

# Multiphonon excitations in dark matter direct detection

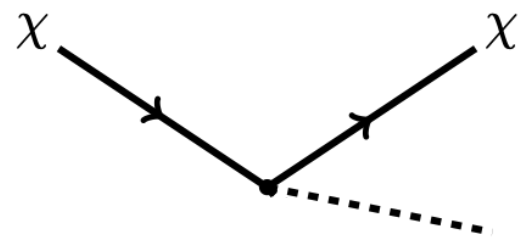
Tongyan Lin  
UCSD

September 9, 2022  
GUINEAPIG workshop

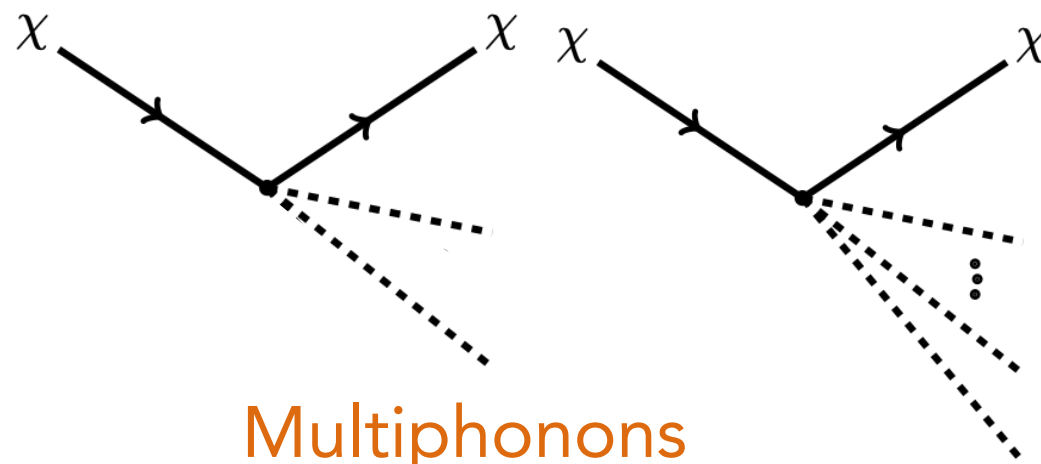


# DM-nucleus scattering in crystals

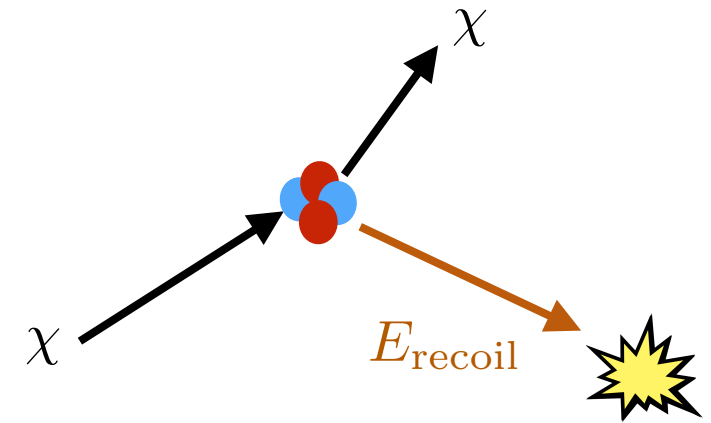
Applications also for the Migdal effect  
and calculating backgrounds



Single phonon  
excitation



Multiphonons



Nuclear recoils

keV

MeV

GeV

TeV

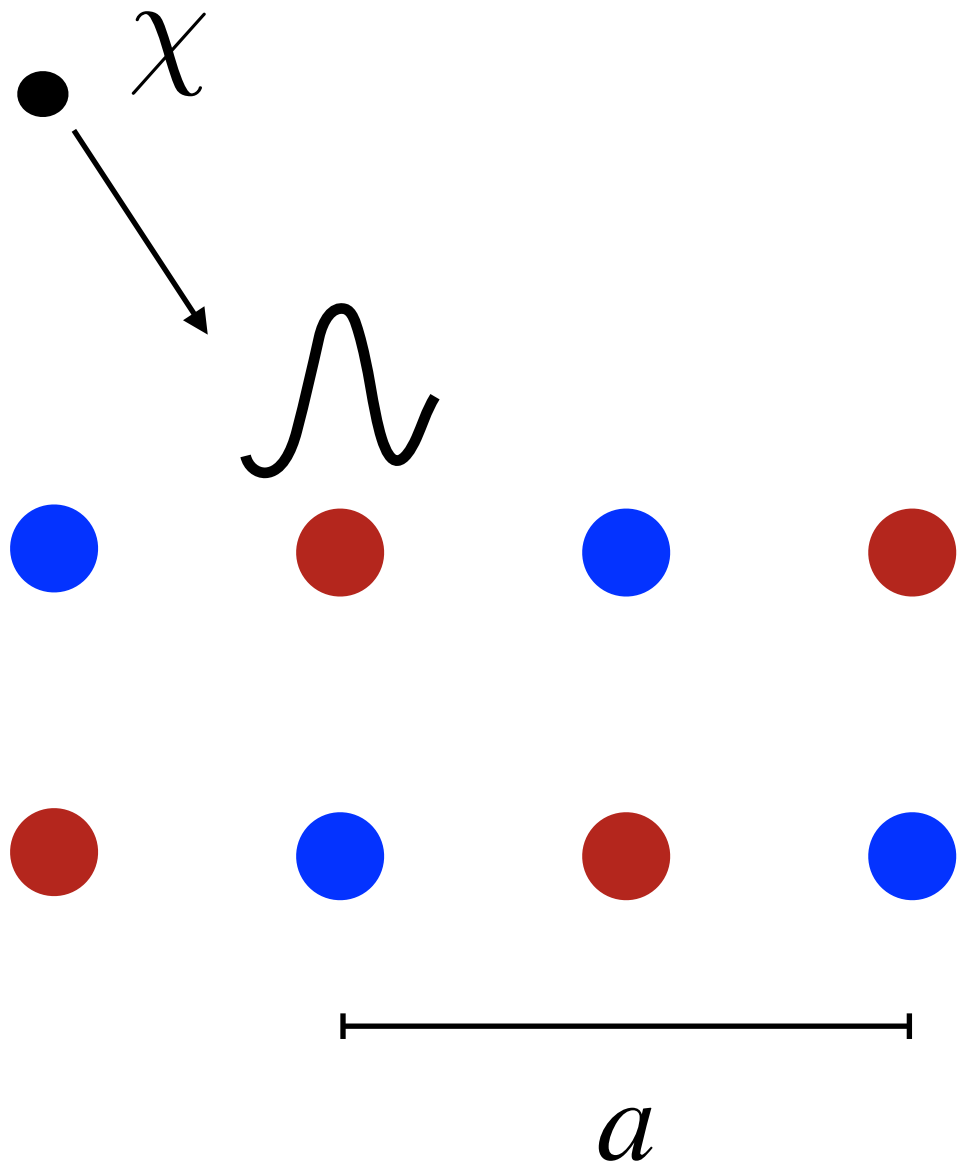
Dark matter mass

Brian Campbell-Deem, Knapen, TL, Ethan Villarama 2205.02250

Campbell-Deem, Cox, Knapen, TL, Melia 1911.03482

Knapen, Kozaczuk, TL 2011.09496

# What does DM-nucleus scattering look like in a crystal?



When momentum transfer

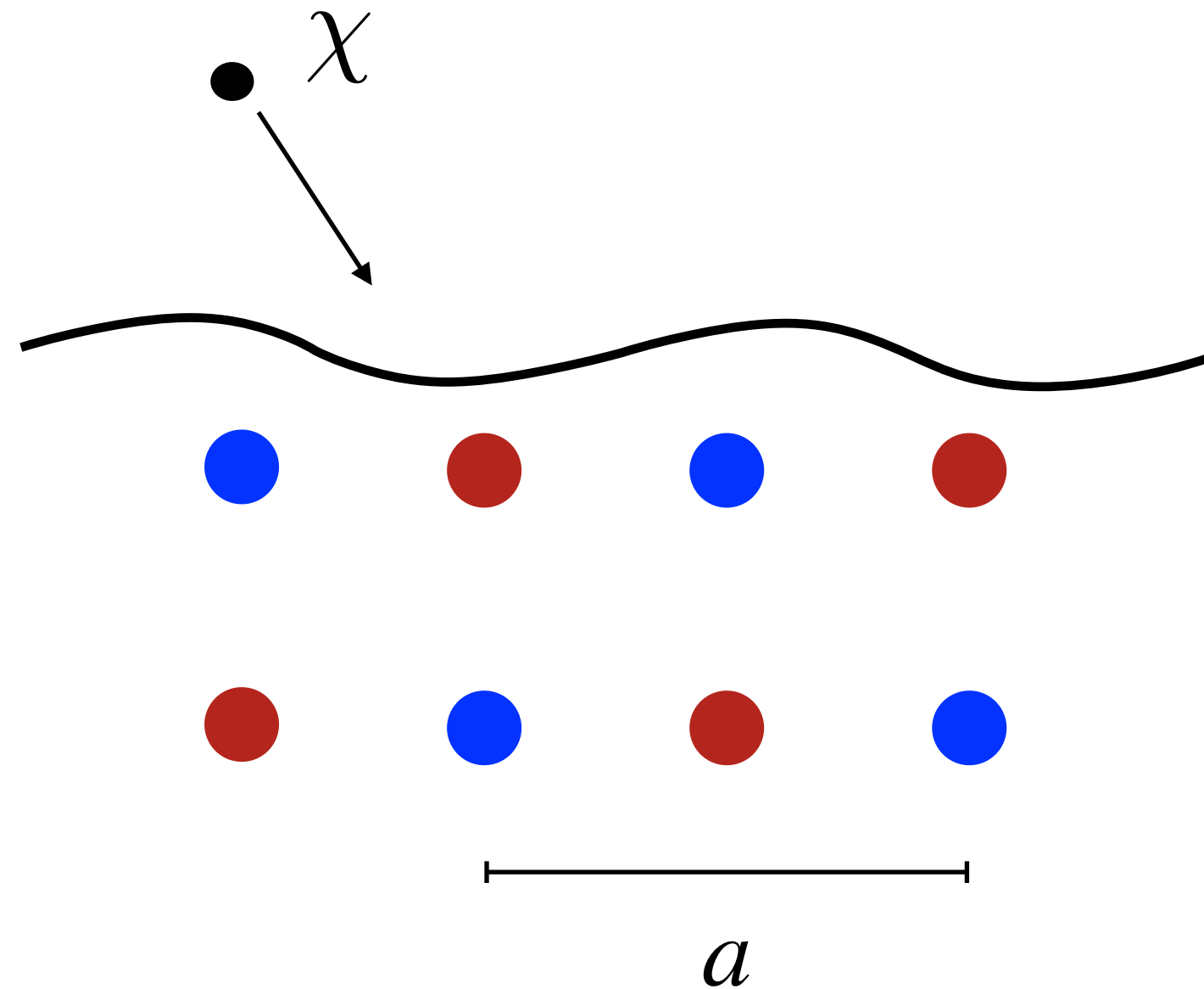
$$q \gg q_{\text{BZ}} = \frac{2\pi}{a} \sim \text{few keV}$$

