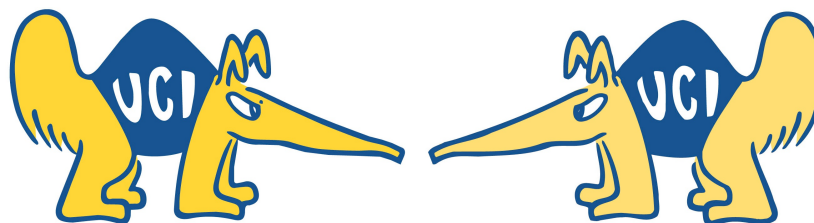


Neutrino Mixing from Modular Flavor Symmetries

Mu-Chun Chen, University of California at Irvine



Where Do We Stand?

NuFIT 5.2 (2022)

	Normal Ordering (best fit)		Inverted Ordering ($\Delta\chi^2 = 6.4$)		
	bf $\pm 1\sigma$	3σ range	bf $\pm 1\sigma$	3σ range	
with SK atmospheric data	$\sin^2 \theta_{12}$	$0.303^{+0.012}_{-0.012}$	0.270 \rightarrow 0.341	$0.303^{+0.012}_{-0.011}$	0.270 \rightarrow 0.341
	$\theta_{12}/^\circ$	$33.41^{+0.75}_{-0.72}$	31.31 \rightarrow 35.74	$33.41^{+0.75}_{-0.72}$	31.31 \rightarrow 35.74
	$\sin^2 \theta_{23}$	$0.451^{+0.019}_{-0.016}$	0.408 \rightarrow 0.603	$0.569^{+0.016}_{-0.021}$	0.412 \rightarrow 0.613
	$\theta_{23}/^\circ$	$42.2^{+1.1}_{-0.9}$	39.7 \rightarrow 51.0	$49.0^{+1.0}_{-1.2}$	39.9 \rightarrow 51.5
	$\sin^2 \theta_{13}$	$0.02225^{+0.00056}_{-0.00059}$	0.02052 \rightarrow 0.02398	$0.02223^{+0.00058}_{-0.00058}$	0.02048 \rightarrow 0.02416
	$\theta_{13}/^\circ$	$8.58^{+0.11}_{-0.11}$	8.23 \rightarrow 8.91	$8.57^{+0.11}_{-0.11}$	8.23 \rightarrow 8.94
	$\delta_{CP}/^\circ$	232^{+36}_{-26}	144 \rightarrow 350	276^{+22}_{-29}	194 \rightarrow 344
	$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.41^{+0.21}_{-0.20}$	6.82 \rightarrow 8.03	$7.41^{+0.21}_{-0.20}$	6.82 \rightarrow 8.03
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.507^{+0.026}_{-0.027}$	+2.427 \rightarrow +2.590	$-2.486^{+0.025}_{-0.028}$	-2.570 \rightarrow -2.406

\rightarrow hints of $\theta_{23} \neq \pi/4$

\rightarrow expectation of Dirac CP phase δ

Recent T2K-NOvA joint analysis: (Z. Vallari, FNAL, Feb'24)

slight preference for IO; $\delta \simeq -\pi/2$; $\theta_{23} > 45^\circ$

T2K-NOvA-DayaBay \Rightarrow NO

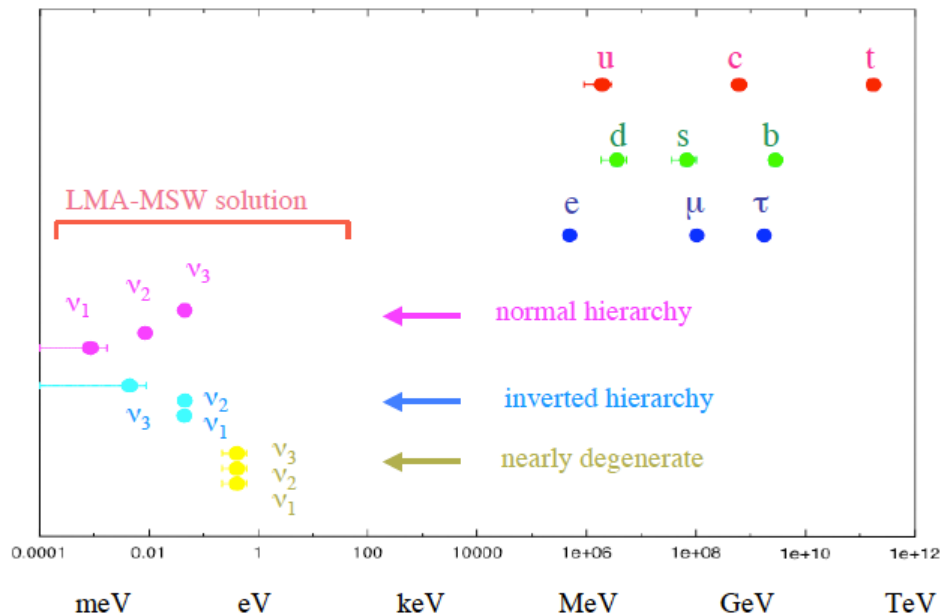
Open Questions - Theoretical



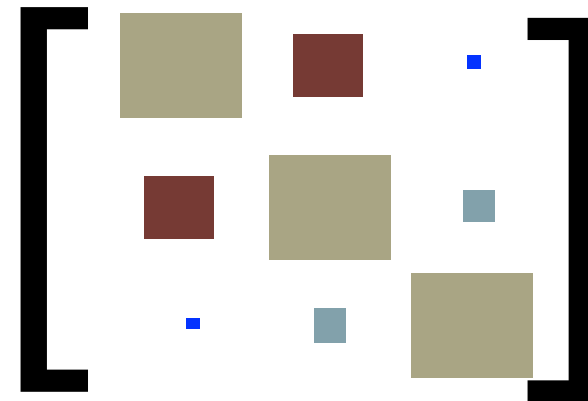
👉 **Smallness of neutrino mass:**

👉 **Flavor structure:**

$$m_\nu \ll m_{e, u, d}$$



leptonic mixing



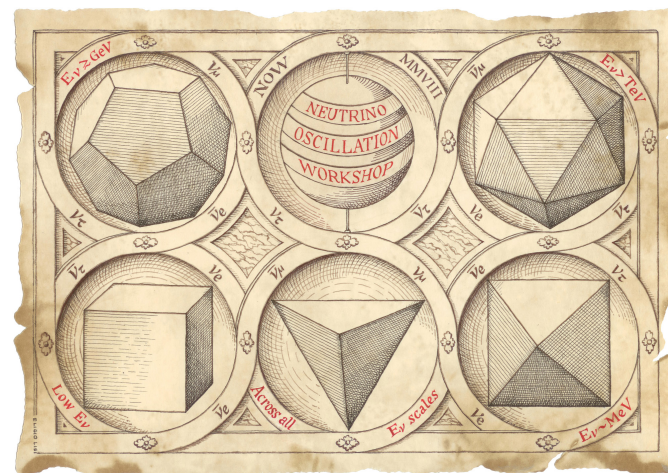
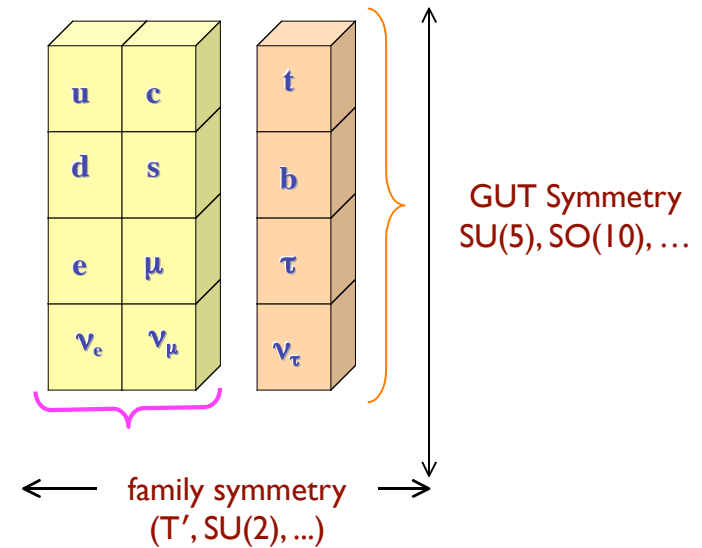
quark mixing

Fermion mass and hierarchy problem \implies
 Dominant fraction (22 out of 28) of free
 parameters in SM

Non-Abelian Discrete Flavor Symmetries

- Large neutrino mixing motivates discrete flavor symmetries

- A_4 (tetrahedron)
- T' (double tetrahedron)
- S_3 (equilateral triangle)
- S_4 (octahedron, cube)
- A_5 (icosahedron, dodecahedron)
- Δ_{27}
- Q_6
-

