



Extension of self-consistent Gorkov-Green's function theory

Towards the Spectroscopy of even-even semi-magic Nuclei

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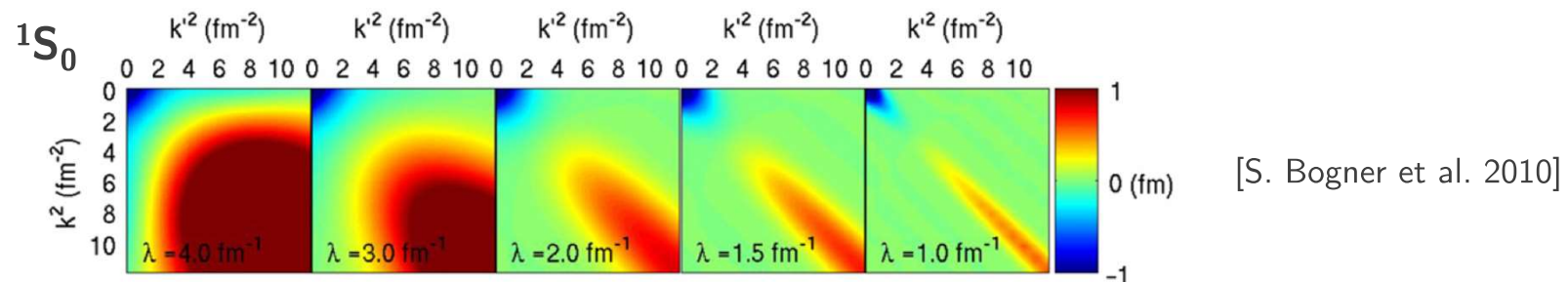
AB INITIO NUCLEAR MANY-BODY PROBLEM

- Adopting realistic interactions, nuclei are described in terms of Z **protons** and N **neutrons**, with the aim of
- understanding how nucleons organise themselves into nuclei (pairing, clustering ...)*
- providing reliable predictions for nuclear observables (excited states, transitions ...)*

Tool: the A-body Schrödinger equation $H\Psi_k^A = E_k^A\Psi_k^A$

where Ψ_k^A is the A-body wavefunction, associated with the energy eigenvalue E_k^A

- In H , realistic interactions are drawn from **Chiral Effective Field Theory**, which provides
- a direct link with low-energy QCD and its symmetries*
- a systematic framework to construct many-body interactions*
- a theoretical error, stemming from the truncation of the expansion in powers of Q/Λ_χ*
- where Λ_χ is the chiral-symmetry-breaking scale Q is the ‘small momentum’ or pion mass
- In practice, ChEFT forces are preprocessed via the **similarity renormalization group**, in order to quench the coupling between low and high momenta in the Hamiltonian

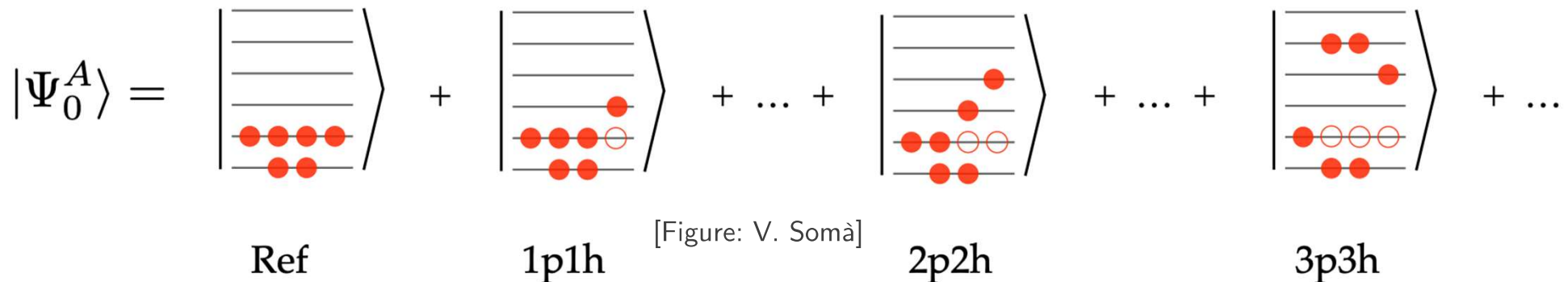


AB INITIO NUCLEAR WAVEFUNCTION

Efficient approximation schemes for the nuclear wavefunction entail **a polynomial scaling** in the size M of the space of single-particle excitations $\rightsquigarrow M^\alpha$ with $\alpha \geq 4$

Correlation-expansion methods: expansion of the exact nuclear wavefunction into the space of particle-hole excitations built through the correlator \mathcal{Q} on a given *reference state*:

$$|\Psi_0^A\rangle = \mathcal{Q}|\Phi_0^A\rangle = |\Phi_0^A\rangle + |\Phi_0^A{}^{1p1h}\rangle + \dots + |\Phi_0^A{}^{2p2h}\rangle + \dots + |\Phi_0^A{}^{3p3h}\rangle + \dots$$



where $|\Psi_0^A\rangle$ is the exact ground eigenstate of the A-body Hamiltonian, H_0

and the **reference state** $|\Phi_0^A\rangle$ is the ground state of H , a solvable Hamiltonian, splitting the original one into $H = H_0 + H_I$ where H_I contains the 2-, 3-, ... -body interactions

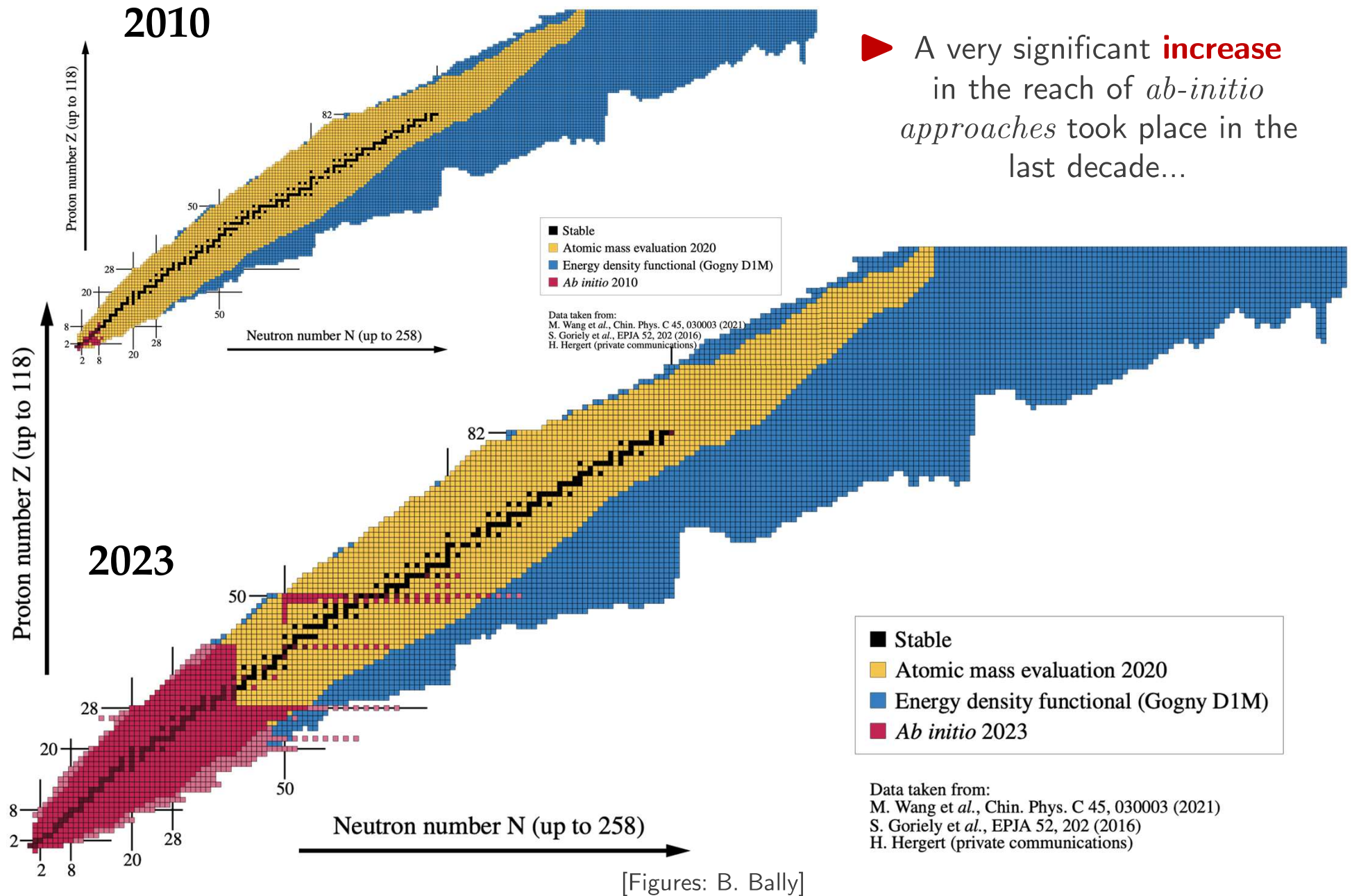
PROBLEM: *In open-shell nuclei, the ground state is almost degenerate with respect to the excitation of pairs of nucleons in the same single-particle energy level*



SOLUTION: in the reference state, breaking the symmetry associated to **particle number**, (semi-magic nuclei) together with **rotational symmetry** (doubly-open-shell nuclei)

Motivation

AB INITIO NUCLEAR CHART



► A very significant **increase** in the reach of *ab-initio* approaches took place in the last decade...

AB INITIO MODELS OF NUCLEAR STRUCTURE

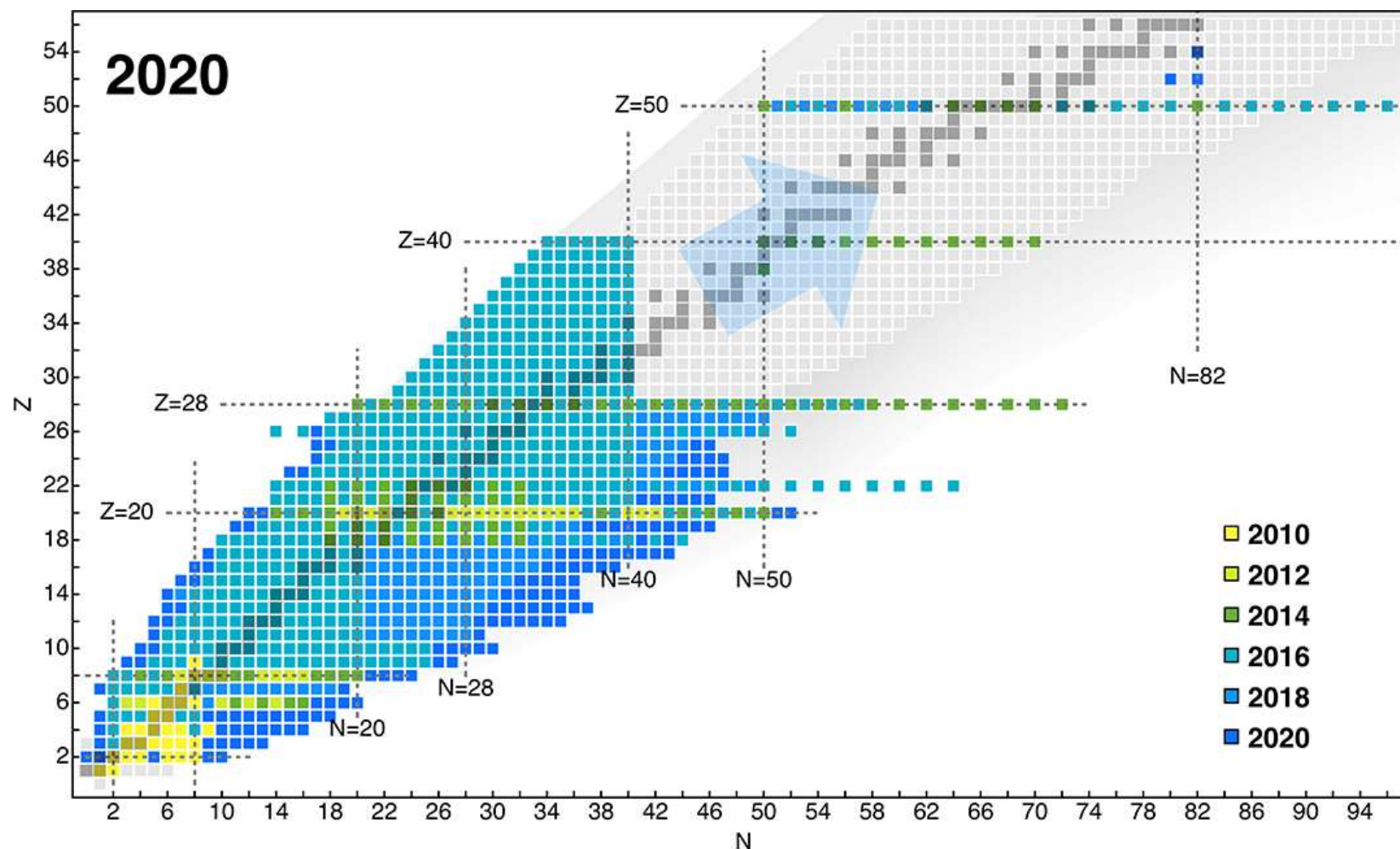
Main approaches:

Magic nuclei: MBPT, SCGF, IMSRG, CI, CC ...

Semi-magic nuclei: MR-IMSRG, BMBPT, SCGGF, BCC, ...

Doubly open-shell nuclei: MR-IMSRG, BMBPT, SCGGF⁺, CC, ...

Passepartout: FCI, NCSM, NLEFT, LQCD ($A < 4$), PGCM-PT ...



[Figure: H. Hergert]

STATE OF THE ART

The salient novelty of the self-consistent Gorkov-Green's function approach consists in the

- ▶ Breaking of the symmetry associated with *particle-number*: $U_Z(1) \times U_N(1)$
 \rightsquigarrow V. Somà et al. *Phys. Rev. C* **84**, 064317 (2011)

^{44}Ca and ^{74}Ni : binding energy

^{43}Ca and ^{45}Ca : neutron addition and removal spectral distribution

^{45}Cl , ^{47}Cl and ^{49}Cl : ground and excited state energies, spectroscopic factors

$18 \leq Z \leq 24$ isotopic chains: binding energy, two neutron shell gaps, one and two-proton/neutron separation energy, charge radius

^{50}Cr , ^{52}Cr and ^{54}Cr : charge density distribution

Lepton scattering in ^{40}Ar and ^{48}Ti : neutron spectral function, charge density distr.