and  $\omega \gg \bar{\omega}_{\text{phonon}} \sim 10\text{-}100 \text{ meV}$

DM scatters off an individual nucleus



# What does DM-nucleus scattering look like in a crystal?



When momentum transfer

$$q \ll q_{\text{BZ}} = \frac{2\pi}{a}$$

and  $\omega \sim \bar{\omega}_{\text{phonon}}$

DM excites collective  
excitations = phonons

# DM scattering rate

$$\frac{d\sigma}{d^3\mathbf{q} d\omega} \propto \sigma_{\chi p} \overbrace{|\tilde{F}_{\text{med}}(q)|^2}^{\text{DM-mediator form factor}} \underbrace{S(\mathbf{q}, \omega)}_{\text{Dynamic structure factor}} \delta\left(\omega - \mathbf{q} \cdot \mathbf{v} + \frac{q^2}{2m_\chi}\right)$$

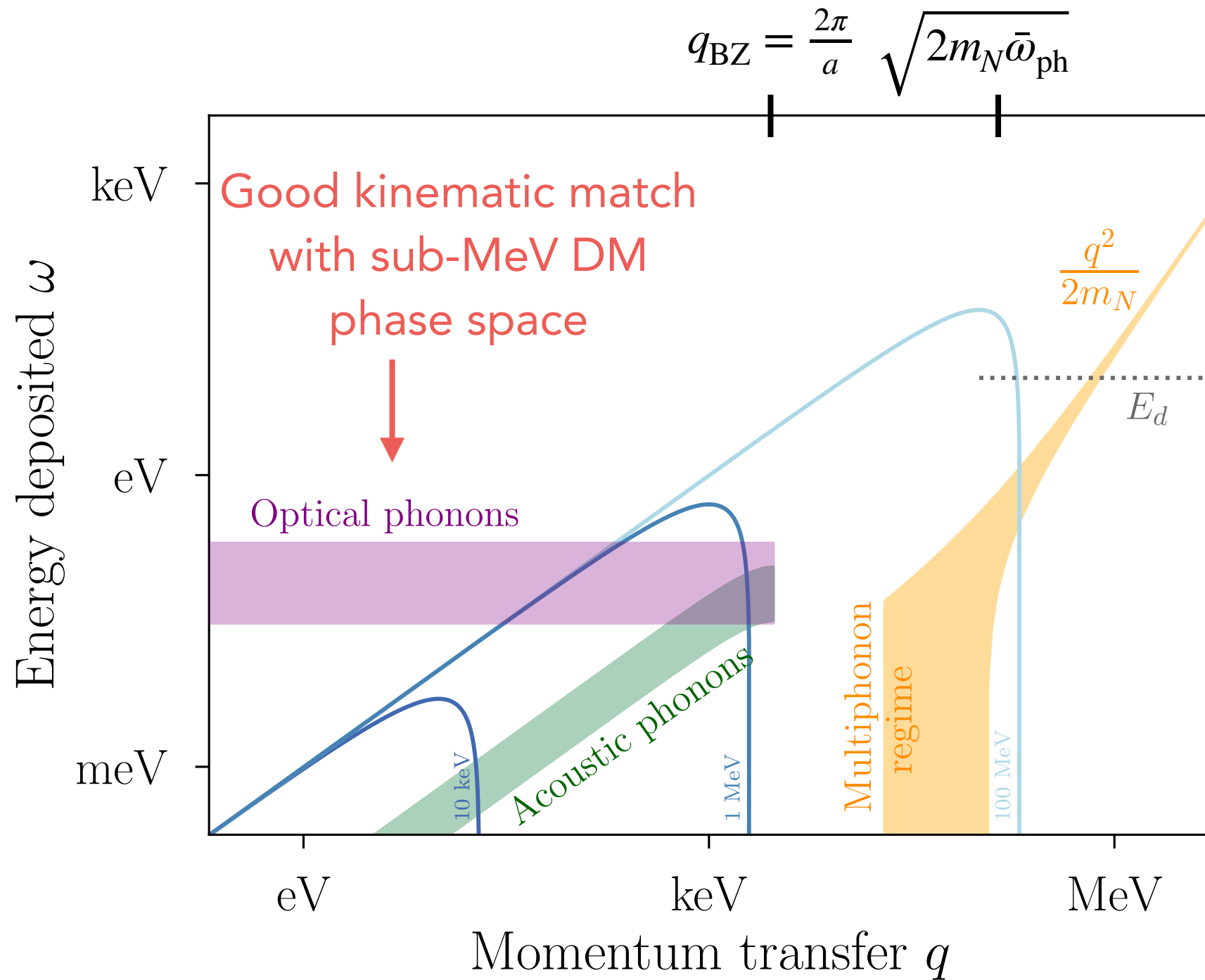
Dynamic structure factor  
captures response of target

For free nuclei and spin-independent interactions:

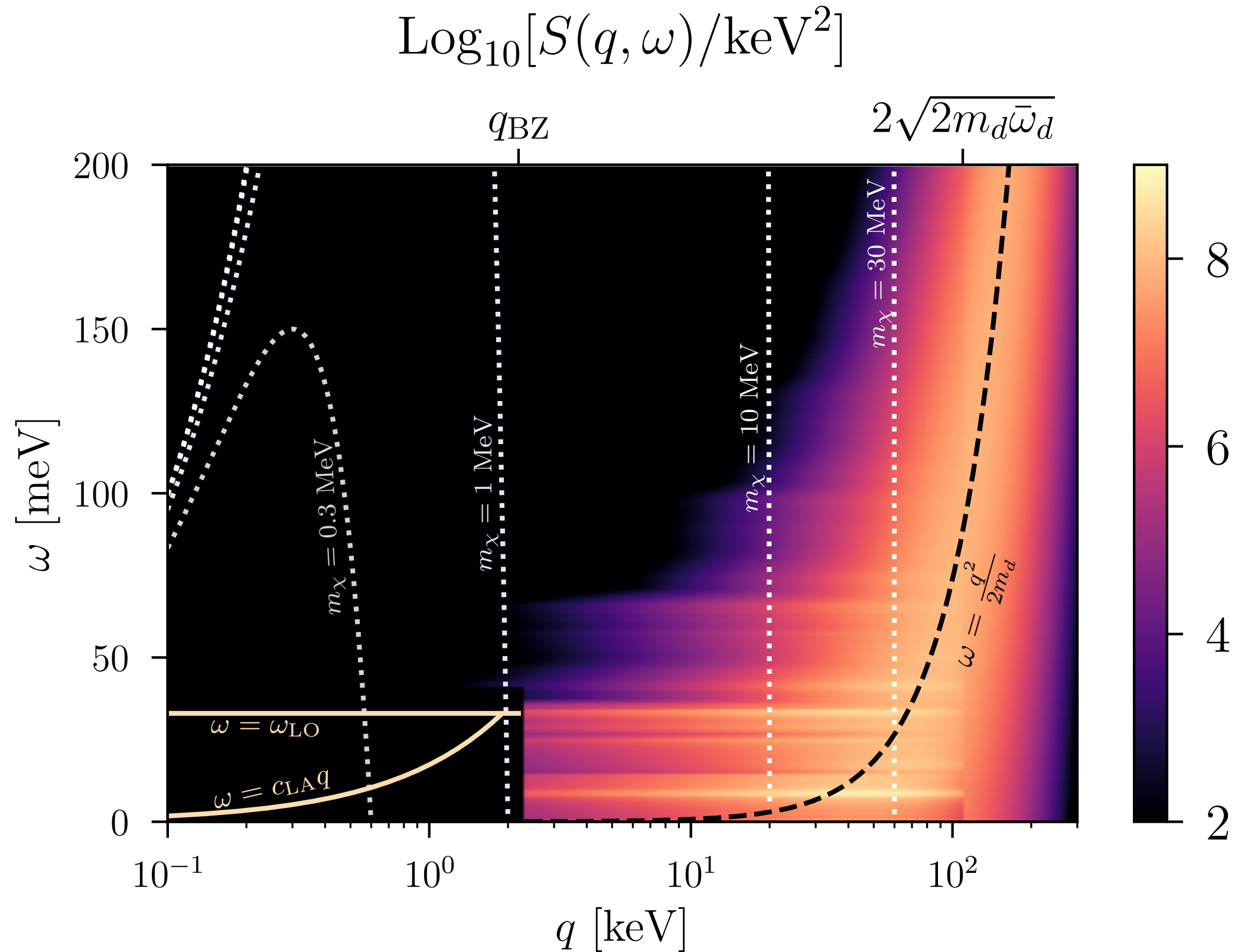
$$S(\mathbf{q}, \omega) \propto A_N^2 \delta\left(\omega - \frac{q^2}{2m_N}\right)$$