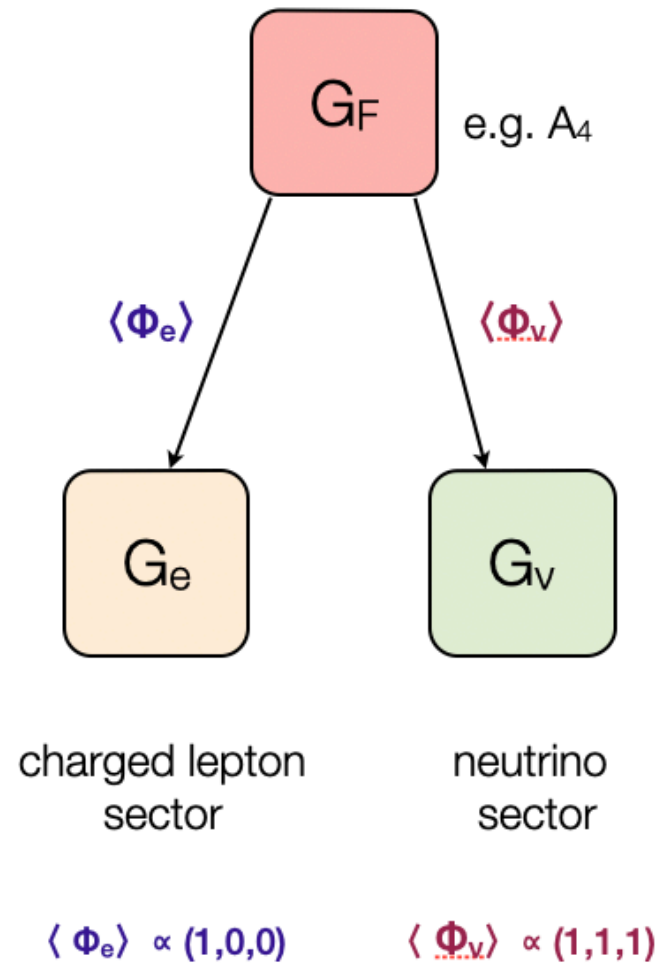


[Eligio Lisi for NOW2008]

Neutrino Mass Matrix from A_4

Ma, Rajasekaran (2001); Babu, Ma, Valle (2003);
Altarelli, Feruglio (2005)

- Imposing A_4 flavor symmetry on the Lagrangian
- A_4 spontaneously broken by flavon fields



Neutrino Mass Matrix from A4

- Imposing A4 flavor symmetry on the Lagrangian
- A4 spontaneously broken by flavon fields

Ma, Rajasekaran (2001); Babu, Ma, Valle (2003);
Altarelli, Feruglio (2005)

$$M_\nu = \frac{\lambda v^2}{M_x} \begin{pmatrix} 2\xi_0 + u & -\xi_0 & -\xi_0 \\ -\xi_0 & 2\xi_0 & u - \xi_0 \\ -\xi_0 & u - \xi_0 & 2\xi_0 \end{pmatrix}$$

2 free parameters

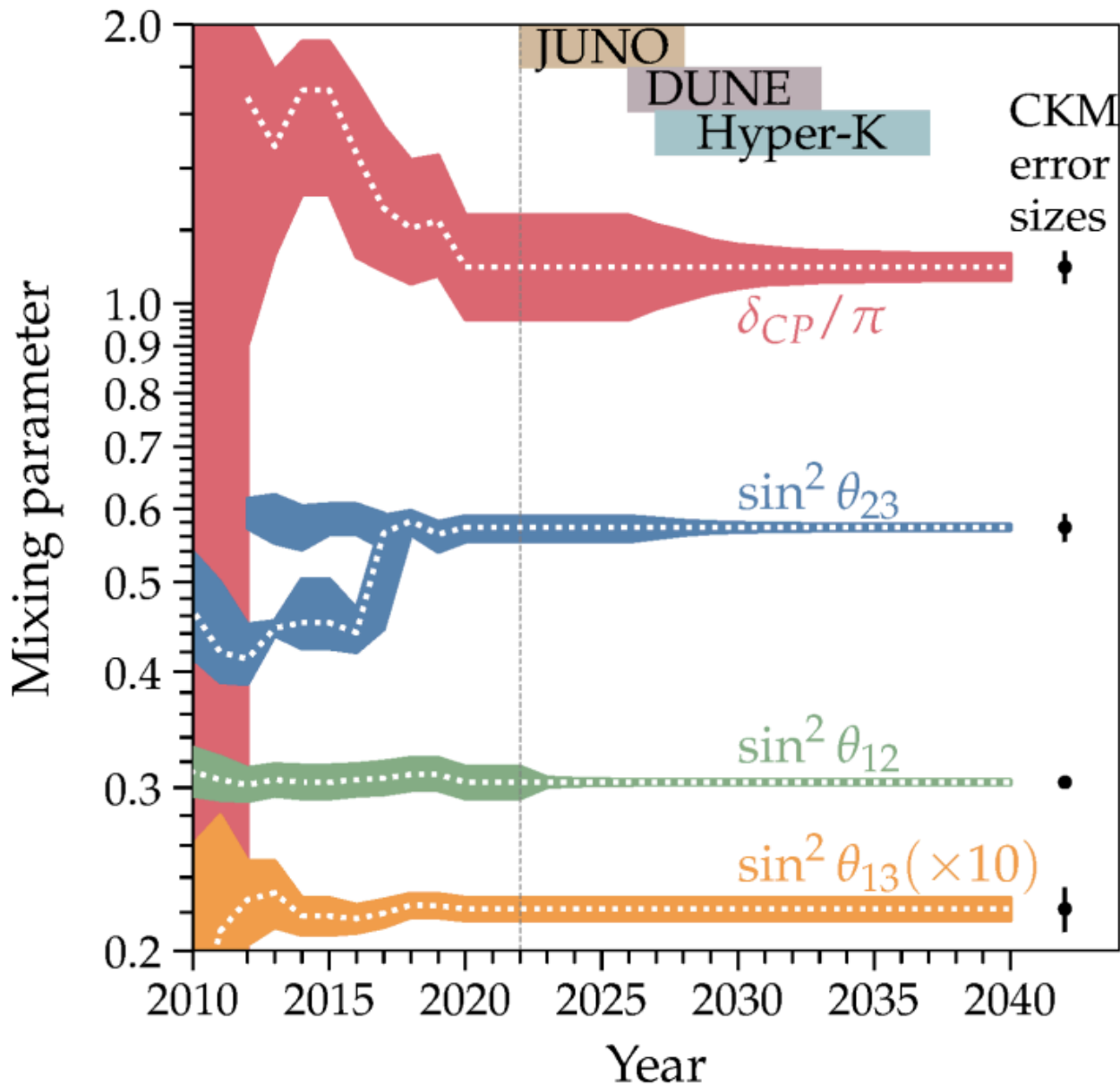
relative strengths
⇒ CG's

- always diagonalized by TBM matrix, independent of the two free parameters

$$U_{\text{TBM}} = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -\sqrt{1/6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -\sqrt{1/6} & 1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}$$

Neutrino Mixing
Angles from Group
Theory

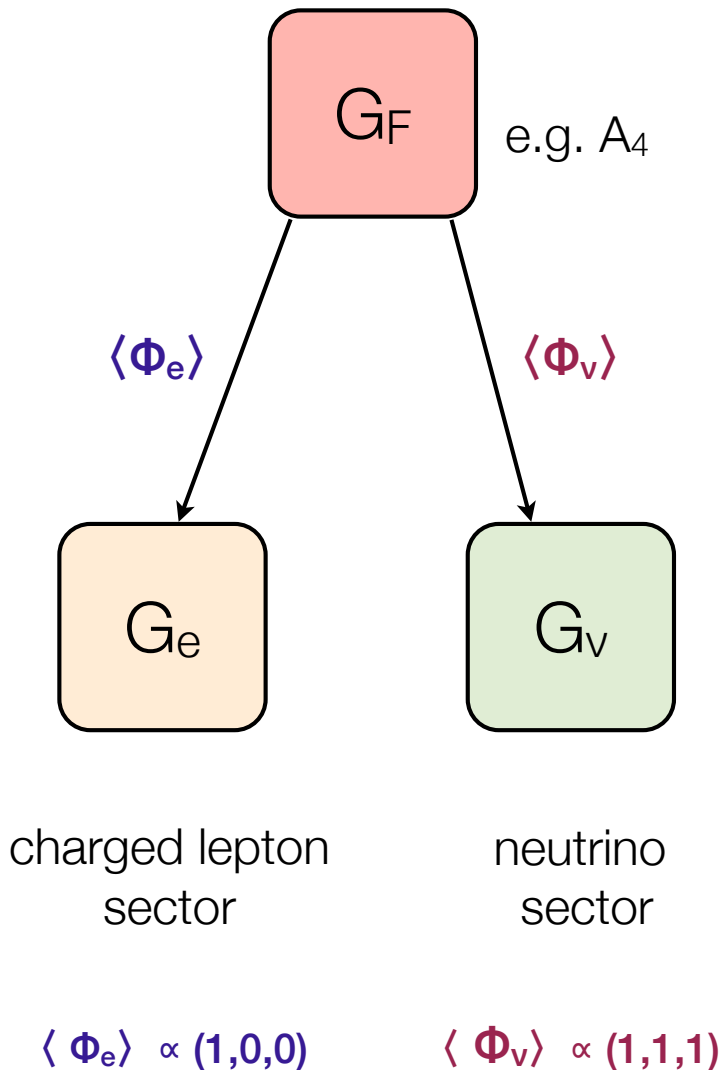
Experimental Precision



Are precisions in model predictions compatible with experimental precisions?

