Core-collapse supernovae as probes of (not only) non-standard neutrino physics

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Data interpretation 101 by W. Pauli



Wikipedia

Rutherford (1899), Gamow (1928) 1/55

Alpha decay - spectrum





Wikipedia



Beta decay - explanation of the spectra



Pauli (1930), Beta+ decay Joliot, Joliot-Curie (1934) 2/55

How to detect a ghost?

Neutrino factories



Kurzgesagt, Wikipedia

Neutrino factories



Neutrino factories



Bahcall (1964), Davis(1964)



Fermilab picture archive



1 BIG detector

Fermilab picture archive



1 BIG detector

2 isolate from other particles

Fermilab picture archive



- BIG detector
- **2** isolate from other particles
- 378,000 l of perchloroethylene (C₂Cl₄ "dry-cleaning fluid")
- 1.5 km underground

$${}^{37}\text{Cl} + \nu_e \rightarrow {}^{37}\text{Ar} + e^-$$

Fermilab picture archive



- 1 BIG detector
- **2** isolate from other particles

378000 l of perchloroethylene

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Solar Neutrino Problem

The number of detected neutrinos $\sim 3x$ smaller than expected



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Comic

Solar Neutrino Problem

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Neutrino properties



The Particle Zoo









The Particle Zoo





The Particle Zoo

neutrino mass and flavor states



Neutrino oscillations in vacuum



All-neutrino-flavor sensitive detector

Sudbury Neutrino Observatory (SNO)



- 2.1 km underground
- filled with 1000 tonnes of heavy water
- sensitive to all neutrino flavors

$$u_e + D \rightarrow p + p + e^-$$

 $u_x + D \rightarrow p + n + \nu_x$

 $\nu_x + e^- \rightarrow \nu_x + e^-$

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Neutrino flavor and mass states



flavor basis mass basis

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = U \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$





is ν_s (ν_4) missing?



Pontecorvo (1957), Maki, Nakagawa, Sakata (1962)

Towards Precise Neutrino Properties Measurements

Past measurements

- large mixing angles
- non-zero masses

Remaining questions

- Majorana vs Dirac
- absolute masses
- degree of CP violation
- some low-energy ν fluxes '98 200

How to achieve it? All hands on deck

- Many new experiments comimg online soon, DUNE, JUNO, HK, SBND, DARWIN-LZ...
- variety of approaches \rightarrow superb sensitivity



Why studying astrophysical neutrinos is crucial?

Benefits to the field of neutrino physics

- free sources spanning over 20 decades in energy
- test of physics in the conditons not acessible on Earth
- established track record of neutrino discoveries
- complements terrestrial neutrino experminetal efforts

Benefits to the field of multimessenger astrophysics

- unveils physics of the sources
- experminetally and observationally timely

Core-collapse supernovae and neutrinos

Core-collapse supernova



Core-collapse supernova


Core-collapse supernova



Core-collapse supernova



Core-collapse supernova as an example neutrino source

Neutrinos:

- $\sim 10^{58}$ of them emitted from a single core collapse
- only they (+ GW) can reveal the deep interior conditions
- only they (+ GW) are emitted from the collapse to a black hole



Earth image: Kurzgesagt 15/55

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Why core-collapse supernovae are good physics probes?

Advantages

- extreme physical conditions not accessible on Earth: very high densities, long baselines etc.
- within our reach to detect (SK, JUNO, XENON, PandaX...)

What can we learn with a variety of detectors?

- explosion mechanism
- yields of heavy elements
- compact object formation
- neutrino flavor evolution
- non-standard physics

Bethe & Wilson (1985), Fischer et al. (2011)...

Woosley et al. (1994), Surman & McLaughlin (2003)...

Warren et al. (2019), Li, Beacom et al. (2020)...

Balantekin & Fuller (2013), Tamborra & Shalgar (2020)... McLaughlin et al. (1999), de Gouvêa et al. (2019) ... 16/55

Why such an exciting problem?

Synergy between many fields of physics

- nuclear physics
- hydrodynamics
- particle physics
- general relativity
- many-body physics
- dense matter



Vartanyan, Burrows (2023)



Phase transition to quark matter in core-collapse supernovae

In collaboration with T. Pitik, D. Heimsoth, and

A. B. Balantekin

Phys.Rev.D 106 (2022) 10, 103007

QCD phase diagram



- Does the protocompact star contain non-leptonic degrees of freedom other than neutrons and protons?
- How to identify the presence of quark matter in astrophysical objects?

Where the quark matter can appear in atrophysical objects?

- quark matter in accreting neutron stars Lin et al. (2006), Abdikamalov et al. (2008), Espino, Paschalidis (2021), ...
- in protoneutron stars after the CCSN explosion Pons et al. (2001), Keranen et al. (2004)
- in protocompact stars during early postbounce phase Gentile et al. (1993), Sagert et al. (2008), Fischer, Sagert et al. (2011) ...