Goal: understand  $S(\mathbf{q}, \omega)$  from the single phonon to the nuclear recoil regime

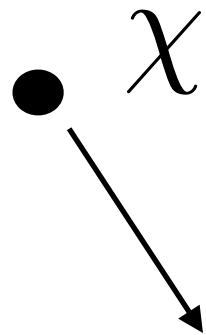
# DM-nucleus scattering in a crystal



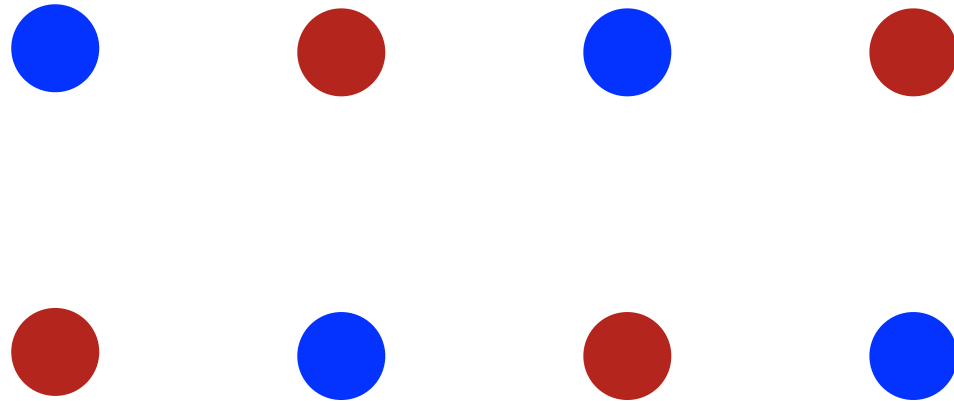
# Structure factor for GaAs



# DM-nucleus interaction



$f_J$  - effective coupling strength between DM and ion  $J$



Short range SI interaction

$$\sigma_{\chi p} = 4\pi b_p^2$$

Scattering potential in Fourier space

$$V(\mathbf{q}) \propto b_p \sum_J f_J e^{i\mathbf{q}\cdot\mathbf{r}_J}$$

$$S(\mathbf{q}, \omega) \equiv \frac{2\pi}{V} \sum_f \left| \sum_J \langle \Phi_f | f_J e^{i\mathbf{q}\cdot\mathbf{r}_J} | 0 \rangle \right|^2 \delta(E_f - \omega)$$

$$= \frac{1}{V} \sum_{J, J'} f_J f_{J'}^* \int_{-\infty}^{\infty} dt \langle e^{-i\mathbf{q}\cdot\mathbf{r}_{J'}(0)} e^{i\mathbf{q}\cdot\mathbf{r}_J(t)} \rangle e^{-i\omega t}$$

Contains interference terms between different atoms  $\rightarrow$  single phonon excitations

# Dynamic structure factor

Phonons appear through positions of ions:

$$\mathbf{r}_J(t) = \mathbf{r}_J^0 + \mathbf{u}_J(t)$$

↑  
Quantized phonon field given in terms of  
phonon dispersions  $\omega_{\mathbf{q}}$  and eigenvectors  $\mathbf{e}_{\mathbf{q}}$

Single phonon contribution has been studied extensively in literature,  
with  $\omega_{\mathbf{q}}$ ,  $\mathbf{e}_{\mathbf{q}}$  calculated from first principles approaches

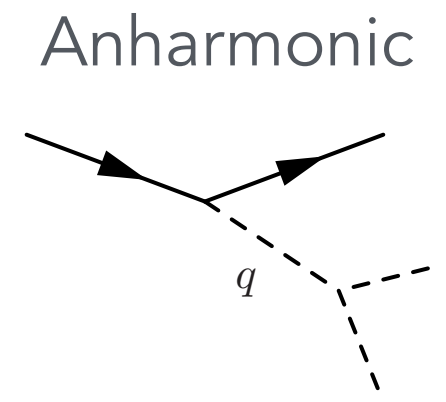
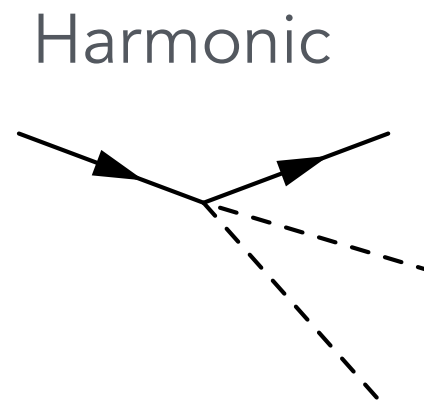
$$S^{n=1}(\mathbf{q}, \omega) \sim \sum_{J, J'} f_J f_{J'} \int dt \langle \mathbf{q} \cdot \mathbf{u}_J(0) \mathbf{q} \cdot \mathbf{u}_{J'}(t) \rangle e^{-i\omega t}$$

Griffin, Knapen, TL, Zurek 1807.10291; Griffin, Inzani, Trickle, Zhang, Zurek 1910.10716  
Griffin, Hochberg, Inzani, Kurinsky, TL, Yu 2020; Coskuner, Tickle, Zhang, Zurek 2102.09567

# Dynamic structure factor

Expansion in  $q^2/(M_N\omega)$  (and anharmonic interactions):

$$S(\mathbf{q}, \omega) = \begin{aligned} & \text{(0-phonon)} \\ & + \text{(1-phonon)} \\ & + \text{(2-phonon)} + \dots \end{aligned}$$



Quickly becomes more complicated to evaluate for more than 1 phonon

**Our approach: use harmonic & incoherent approximations**

# Incoherent approximation for

$q > q_{\text{BZ}}$  or  $n > 1$  phonons

Neglect interference terms entirely:

$$S(\mathbf{q}, \omega) \approx \frac{1}{V} \sum_J^N (f_J)^2 \int_{-\infty}^{\infty} dt \langle e^{-i\mathbf{q} \cdot \mathbf{u}_J(0)} e^{i\mathbf{q} \cdot \mathbf{u}_J(t)} \rangle e^{-i\omega t}$$

Given in terms of auto-correlation function

Motivation for  $q > q_{\text{BZ}}$ : scatter off individual nuclei at large  $q$

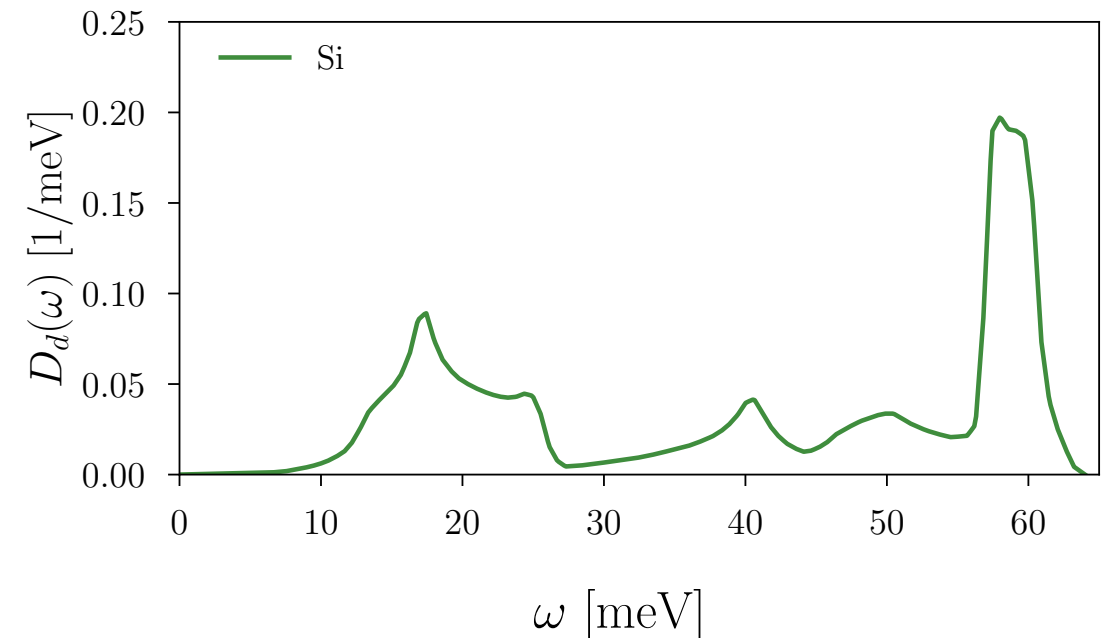
Motivation for  $n > 1$ : momentum gets distributed over multiple phonons, and the motions of individual atoms will be less correlated.