Figure from Song, Li, Argüelles, Bustamante, Vincent (2020)

Flavor Model Structure



- interplay between the symmetry breaking patterns in two sectors lead to lepton mixing (BM, TBM, ...)
- symmetry breaking achieved through flavon VEVs
- each sector preserves different residual symmetry
- full Lagrangian does not have these residual symmetries

\Rightarrow Corrections to model predictions

Corrections to Kinetic Terms

- Corrections to the kinetic terms induced by family symmetry breaking generically are present, should be properly included Leurer, Nir, Seiberg (1993); Dudas, Pokorski, Savoy (1995); Dreiner, Thomeier (2003)
 - can be along different directions than RG corrections
 - dominate over RG corrections (no loop suppression, copious heavy states)
 - could be sizable for neutrino mass models based on discrete family symmetries, e.g. A_4 M.-C.C, M. Fallbacher, M. Ratz, C. Staudt (2012)
 - nontrivial flavor structure can be induced
 - non-zero CP phase can be induced
 - Presence of additional undetermined parameters

Kähler Corrections

M.-C.C., Fallbacher, Ratz, Staudt (2012)

- Superpotential: holomorphic

$$\mathcal{W}_{\text{leading}} = \frac{1}{\Lambda} (\Phi_e)_{gf} L^g R^f H_d + \frac{1}{\Lambda \Lambda_\nu} (\Phi_\nu)_{gf} L^g H_u L^f H_u$$

$$\longrightarrow \mathcal{W}_{\text{eff}} = (Y_e)_{gf} L^g R^f H_d + \frac{1}{4} \kappa_{gf} L^g H_u L^f H_u$$

order parameter:
 $\frac{\langle \text{flavon vev} \rangle}{\Lambda} \sim \theta_c$

- Kähler potential: non-holomorphic

$$K = K_{\text{canonical}} + \Delta K$$

- Canonical Kähler potential

$$K_{\text{canonical}} \supset (L^f)^\dagger \delta_{fg} L^g + (R^f)^\dagger \delta_{fg} R^g$$

- Corrections

$$\Delta K = (L^f)^\dagger (\Delta K_L)_{fg} L^g + (R^f)^\dagger (\Delta K_R)_{fg} R^g$$

- can be induced by flavon VEVs
- important for order parameter $\sim \theta_c$
- can lead to non-trivial mixing

Kähler Corrections

M.-C.C., Fallbacher, Ratz, Staudt (2012)

- Consider infinitesimal change, x :

$$K = K_{\text{canonical}} + \Delta K = L^\dagger (1 - 2x P) L$$

- rotate to canonically normalized L' :

$$L \rightarrow L' = (1 - x P) L$$

⇒ corrections to neutrino mass matrix

$$\begin{aligned} \mathcal{W}_\nu &= \frac{1}{2} (L \cdot H_u)^T \kappa_\nu (L \cdot H_u) \\ &\simeq \frac{1}{2} [(\mathbb{1} + xP)L' \cdot H_u]^T \kappa_\nu [(\mathbb{1} + xP)L' \cdot H_u] \\ &\simeq \frac{1}{2} (L' \cdot H_u)^T \kappa_\nu L' \cdot H_u + x (L' \cdot H_u)^T (P^T \kappa_\nu + \kappa_\nu P) L' \cdot H_u \end{aligned}$$

with

$$\kappa \cdot v_u^2 = 2m_\nu$$

Kähler Corrections

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)

- Consider infinitesimal change, x :

$$K = K_{\text{canonical}} + \Delta K = L^\dagger (1 - 2x P) L$$

- rotate to canonically normalized L' :

$$L \rightarrow L' = (1 - x P) L$$

⇒ corrections to neutrino mass matrix

$$m_\nu(x) \simeq m_\nu + x P^T m_\nu + x m_\nu P$$

⇒ differential equation

$$\frac{dm_\nu}{dx} = P^T m_\nu + m_\nu P$$

- same structure as the RG evolutions for neutrino mass operator
- size of Kähler corrections can be substantially larger (no loop suppression)

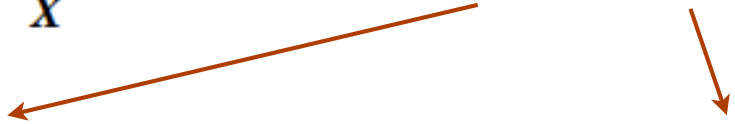
Back to A_4 Example

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)

- Kähler corrections due to flavon field:

- ▶ possible to forbid some contributions (linear in an individual flavor) with additional symmetries
- ▶ quadratic in flavon

$$\Delta K_{\phi^{(\prime)}}^{\text{quadratic}} \supset \frac{1}{\Lambda^2} \sum_X^6 \kappa_{\phi^{(\prime)}, \text{quadratic}}^X (L\phi^{(\prime)})_X^\dagger (L\phi^{(\prime)})_X + \text{h.c.}$$