O, Ca and Ni isotopes: binding energy, two-neutron separation energy, charge radius

^{47}Ca , ^{49}Ca , ^{51}Ca , ^{55}Ca , ^{53}K and ^{55}Sc : low-lying excited states

ADC(2) with 2N forces

Phys. Rev. C **87**, 011303 (2013)

Phys. Rev. C **89**, 024323 (2014)

ADC(2) with 2N+3N forces

Phys. Rev. C **89**, 061301 (2014)

Phys. Rev. C **100**, 062501 (2019)

Eur. Phys. J A **57**, 135 (2021)

Phys. Rev. Lett. **128**, 022502 (2022)

Extension of the algebraic diagrammatic construction to third order in progress \rightsquigarrow ADC(3)

- ▶ Additional breaking of the symmetry associated with *angular momentum*:

$$U_Z(1) \times U_N(1) \times SU(2) \quad \rightsquigarrow \quad \text{A. Scalesi et al. (in progress)}$$

THEORETICAL FRAMEWORK

The model conveniently is formulated in second-quantization formalism.

- The **single-particle space** \mathcal{H}_1 is split into two blocks, characterized by the sign of the total angular mom. projection along the z axis, j_z . \implies two pairs of creation/annihilation operators:

$$a_b, a_{\bar{b}} \quad a_b^\dagger, a_{\bar{b}}^\dagger$$

where the *involution*
In s.p. space (\rightsquigarrow *time reversal*) is defined:

$$a_{\bar{b}} = \eta_b a_{\tilde{b}} \quad a_{\bar{b}}^\dagger = \eta_b a_{\tilde{b}}^\dagger$$

with

$$\tilde{b} \equiv (n, \ell, j, -m, q) \\ b \equiv (n, \ell, j, m, q)$$

where

$$\eta_b = (-1)^{\ell-j-m} \\ \eta_b \eta_b^* = \eta_b^2 = 1 \\ \eta_b \eta_{\tilde{b}} = -1$$

and $q \implies$ z-component of the isospin

- The two partitions of the single-particle space constitute the **Nambu space** (2-dimens.)
Introducing the superscripts $g = 1, 2$ one groups the creation/annihilation oper. into

$$\mathbf{A}_a \equiv \begin{pmatrix} a_a \\ \bar{a}_a^\dagger \end{pmatrix}$$

$$\mathbf{A}_a^\dagger \equiv \begin{pmatrix} a_a^\dagger & \bar{a}_a \end{pmatrix}$$

and $\mathbf{A}_a^* \equiv (\mathbf{A}_a^\dagger)^T$, obeying the canonical anticommutation rules

$$\{A_a^g, A_b^{g'}\} = \delta_{a\bar{b}} \delta_{g\bar{g}'} \quad \{A_a^g, A_b^{\dagger g'}\} = \delta_{ab} \delta_{gg'} \quad \{A_a^{\dagger g}, A_b^{\dagger g'}\} = \delta_{g\bar{g}'} \delta_{a\bar{b}}$$

with $\bar{g} = \begin{cases} 1 & \text{if } g = 2 \\ 2 & \text{if } g = 1 \end{cases}$

These define the elements of a *metric tensor*

involution in Nambu space

\implies **Nambu-Covariant Perturbation Theory** (appendix)

THEORETICAL FRAMEWORK

► The system is described by the grand-canonical potential Ω , replacing the Hamiltonian:

$$\Omega = \underbrace{T + U - \mu_p Z - \mu_n N}_{\equiv \Omega_U} + \underbrace{V^{\text{NN}} - U}_{\equiv \Omega_I}$$

where

$$T = \sum_{ab} T_{ab} a_a^\dagger a_b \quad \text{with} \quad T_{ab} \equiv (\bar{a}|T|b) \quad \text{is the kinetic energy operator}$$

$$V = \sum_{\substack{ab \\ cd}} \frac{1}{(2!)^2} \bar{V}_{abcd} a_a^\dagger a_b^\dagger a_d a_c \quad \text{with} \quad \bar{V}_{abcd} \equiv [(ab|V^{\text{NN}}|cd) - (ab|V^{\text{NN}}|dc)]$$

is the partially antisymmetrized two-body *potential energy* operator

$$\text{and} \quad U = \sum_{ab} [U_{ab} a_b^\dagger a_b - U_{ab} a_{\bar{a}} a_b^\dagger + \tilde{U}_{ab} a_a^\dagger a_b^\dagger + \tilde{U}_{ab}^\dagger a_{\bar{a}} a_b]$$

is a one-body *auxiliary potential*, explicitly **breaking** particle number symmetry U(1).

■ **Paradigm:** expansion scheme around a single reference state that builds the correlated state on top of a Bogoliubov vacuum that incorporates static pairing correlations

PHYSICAL SYMMETRY	GROUP	CORRELATIONS
<i>Particle number</i>	$U_Z(1) \times U_N(1)$	<i>Pairing / superfluidity</i>
<i>Rotations in 3 dim. space</i>	$SU(N)$	<i>Quadrupole deformation</i>

THEORETICAL FRAMEWORK

- **Method:** the degeneracy wrt ph -excitations is lifted via the *Bogoliubov reference state* and transferred into a degeneracy wrt the operations of the symmetry group $U_Z(1) \times U_N(1)$

$$\Omega_0^{A+2}(Z+2, N) \approx \Omega_0^A(Z, N) \quad \Longrightarrow \quad \begin{aligned} E_0^{Z+2}(Z+2, N) - E_0^A(Z, N) &\approx E_0^A(Z, N) \\ &- E_0^{A-2}(Z-2, N) \approx \dots \approx 2\mu_p \end{aligned}$$

$$\Omega_0^{A+2}(Z, N+2) \approx \Omega_0^A(Z, N) \quad \Longrightarrow \quad \begin{aligned} E_0^{A+2}(Z, N+2) - E_0^A(Z, N) &\approx E_0^A(Z, N) \\ &- E_0^{A-2}(Z, N-2) \approx \dots \approx 2\mu_n \end{aligned}$$

the constituents can be added or removed *at the same energy cost*, irrespective of A .

- **Observation:** The choice of U corresponds to selecting a superfluid unperturbed *g.s.*, acting as reference for the application of *Wick's theorem*. The exact eigenstates of Ω , preserve A :

$$H|\Psi_0^A\rangle = E_0^A|\Psi_0^A\rangle \quad \Omega|\Psi_0^A\rangle = (E_0^A - \mu_p Z - \mu_n N)|\Psi_0^A\rangle \equiv \Omega_0^A|\Psi_0^A\rangle$$

Considering the superposition of the *g.s.* of the nuclear systems with even number of constituents

$$|\Psi_0^{\text{SB}}\rangle = \sum_n^{\text{even}} c_n |\Psi_0^n\rangle \quad \text{one replaces} \quad |\Psi_0\rangle \equiv |\Psi_0^A\rangle \quad \text{with} \quad |\Psi_0^{\text{SB}}\rangle$$

where the coefficients of the expansion in the Fock space minimize:

$$\Omega_0^{\text{SB}} \equiv \langle \Psi_0^{\text{SB}} | \Omega | \Psi_0^{\text{SB}} \rangle \gtrsim \Omega_0^A$$

subject to three constraints:

$$Z = \langle \Psi_0^{\text{SB}} | Z | \Psi_0^{\text{SB}} \rangle$$

$$N = \langle \Psi_0^{\text{SB}} | N | \Psi_0^{\text{SB}} \rangle$$

$$\langle \Psi_0^{\text{SB}} | \Psi_0^{\text{SB}} \rangle = \sum_n^{\text{even}} |c_n|^2 = 1$$

THE ONE-BODY PROPAGATOR

► The Gorkov-Green's function in Nambu space and time repr. is defined as

$$i\mathbf{G}_{ab}(t, t') \equiv \langle \Psi_0 | T \{ \mathbf{A}_a(t) \odot \mathbf{A}_b^*(t') \} | \Psi_0 \rangle$$

Since the Hamiltonian is time-independent, the FT of the one-body propagator becomes

$$\mathbf{G}_{ab}(\omega) = \int_{-\infty}^{+\infty} d(t-t') e^{i\omega(t-t')} \mathbf{G}_{ab}(t-t')$$

Carrying out the integration, the *Lehmann representation* can be recast as

$$G_{ab}^{gg'}(\omega) = \sum_k \frac{{}^k\chi_a^g {}^k\chi_b^{g'*}}{\omega - (\Omega_k - \Omega_0)/\hbar + i\eta} + \sum_k \frac{{}^k\Upsilon_a^g {}^k\Upsilon_b^{g'*}}{\omega + (\Omega_k - \Omega_0)/\hbar - i\eta}$$

where $E_k^{(u)\pm} \equiv \mu_u \pm (\Omega_k - \Omega_0)$ with $u = p, n$ are the **separation energies** between the g.s. of the A -body system and the excited state k of the $A \pm 1$ -body system.

$$E_k^{(p)\pm} \approx \pm(\langle \Psi_k^{\text{SB}} | H | \Psi_k^{\text{SB}} \rangle - \langle \Psi_0^{\text{SB}} | H | \Psi_0^{\text{SB}} \rangle) \mp \mu_p [\langle \Psi_k^{\text{SB}} | Z | \Psi_k^{\text{SB}} \rangle - (Z \pm 1)]$$

$$E_k^{(n)\pm} \approx \pm(\langle \Psi_k^{\text{SB}} | H | \Psi_k^{\text{SB}} \rangle - \langle \Psi_0^{\text{SB}} | H | \Psi_0^{\text{SB}} \rangle) \mp \mu_n [\langle \Psi_k^{\text{SB}} | N | \Psi_k^{\text{SB}} \rangle - (N \pm 1)]$$

whereas the residues of the poles are proportional to the **spectroscopic amplitudes**

$${}^k\Upsilon_b^1 \equiv \langle \Psi_k | A_b^1 | \Psi_0 \rangle = \langle \Psi_k | a_b | \Psi_0 \rangle \quad {}^k\chi_b^1 \equiv \langle \Psi_0 | A_b^1 | \Psi_k \rangle = \langle \Psi_0 | a_b | \Psi_k \rangle$$

$${}^k\Upsilon_b^2 \equiv \langle \Psi_k | A_b^2 | \Psi_0 \rangle = \langle \Psi_k | a_b^\dagger | \Psi_0 \rangle \quad {}^k\chi_b^2 \equiv \langle \Psi_0 | A_b^2 | \Psi_k \rangle = \langle \Psi_0 | a_b^\dagger | \Psi_k \rangle$$

► The spectroscopic amplitudes are not *independent*: $[{}^k\chi_a^g]^* = {}^k\Upsilon_{\bar{a}}^{\bar{g}}$

► Gorkov spectral functions:

$$S_{ab}^+(\omega) = -\frac{1}{\pi} \Im \mathbf{G}_{ab}(\omega) = \sum_k {}^k \chi_a {}^k \chi_b^\dagger \delta(\omega - \omega_k)$$

with $\omega > 0$

$$S_{ab}^-(\omega) = +\frac{1}{\pi} \Im \mathbf{G}_{ab}(\omega) = \sum_k {}^k \Upsilon_a {}^k \Upsilon_b^\dagger \delta(\omega + \omega_k)$$

with $\omega < 0$

From the normal components, one nucleon removal and addition amplitudes are extracted:

$$S_{ab}^h(\omega) \equiv S_{ab}^{11}(\omega)$$

$$S_{ab}^p(\omega) \equiv S_{ab}^+(\omega)$$

► One and two-neutron separation energies:

$$S_n(N, Z) \equiv |E(N, Z)| - |E(N-1, Z)|$$

$$S_{2n}(N, Z) \equiv |E(N, Z)| - |E(N-2, Z)|$$

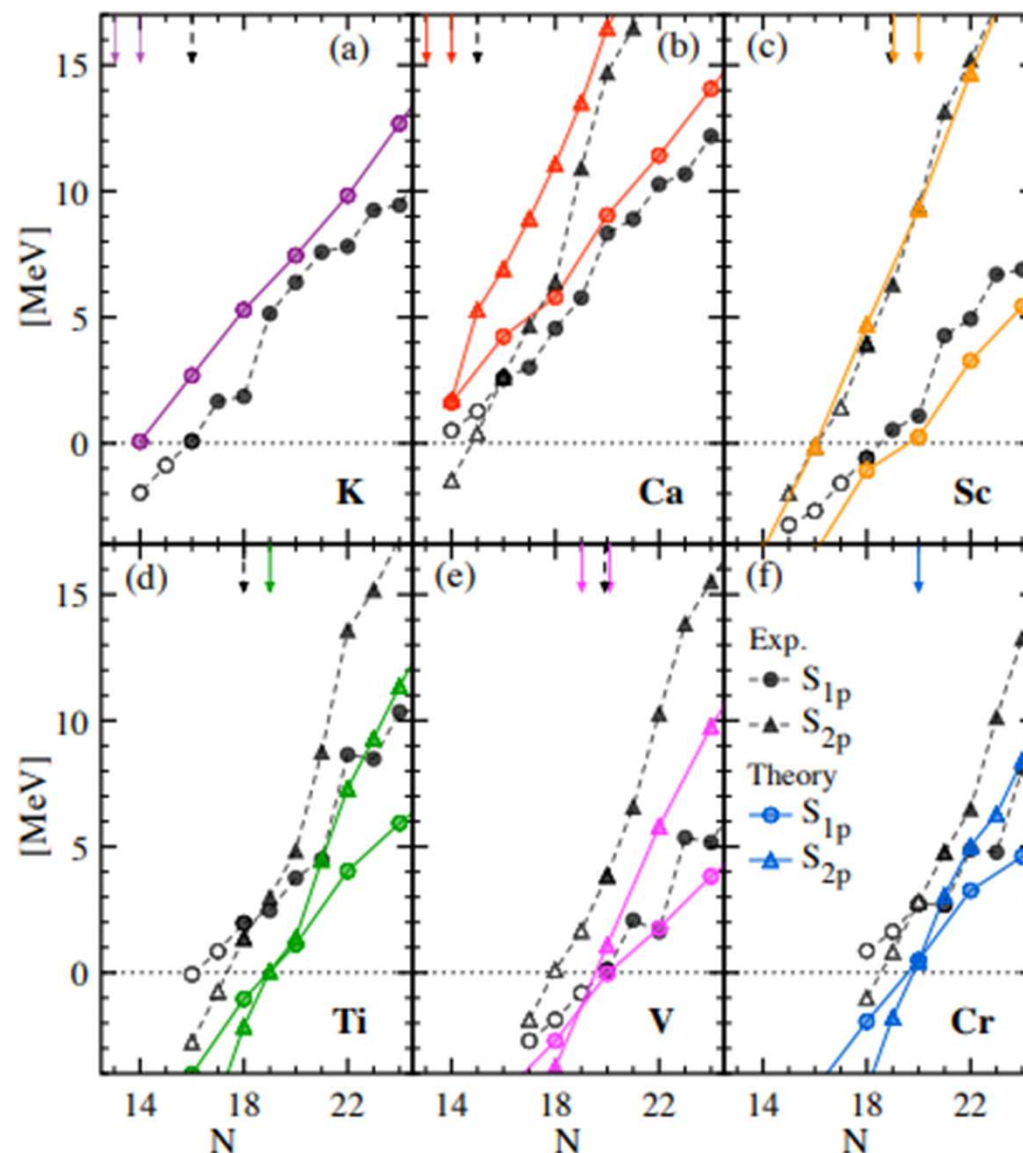
► One and two-proton separation energies:

$$S_p(N, Z) \equiv |E(N, Z)| - |E(N, Z-1)|$$

$$S_{2p}(N, Z) \equiv |E(N, Z)| - |E(N, Z-2)|$$

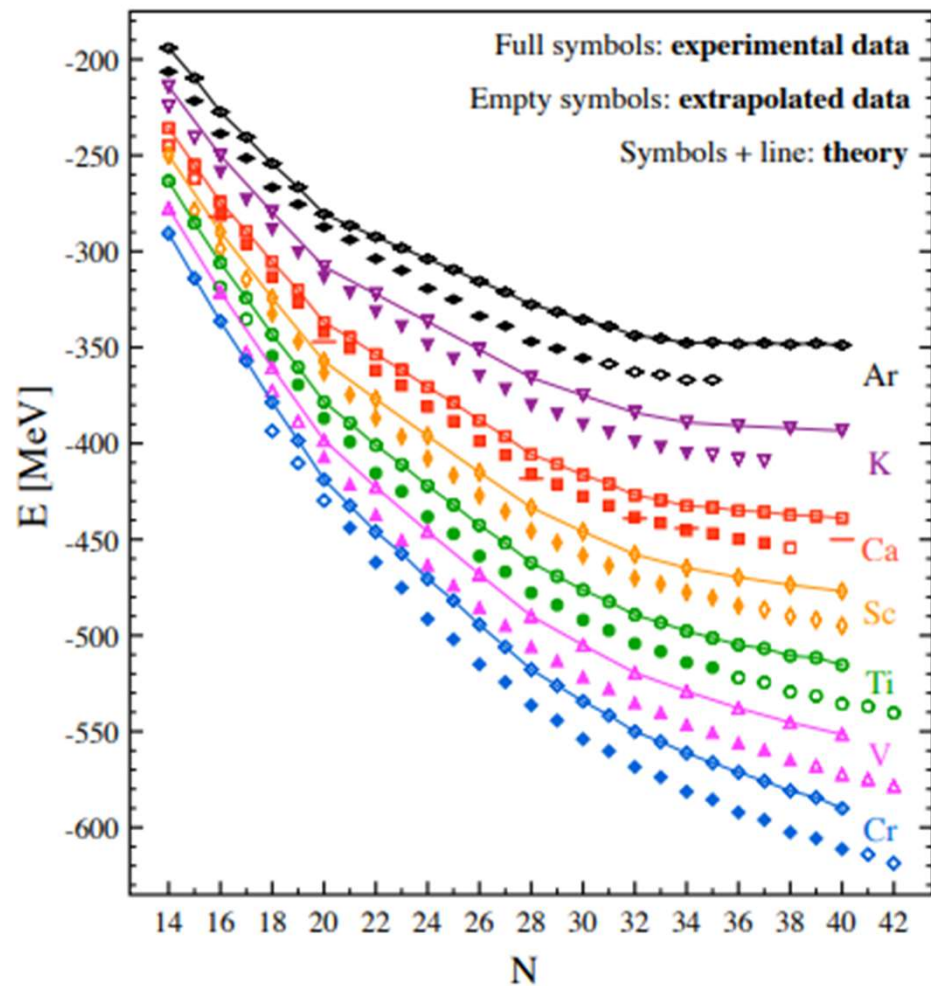
where $E(N, Z) \rightsquigarrow$ g.s. energy

$S_p(N, Z)$ and $S_{2p}(N, Z)$



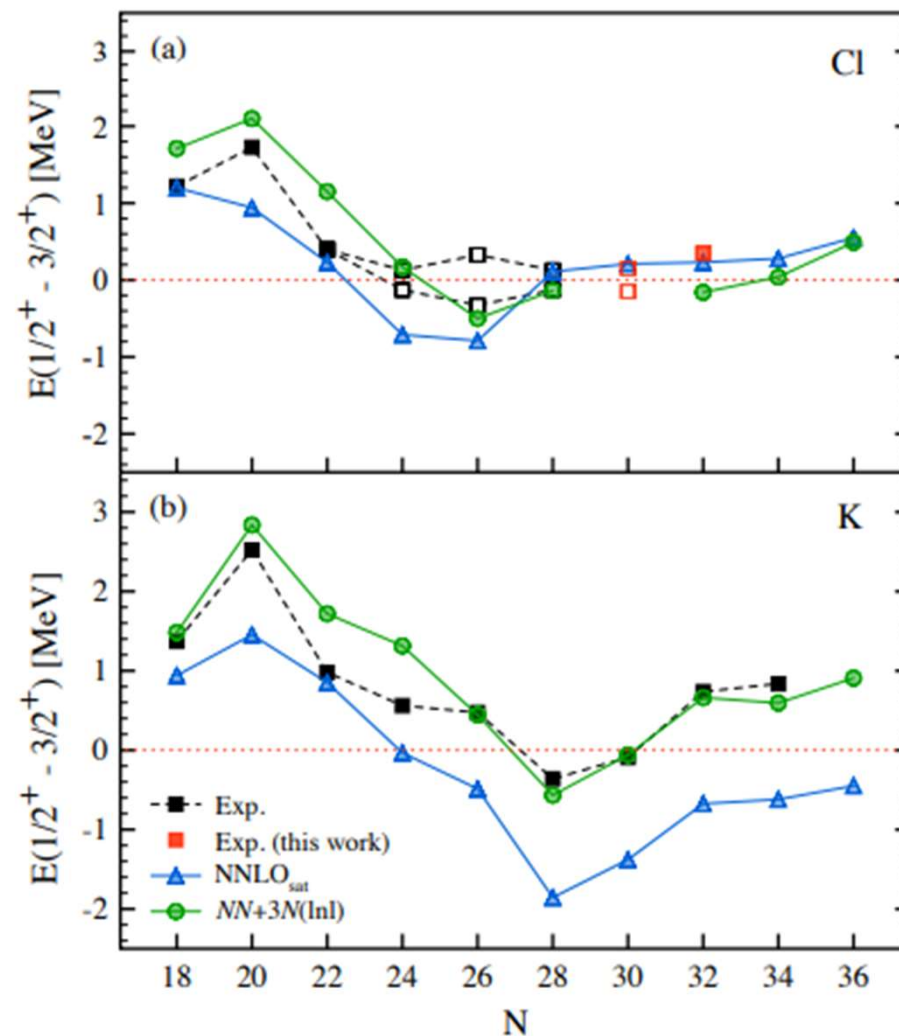
[*Eur. Phys. J A* **57**, 135 (2021)]

- Binding energy of even-even isotopic chains:
 the $18 \leq Z \leq 24$ nuclei



[*Eur. Phys. J A* **57**, 135 (2021)]

- Energies of the excited states of odd-even systems: the first $1/2^+$ and $3/2^+$ levels (Cl & K)



[*Phys. Rev. C* **104**, 044331 (2021)]

THE POLARIZATION PROPAGATOR

The construction of the Gorkov response functions recalls the Dyson case:

$$R_{abcd}^{gg'g''g'''}(t, t', t'', t''') \equiv G_{abcd}^{gg'g''g'''}(t, t', t'', t''') - G_{ac}^{gg''}(t, t'')G_{bd}^{g'g'''}(t', t''')$$

where the two-body propagator is a rank-four tensor (16 elements) in Nambu space,

$$i^2 \mathbf{G}_{abcd}(t, t', t'', t''') \equiv \langle \Psi_0 | T \{ \mathbf{A}_a(t) \odot \mathbf{A}_b(t') \odot \mathbf{A}_d^*(t''') \odot \mathbf{A}_c^*(t'') \} | \Psi_0 \rangle$$

with the convention of J. Schirmer, *Phys. Rev. A* **26**, 5, 2395-2416 (1982)

► Switching to the two-time limit the Gorkov *polarization propagator* is obtained:

$$\Pi_{acdb}^{gg''g'''}(t, t') \equiv \lim_{\substack{t'' \rightarrow t^+ \\ t''' \rightarrow t'^+}} R_{abcd}^{gg'g''g'''}(t, t', t'', t''')$$

Explicitly:

$$\begin{aligned} \Pi_{acdb}^{gg''g'''}(t, t') &= -i \langle \Psi_0^A | T \left\{ A_a^g(t) A_b^{g'}(t') A_d^\dagger g''(t'^+) A_c^\dagger g''(t^+) \right\} | \Psi_0^A \rangle \\ &\quad + i \langle \Psi_0^A | T \left\{ A_a^g(t) A_c^\dagger g''(t^+) \right\} | \Psi_0^A \rangle \langle \Psi_0^A | T \left\{ A_b^{g'}(t') A_d^\dagger g''(t'^+) \right\} | \Psi_0^A \rangle \end{aligned}$$

Analogously, the Fourier Transform of the polarization propagator yields

$$\Pi_{acdb}^{gg''g'''}(\omega) \equiv \int_{-\infty}^{+\infty} d(t-t') e^{i\omega(t-t')} \Pi_{acdb}^{gg''g'''}(t-t')$$

and fulfills the symmetry properties under *time reversal* (left) and *complex conjugation* (right):

$$\Pi_{acdb}^{gg''g'''}(\omega) = \Pi_{bdca}^{g'g''g'''}(-\omega) \quad \Pi_{acdb}^{gg''g'''}(\omega) = [\Pi_{db\bar{a}\bar{c}}^{\bar{g}'''\bar{g}'\bar{g}\bar{g}''}(-\omega)]^*$$

THE POLARIZATION PROPAGATOR

► The Lehmann representation of the Gorkov polarization propagator gives

$$\Pi_{acdb}^{gg''g'''}(\omega) \equiv \Pi_{acdb}^{+gg''g'''}(\omega) + \Pi_{acdb}^{-gg''g'''}(\omega)$$

The two contributions contain the same information and are again related by complex conjugation

$$[\Pi_{\bar{c}\bar{a}\bar{b}\bar{d}}^{+\bar{g}''\bar{g}\bar{g}'\bar{g}'''}(-\omega)]^* = \Pi_{acdb}^{-gg''g'''}(\omega)$$

where the l.h.s. (r.h.s.) is analytical in the upper (lower) part of the complex plane for ω ,

$$\Pi_{acdb}^{+gg''g'''}(\omega) = \sum_{k \neq 0} \frac{{}^k\chi_{ac}^{gg''} \quad {}^k\chi_{db}^{*g'''}{g'}}{\omega - (\Omega_k - \Omega_0)/\hbar + i\eta} \quad \Pi_{acdb}^{-gg''g'''}(\omega) = - \sum_{k \neq 0} \frac{{}^k\Upsilon_{ac}^{gg''} \quad {}^k\Upsilon_{db}^{*g'''}{g'}}{\omega + (\Omega_k - \Omega_0)/\hbar - i\eta}$$

the poles, for U(1)-cons. states, coincide with the energy of the excited states of the A -body system with respect to the g.s. energy $E_k \equiv \Omega_k - \Omega_0$ and the transition matrix elements, fulfilling

$${}^k\Upsilon_{ab}^{gg'} = [{}^k\chi_{\bar{b}\bar{a}}^{\bar{g}'\bar{g}}]^*$$

have been defined and orthogonality between the A -body states has been exploited. Explicitly

$${}^k\chi_{bc}^{22} \equiv \langle \Psi_0 | A_b^2 A_c^{\dagger 2} | \Psi_k \rangle = \langle \Psi_0 | a_b^\dagger a_{\bar{c}} | \Psi_k \rangle$$

$${}^k\Upsilon_{bc}^{22} \equiv \langle \Psi_k | A_b^2 A_c^{\dagger 2} | \Psi_0 \rangle = \langle \Psi_k | a_b^\dagger a_{\bar{c}} | \Psi_0 \rangle$$

$${}^k\chi_{bc}^{12} \equiv \langle \Psi_0 | A_b^1 A_c^{\dagger 2} | \Psi_k \rangle = \langle \Psi_0 | a_b a_{\bar{c}} | \Psi_k \rangle$$

$${}^k\Upsilon_{bc}^{12} \equiv \langle \Psi_k | A_b^1 A_c^{\dagger 2} | \Psi_0 \rangle = \langle \Psi_k | a_b a_{\bar{c}} | \Psi_0 \rangle$$

$${}^k\chi_{bc}^{11} \equiv \langle \Psi_0 | A_b^1 A_c^{\dagger 1} | \Psi_k \rangle = \langle \Psi_0 | a_b a_{\bar{c}}^\dagger | \Psi_k \rangle$$

$${}^k\Upsilon_{bc}^{21} \equiv \langle \Psi_k | A_b^2 A_c^{\dagger 1} | \Psi_0 \rangle = \langle \Psi_k | a_b^\dagger a_{\bar{c}}^\dagger | \Psi_0 \rangle$$

$${}^k\chi_{bc}^{21} \equiv \langle \Psi_0 | A_b^2 A_c^{\dagger 1} | \Psi_k \rangle = \langle \Psi_0 | a_b^\dagger a_{\bar{c}}^\dagger | \Psi_k \rangle$$

$${}^k\Upsilon_{bc}^{11} \equiv \langle \Psi_k | A_b^1 A_c^{\dagger 1} | \Psi_0 \rangle = \langle \Psi_k | a_b a_{\bar{c}}^\dagger | \Psi_0 \rangle$$

as in the one-body GF case, the anomalous elements *vanish* between U(1)-conserving states.

