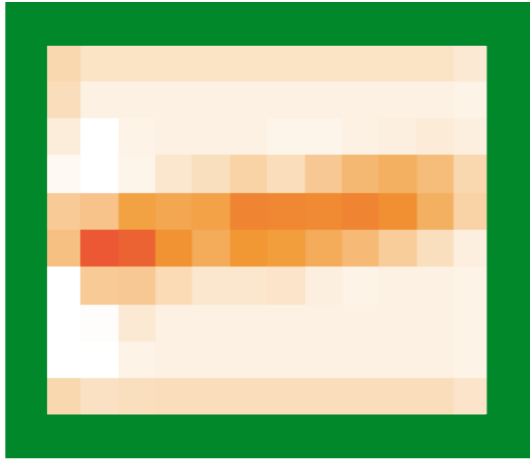
# Event Identification



Extracted features from geometrical reconstruction used in a neural net.

Output is the interaction type.

# NOvA Features



# NOvA Features