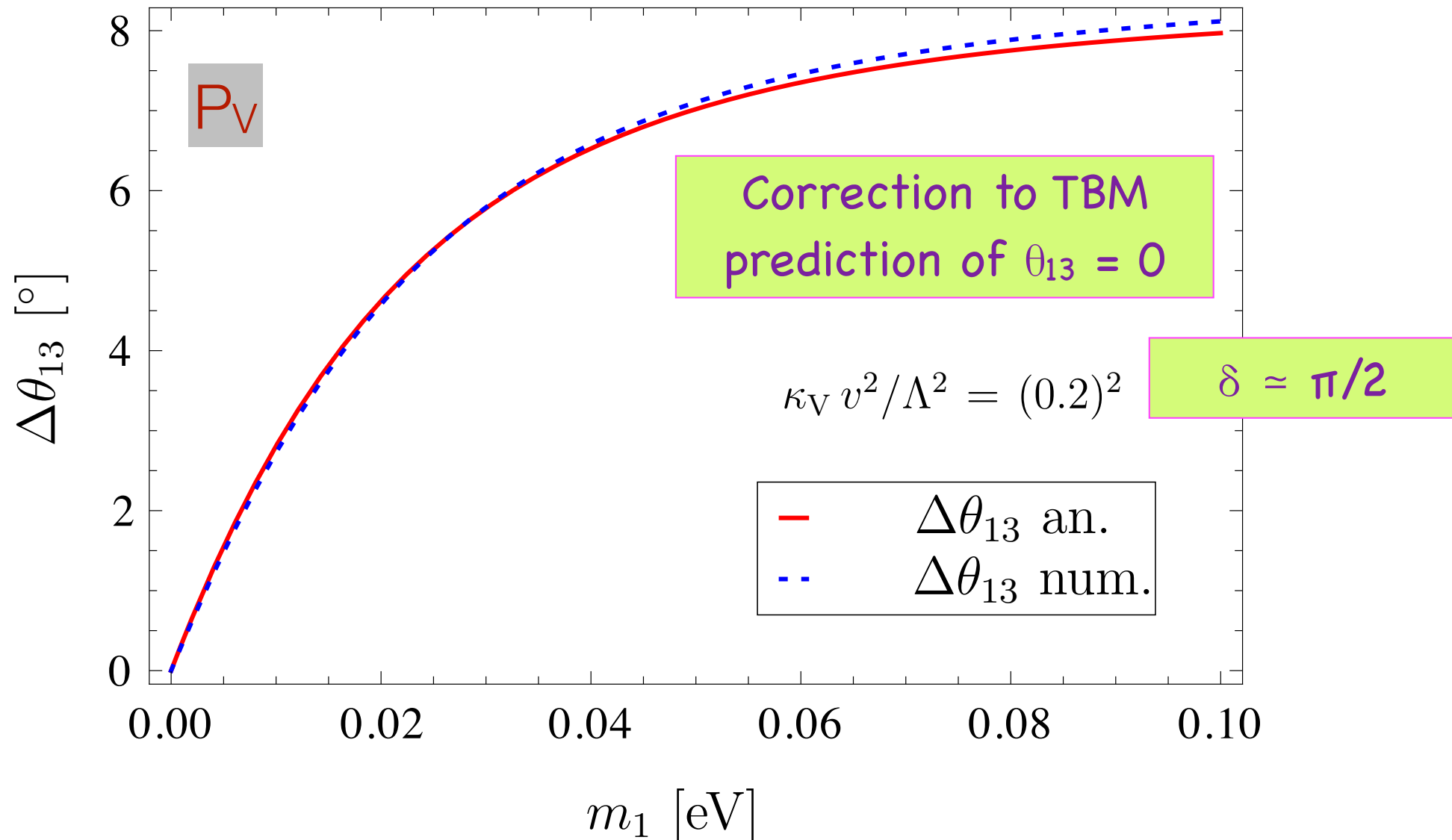


$$(L\Phi_\nu)^\dagger (L\Phi_\nu) \quad \text{and} \quad (L\Phi_e)^\dagger (L\Phi_e)$$

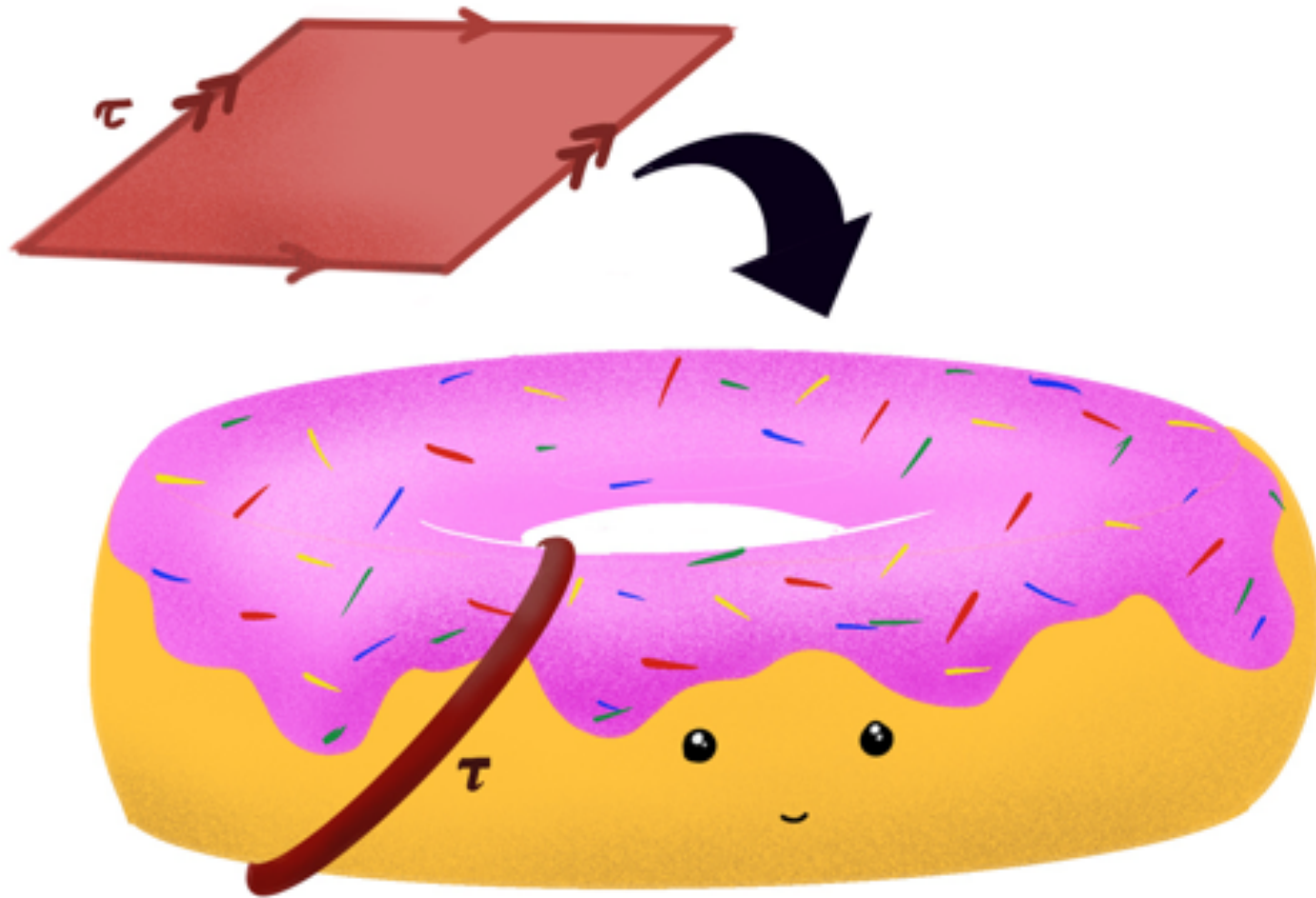
- ▶ such terms cannot be forbidden by any (conventional) symmetry
- ▶ Kähler corrections once flavon fields attain VEVs
- ▶ additional parameters $\kappa_{\phi^{(\prime)}}^X$ diminish predictivity of the scheme

An Example: Enhanced θ_{13} in A_4

M.-C.C., M. Fallbacher, M. Ratz, C. Staudt (2012)



Modular Flavor Symmetries

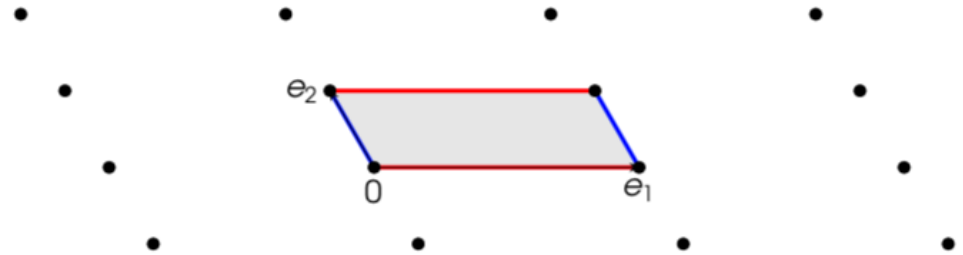


Artwork by Shreya Shukla

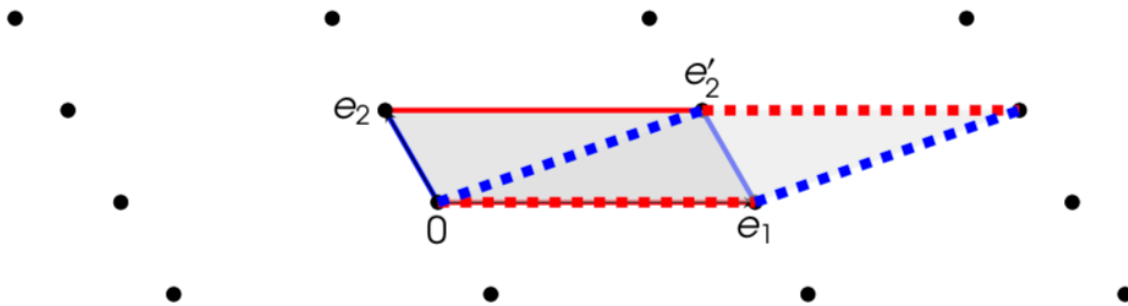
Modular Symmetries



edges \Rightarrow lattice basis vectors



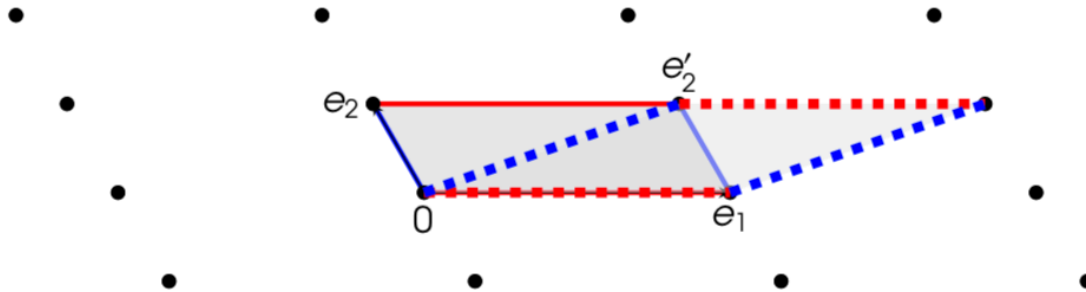
points in plane identified if differ by a lattice translation