GORKOV'S BETHE-SALPETER EQUATIONS

► Gorkov's polarization propagator has proven to fulfill the following *self-consistent* equations

$$\begin{aligned} \Pi_{feba}^{g_4 g_3 g_2 g_1}(t, t^+, s^+, s) &= \underbrace{\Pi_{feba}^D{}^{g_4 g_3 g_2 g_1}(t, t^+, s^+, s)}_{\text{disj. direct pol. propagator}} + \underbrace{\Pi_{feba}^B{}^{g_4 g_3 g_2 g_1}(t, t^+, s^+, s)}_{\text{disj. Bogoliubov pol. propagator}} + \frac{1}{\hbar} \sum_{\substack{g g' \\ g'' g'''}} \sum_{\substack{c d \\ h l}} \int_{-\infty}^{+\infty} dt_1 \underbrace{\text{three-time pol. propagator}} \\ &\times \int_{-\infty}^{+\infty} dt_2 \int_{-\infty}^{+\infty} dt_3 \int_{-\infty}^{+\infty} dt_4 \underbrace{\Pi_{abcd}^D{}^{g_1 g_2 g g'}(t, t^+, t_1, t_2)}_{\text{disjoint direct three-time pol. propagator}} \underbrace{\Gamma_{cdhl}^{ph, g g' g'' g'''}(t_1, t_2, t_3, t_4)}_{\text{particle-hole vertex}} \underbrace{\Pi_{felh}^{g_4 g_3 g'' g'''}(s, s^+, t_4, t_3)}_{\text{three-time pol. propagator}} \end{aligned}$$

where

$$\Sigma_{ad}^{\phi g g'}(t, t'') = -i \sum_{b c e f} \sum_{g'} (\bar{V}_{acef} \delta_{1g} + \bar{V}_{c\bar{e}a f} \delta_{g2}) \int_{-\infty}^{+\infty} dt' G_{efbc}^{\phi g_1 g'_1}(t, t', t^+) G_{bd}^{\phi -1 g' g''}(t', t'') \iff \Gamma_{cdhl}^{ph, g g' g'' g'''}(t_1, t_2, t_3, t_4) = \left. \frac{\delta \Sigma_{cd}^{\phi g g'}(t_1, t_2)}{\delta G_{hl}^{g'' g'''}(t_3, t_4)} \right|_{\phi(t)=0}$$

self-energy, in terms of the two-body propagator

particle-hole vertex

In energy representation, Gorkov's Bethe-Salpeter equations (GBSE) become

$$\begin{aligned} \Pi_{feba}^{g_4 g_3 g_2 g_1}(\omega) &= \Pi_{feba}^D{}^{g_4 g_3 g_2 g_1}(\omega) + \Pi_{feba}^B{}^{g_4 g_3 g_2 g_1}(\omega) + \frac{1}{\hbar} \sum_{cdhl} \sum_{\substack{g g' \\ g'' g'''}} \int_{-\infty}^{+\infty} \frac{d\Omega_1}{(2\pi)} \int_{-\infty}^{+\infty} \frac{d\Omega_2}{(2\pi)} \Pi_{abcd}^D{}^{g_1 g_2 g g'}\left(\frac{\omega - \Omega_1}{2}, \frac{\omega + \Omega_1}{2}\right) \\ &\times \Gamma_{cdhl}^{ph, g g' g'' g'''}\left(\frac{\omega - \Omega_1}{2}, \omega, \omega - 2\Omega_2\right) \Pi_{felh}^{g_4 g_3 g'' g'''}(2\Omega_2, \omega - 2\Omega_2). \end{aligned}$$

In contrast with Gorkovs equation, in energy repr. it remains an integral equation!

► By defining a particle-hole Kernel, the GBSE can be recast into a algebraic equations...

$$\Pi_{dcba}^{g_4 g_3 g_2 g_1}(\omega) = \Pi_{dcba}^D{}^{g_4 g_3 g_2 g_1}(\omega) + \Pi_{dcba}^B{}^{g_4 g_3 g_2 g_1}(\omega) + \sum_{\substack{g_5 g_6 \\ g_7 g_8}} \sum_{efgh} \Pi_{abfe}^D{}^{g_1 g_2 g_5 g_6}(\omega) K_{efgh}^{ph, g_5 g_6 g_7 g_8}(\omega) \Pi_{ghcd}^{g_7 g_8 g_3 g_4}(\omega)$$

W. Czyz, *Acta. Phys. Pol.* **20**, 737 (1961).

Caveat: there's no diagram-based approach to determine $K_{efgh}^{ph}(\omega)$! (except approx. such as RPA)

- ▶ As an alternative to the solution of the GBSE, one considers the *perturbation expansion* of Gorkov's polarization propagator in terms of Ω_I , in the **interaction** picture

↪ J. Schirmer, *Phys. Rev. A* **26**, 5, 2395-2416 (1982)

$$\begin{aligned} \Pi_{acdb}^{g_1 g_2 g_3 g_4}(t, t^+, t'^+, t') &= -i \sum_{l=0}^{+\infty} \left(\frac{-i}{\hbar}\right)^l \frac{1}{l!} \int_{-\infty}^{+\infty} dt_1 \dots \int_{-\infty}^{+\infty} dt_l \overbrace{\langle \Phi_0 | T \{ \Omega_I(t_1) \dots \Omega_I(t_l) A_{I_a}^{g_1}(t) A_{I_b}^{g_2}(t') A_{I_d}^{\dagger g_4}(t'^+) A_{I_c}^{\dagger g_3}(t^+) \} | \Phi_0 \rangle_{\text{conn}}}^{(P)} \\ &+ i \left[\sum_{m=0} \left(\frac{-i}{\hbar}\right)^m \frac{1}{m!} \int_{-\infty}^{+\infty} dt_1 \dots \int_{-\infty}^{+\infty} dt_m \langle \Phi_0 | T \{ \Omega_I(t_1) \dots \Omega_I(t_m) A_{I_a}^{g_1}(t) A_{I_c}^{\dagger g_3}(t^+) \} | \Phi_0 \rangle_{\text{conn}} \right] \\ &\times \left[\sum_{n=0} \left(\frac{-i}{\hbar}\right)^n \frac{1}{n!} \int_{-\infty}^{+\infty} dt_1 \dots \int_{-\infty}^{+\infty} dt_n \underbrace{\langle \Phi_0 | T \{ \Omega_I(t_1) \dots \Omega_I(t_n) A_{I_b}^{g_2}(t') A_{I_d}^{\dagger g_4}(t'^+) \} | \Phi_0 \rangle_{\text{conn}}}_{\text{unperturbed reference state}} \right] \end{aligned}$$

where

unperturbed **reference state**

connected contributions only!

- ▶ Time ordered products are evaluated by means of the Wick theorem, converting them into fully-contracted normal-ordered products of second-quantization operators.

Caveat: the contractions between two creation and annihilation operators do not vanish!

Diagrammatics:
see the term (P)

CONTRACTION	$\overbrace{a_{I_a}^\dagger(t) a_{I_b}^\dagger(t')}$	$\overbrace{a_{I_a}(t) a_{I_b}(t')}$
$\exists! a \vee b \in$ <i>interaction vertex</i>	$G_{ab}^{(0) 21}(t, t')$	$G_{ab}^{(0) 12}(t, t')$
$a \wedge b \in$ <i>external legs</i>	$G_{\bar{a}b}^{(0) 21}(t, t')$	$G_{\bar{a}b}^{(0) 12}(t, t')$
$\bar{a} \wedge \bar{b} \in$ <i>external legs</i>	$G_{\bar{a}\bar{b}}^{(0) 21}(t, t')$	$G_{\bar{a}\bar{b}}^{(0) 12}(t, t')$

- Alternative to the Wick theorem, the diagrams appearing at any order n in perturbation theory can be generated via *Feynman rules* for the polarization prop. in *time representation*:

I. diagrams have n interaction lines and $2n+2$ propagation lines

II. discard disconnected diag. and disjoint linked diag. and direct type

III. label the vertices and the endpoints of the external legs with a single particle (s.p.) index and a time index

IV. write \bar{V}_{abcd} for each two-b. vertex

V. write a factor $i^{2n+2} \cdot i(-i/\hbar)^n$

VI. write a factor $1/2$ for each pair of prop. starting and ending at the same two-body vertex

VII. write a factor $1/2$ for each anomalous prop. starting and ending at the same int. vertex.

VIII. in the Abrikosov-Hügenholtz notation, check the global sign

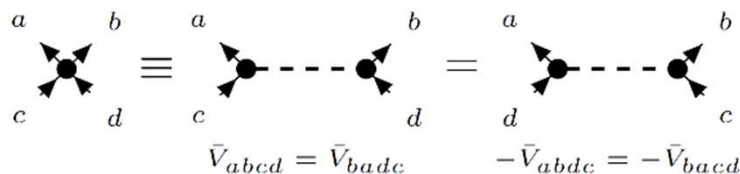
IX. interpret the equal time prop.

X. write a fact. $(-1)^{N_c+N_a}$ where:
 $N_c \rightsquigarrow$ number of closed loops
 $N_a \rightsquigarrow$ number of anomalous contractions.

XI. sum over free s.p. indices

XII. integrate over free time variables

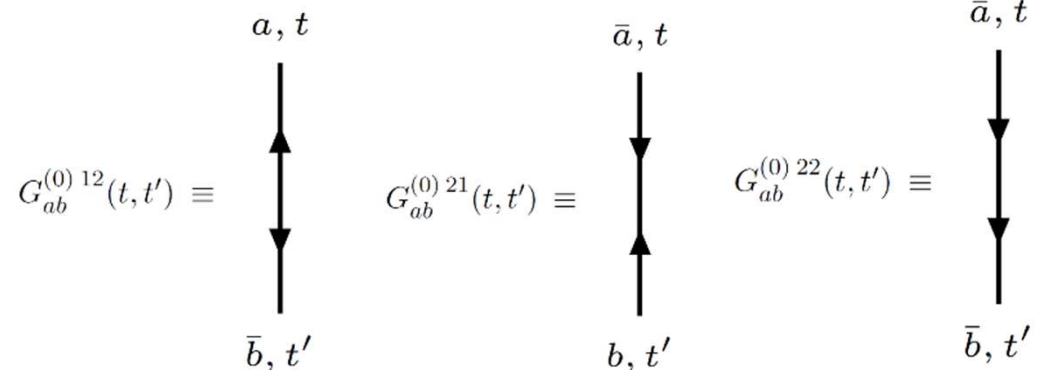
► Two-body vertex:



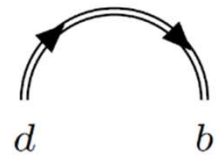
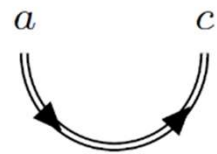
Abrikosov –
Hügenholtz
notation

Extended or Bloch-
Brandow notation

► Unperturbed one-body propagator:

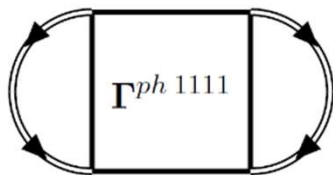


Converting each sequence of normal-ordered second quantization operators in (P) into diagrammatic form, at any order the graphs can be grouped into 5 categories:



(i) $\notin \Pi_{acdb}^{1111}$

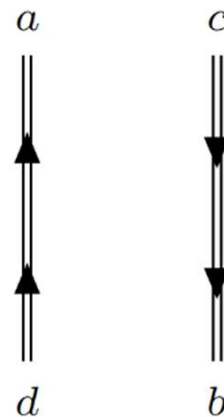
▶ Disjoint linked diagrams of *exchange* type



(iv) $\notin \Pi_{acdb}^{1111}$

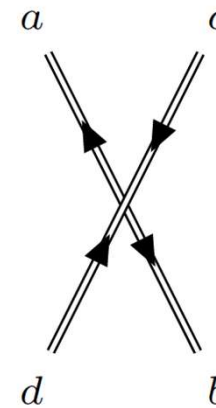
▶ *Disconnected* (=disjoint unlinked) diagrams

▶ Disjoint linked diagrams of *direct* type



(iii)

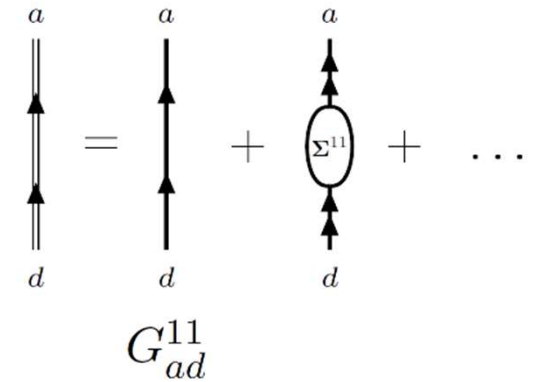
▶ Disjoint linked diagrams of *Bogoliubov* type



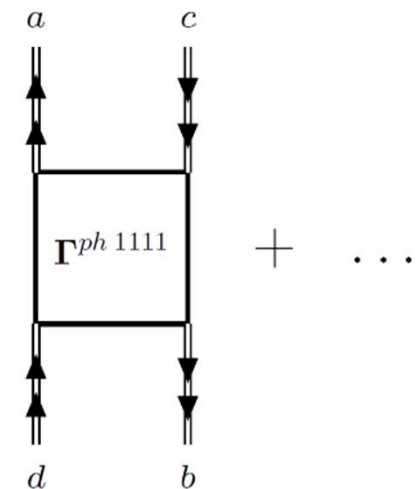
(v) $\in \Pi_{acdb}^{1111}$
 $\in \Pi_{ac\bar{b}\bar{d}}^{1122}$

multiple disconnected parts

▶ *Conjoint* diagrams



dressed one-body propagator



(v)

Example: perturbation expansion of $\Pi_{acdb}^{1111}(t, t')$

▶ *Leading order:*

$$-i G_{ad}^{(0)11}(t, t'^+) G_{cb}^{(0)11}(t^+, t') \longleftarrow \begin{array}{c} a \\ \downarrow \\ d \end{array} \begin{array}{c} c \\ \downarrow \\ b \end{array} + \begin{array}{c} a \quad c \\ \searrow \quad \swarrow \\ d \quad b \end{array} \longrightarrow i G_{a\bar{b}}^{(0)1\bar{1}}(t, t') G_{\bar{d}c}^{(0)\bar{1}1}(t'^+, t^+)$$

i.e. the LO disjoint exchange and Bogoliubov diagrams!