Auto-correlation can be approximated using the phonon density of states

$$\langle \mathbf{q} \cdot \mathbf{u}_J(0) \mathbf{q} \cdot \mathbf{u}_J(t) \rangle \approx \frac{q^2}{2m_N} \int d\omega' \frac{D(\omega')}{\omega'} e^{i\omega't}$$

In the harmonic, isotropic limit

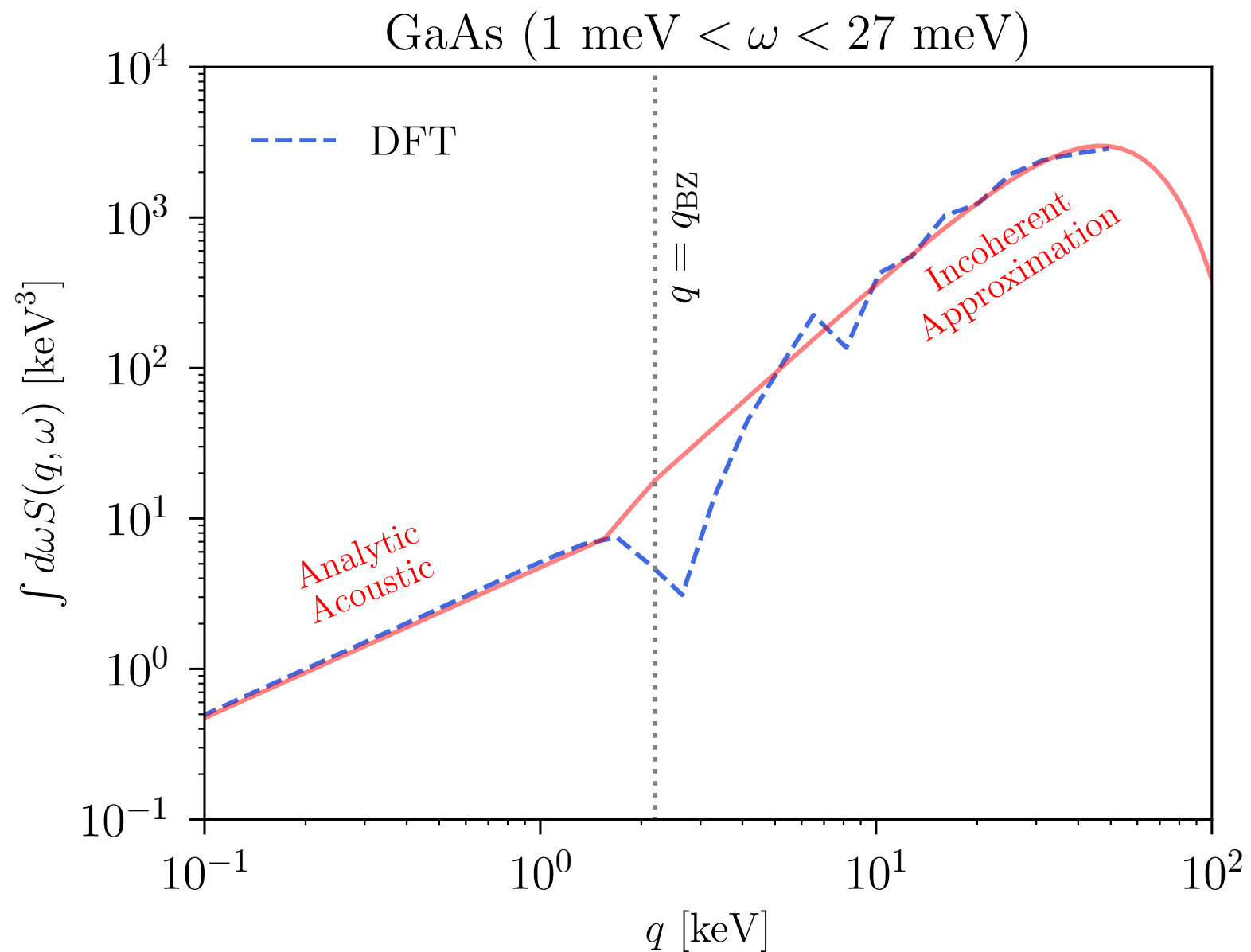


Dynamic structure factor with incoherent approximation:

$$S(q, \omega) \propto \sum_J e^{-2W_J(q)} (f_J)^2 \sum_n \frac{1}{n!} \underbrace{\left( \frac{q^2}{2m_N} \right)^n \left( \prod_{i=1}^n \int d\omega_i \frac{D(\omega_i)}{\omega_i} \right)}_{\sim \left( \frac{q^2}{2m_N \bar{\omega}_{\text{ph}}} \right)^n} \delta \left( \sum_j \omega_j - \omega \right)$$

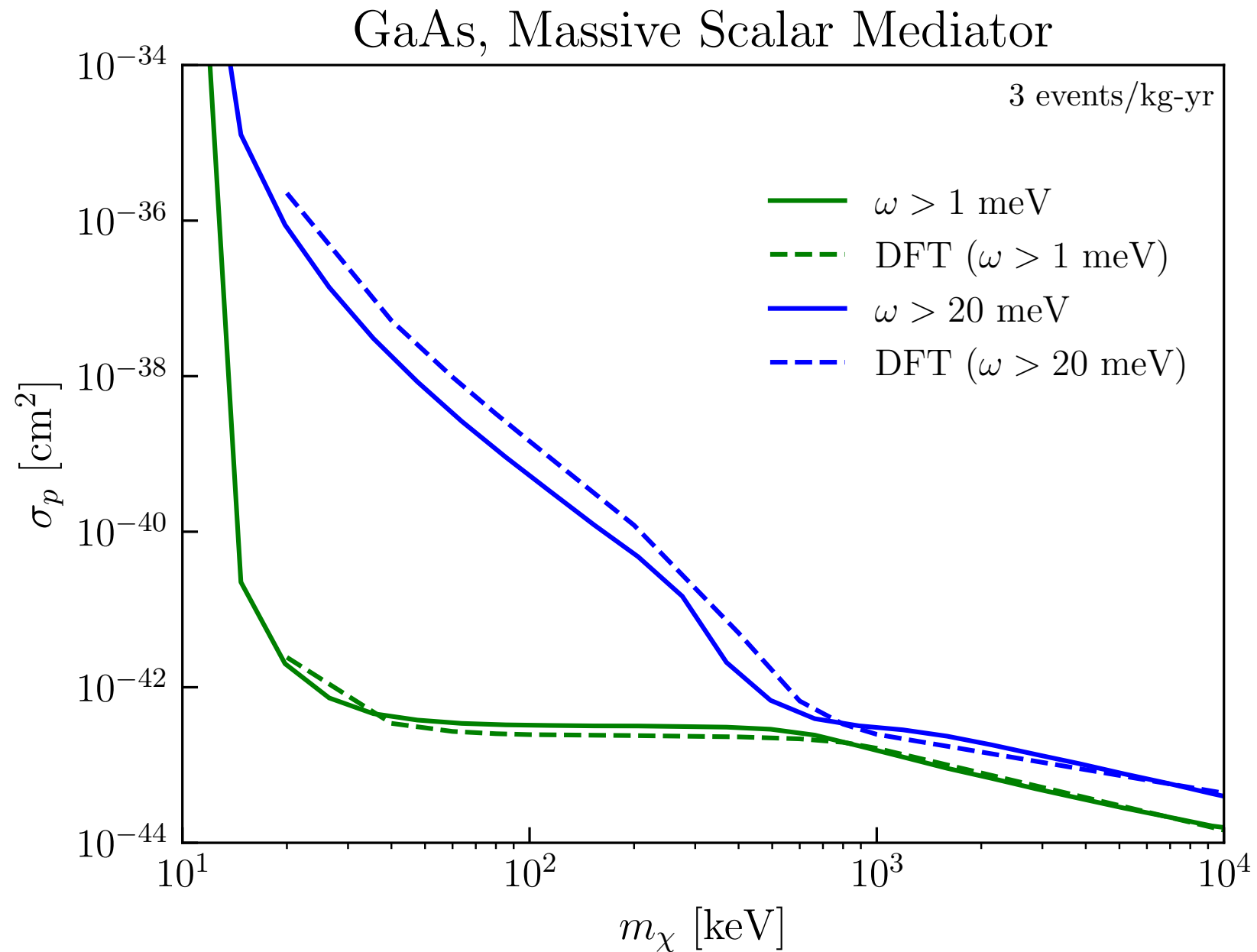
$$q \approx \sqrt{2m_N \bar{\omega}_{\text{ph}}} \text{ for many phonons to contribute}$$

# Comparison with full (DFT) calculation for $n=1$ phonon

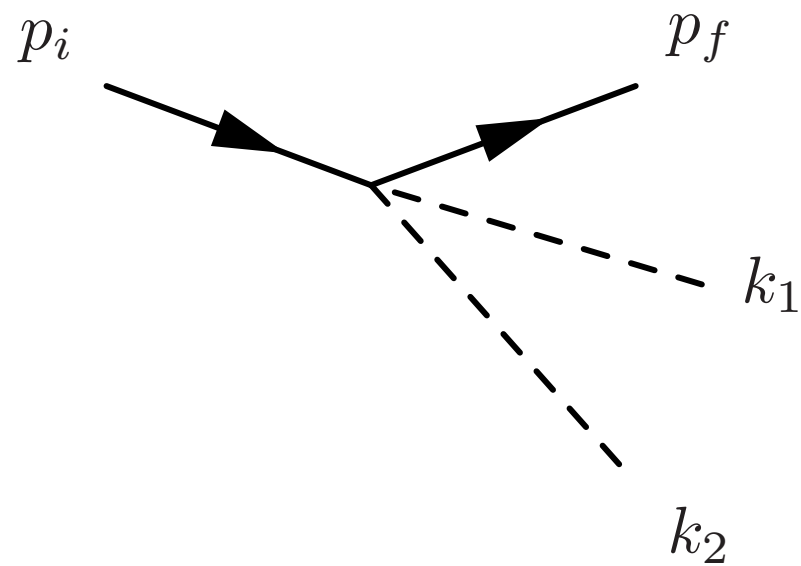


Incoherent approximation captures integrated structure factor

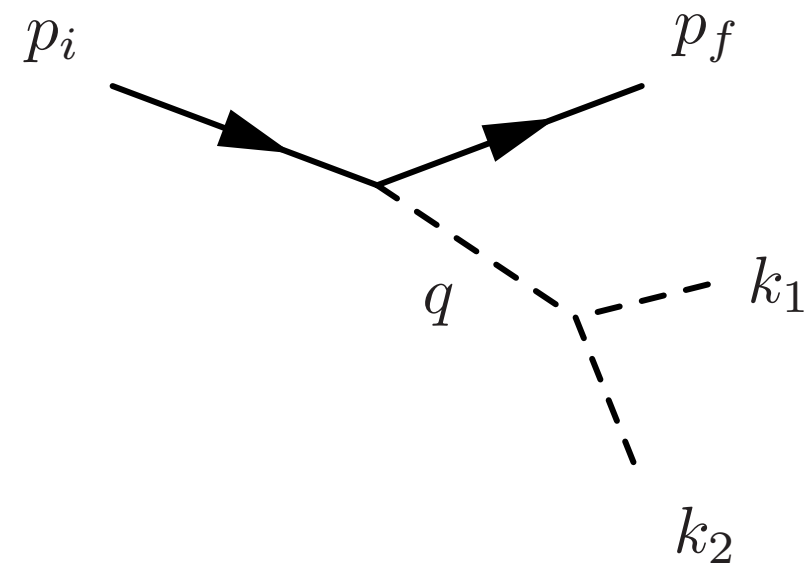
# Comparison with full (DFT) calculation for n=1 phonon