Equivalent TORI related by Modular Symmetries

Modular Symmetries

- TORI: fundamental domain not unique



- Basis Vectors are related:
$$\begin{pmatrix} e_2 \\ e_1 \end{pmatrix} \xrightarrow{\gamma} \begin{pmatrix} e'_2 \\ e'_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} e_2 \\ e_1 \end{pmatrix} =: \gamma \begin{pmatrix} e_2 \\ e_1 \end{pmatrix}$$

$$a, b, c, d \in \mathbb{Z}$$

- Volume of fundamental domain the same $\Rightarrow \det \gamma = 1$

Modular Symmetries

- **Finite Modular Group (quotient group):** $\Gamma_N := \Gamma/\Gamma(N)$ where principal congruence group $\Gamma(N)$ is

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z})/\mathbb{Z}_2; \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

Γ

- Generators of the quotient group Γ_N satisfy

$$S^2 = 1, \quad (ST)^3 = 1, \quad T^N = 1$$

- Some examples

$$\Gamma_2 \simeq S_3, \quad \Gamma_3 \simeq A_4, \quad \Gamma_4 \simeq S_4, \quad \Gamma_5 \simeq A_5$$

Modular Symmetries

Feruglio (2017)

- Imposing modular symmetry Γ on the Lagrangian:

$$\mathcal{L} \supset \sum Y_{i_1, i_2, \dots, i_n} \Phi_{i_1} \Phi_{i_2} \cdots \Phi_{i_n}$$

$$\tau \xrightarrow{\gamma} \gamma\tau := \frac{a\tau + b}{c\tau + d},$$

$$\Phi_j \xrightarrow{\gamma} (c\tau + d)^{k_j} \rho_{r_j}(\gamma) \Phi_j, \quad \text{where } \gamma := \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

k_i : integers

representation matrix of Γ_N

- Yukawa Couplings = Modular Forms at level "N" w/ weight "k"

$$f_i(\gamma\tau) = (c\tau + d)^{-k} [\rho_N(\gamma)]_{ij} f_j(\tau)$$

$$k = k_{i_1} + k_{i_2} + \dots + k_{i_n}$$

representation matrix of Γ_N

A Toy Modular A_4 Model

Feruglio (2017)

- Weinberg Operator $\mathcal{W}_\nu = \frac{1}{\Lambda} [(H_u \cdot L) Y (H_u \cdot L)]_1$
- Traditional A_4 Flavor Symmetry

- Yukawa Coupling $Y \rightarrow$ **Flavon VEVs** (A_4 triplet, 6 real parameters)

$$Y \rightarrow \langle \phi \rangle = \begin{pmatrix} a \\ b \\ c \end{pmatrix} \Rightarrow m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} 2a & -c & -b \\ -c & 2b & -a \\ -b & -a & 2c \end{pmatrix}$$

- Modular A_4 Flavor Symmetry

- Yukawa Coupling $Y \rightarrow$ **Modular Forms** (A_4 triplet, 2 real parameters)

$$Y \rightarrow \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix} \Rightarrow m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} 2Y_1(\tau) & -Y_3(\tau) & -Y_2(\tau) \\ -Y_3(\tau) & 2Y_2(\tau) & -Y_1(\tau) \\ -Y_2(\tau) & -Y_1(\tau) & 2Y_3(\tau) \end{pmatrix}$$

Modular Forms

Feruglio (2017)

- Level (N) = 3, Weight (k) = 2, in terms of Dedekind eta-function

$$Y_1(\tau) = \frac{i}{2\pi} \left[\frac{\eta'(\frac{\tau}{3})}{\eta(\frac{\tau}{3})} + \frac{\eta'(\frac{\tau+1}{3})}{\eta(\frac{\tau+1}{3})} + \frac{\eta'(\frac{\tau+2}{3})}{\eta(\frac{\tau+2}{3})} - \frac{27\eta'(3\tau)}{\eta(3\tau)} \right]$$

$$Y_2(\tau) = \frac{-i}{\pi} \left[\frac{\eta'(\frac{\tau}{3})}{\eta(\frac{\tau}{3})} + \omega^2 \frac{\eta'(\frac{\tau+1}{3})}{\eta(\frac{\tau+1}{3})} + \omega \frac{\eta'(\frac{\tau+2}{3})}{\eta(\frac{\tau+2}{3})} \right]$$

$$Y_3(\tau) = \frac{-i}{\pi} \left[\frac{\eta'(\frac{\tau}{3})}{\eta(\frac{\tau}{3})} + \omega \frac{\eta'(\frac{\tau+1}{3})}{\eta(\frac{\tau+1}{3})} + \omega^2 \frac{\eta'(\frac{\tau+2}{3})}{\eta(\frac{\tau+2}{3})} \right] .$$

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n) \quad q \equiv e^{i2\pi\tau}$$

A Toy Modular A_4 Model

Feruglio (2017)

- Input Parameters:

$$\tau = 0.0111 + 0.9946i$$

$$v_u^2/\Lambda$$

- Predictions:

$$\frac{\Delta m_{sol}^2}{|\Delta m_{atm}^2|} = 0.0292$$

$$\sin^2 \theta_{12} = 0.295$$

$$\sin^2 \theta_{13} = 0.0447$$

$$\sin^2 \theta_{23} = 0.651$$

$$\frac{\delta_{CP}}{\pi} = 1.55$$

$$\frac{\alpha_{21}}{\pi} = 0.22$$

$$\frac{\alpha_{31}}{\pi} = 1.80$$

$$m_1 = 4.998 \times 10^{-2} \text{ eV}$$

$$m_2 = 5.071 \times 10^{-2} \text{ eV}$$

$$m_3 = 7.338 \times 10^{-4} \text{ eV}$$

Kähler Corrections in Modular A4 Model

Feruglio (2017)

- Particle Content

	(E_1^c, E_2^c, E_3^c)	L	H_d	H_u	φ
$SU(2)_L \times U(1)_Y$	$\mathbf{1}_1$	$\mathbf{2}_{-1/2}$	$\mathbf{2}_{-1/2}$	$\mathbf{2}_{1/2}$	$\mathbf{1}_0$
Γ_3	$(\mathbf{1}, \mathbf{1}', \mathbf{1}'')$	$\mathbf{3}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{3}$
k	$(k_{E_1}, k_{E_2}, k_{E_3})$	k_L	k_{H_d}	k_{H_u}	k_φ

- Weinberg Operator

$$\mathcal{W}_\nu = \frac{1}{\Lambda} [(H_u \cdot L) Y (H_u \cdot L)]_{\mathbf{1}}$$

- Superpotential for Charged Leptons: couple to $\overline{\varphi} \Rightarrow$
diagonal mass matrix

Kähler Corrections in Modular A4 Model

- Minimal Kähler Potential for charged leptons

$$K_L = (-i\tau + i\bar{\tau})^{-1} L^\dagger L$$

- Additional terms allowed in Kähler Potential

MCC, Ramos-Sánchez, Ratz (2019)

$$K = \alpha_0 (-i\tau + i\bar{\tau})^{-1} (\bar{L} L)_1 + \sum_{k=1}^7 \alpha_k (-i\tau + i\bar{\tau}) (Y L \bar{Y} \bar{L})_{1,k} + \dots$$