▶ *Subleading order:*

$$-\frac{1}{\hbar} \sum_{pqrs} \bar{V}_{pqrs} iG_{ad}^{(0)11}(t, t'^+) \int_{-\infty}^{+\infty} dt_1 iG_{rp}^{(0)11}(t_1, t^+) iG_{bq}^{(0)11}(t', t_1) iG_{sc}^{(0)11}(t_1, t^+)$$

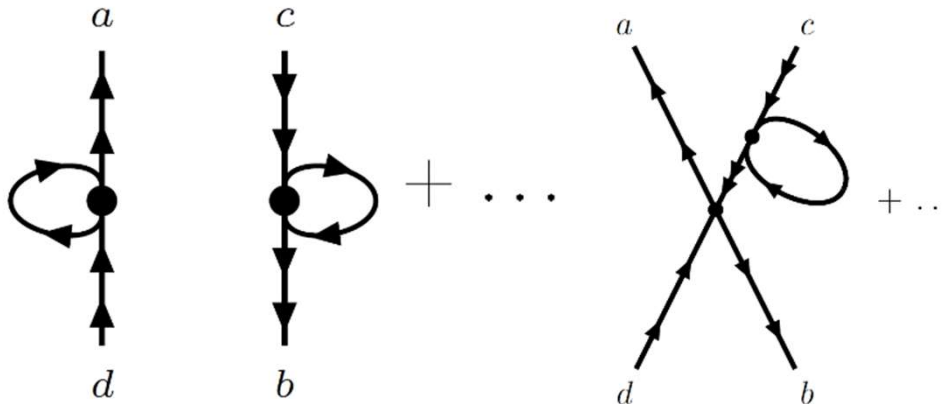
Ellipsis: Bogoliubov diagrams with a bubble on the $G_{\bar{d}c}^{(0)\bar{1}1}$ propagator plus graphs with at least a pair of anomalous propagators

$$-\frac{1}{\hbar} \frac{1}{2} \sum_{pqrs} \bar{V}_{pqrs} \int_{-\infty}^{+\infty} dt_1 iG_{bp}^{(0)11}(t', t_1) iG_{aq}^{(0)11}(t, t_1) iG_{sc}^{(0)11}(t_1, t^+) iG_{rd}^{(0)11}(t_1, t'^+)$$

Example (continued): perturbation expansion of $\Pi_{acdb}^{1111}(t, t')$

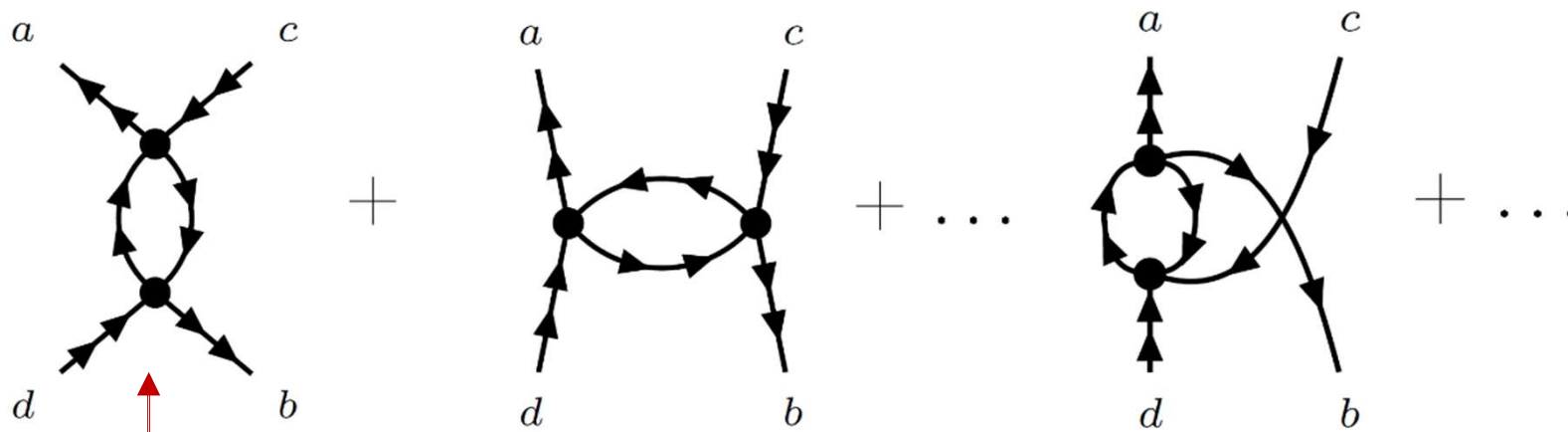
► Next to next to leading order:

Composite
diagrams:



Ellipsis: Bogoliubov plus other composite diagrams and graphs with the same structure and (even) anomalous propagators

Skeleton diagrams:



Ellipsis: graphs with the same structure and (even) anomalous propagators

$$\frac{i}{\hbar^2} \sum_{\substack{pqrs \\ tuv}} \bar{V}_{pqrs} \bar{V}_{tuv} \int_{-\infty}^{+\infty} dt_1 \int_{-\infty}^{+\infty} dt_2 iG_{aq}^{(0)11}(t, t_1) iG_{sc}^{(0)11}(t_1, t) iG_{vp}^{(0)11}(t_2, t_1) iG_{rt}^{(0)11}(t_1, t_2) iG_{wd}^{(0)11}(t_2, t') iG_{bu}^{(0)11}(t', t_2)$$

It is an approximation scheme developed for the *polarization propagator* (J. Schirmer, *Phys. Rev. A* **26**, 5, 2395-2416 (1982)) and the *one-body propagator* (J. Schirmer, *Phys. Rev. A* **28**, 3, 1237-1259 (1983)) in SCGF theory. At present, only the extension to Gorkov's one-body propagators is operational.

Motivation: the ADC scheme permits to rewrite Gorkov's equations (in energy repr.) as an energy-independent eigenvalue problem, preserving the analytic structure of the self-energy.

↪ V. Somà et al. *Phys. Rev. C* **84**, 064317 (2011)

► Splitting of the *proper* self-energy into a **static** and a **dynamic** part:

$$\tilde{\Sigma}_{ab}(\omega) = -\mathbf{U}_{ab} + \Sigma_{ab}^{(\text{stat})} + \Sigma_{ab}^{(\text{dyn})}$$

whose structure is

$$\Sigma_{ab}^{(\text{dyn})}(\omega) = \Sigma_{ab}^{(\text{dyn})+} + \Sigma_{ab}^{(\text{dyn})-} = \sum_k \left[\frac{{}^k\mathbf{M}_a {}^k\mathbf{M}_b^\dagger}{\omega - \Omega_k/\hbar + i\eta} + \frac{{}^k\mathbf{N}_a {}^k\mathbf{N}_b^\dagger}{\omega + \Omega_k/\hbar - i\eta} \right]$$

It is sufficient to consider only $\Sigma_{ab}^{(\text{dyn})+} \equiv \mathbf{M}_a(\mathbb{1}\omega - \mathbf{E})\mathbf{M}_b^\dagger$

► The ADC scheme postulates $\Sigma_{ab}^{(\text{dyn})+} \stackrel{\text{ADC}}{=} \mathbf{C}_a(\omega\mathbb{1} - \mathbf{W} - \mathbf{P})^{-1}\mathbf{C}_b^\dagger$

where the matrices \mathbf{C}_a and \mathbf{P} in Nambu and k-space are expanded order by order

$$\mathbf{C}_a \equiv \mathbf{C}_a^{(1)} + \mathbf{C}_a^{(2)} + \dots \quad \mathbf{P} \equiv \mathbf{P}^{(1)} + \mathbf{P}^{(2)} + \dots \quad \mathbf{W} \Rightarrow \text{Matrix of the unperturbed eigenvalues } (\Omega_U)$$

By exploiting the geometric series, the ADC ansatz can be rewritten as

$$\Sigma_{ab}^{(\text{dyn})+} \stackrel{\text{ADC}}{=} \mathbf{C}_a(\omega\mathbb{1} - \mathbf{W})^{-1} \sum_{n=0}^{+\infty} \left\{ \mathbf{P}(\omega\mathbb{1} - \mathbf{W})^{-1} \right\}^n \mathbf{C}_b^\dagger$$

Matching procedure with the standard pert. expansion yields the expressions for \mathbf{C}_a , \mathbf{P} and \mathbf{W}

$$\Sigma_{ab}^{(\text{dyn})+}(\omega) \equiv \Sigma_{ab}^{(\text{dyn},1)+} + \Sigma_{ab}^{(\text{dyn},2)+} + \dots$$

► It has been applied directly to the *polarization propagator* (instead of $\Gamma_{cdhl}^{ph}(\frac{\omega-\Omega_1}{2}, \omega, \omega - 2\Omega_2)$).

Starting from the one-body transition operator

$$\mathcal{D} = \sum_{rs} D_{rs} a_r^\dagger a_s$$

for particle-number conserving operators, such as EM trans. oper. $D_{rs} \equiv D_{rs}^{11}$ or D_{rs}^{22}

Thanks to the complex-conj. property, one may consider only $\Pi_{acdb}^{+g_1g_3g_4g_2}(\omega)$

Defining the transition function as $T(\omega) \equiv \sum_{abcd} D_{ac}^* \Pi_{acdb}^{+1111}(\omega) D_{db}$

Lehmann's repr. permits to write $T(\omega) \equiv \mathbf{T}^\dagger(\omega\mathbb{1} - \mathbf{\Delta})\mathbf{T}$

where $\Delta_{jk} \equiv \langle \Psi_j | \Omega - \Omega_0 | \Psi_k \rangle / \hbar \implies$ secular matrix and $T_k = \langle \Psi_k | \mathcal{D} | \Psi_0 \rangle \implies$ vector of transition ampl.

► Construction of the ADC ansatz, similar to the one for $\Sigma_{ab}^{(\text{dyn})} +$

$$T(\omega) \equiv \mathbf{F}^\dagger(\omega\mathbb{1} - \mathbf{K} - \mathbf{C})\mathbf{F}$$

where $\mathbf{K} \implies$ matrix of diff. betw. the eigenvalues associated with Ω_U : $K_{ij,kl} = \delta_{ik}\delta_{jl}(\omega_i - \omega_j)/\hbar$

As for the self-energy, the matrices admit an order by order expansion

$$\mathbf{C} \equiv \mathbf{C}^{(1)} + \mathbf{C}^{(2)} + \dots \quad \mathbf{F} \equiv \mathbf{F}^{(0)} + \mathbf{F}^{(1)} + \mathbf{F}^{(2)} + \dots$$

Again the geometric series gives...

$$T(\omega) \stackrel{\text{ADC}}{=} \mathbf{F}^\dagger(\omega\mathbb{1} - \mathbf{K})^{-1} \sum_{n=0}^{+\infty} \left\{ \mathbf{C}(\omega\mathbb{1} - \mathbf{K})^{-1} \right\}^n \mathbf{F}$$

Matching procedure with the standard pert. expansion yields the expressions for \mathbf{F} , \mathbf{C} and \mathbf{K}

$$T(\omega) \equiv T(\omega)^{(0)} + T(\omega)^{(1)} + T(\omega)^{(2)} + \dots$$

The ADC splits the problem of determining \mathbf{T} into two tasks: the *construction* of the modified transition ampl. \mathbf{F} and the *diagonalization* proc. for the modified. interaction matrix, $\mathbf{C} + \mathbf{K}$

- ▶ In the ADC formulation for the polariz. propagator, it is useful to disentangle the time integrations, by considering the $n+2!$ possible orderings of the time vertices at order n

Time-ordered or *Goldstone* diagrams are obtained by multiplying each Feynman graph by

$$\begin{aligned}
 1 &= \theta(t - t') + \theta(t' - t) \\
 1 &= \theta(t - t')\theta(t_1 - t) + \theta(t - t')\theta(t' - t_1) + \theta(t - t_1)\theta(t_1 - t') \\
 &+ \theta(t' - t)\theta(t_1 - t') + \theta(t' - t)\theta(t - t_1) + \theta(t' - t_1)\theta(t_1 - t) \\
 1 &= \theta(t' - t_1)\theta(t - t')\theta(t_2 - t) + \theta(t_2 - t_1)\theta(t' - t_2)\theta(t - t') \\
 &+ \theta(t' - t_1)\theta(t_2 - t')\theta(t - t_2) + \theta(t_1 - t_2)\theta(t' - t_1)\theta(t - t') \\
 &+ \theta(t_1 - t)\theta(t - t')\theta(t' - t_2) + \dots
 \end{aligned}$$

- ▶ In practice: each Feynman diagram in $\Pi_{acdb}^{+g_1g_3g_4g_1}(t, t')$ corresponds to:

- 1 Goldstone graph at leading order
- 3 Goldstone graphs first order
- 12 Goldstone graphs at second order

...

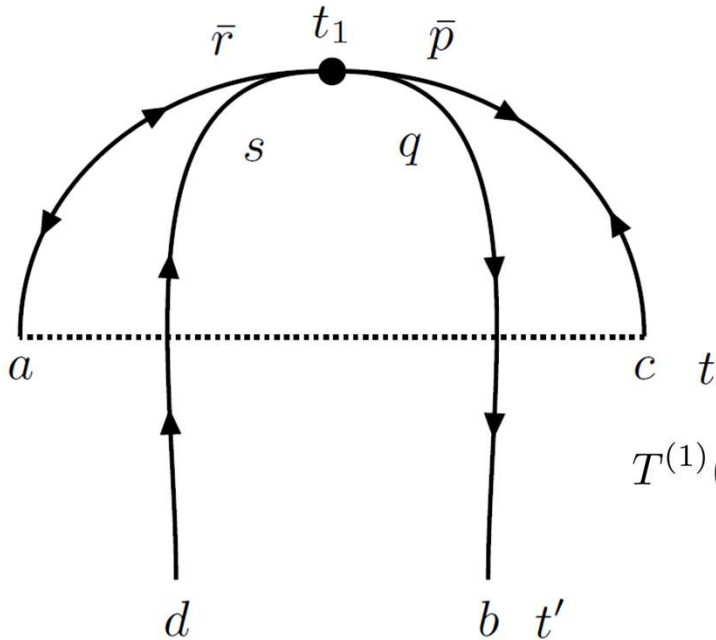
Diagrammatic rules for the Goldstone graphs of the SCGF polarization prop. in energy repr. exist...