# 2 phonons



Harmonic



Anharmonic

Calculated in long-wavelength ( $q \ll q_{BZ}$ ) limit in crystals

Campbell-Deem, Cox, Knapen, TL, Melia 1911.03482

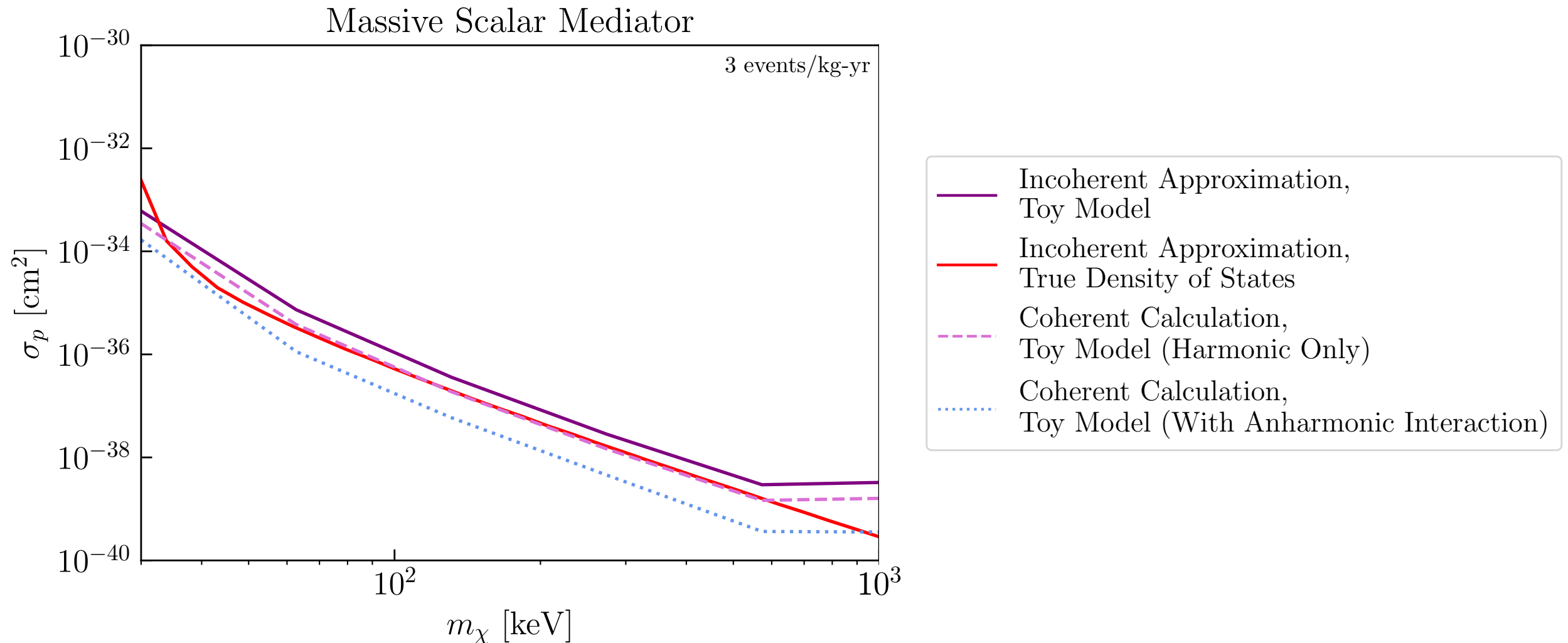
Calculated in superfluid He:

Schutz and Zurek 1604.08206

Knapen, TL, Zurek 1611.06228

Acanfora, Esposito, Polosa 1902.02361

# GaAs 2-phonon, ( $\omega > 40$ meV)

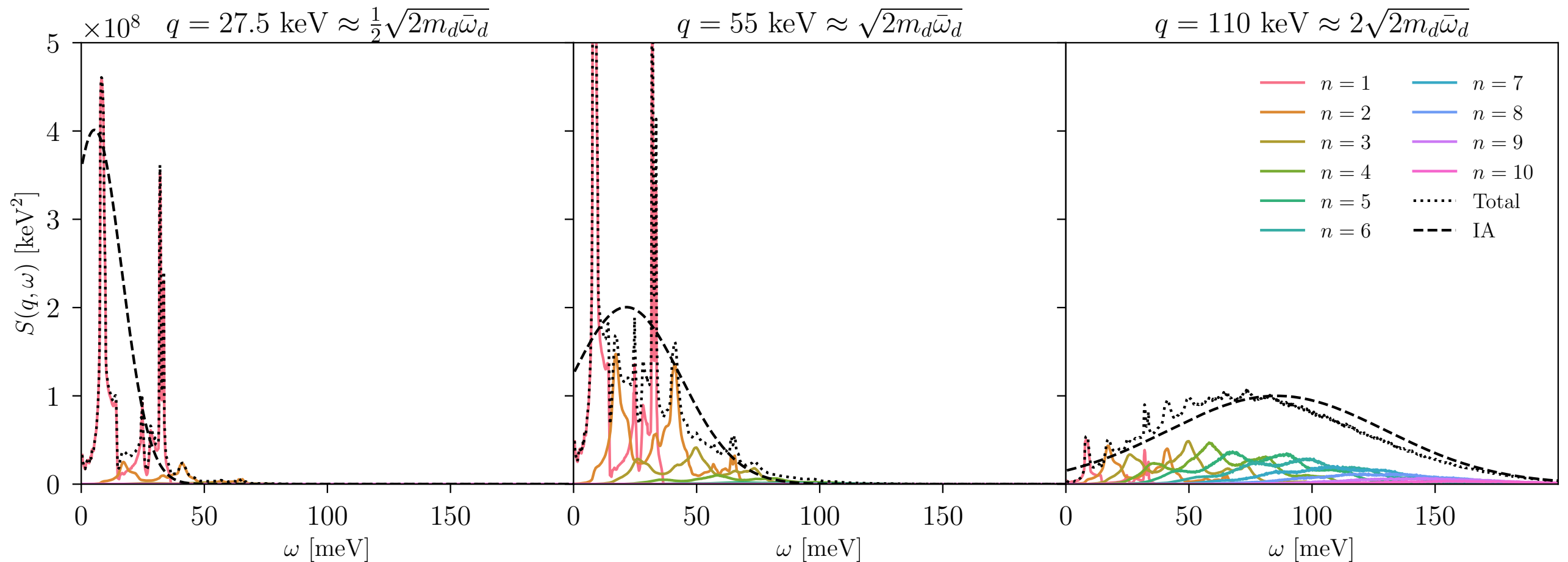


Incoherent approximation works to within a factor of few for  $q < q_{\text{BZ}}$ , comparing to harmonic crystal result. Anharmonic interactions give another factor of few correction.

This should work better with higher  $q$  and  $n$ .

# Multiphonons become important around $q = \sqrt{2m_N\bar{\omega}_{\text{ph}}}$

GaAs, Multiphonon Response



$q = \frac{1}{2}\sqrt{2m_N\bar{\omega}_{\text{ph}}}$ :  
dominated by  
 $n=1$  phonon

$q = \sqrt{2m_N\bar{\omega}_{\text{ph}}}$ :  
contributions from  
 $n=1, 2, 3, 4, \dots$

$q = 2\sqrt{2m_N\bar{\omega}_{\text{ph}}}$ :  
can be approximated by  
Gaussian envelope  
(Impulse Approximation)

# Impulse approximation

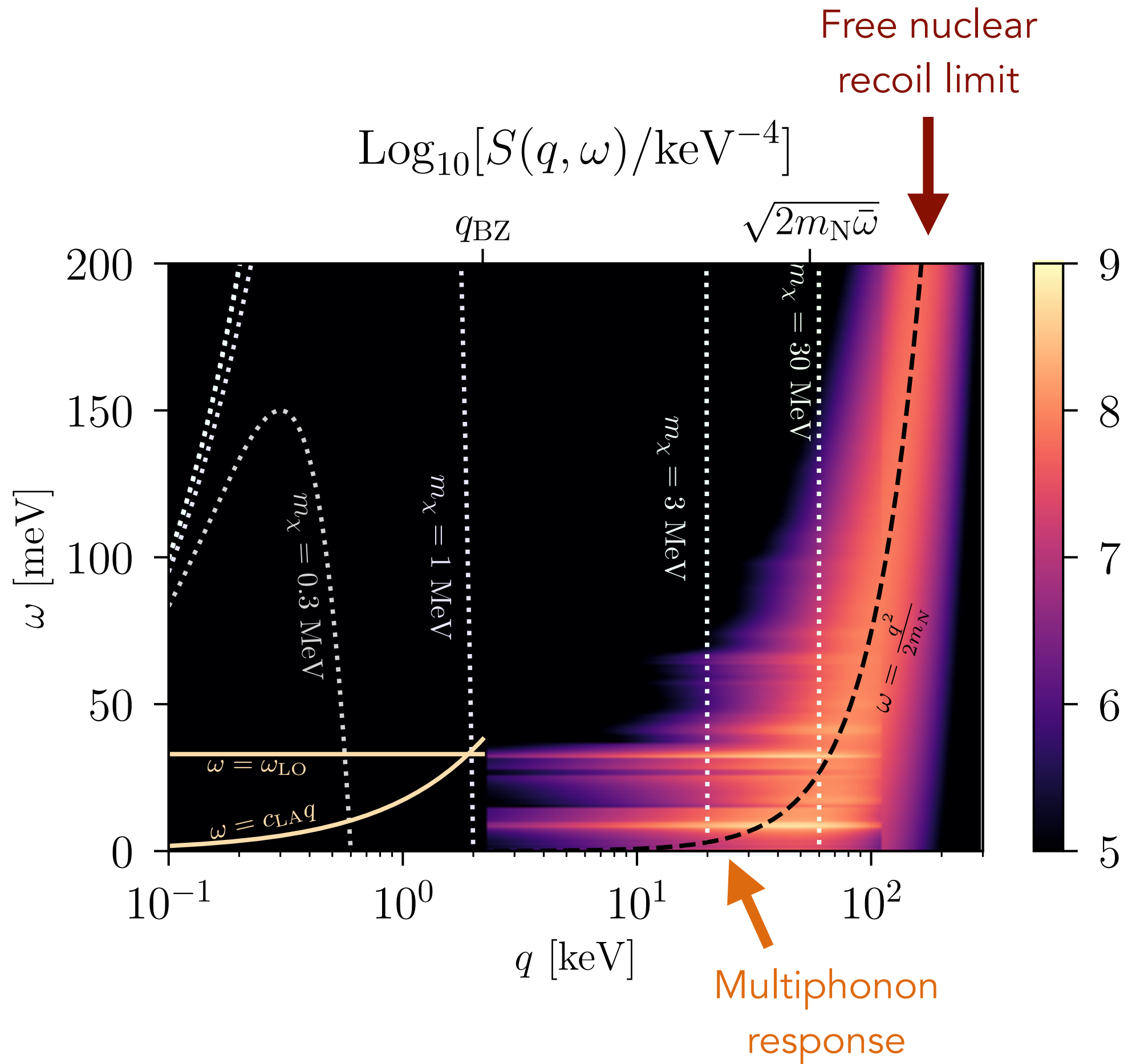
When  $q \gg \sqrt{2m_N \bar{\omega}_{\text{ph}}}$ , "re-sum" the n-phonon contributions and directly evaluate by saddle-point approximation:

$$S^{\text{IA}}(q, \omega) \propto \sum_J f_J^2 \sqrt{\frac{2\pi}{\Delta^2}} \exp\left(-\frac{(\omega - \frac{q^2}{2m_N})^2}{2\Delta^2}\right), \quad \Delta^2 = \frac{q^2 \bar{\omega}_{\text{ph}}}{2m_N}$$

As  $\omega \gg \bar{\omega}_{\text{ph}}$ ,  $\Delta/\omega \rightarrow 0$ , take narrow-width limit:

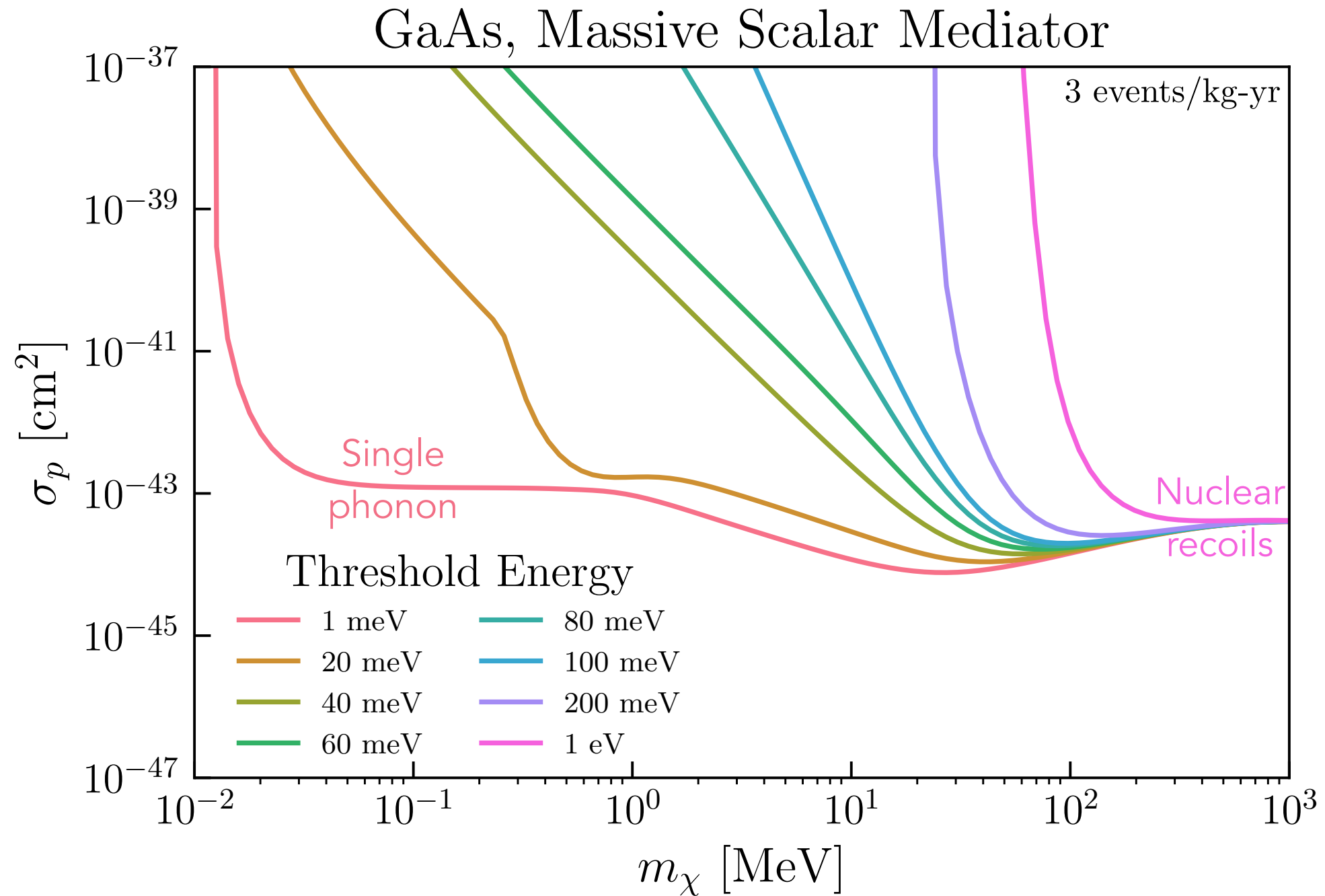
$$S(q, \omega) \propto \sum_J f_J^2 \delta\left(\omega - \frac{q^2}{2m_N}\right)$$