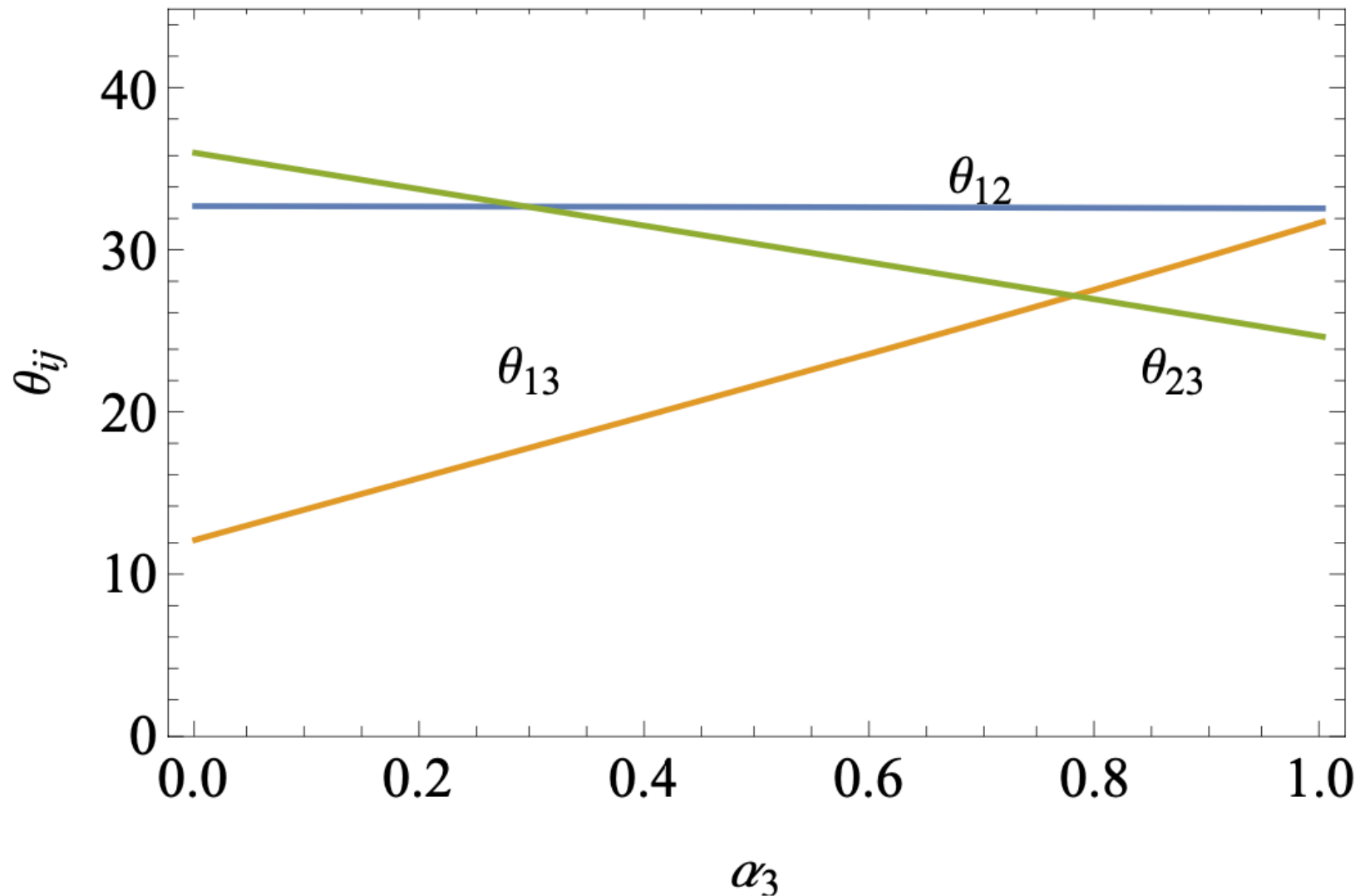


$$\begin{aligned} \Delta K = & \alpha_1 (\bar{Y} \bar{L})_{\mathbf{3}^{(1)}}^T (Y L)_{\mathbf{3}^{(1)}} + \alpha_2 (\bar{Y} \bar{L})_{\mathbf{3}^{(2)}}^T (Y L)_{\mathbf{3}^{(2)}} \\ & + \alpha_3 \left[(\bar{Y} \bar{L})_{\mathbf{3}^{(1)}}^T (Y L)_{\mathbf{3}^{(2)}} + (\bar{Y} \bar{L})_{\mathbf{3}^{(2)}}^T (Y L)_{\mathbf{3}^{(1)}} \right] + \dots \end{aligned}$$

- “Leading terms” and “corrections” are compatible
- Back to Canonical Basis → sizable corrections to mixing parameters

Kähler Corrections in Modular A_4 Model

M.-C.C., Ramos-Sánchez, Ratz (2019)



Quasi-Eclectic Modular Symmetry

- Quasi-eclectic setup:

MCC, Knapp-Pérez, Ramos-Hamud,
Ramos-Sánchez, Ratz, Shukla (2021)

$$G_{\text{quasi-eclectic}} = G_{\text{traditional}} \times G_{\text{modular}} = \mathbf{A}_4 \times \mathbf{\Gamma}_3$$

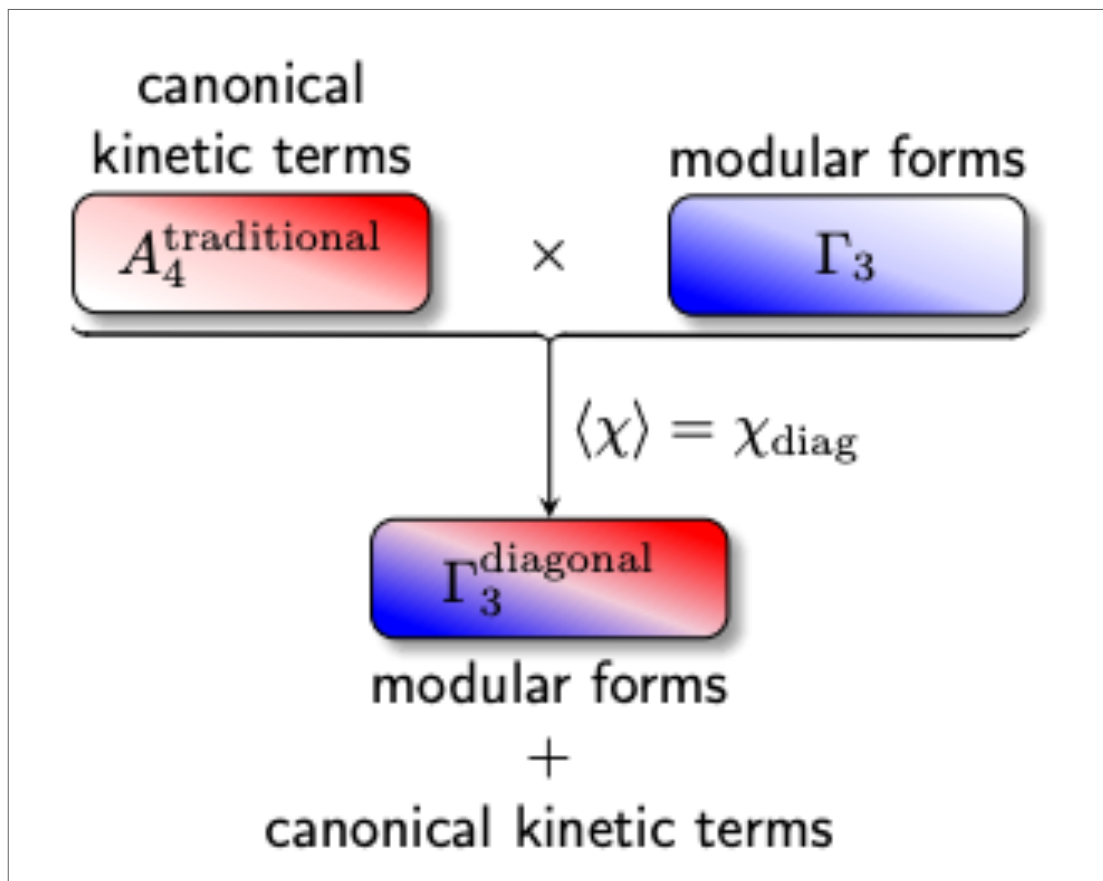
- Field Content:

	(E_1^C, E_2^C, E_3^C)	L	H_d	H_u	χ	φ	S_χ	S_φ	Y
$SU(2)_L \times U(1)_Y$	$\mathbf{1}_1$	$\mathbf{2}_{-1/2}$	$\mathbf{2}_{-1/2}$	$\mathbf{2}_{1/2}$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$
$A_4^{\text{traditional}}$	$(\mathbf{1}_0, \mathbf{1}_2, \mathbf{1}_1)$	$\mathbf{3}$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{3}$	$\mathbf{3}$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$
\mathbb{Z}_3^χ	0	0	0	1	1	0	1	0	0
\mathbb{Z}_3^φ	1	0	1	0	0	1	0	1	0
Γ_3	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{3}$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{1}_0$	$\mathbf{3}$
k	$(k_{E_1}, k_{E_2}, k_{E_3})$	k_L	k_{H_d}	k_{H_u}	k_χ	k_φ	k_S	k_S	k_Y
modular weights	$(1, 1, 1)$	-1	0	0	0	0	0	0	2

Quasi-Eclectic Modular Symmetry

- Symmetry Breaking

MCC, Knapp-Pérez, Ramos-Hamud,
Ramos-Sánchez, Ratz, Shukla (2021)



- VEVs pattern resulting from vacuum alignment

$$\langle \chi_i^a \rangle = v_1 \mathbf{1}_3$$


$$\langle \varphi_i \rangle = v_2 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

Quasi-Eclectic Modular Symmetry

- After Symmetry Breaking: diagonal Γ_3

MCC, Knapp-Pérez, Ramos-Hamud,
Ramos-Sánchez, Ratz, Shukla (2021)