↪ J. Schirmer, *Phys. Rev. A* **26**, 5, 2395-2416 (1982)

► Example of a first-order diagram contributing to $\Pi_{acdb}^{+1111}(\omega)$ (conventionally $t > t'$):

in time representation:

$$\begin{aligned} \Pi_{acdb}^{+1111}(t, t') &= \dots + \frac{1}{\hbar} \sum_{pqrs} \bar{V}_{\bar{p}q\bar{r}s} \int_{-\infty}^{+\infty} dt_1 iG_{pc}^{(0)21}(t_1, t^+) iG_{(0)bq}^{11}(t', t_1) \\ &\times iG_{sd}^{(0)11}(t_1, t'^+) iG_{ar}^{(0)12}(t, t_1) \theta(t - t') \theta(t_1 - t) + \dots \end{aligned}$$



► Performing the FT, this Goldstone graph translates into the following contribution to the first order transition function:

$$\begin{aligned} T^{(1)}(\omega) &= \dots + \sum_{abcd} \sum_{pqrs} \sum_{\substack{k_1 k_2 \\ k_3 k_4}} D_{ac}^* \bar{V}_{\bar{p}q\bar{r}s} \frac{k_1 \chi_p^{(0)2} k_1 \Upsilon_c^{(0)1} k_4 \chi_r^{(0)2} k_4 \Upsilon_a^{(0)1}}{\omega_{k_{1,0}} + \omega_{k_{2,0}} + \omega_{k_{3,0}} + \omega_{k_{4,0}}} \\ &\times \frac{k_2 \chi_a^{(0)1} k_2 \Upsilon_b^{(0)1} k_3 \chi_s^{(0)1} k_3 \Upsilon_d^{(0)1}}{\omega - \omega_{k_{3,0}} - \omega_{k_{2,0}} + i\eta} D_{db} + \dots \end{aligned}$$

Time ordering:

$$t_1 > t > t'$$

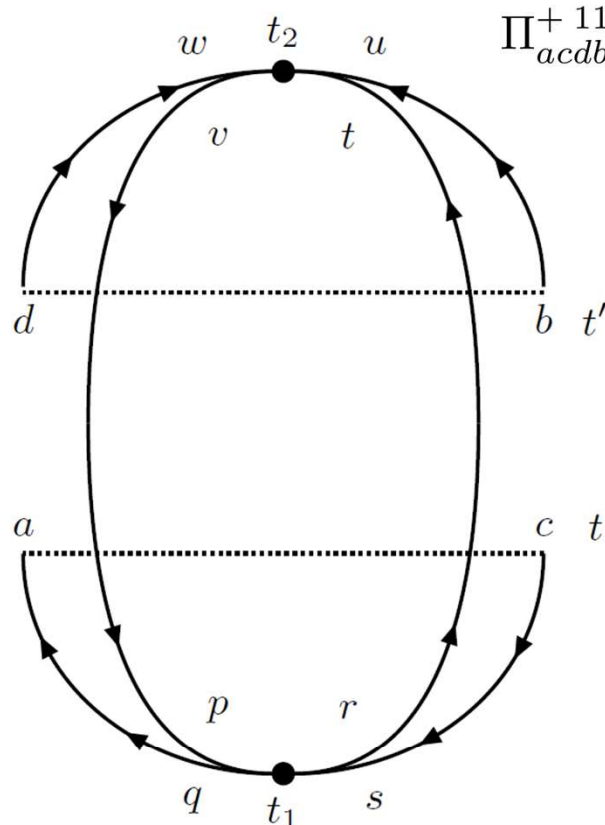
► Due to the SB in Ψ_0 , the connection of the 'energies' in the denominators $\omega_{k_{m0}} \equiv \omega_{k_m} - \omega_0$ with the single-particle excitation energies is *less transparent*:

$$\omega_{k_1}, \omega_{k_2}, \omega_{k_3}, \omega_{k_4} \implies$$

Eigenvalues of Ω for states with an odd number of nucleons on average

\rightsquigarrow largest exp. contrib. = $A \pm 1$ states

- Example of a second-order Goldstone graph contributing to $\Pi_{acdb}^{+1111}(\omega)$ ($t > t'$), corresponding to the time ordering $t_2 > t > t' > t_1$. In time representation, it gives:



$$\begin{aligned} \Pi_{acdb}^{+1111}(t, t') &= \dots + \frac{i}{\hbar^2} \sum_{pqrs} \sum_{tuvw} \bar{V}_{pqrs} \bar{V}_{tuvw} iG_{aq}^{(0)11}(t, t_1) \\ &\times iG_{sc}^{(0)11}(t_1, t) iG_{vp}^{(0)11}(t_2, t_1) iG_{rt}^{(0)11}(t_1, t_2) iG_{wd}^{(0)11}(t_2, t') \\ &\times iG_{bu}^{(0)11}(t', t_2) \theta(t' - t_1) \theta(t - t') \theta(t_2 - t) + \dots \end{aligned}$$

The presence of the sole *normal* propagators guarantees that

$\omega_{k_2}, \omega_{k_4}, \omega_{k_6} \implies$ states with odd number of nucleons
(largest exp. contrib. = $A - 1$ state)

$\omega_{k_1}, \omega_{k_3}, \omega_{k_5} \implies$ states with odd number of nucleons
(largest exp. contrib. = $A + 1$ state)

- In energy repr. this Goldstone graph translates into the following contribution to the second order transition function:

$$\begin{aligned} T^{(2)}(\omega) &= \dots - i \sum_{abcd} \sum_{pqrs} \sum_{tuvw} \sum_{\substack{k_1 k_2 k_4 k_5 \\ k_3 k_6}} D_{ac}^* \bar{V}_{pqrs} \bar{V}_{tuvw} \frac{k_1 \chi_a^{(0)1} k_1 \Upsilon_q^{(0)1} k_2 \chi_c^{(0)1} k_2 \Upsilon_s^{(0)1}}{\omega_{k_{1,0}} + \omega_{k_{2,0}} + \omega_{k_{3,0}} + \omega_{k_{4,0}}} \\ &\times \frac{k_5 \chi_v^{(0)1} k_3 \Upsilon_p^{(0)1} k_4 \chi_t^{(0)1} k_4 \Upsilon_r^{(0)1}}{\omega - (\omega_{k_{1,0}} + \omega_{k_{2,0}} + \omega_{k_{3,0}} + \omega_{k_{4,0}} + \omega_{k_{5,0}} + \omega_{k_{6,0}})/\hbar} \frac{k_5 \chi_w^{(0)1} k_5 \Upsilon_d^{(0)1} k_6 \chi_u^{(0)1} k_6 \Upsilon_b^{(0)1}}{\omega_{k_{3,0}} + \omega_{k_{4,0}} + \omega_{k_{5,0}} + \omega_{k_{6,0}}} D_{db} + \dots \end{aligned}$$

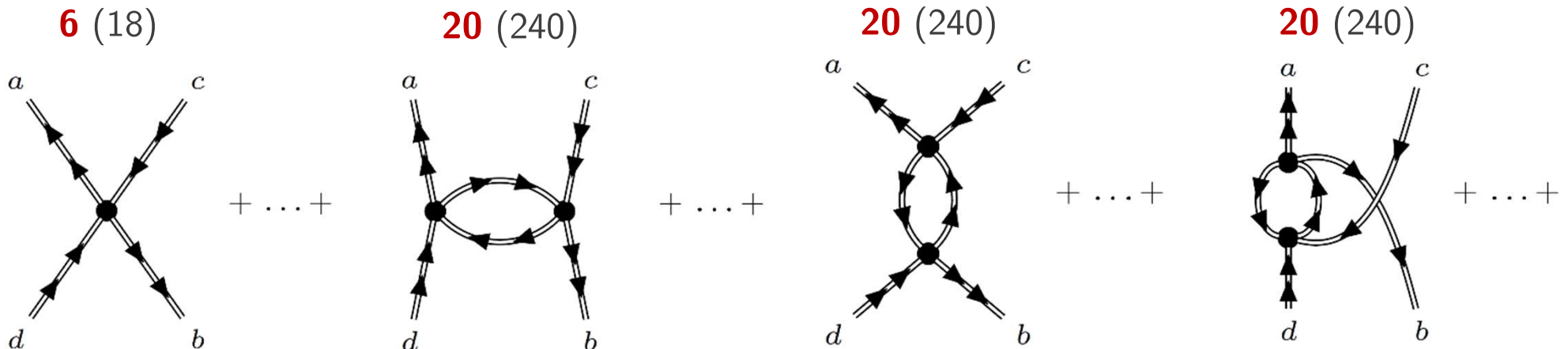
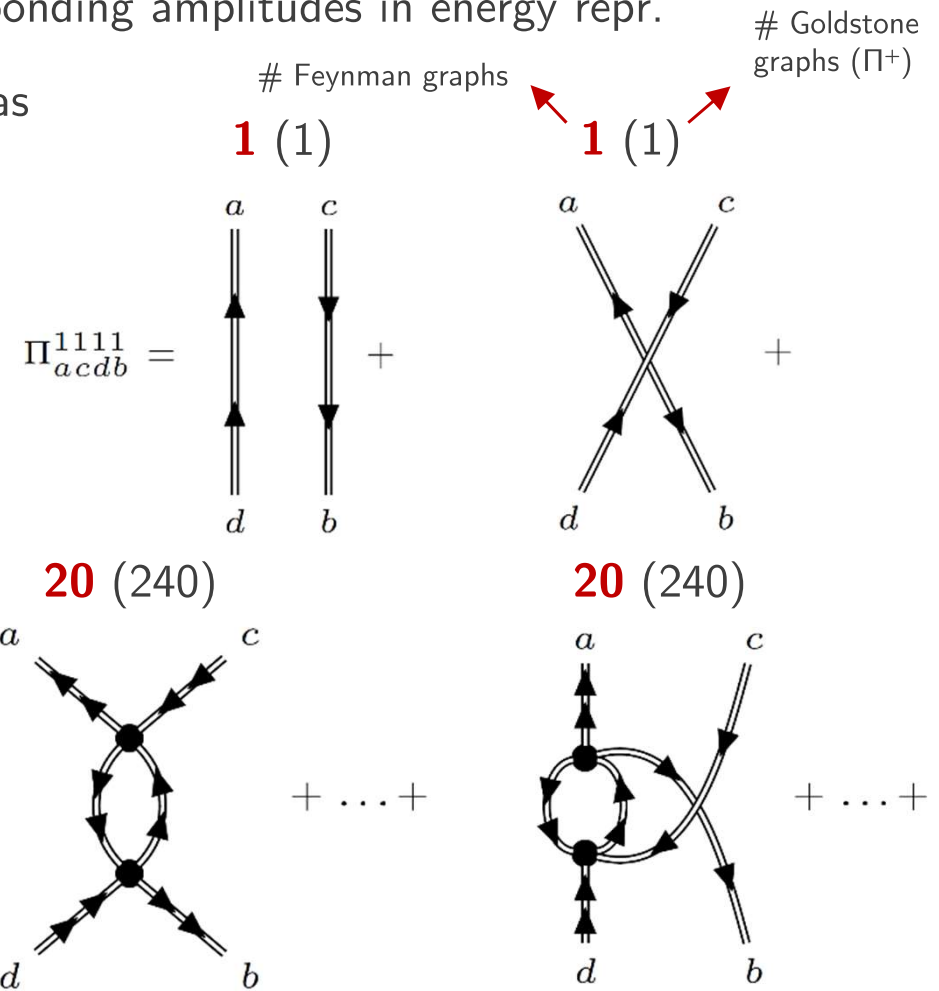
A code generating the diagrammatic contrib. for Gorkov's polarization propagator as Wick theorem contractions at first and second order in perturbation theory has been developed.

- **Tool:** for any time ordering, the *diagrammatic rules* for *Goldstone diagrams* in energy representation permit to bridge the sequences of contracted second-quantization op. in time repr. and the amplitudes and the corresponding amplitudes in energy repr.

Alternatively, the time integrals can be evaluated as in the *Automated Diagram Generation* code:

↪ P. Arhuis et al. *Comp. Math. Comm.* **240**, 202-227 (2019)

- **Improvement:** self-consistent dressing of the one-body propagators in the *skeleton* graphs (up to 2nd order), output of the existing *Bocadorata* codes



► For a general one-body operator that mediates the transition between two the A -body states

$$\langle \Psi_p | \mathcal{O} | \Psi_0 \rangle = \sum_{ab} (a | \mathcal{O} | b) \langle \Psi_p | a_b^\dagger a_a | \Psi_0 \rangle$$

■ **Example:** reduced *electric* ($R=E$) and *magnetic* ($R=M$) multipole transition probabilities between states with angular momentum J_0 and J_p

$$B(J_0 \rightarrow J_p, R\ell) \equiv \frac{1}{2J_0 + 1} \sum_{M_0} \sum_{M_p} \sum_m |\langle \Psi_p | \Omega_{\ell m}(R) | \Psi_0 \rangle|^2$$

where $\Omega_{\ell m}(R)$ are the transition operators with angular momentum ℓ and projection m

$$\langle \Psi_p | \Omega_{\ell m}(R) | \Psi_0 \rangle = \sum_{ab} (a | \Omega_{\ell m}(R) | b) \langle \Psi_p | [A_a^{1\dagger} \otimes A_b^1]_{\ell m} | \Psi_0 \rangle$$

which are expressed in terms of the angular-momentum-coupled transition matrix elements

$$[A_a^{1\dagger} \otimes A_b^1]_{\ell m} = [a_a^\dagger \otimes a_b]_{\ell m} = \sum_{m_a m_b} (j_a j_b \ell | m_a - m_b m) (-1)^{-m_b} a_a^\dagger a_b$$

and the matrix elements between the s.p. states and the EM mult. transition oper. are given by

$$(a | \Omega_{\ell m}(E) | b) = \int d^3r (a | r^\ell Y_\ell^m(\theta, \phi) \rho(\mathbf{r}) | b)$$

$$(a | \Omega_{\ell m}(M) | b) = \int d^3r (a | \mathbf{j}(\mathbf{r}) \cdot \mathbf{L} r^\ell Y_\ell^m(\theta, \phi) | b)$$

where $\rho(\mathbf{r}) = e\delta(\mathbf{r} - \mathbf{r}')$ and $\mathbf{j}(\mathbf{r}) = \frac{\hbar}{2mi} [\delta(\mathbf{r} - \mathbf{r}') \vec{\nabla}' - \overleftarrow{\nabla}' \delta(\mathbf{r} - \mathbf{r}')] \quad (\text{pointlike charge distrib.})$

- ▶ Motivated by the successes of SCGGF theory in the prediction of physical observables from the one-body propagator, we are extending the approach to quantities accessible from the polarization propagator, such as the excitation spectrum of even-even semi-magic nuclei and reduced EM multipole transition probabilities. In particular, we have
 - ✓ briefly recapitulated the progress brought by the introduction of the particle-number SB mechanism (binding energy, two-nucleon separation energy, charge radius, shell gaps, energy levels of odd nuclei ...) in SCGF theory;
 - ✓ defined the polarization propagator in Gorkov's formalism, in time and energy representation, together with its symmetry properties;
 - ✓ derived the self-consistent equation obeyed by Gorkov's polarization propagator, thus generalizing the Bethe-Salpeter equation;
 - ✓ outlined the diagrammatic contributions up to the second order in perturbation theory, exploiting the expansion formula for the polarization propagator;
 - ✓ shortly illustrated the ADC approach, its application to the irreducible self-energy and to the polariz. propagator, so far exploited in quantum chemistry;
 - ✓ examples of Goldstone diagrams up to second order, instrumental for the implementation of the ADC, have been shown in time representation.