reproducing free nuclear recoils

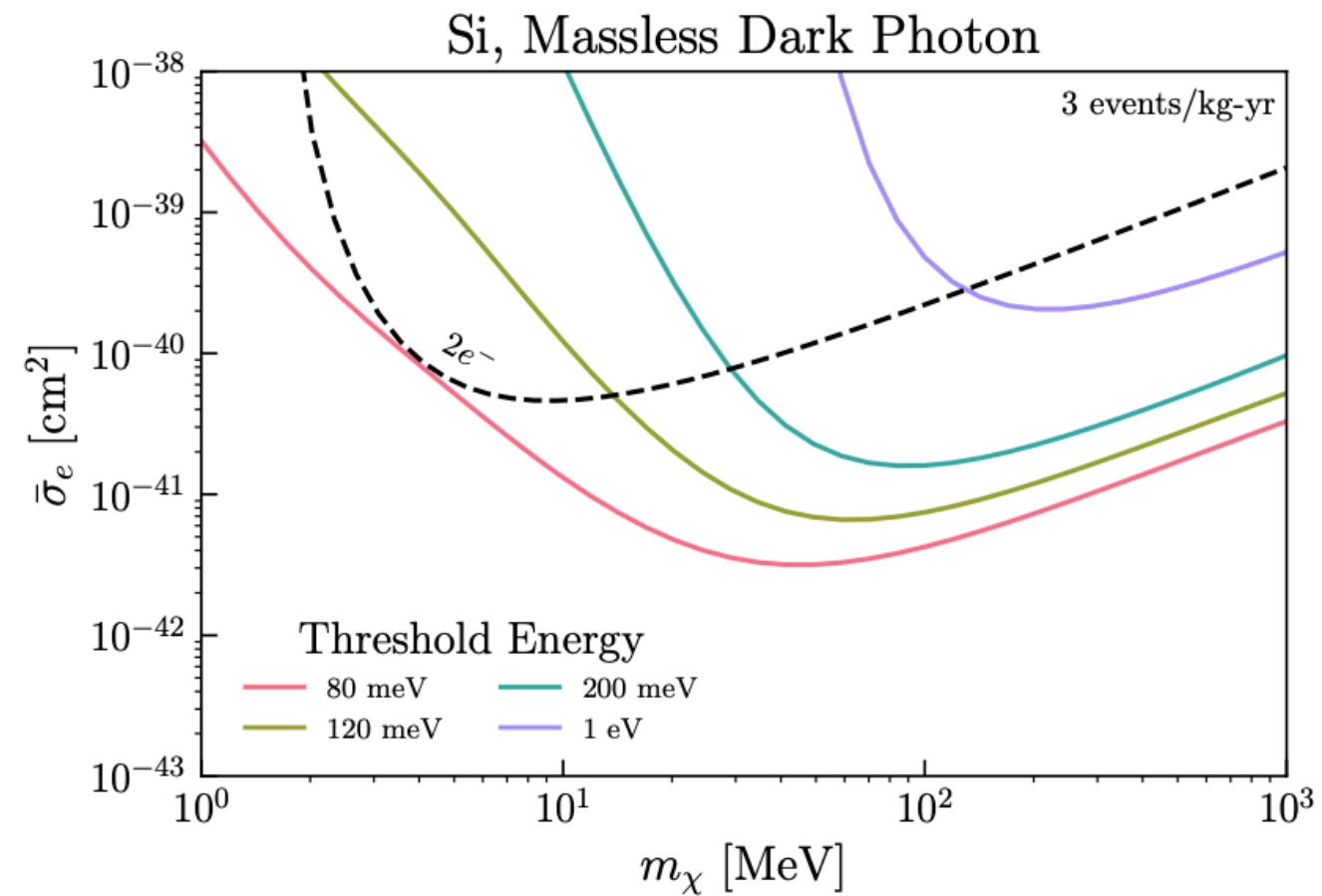
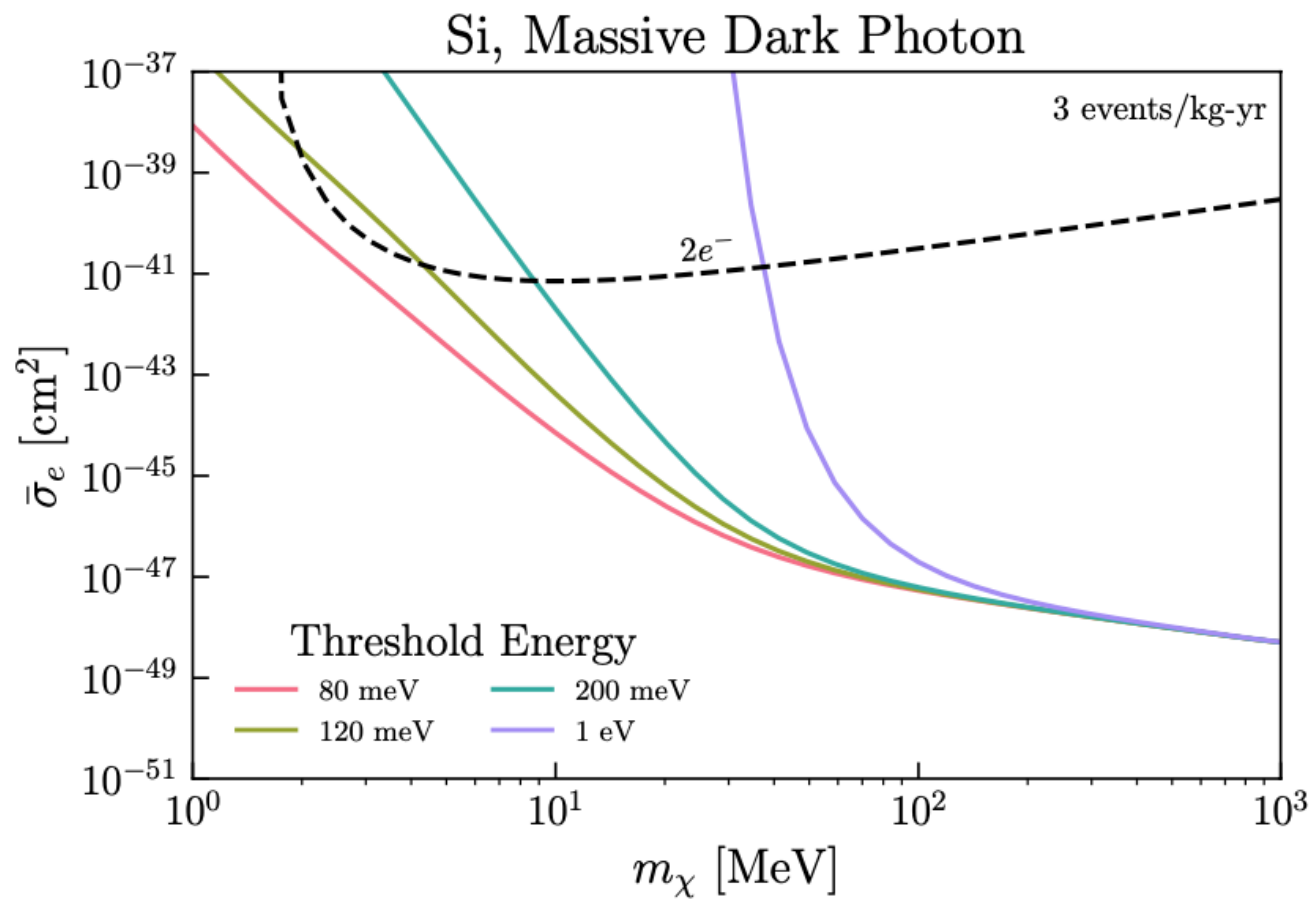




# DM scattering rate



# Dark photon mediator



Coupling given by  $q$ -dependent effective charge  $Z(q)$

Single phonon reach estimated by dielectric response or directly computed in DFT

# Future steps

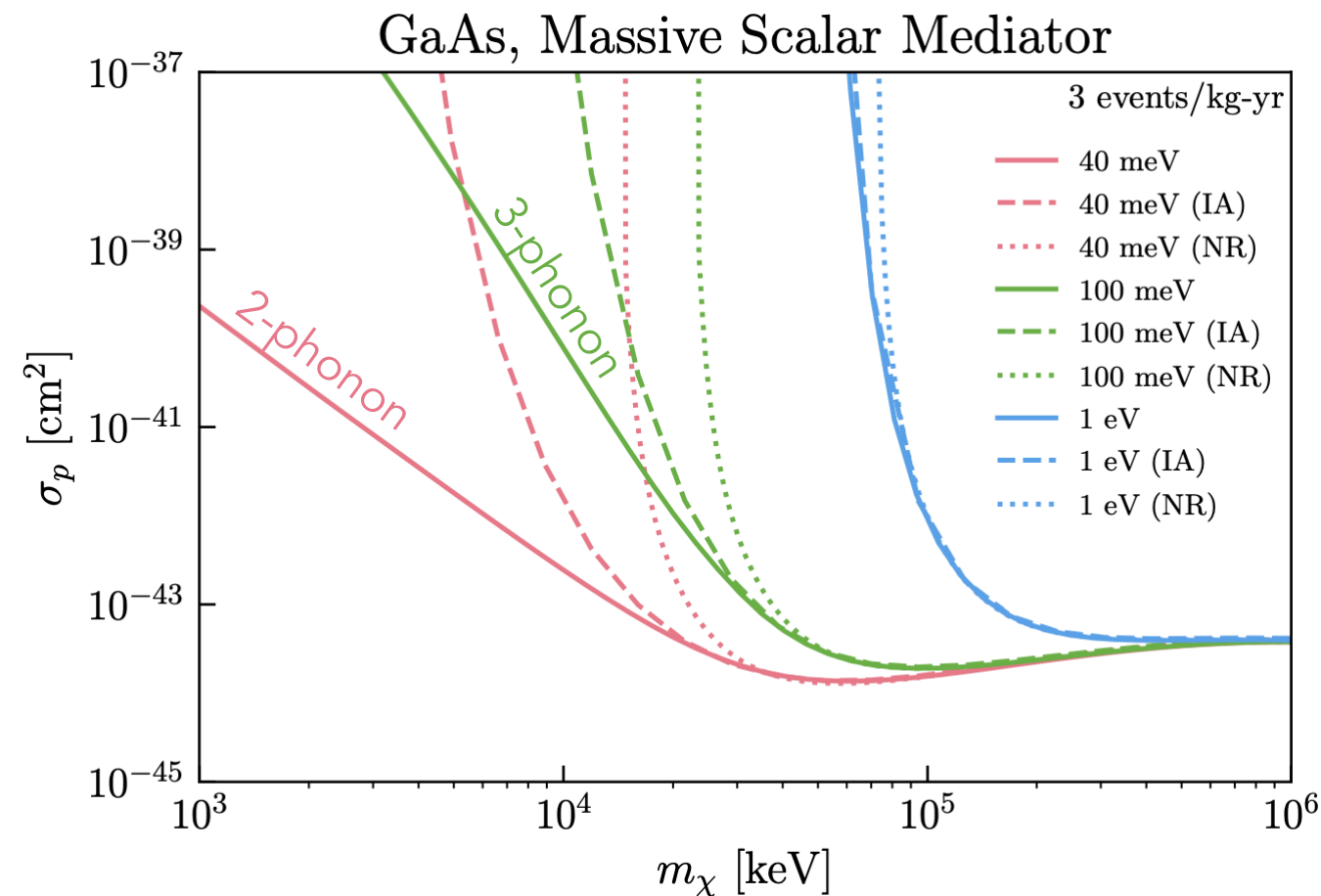
Pinning down  $S(q, \omega)$ :

Quantify theoretical uncertainties and validity of approximations

Detailed look at two (or three) phonon rates

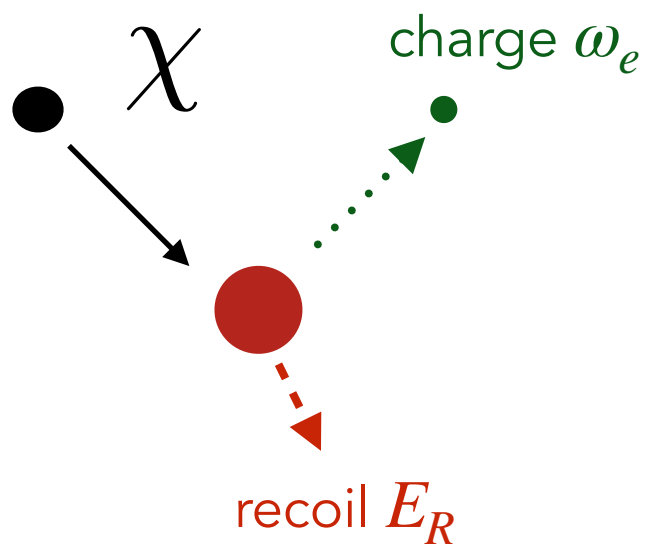
Experimental calibration?