- Neutrino Sector: $\mathcal{W}_\nu = \frac{1}{\Lambda^2} [(H_u \cdot L) \chi (H_u \cdot L) Y]_{\mathbf{1}_0}$



$$m_\nu = \frac{v_u^2 \varepsilon_1}{\sqrt{3} \Lambda} \begin{pmatrix} 2Y_1(\tau) & -Y_3(\tau) & -Y_2(\tau) \\ -Y_3(\tau) & 2Y_2(\tau) & -Y_1(\tau) \\ -Y_2(\tau) & -Y_1(\tau) & 2Y_3(\tau) \end{pmatrix}$$

- Charged lepton sector:

$$\mathcal{W}_e = \frac{\tilde{y}_e}{\Lambda} H_d (L \varphi E_1^c)_{\mathbf{1}_0} + \frac{\tilde{y}_\tau}{\Lambda} H_d (L \varphi E_2^c)_{\mathbf{1}_0} + \frac{\tilde{y}_\mu}{\Lambda} H_d (L \varphi E_3^c)_{\mathbf{1}_0}$$



$$m_e = v_d \frac{v_2}{\Lambda} \text{diag} (\tilde{y}_e, \tilde{y}_\tau, \tilde{y}_\mu)$$

Quasi-Eclectic Modular Symmetry

- After Symmetry Breaking: diagonal Γ_3

MCC, Knapp-Pérez, Ramos-Hamud,
Ramos-Sánchez, Ratz, Shukla (2021)

- Kähler Corrections: $K_L = L^\dagger L + \mathcal{O}(\varepsilon_1^2) + \mathcal{O}(\varepsilon_2^2)$

- Corrections involving only Y : **absent** to all orders, due to traditional A_4 symmetry (corrections in modular setup)
- Corrections involving flavon $\langle \varphi_i \rangle$: **suppressed** (corrections in traditional setup)

$$\Delta K_L = \varepsilon_2^2 \left(C_1 \mathbb{1}_3 + \frac{2C_2}{3} \text{diag}(2, -1, -1) + \frac{2C_3}{\sqrt{3}} \text{diag}(0, 1, -1) \right)$$

$$\varepsilon_2^2 = v_2^2 / \Lambda^2 \gtrsim y_\tau^2 \quad \Delta\theta_{12} \simeq C_i \left(\frac{\varepsilon_2}{0.03} \right)^2 \cdot \begin{cases} 0, & \text{if } i = 1, \\ -0.05, & \text{if } i = 2, \\ 0.01, & \text{if } i = 3. \end{cases}$$

RG Invariants: Neutrino Mass Operator

- RGE for effective neutrino mass operator κ in SM, 2HDM, MSSM

$$16\pi^2 \frac{d}{dt} \kappa = P^T \kappa + \kappa P + \alpha \kappa, \quad P = C_e Y_e^\dagger Y_e \quad (\text{at 1-loop})$$

In diagonal P basis:

$$\Delta \kappa_{ij} = \frac{\Delta t}{16\pi^2} \kappa_{ij} \left(P_{ii} + P_{jj} + \alpha \right)$$

- RG Invariants

Chang, Kuo (2002)

$$I_{ij} = \frac{\kappa_{ii} \kappa_{jj}}{\kappa_{ij}^2} \quad (i \neq j)$$

• Wave function renormalization cancel

Renormalization Group Invariants

Chang, Kuo (2002)

- In P -diagonal basis: $P = C_e Y_e^\dagger Y_e$

$$\frac{d}{dt}\kappa = \tilde{P}\kappa\tilde{Q}^T + \tilde{Q}\kappa\tilde{P}^T + \tilde{\alpha}\kappa,$$

$$\tilde{P} = \text{diag}(\tilde{P}_1, \tilde{P}_2, \tilde{P}_3), \quad \tilde{Q} = \text{diag}(\tilde{Q}_1, \tilde{Q}_2, \tilde{Q}_3)$$

- At 1-loop: $\tilde{P} = \frac{1}{16\pi^2}P$, $\tilde{Q} = I$, $\tilde{\alpha} = \frac{1}{16\pi^2}\alpha$

$$\dot{\kappa}_{ij} = \kappa_{ij} \left(\tilde{P}_i \tilde{Q}_j + \tilde{P}_j \tilde{Q}_i + \tilde{\alpha} \right), \quad \frac{d}{dt} I_{ij} = 2 \left(\tilde{P}_i - \tilde{P}_j \right) \left(\tilde{Q}_i - \tilde{Q}_j \right) I_{ij}$$

$\Rightarrow I_{ij}$ is RG invariant

Invariants in Toy Modular A_4 Model

MCC, X.-G. Liu, X.-Q. Li, O. Medina, M. Ratz (2024)

- Mass matrix in canonical basis:

$$M_e = u v_d \text{diag}(\alpha, \beta, \gamma) ,$$

$$m_\nu(\tau, \bar{\tau}) = (-i\tau + i\bar{\tau}) \frac{v_u^2}{\Lambda} \begin{pmatrix} 2Y_1(\tau) & -Y_2(\tau) & -Y_3(\tau) \\ -Y_2(\tau) & 2Y_3(\tau) & -Y_1(\tau) \\ -Y_3(\tau) & -Y_1(\tau) & 2Y_2(\tau) \end{pmatrix} =: (-i\tau + i\bar{\tau}) v_u^2 \begin{pmatrix} \kappa_{11} & \kappa_{12} & \kappa_{13} \\ \kappa_{12} & \kappa_{22} & \kappa_{23} \\ \kappa_{13} & \kappa_{23} & \kappa_{33} \end{pmatrix}$$

- Invariants

$$I_{12}(\tau) = 4 \frac{Y_1(\tau) Y_3(\tau)}{(Y_2(\tau))^2}, \quad I_{13}(\tau) = 4 \frac{Y_1(\tau) Y_2(\tau)}{(Y_3(\tau))^2}, \quad I_{23}(\tau) = 4 \frac{Y_2(\tau) Y_3(\tau)}{(Y_1(\tau))^2}$$

- Algebraic constraint $Y_2^2 + 2Y_1Y_3 = 0$

- Thus $I_{12}(\tau) = -2, \quad I_{13}(\tau) = -2\left(1 + \frac{1}{3}j_3(\tau)\right)^3, \quad I_{23}(\tau) = -\frac{32}{I_{23}}$

Invariants in Toy Modular A_4 Model

- Two interesting relations: **RG invariant, independent of τ**

$$I_{12}(\tau) = -2, \quad I_{13}(\tau)I_{23}(\tau) = -32$$

- Invariants I_{ij} : functions of physical observables

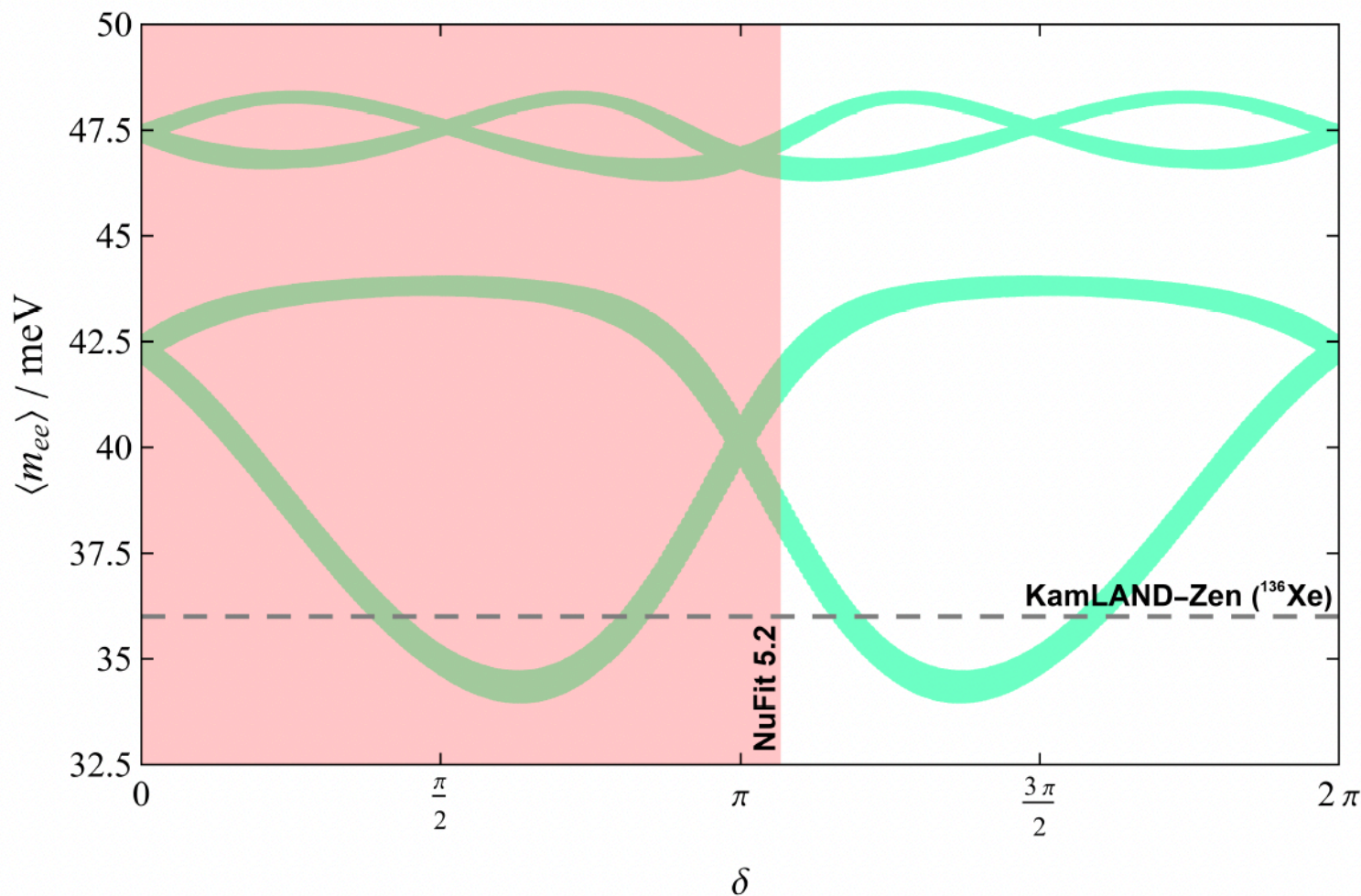
$$(m_1, m_2, m_3, \theta_{12}, \theta_{23}, \theta_{13}, \delta, \alpha_{12}, \alpha_{23})$$