Thank you for the attention!

Commissariat à l'Énergie Atomique et aux Énergies Alternatives - www.cea.fr

Progress in Ab Initio Nuclear Theory – 1st March 2023

AB INITIO MODELS OF NUCLEAR STRUCTURE

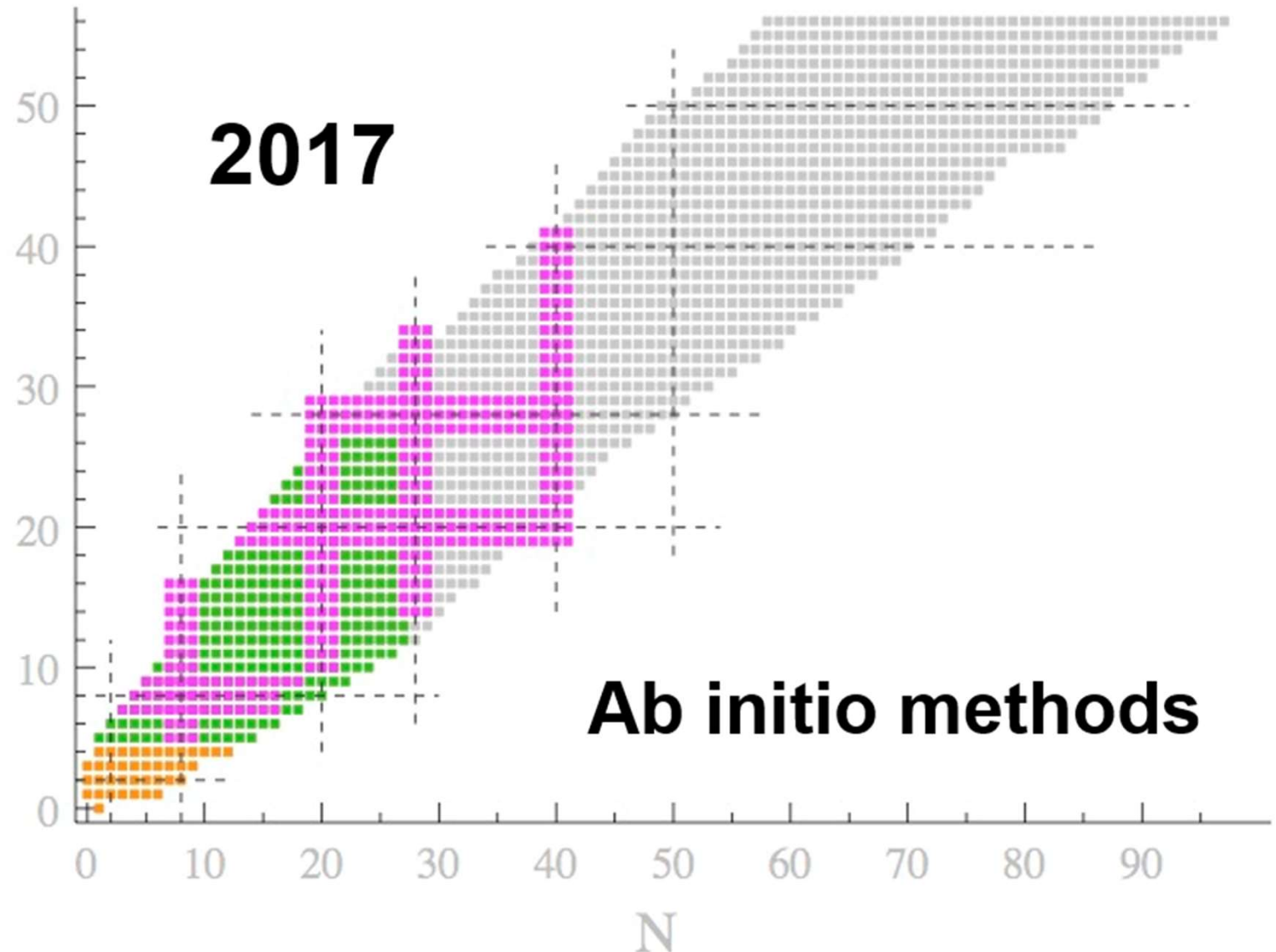
Exact solution of the Schr. equation: exponential or factorial scaling with the system size (A)

Approximate solution: polynomial scaling with A in the correlation expansion methods

Approximate solution for magic and semi-magic nuclei with $A > 11$.
Tools: MBPT/BMBPT, SCGF/SCGGF, IMSRG, CC/BCC ...

Approximate solution for open-shell nuclei with $A > 11$
Tools: BMBPT, CI, BCC, MR-IMSRG...

Exact solution for nuclei with $A < 12$
Tools: MBPT, NLEFT, NCSM, LQCD ($A < 4$)...



NAMBU COVARIANT PERTURBATION THEORY

We adopt the formalism of **Nambu-covariant perturbation theory** (NCPT) [M. Drissi et al, arXiv:2107.09763](#)

Purpose: extension of the SCGF approach to tackle the near-degeneracy of the ground states of singly open-shell nuclei with respect to creation/annihilation of pairs of nucleons with opposite j_z

Duplication of the Hilbert space associated to a single-nucleon $\mathcal{H}_1^e \equiv \mathcal{H}_1 \otimes \mathcal{H}_1^\dagger$
 where $\mathcal{B} \subset \mathcal{H}_1$ is a basis and $\bar{\mathcal{B}} \subset \mathcal{H}_1^\dagger$ its dual and $|b\rangle, |\bar{b}\rangle \in \mathcal{B}, \langle b|, \langle \bar{b}| \in \mathcal{B}^\dagger$

► Second quantization operators: $a_b, a_{\bar{b}}$ and $a_b^\dagger, a_{\bar{b}}^\dagger$
 where the *involution* (s.p. space) is defined: $a_{\bar{b}} = \eta_b a_{\tilde{b}}, a_{\tilde{b}}^\dagger = \eta_b a_b^\dagger$ with $\tilde{b} \equiv (n, \ell, j, -m, q)$ where $\eta_b = (-1)^{\ell-j-m}$
 $b \equiv (n, \ell, j, m, q)$ where $\eta_b \eta_b^* = \eta_b^2 = 1$
 $\eta_b \eta_{\tilde{b}} = -1$
 ...which are grouped into **Nambu** vectors:

$$\begin{aligned} \bar{B}_{(b,1)} &\equiv a_b^\dagger \\ \bar{B}_{(b,2)} &\equiv \eta_b a_{\tilde{b}} = a_{\bar{b}} \end{aligned}$$

$$\begin{aligned} B^{(b,1)} &\equiv a_b \\ B^{(b,2)} &\equiv \eta_b a_{\tilde{b}}^\dagger = a_{\bar{b}}^\dagger \end{aligned}$$

...and $l = 1, 2$ are Nambu indices.

► The canonical anticommutation rules

$$\left\{ \bar{B}_\mu, \bar{B}_\nu \right\} = g_{\mu\nu} \quad \left\{ \bar{B}_\mu, B^\nu \right\} = g_\mu{}^\nu \quad \left\{ B^\mu, \bar{B}_\nu \right\} = g^\mu{}_\nu \quad \left\{ B^\mu, B^\nu \right\} = g^{\mu\nu}$$

define the elements of the *metric tensor*:

$$g^{\alpha\beta} \equiv \delta_{a\tilde{b}} \delta_{l_a \bar{l}_b} [\delta_{1l_a} \eta_{\tilde{a}} + \delta_{2l_a} \eta_a] \quad g_{\alpha\beta} \equiv \delta_{a\tilde{b}} \delta_{l_a \bar{l}_b} [\delta_{1l_a} \eta_{\tilde{a}} + \delta_{2l_a} \eta_a] \quad g^\alpha{}_\beta = g^{\alpha\gamma} g_{\gamma\beta} = \delta_{ab} \delta_{l_a l_b}$$

$$g^{\alpha\beta} \wedge g_{\alpha\beta} \text{ are } \mathbf{antidiagonal} \text{ in both the Nambu and the s.p. space! } g_\alpha{}^\beta = g_{\alpha\gamma} g^{\gamma\beta} = \delta_{ab} \delta_{l_a l_b}$$

TIME EVOLUTION OF OPERATORS AND STATES

- **Heisenberg's picture:** time evolution of Nambu second-quantization operators follows

$$\begin{aligned}\mathbf{A}_b(t) &= \mathbf{A}_{\Omega b}(t) \equiv e^{i\Omega t/\hbar} \mathbf{A}_b e^{-i\Omega t/\hbar} \\ \mathbf{A}_b^\dagger(t) &= [\mathbf{A}_{\Omega b}(t)]^\dagger \equiv e^{i\Omega t/\hbar} \mathbf{A}_b^\dagger e^{-i\Omega t/\hbar}\end{aligned}$$

As in standard Heisenberg's picture, the states are time-independent:

$$|\Psi_0\rangle \equiv |\Psi_0(t)\rangle = |\Psi_0(t_0)\rangle \quad \forall t, t_0$$

- **Interaction picture:** time evolution of Nambu second-quantization operators follows

$$\begin{aligned}\mathbf{A}_{Ib}(t) &\equiv e^{i\Omega_U t/\hbar} \mathbf{A}_b e^{-i\Omega_U t/\hbar} \\ [\mathbf{A}_{Ib}(t)]^\dagger &\equiv e^{i\Omega_U t/\hbar} \mathbf{A}_b^\dagger e^{-i\Omega_U t/\hbar}\end{aligned}$$

States evolve as in the standard interaction picture:

$$|\Psi_{I0}\rangle \equiv e^{i\Omega_U/\hbar} e^{-i\Omega/\hbar} |\Psi_0\rangle$$

- **Field picture:** time evolution of Nambu second-quantization operators follows

$$\begin{aligned}\mathbf{A}_{Fb}(t) &\equiv e^{i\Omega t/\hbar} \mathbf{A}_b e^{-i\Omega t/\hbar} \\ [\mathbf{A}_{Fb}(t)]^\dagger &\equiv e^{i\Omega t/\hbar} \mathbf{A}_b^\dagger e^{-i\Omega t/\hbar}\end{aligned}$$

where $\Omega_I^\phi = \Omega_I + \phi$ contains the ext. field $\phi(t)$ and the states evolve as

$$|\Psi_{F0}\rangle \equiv e^{i\Omega/\hbar} U_S^\phi(t, 0) |\Psi_0\rangle$$

and $U_S^\phi(t, 0)$ is Schrödinger's time evolution operator wrt the grand canonical potential $\Omega_U + \Omega_I^\phi$

GORKOV'S EQUATIONS

► The one-body Gorkov-Green's functions obey the following generalization of Dyson's equation:

$$G^{\alpha}_{\beta}(\omega) = G^{(0)\alpha}_{\beta}(\omega) + \sum_{\gamma\delta} G^{(0)\alpha}_{\gamma}(\omega) \tilde{\Sigma}^{\gamma}_{\delta}(\omega) G^{\delta}_{\beta}(\omega)$$

where the self-energy can be subdivided into a proper part and a contribution from the aux. potential

$$\tilde{\Sigma}^{\alpha}_{\beta}(\omega) \equiv \Sigma^{\alpha}_{\beta}(\omega) - U^{\alpha}_{\beta} - \tilde{U}^{\alpha}_{\beta}$$

and $G^{(0)\alpha}_{\beta}(\omega)$ are the unperturbed propagators.

Since U acts as a mean field, the Hartree-Fock-Bogoliubov (HFB) one-body propagators, solution of the problem $\Omega_U = \Omega_{\text{HFB}}$ can be exploited for $G^{(0)\alpha}_{\beta}(\omega)$ as well as an input for $G^{\alpha}_{\beta}(\omega)$ at the r.h.s. of the self-consistent equation. The numerical $G^{\alpha}_{\beta}(\omega)$ are obtained through **BcDor codes**

■ *in practice*: energy-independent self-consistent equations for $G^{\alpha}_{\beta}(\omega)$ are solved.

EXAMPLES (proper self-energy to first order):

$$\Sigma^{(a,1)}_{(b,1)}(\omega) \Big|_1 \equiv -i \sum_{c,l_c} \sum_{d,l_d} \int_{C\uparrow} \frac{d\omega'}{2\pi} \frac{1}{3!} \\ \times V^{(a,1)}_{(d,l_d)(b,1)}(c,l_c) G^{(d,l_d)}_{(c,l_c)}(\omega)$$

$$\Sigma^{(a,1)}_{(b,2)}(\omega) \Big|_1 \equiv -i \sum_{c,l_c} \sum_{d,l_d} \int_{C\uparrow} \frac{d\omega'}{2\pi} \frac{1}{3!} \\ \times V^{(a,1)}_{(b,2)}(d,l_d)_{(c,l_c)} G^{(c,l_c)}_{(d,l_d)}(\omega)$$

$$\Sigma^{(a,2)}_{(b,2)}(\omega) \Big|_1 \equiv -i \sum_{c,l_c} \sum_{d,l_d} \int_{C\downarrow} \frac{d\omega'}{2\pi} \frac{1}{3!} \\ \times V_{(b,2)(d,l_d)}(c,l_c)_{(a,2)} G^{(d,l_d)}_{(c,l_c)}(\omega)$$

$$\Sigma^{(a,2)}_{(b,1)}(\omega) \Big|_1 \equiv -i \sum_{c,l_c} \sum_{d,l_d} \int_{C\uparrow} \frac{d\omega'}{2\pi} \frac{1}{3!} \\ \times V_{(c,l_c)}(d,l_d)_{(b,1)}^{(a,2)} G^{(c,l_c)}_{(d,l_d)}(\omega)$$

DYSON'S POLARIZATION PROPAGATOR

► In SCGF theory, the *polarization propagator* is obtained from the two-body response function.