Above eV scale, rates pretty quickly converge to the impulse approximation, nuclear recoils



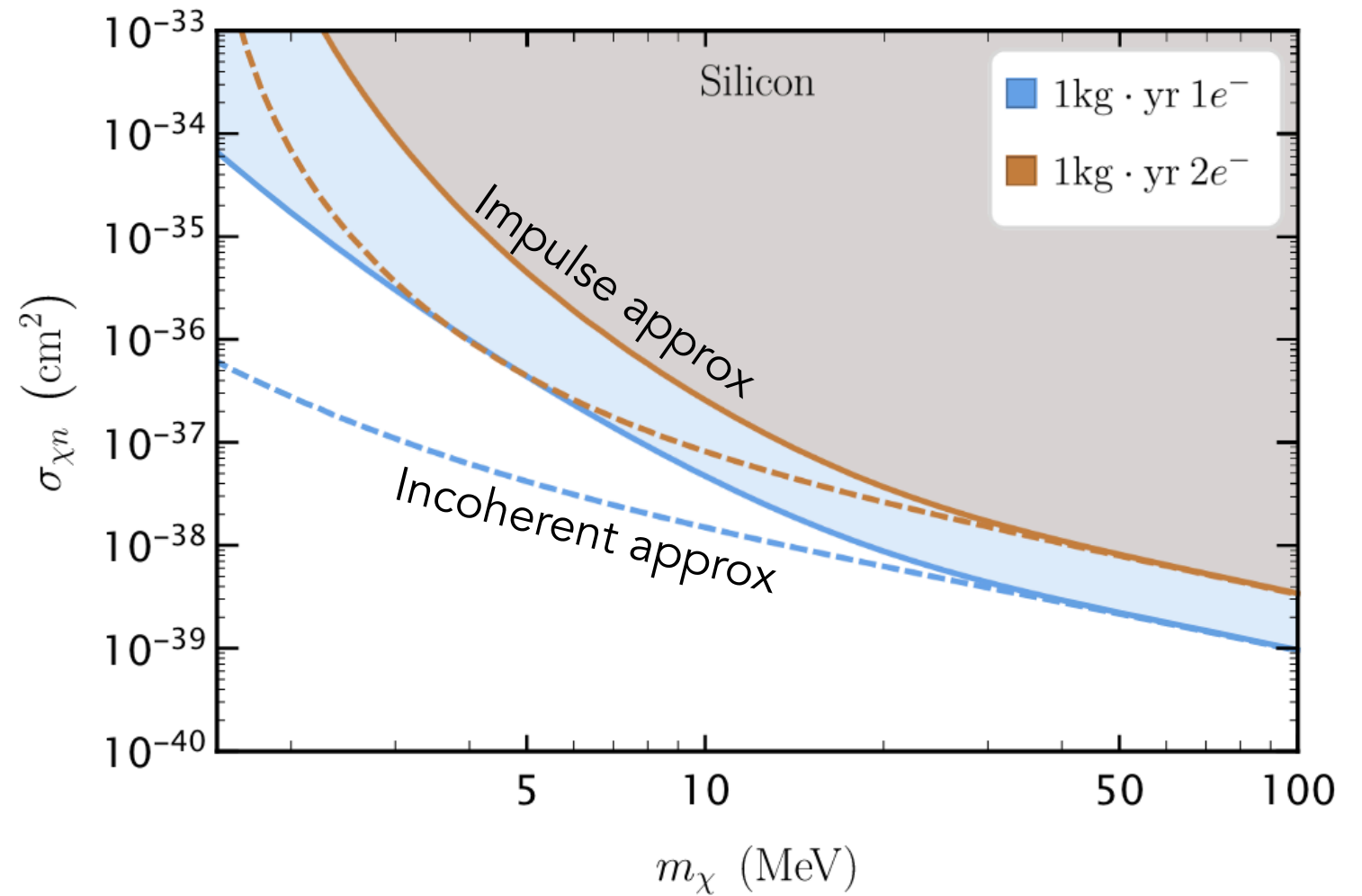
# Migdal effect

DM-nucleus scattering with charge emission



$$\frac{d\sigma}{dE_R d\omega_e} \approx \frac{d\sigma_N}{dE_R} \frac{dP}{d\omega_e}$$

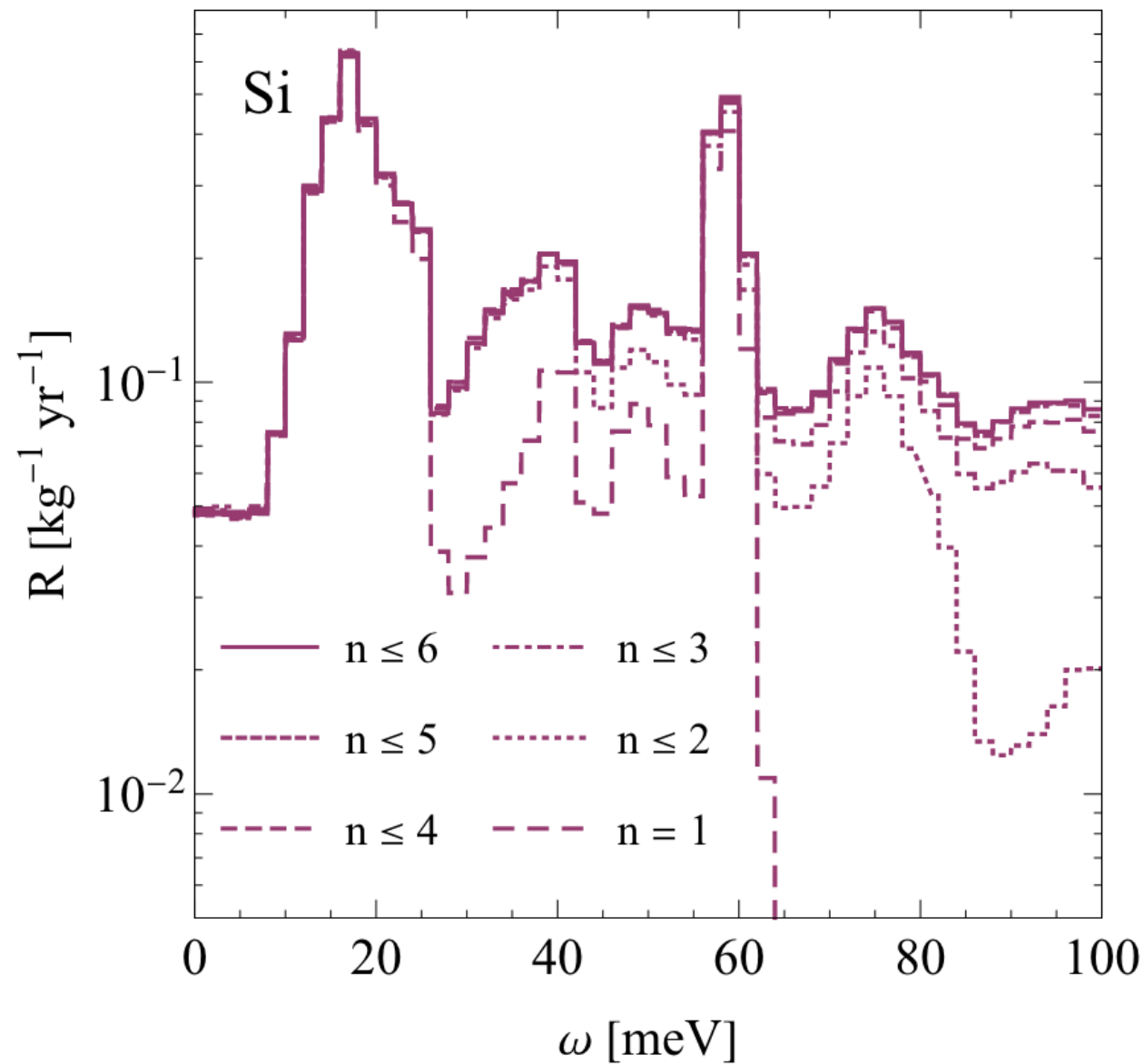
$\uparrow$  DM-nucleus scattering  
 $\uparrow$  Probability for charge excitation



From Liang, Mo, Zheng, Zhang 2205.03395  
Knapen, Kozaczuk, Lin 2011.09496

# Backgrounds

Coherent scattering of high energy ( $\sim$ MeV) photons off ions

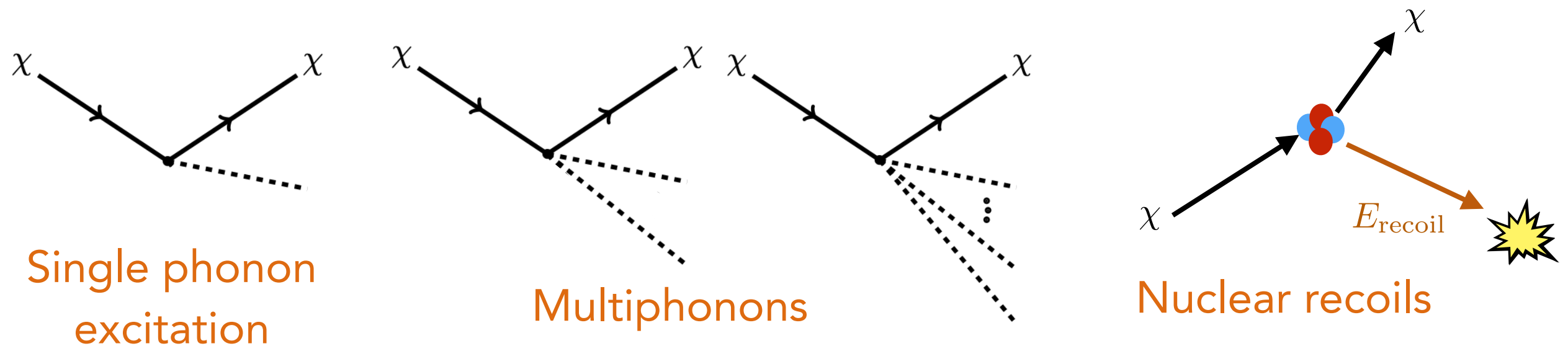


A. Robinson 1610.07656

Figure from Berghaus, Essig, Hochberg, Shoji, Sholapurkar 2112.09702

# DM scattering in crystals

First steps towards describing DM-nucleus scattering into multiphonons.



←—————→

keV                      MeV                      GeV                      TeV

Dark matter mass