⇒ **sum rules among physical observables:**

RG invariant, τ independent

Invariants in Toy Modular A_4 Model

- Predictions from $I_{12} = -2$ invariant for Inverted Ordering



MCC, X.-G. Liu, X.-Q. Li, O. Medina, M. Ratz (2024)

Reality of $I_{12} \Rightarrow$
Constraints on CP phases:

Given $\delta \Rightarrow$
Majorana phases
 α_{12}, α_{13}

Invariants in Toy Modular A_4 Model

- No simultaneous solution for I_{ij} that is consistent with data
 - Agree with previous analysis by scanning parameter space (i.e. toy modular A_4 model does not fit all data)
 - Here, arrived at conclusion without the need to scan

MCC, X.-G. Liu, X.-Q. Li, O. Medina, M. Ratz (2024)

Invariants in Toy Modular A_5 Model

MCC, X.-G. Liu, X.-Q. Li, O.
Medina, M. Ratz (2024)

- In a model based on modular A_5 :

$$I_{12} = \frac{2\sqrt{6}}{3} \frac{Y_1(\tau)Y_4(\tau)}{Y_5^2(\tau)}, \quad I_{13} = \frac{2\sqrt{6}}{3} \frac{Y_1(\tau)Y_3(\tau)}{Y_2^2(\tau)}, \quad I_{23} = 6 \frac{Y_3(\tau)Y_4(\tau)}{Y_1^2(\tau)}$$

- Algebraic relations among the invariants

$$0 = 4 + 18I_{12} + 18I_{13} + 9I_{12}I_{13} + I_{12}I_{13}I_{23},$$

$$0 = 8 + 12I_{12} - 108I_{12}^2 + 12I_{13} + 414I_{12}I_{13} + 108I_{12}^2I_{13} - 108I_{13}^2 + 108I_{12}I_{13}^2 + 81I_{12}^2I_{13}^2 - I_{12}^2I_{23} - I_{13}^2I_{23}.$$

- Exchange symmetry: $I_{12} \leftrightarrow I_{13} \Rightarrow \mu - \tau$ symmetry built in

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Conclusions

- **Modular Flavor Symmetries:** Significant reduction of the number of parameters
 - **Kähler Corrections:** as in traditional discrete flavor symmetries
- **In quasi-eclectic setup:** corrections can be greatly reduced to the level compatible with experiment uncertainty
- **τ -independent RG Invariants:** robust sum rules among physical observables, independent of renormalization scale, model parameters
⇒ need further exploration
- **Top-down connection:**
 - **Modular flavor symmetries from strings** e.g. Baur, Nilles, Trautner, Vaudrevange (2021)
 - **Modular flavor symmetries from magnetized tori** e.g. Almumin, MCC, Knapp-Pérez, Ramos-Sánchez, Ratz, Shukla (2021)
- **Diversity drives intellectual excellence**

NEUTRINO 2026

**University of California, Irvine,
U.S.A.**

About Irvine, California

a metropolitan city located at about 40 miles (64 km) south of Los Angeles, 70 miles (112 km) north of San Diego, on the beautiful coast of the Pacific Ocean with 11,000 ft (3500 m) towering San Bernadino Mountains in its backdrop.

70th Anniversary of Neutrino Discovery

by George Cowan and Fred Reines. Fred Reines (1995 Nobel Laureate) was the founding Dean of School of Physical Sciences at UC Irvine.

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Invariants in Toy Modular A_4 Model

$$I_{12} = \frac{a_0 \left[\tilde{m}_1 (e^{i\delta} c_{23} s_{12} + c_{12} s_{13} s_{23})^2 + \tilde{m}_2 (e^{i\delta} c_{12} c_{23} - s_{12} s_{13} s_{23})^2 + e^{2i\delta} m_3 c_{13}^2 s_{23}^2 \right]}{c_{13}^2 \left[\tilde{m}_1 c_{12} (e^{i\delta} c_{23} s_{12} + c_{12} s_{13} s_{23}) + \tilde{m}_2 s_{12} (s_{12} s_{13} s_{23} - e^{i\delta} c_{12} c_{23}) - e^{2i\delta} m_3 s_{13} s_{23} \right]^2},$$

$$I_{13} = \frac{a_0 \left[\tilde{m}_1 (c_{12} c_{23} s_{13} - e^{i\delta} s_{12} s_{23})^2 + \tilde{m}_2 (c_{23} s_{12} s_{13} + e^{i\delta} c_{12} s_{23})^2 + e^{2i\delta} m_3 c_{13}^2 c_{23}^2 \right]}{c_{13}^2 \left[\tilde{m}_1 c_{12} (c_{12} c_{23} s_{13} - e^{i\delta} s_{12} s_{23}) + \tilde{m}_2 s_{12} (c_{23} s_{12} s_{13} + e^{i\delta} c_{12} s_{23}) - e^{2i\delta} m_3 c_{23} s_{13} \right]^2},$$

$$I_{23} = \left[e^{2i\delta} m_3 c_{13}^2 s_{23}^2 + \tilde{m}_1 (e^{i\delta} c_{23} s_{12} + c_{12} s_{13} s_{23})^2 + \tilde{m}_2 (e^{i\delta} c_{12} c_{23} - s_{12} s_{13} s_{23})^2 \right]$$

$$\times \frac{4 \left[e^{2i\delta} m_3 c_{13}^2 c_{23}^2 + \tilde{m}_2 (c_{23} s_{12} s_{13} + e^{i\delta} c_{12} s_{23})^2 + \tilde{m}_1 (c_{12} c_{23} s_{13} - e^{i\delta} s_{12} s_{23})^2 \right]}{\left[\tilde{m}_1 a_1 + \tilde{m}_2 a_2 - e^{2i\delta} m_3 \sin(2\theta_{23}) c_{13}^2 \right]^2},$$

$$\tilde{m}_1 := m_1 e^{i\varphi_1}$$

$$\tilde{m}_2 := m_2 e^{i\varphi_2}.$$

$$a_0 := \left(\tilde{m}_1 c_{12}^2 + \tilde{m}_2 s_{12}^2 \right) c_{13}^2 + e^{2i\delta} m_3 s_{13}^2,$$

$$a_1 := \left[\left(e^{2i\delta} s_{12}^2 - c_{12}^2 s_{13}^2 \right) \sin(2\theta_{23}) - e^{i\delta} \cos(2\theta_{23}) \sin(2\theta_{12}) s_{13} \right],$$

$$a_2 := \left[e^{i\delta} \cos(2\theta_{23}) \sin(2\theta_{12}) s_{13} + \left(e^{2i\delta} c_{12}^2 - s_{12}^2 s_{13}^2 \right) \sin(2\theta_{23}) \right].$$