Adopting the convention of J. Schirmer, *Phys. Rev. A* **26**, 5, 2395-2416 (1982), the latter reads:

$$\mathcal{R}_{abcd}^{(A,A)}(t, t'; t'', t''') \equiv \mathcal{G}_{abcd}^{(A,A)}(t, t', t'', t''') - \mathcal{G}_{ac}^{(A,A)}(t, t'') \mathcal{G}_{bd}^{(A,A)}(t', t''')$$

where $\mathcal{G}_{abcd}^{(A,A)}(t, t', t'', t''') \equiv (-i)^2 \langle \Psi_0^A | T \{ a_a(t) a_b(t') a_d^\dagger(t''') a_c^\dagger(t'') \} | \Psi_0^A \rangle$

and $\mathcal{G}_{ab}^{(A,A)}(t, t') \equiv (-i) \langle \Psi_0^A | T \{ a_a(t) a_b^\dagger(t') \} | \Psi_0^A \rangle$... is the two-body Green's function.

... is the one-body Green's function.

■ Taking the two-time limit the *polarization propagator* is obtained:

$$\Pi_{acdb}(t, t') = \lim_{\substack{t'' \rightarrow t^+ \\ t''' \rightarrow t'^+}} i \mathcal{R}_{abcd}^{(A,A)}(t, t'; t'', t''')$$

alternatively, the limits $t'' \rightarrow t^+ \wedge t''' \rightarrow t'^+$ can be considered. In the first case, one writes

$$\begin{aligned} \Pi_{acdb}(t, t') = & -i \langle \Psi_0^A | T \{ a_c^\dagger(t) a_a(t) a_d^\dagger(t') a_b(t') \} | \Psi_0^A \rangle \\ & + i \langle \Psi_0^A | T \{ a_c^\dagger(t) a_a(t) \} | \Psi_0^A \rangle \langle \Psi_0^A | T \{ a_d^\dagger(t') a_b(t') \} | \Psi_0^A \rangle \end{aligned}$$

DYSON'S POLARIZATION PROPAGATOR

If the Schrödinger problem is time-independent, the Fourier transform is function of one frequency

$$\Pi_{acdb}(\omega) = \int_{-\infty}^{+\infty} dt(t-t')e^{i\omega(t-t')}\Pi_{acdb}(t,t')$$

The ensuing Lehmann representation can be decomposed into two interrelated parts,

$$\Pi_{acdb}(\omega) = \Pi_{acdb}^+(\omega) + \Pi_{acdb}^-(\omega)$$

analytical in the upper part of the complex plane ...

... and in the lower one.

$$\Pi_{acdb}^+(\omega) \equiv \sum_{k \neq 0} \frac{\langle \Psi_0^A | a_c^\dagger a_a | \Psi_k^A \rangle \langle \Psi_k^A | a_d^\dagger a_b | \Psi_0^A \rangle}{\omega - (E_k^A - E_0^A) + i\eta} \quad \Pi_{acdb}^-(\omega) = - \sum_{k \neq 0} \frac{\langle \Psi_0^A | a_d^\dagger a_b | \Psi_k^A \rangle \langle \Psi_k^A | a_c^\dagger a_a | \Psi_0^A \rangle}{\omega + (E_k^A - E_0^A) - i\eta}$$

The relation between the two reads:

$$\Pi_{cabd}^{+*}(-\omega) = \Pi_{acdb}^-(\omega)$$

► Symmetry relations:

time reversal of H

$$\Pi_{acdb}(\omega) = \Pi_{bdca}(-\omega)$$

complex-conjugation

$$\Pi_{acdb}(\omega) = -\Pi_{dbac}^*(-\omega)$$

► The poles coincide with the energy of the *excited states* of the even-even system wrt the g.s.

► The residues of the poles are proportional to the *transition matrix elements*:

$${}^k X_{db} \equiv \langle \Psi_0^A | a_d^\dagger a_b | \Psi_k^A \rangle \quad {}^k Y_{ca} \equiv \langle \Psi_k^A | a_c^\dagger a_a | \Psi_0^A \rangle$$

► Transition mediated by a one-body operator:

$$\langle \Psi_p^A | \mathcal{O} | \Psi_0^A \rangle = \sum_{ab} (a | \mathcal{O} | b) \langle \Psi_p^A | a_a^\dagger a_b | \Psi_0^A \rangle$$

DYSON'S POLARIZATION PROPAGATOR

Approximation methods

In SCGF theory, the determination of the polarization propagator in Lehmann representation may follow three different paths:

- ▶ the **direct** approach: the ADC scheme applied directly to the polarization propagator

J. Schirmer, *Phys. Rev. A* **26**, 5, 2395-2416 (1982),

approx. scheme for the time-ordered diagrams contributing to the trans. function, linked to Π via a unitary transf.

...so far adopted in *molecular systems* (quantum chemistry): *Comput. Mol. Sci.* **5**, 82-95 (2015)

ADC(2)

Adv. Chem. Phys. **69**, 22, 201-240 (1987)

J. of Chem. Phys. **112**, 22, 4173-4185 (2000)

ADC(3)

J. of Chem. Phys. **111**, 9982-9999 (1999)

J. of Chem. Phys. **117**, 6402-6409 (2002)

- ▶ the **self-consistent** (SC) approach: possible application of the ADC scheme on the interaction kernel K_{fegh} . In time repr. the SC equation for the *three-time* polarization prop. reads

$$\begin{aligned} \Pi_{acdb}(t, t', t'', t''^+) = & \Pi_{acdb}^{(0)}(t, t', t'', t''^+) + \frac{i}{\hbar} \sum_{efgh} \int dt_1 \int dt_2 \int dt_2 \int dt_3 \Pi_{acef}^{(0)}(t, t', t_1, t_2) \\ & \times K_{fegh}(t_2, t_1, t_3, t_4) \Pi_{ghdb}(t_3, t_4, t'', t''^+) \end{aligned}$$

Tool: the SC equation for the *two-time* polarization propagator in energy representation

W. Czyz, *Acta. Phys. Polonica* **20**, 737 (1961).

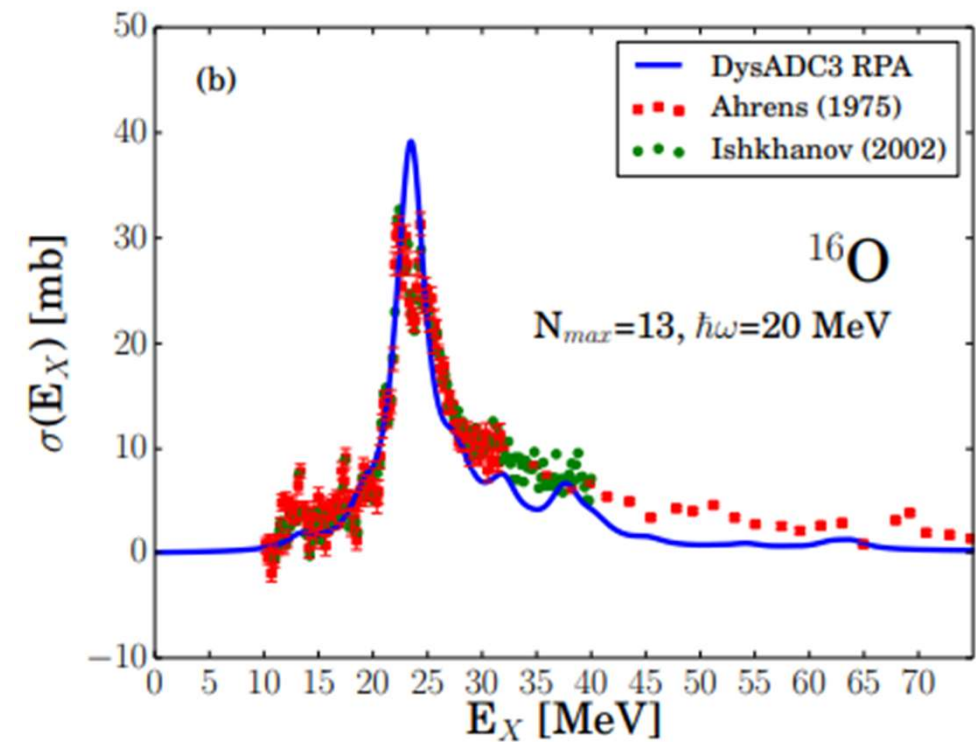
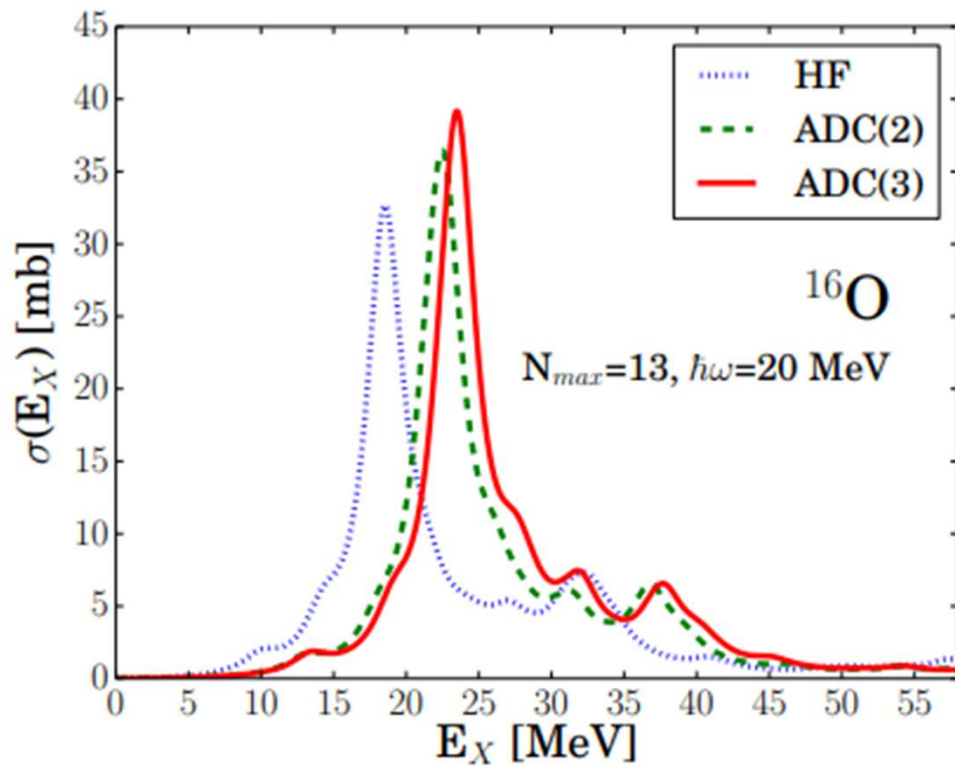
- ▶ the **random phase approximation**: although self-consistent, it neglects interactions betw.

$$\Pi_{acdb}(\omega) = \Pi_{acdb}^{(0)}(\omega) + \Pi_{acef}^{(0)}(\omega) \bar{V}_{ehfg} \Pi_{ghdb}(\omega)$$

particles/holes propagating in different 'bubbles'. It is widely applied also in *nuclear systems*.

In SCGF theory, dressed RPA including some 2p-2h excitations is adopted for EM properties of semimagic nuclei with $Z = 8, 20, 28$ in Dyson GF theory.

Giant dipole resonances are studied, with different parameters of the HO s.p. basis and different implementations of the ADC scheme



[*Phys. Rev. C* **99**, 054327 (2019)]

THE POLARIZATION PROPAGATOR IN THE SC APPROACH

- Derivation of a *self-consistent equation* for the Gorkov polarization propagator in momentum space.

W. Czyz, *Acta. Phys. Pol.* **20**, 737 (1961).

- Application of the *algebraic diagrammatic construction* scheme to the interaction Kernel

- Possible *approximation* of the SC equation.

F. Raimondi et al., *Phys. Rev. C* **99**, 054327 (2019).

- Possible *automatisation* of the construction of the necessary Feynman/Goldstone diagrams (ADG).

- Implementation of the *angular momentum coupling* (AMC) scheme

A. Tichai et al., *Eur. Phys. J. A* **56**, 272 (2020).

- Redrafting of the *BcDor* codes to include II

- Application to **semi-magic nuclei**

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THE SEASTAR COLLABORATION

Publication of exp. results concerning nuclear spectroscopy campaigns in the period 2014-2017:

■ **Around Z = 20**

- ^{47}Cl and ^{49}Cl : *Phys. Rev. C* **104**, 044331 (2021).
- ^{50}Ar *Phys. Rev. C* **102**, 064320 (2020), ^{51}Ar *Phys. Lett. B* **814**, 136108 (2021) and ^{52}Ar *Phys. Rev. Lett.* **122**, 074502 (2019).
- ^{51}K , ^{53}K *Phys. Lett. B* **802**, 135215 (2020) and ^{55}K *Phys. Lett. B* **827**, 136953 (2022).
- ^{54}Ca *Phys. Rev. Lett.* **126**, 252501 (2019), ^{55}Ca and ^{57}Ca *Phys. Lett. B* **827**, 136953 (2022).
- ^{62}Ti *Phys. Lett. B* **800**, 135071 (2020).
- ^{63}V *Phys. Rev. C* **827**, 064308 (2021).

■ **Around Z = 28**

- ^{72}Fe *Phys. Rev. Lett.* **115**, 192501 (2015).
- ^{66}Cr *Phys. Rev. Lett.* **115**, 192501 (2015).
- ^{76}Ni *Phys. Rev. C* **99**, 014312 (2019) and ^{78}Ni *Nature (London)* **569**, 53 (2019).
- ^{79}Cu *Phys. Rev. Lett.* **119**, 192501 (2017).
- ^{67}Mn *Phys. Lett. B* **784**, 392 (2018).
- ^{84}Zn *Phys. Lett. B* **773**, 492 (2017).
- ^{69}Co , ^{71}Co and ^{73}Co *Phys. Rev. C* **101**, 034314 (2020).

LEGEND: one-body propagator; one-body+polarization propagator; not yet investigated;