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Different phases of core-collapse supernova explosion

 Infall phase, ν_e burst ~ 40 ms

Shock

wave

- Accretion phase, $\sim 100 \,\mathrm{ms}$
- Cooling phase, $\sim 10 \mathrm{s}$



What drives the supernova supernova explosions?

- neutrino heating Colgate & White (1966), Bethe & Wilson (1985)
- magneto-rotational mechanism LeBlanc and Wilson (1970), Takiwaki et al. (2009)
- particles beyond the Standard Model Fuller et al. (2008), AMS et al. (2020) ...
- phase transition to quark matter Sagert et al. (2008)...

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Neutrino Emission Properties from the QHPT CCSN



- second sharp neutrino burts dominated by $\bar{\nu}_e$
- non-exploding models can explode

Supernova neutrino detection

Hyper-Kamiokande (2027)







fiducial volume	fiducial volume	fiducial volume
217 kton	3500 kton	40 kton
main detection channel	main detection channel	main detection channel
$\bar{\nu}_e + p \rightarrow e^+ + n$	$\bar{\nu}_e + p \rightarrow e^+ + n$	$\nu_e + \mathrm{Ar} \rightarrow e^- + {}^{40}\mathrm{K}^*$

Neutrino Event Rates



Impact of neutrino conversions

- Event rate in the antineutrino detectors comparable for both conversion scenarios
- Event rate in the neutrino detector larger for the full conversion case

$$R(t) = N_t \int_{E_{\nu}^{\min}}^{\infty} dE_{\nu} \int_{E_{th}}^{E_{\max}} dE \ \varepsilon \sigma_i(E, E_{\nu}) \ F_{\nu_{\beta}}(E_{\nu}, t)$$

Timing the Neutrino Signal



Detectors	No conversion	Full conversion	
B_{ij} [ms]			
IC-HK	-0.32 ± 0.10	-0.32 ± 0.10	
IC-DUNE	-0.11 ± 0.48	-0.27 ± 0.20	
HK-DUNE	0.22 ± 0.50	0.05 ± 0.22	
$\delta(\theta_{ij}) \text{ (min, max) [deg]}$			
IC-HK	(0.30, 5.00)	(0.29, 4.90)	
IC-DUNE	(1.00, 10.67)	(0.41, 6.90)	
HK-DUNE	(2.27, 12.85)	(1.00, 8.54)	
95% C.L. upper limit on m_{ν} [eV]			
IC	$0.16^{+0.03}_{-0.04}$	$0.21^{+0.05}_{-0.05}$	
HK	$0.22^{+0.05}_{-0.06}$	$0.30^{+0.07}_{-0.09}$	
DUNE	$0.80^{+0.21}_{-0.29}$	$0.58\substack{+0.14\\-0.19}$	

$$\Delta t_{ij}^{\text{true}} = \frac{(\mathbf{r}_i - \mathbf{r}_j) \cdot \mathbf{n}}{c} = \frac{D_{ij} \cos \theta}{c}$$
$$\Delta t_{ij}^{\text{measured}} = \Delta t_{ij}^{\text{true}} + B_{ij}$$

Halzen and Raffelt (2009) 24/55

Determination of the CCSN localization



- improvement by 4.5-10 times compared to neutronization burst
- comparable results for black hole forming supernovae
- not far off from elastic scattering on electrons

Sensitivity to the Absolute Neutrino Mass



• up to $\sim 10x$ improvement compared to neutronization burst

• more stringent limits than from the laboratory experiments (0.8 eV) 26/55

Diffuse supernova neutrino background

Why focus only on a single rare event?



Single galactic SN event

- rare event
- precise infromation about one star

Multiple SN events (larger distances)

- accumulation of events
- will detect in coming years



Images: Kurzgesagt_{27/55}

Diffuse supernova neutrino background



The DSNB is sensitive to:

- $R_{\rm SN}, f_{\rm BH-SN}$
- neutrino flavor evolution
- equation of state
- mass accretion rate in BH-SN
- non-standard physics



Guseinov (1967), Totani et al. (2009), Ando, Sato (2004), Lunardini (2009), Beacom (2010),... Recent reviews: Kresse et al. (2020), **AMS** (2022), Ando et al. (2023), ... 28/55

Diffuse supernova neutrino background: current limits



SK collab. (2021)

DSNB limits:

- $\bar{\nu}_e \approx 3 \text{ cm}^{-2} \text{ s}^{-1}$ for $E_{\nu} > 17.3 \text{ MeV}$ SK collab. (2021), SK collab. (2023) soon detected by SK (Gd) Beacom, Vagins (2004) and JUNO JUNO collab. (2021)
- $\nu_e \approx 19 \text{ cm}^{-2} \text{ s}^{-1}$ for $E_{\nu} \epsilon$ [22.9, 36.9 MeV] SNO collab. (2020) possibly detectable by DUNE Møller, **AMS**, Tamborra, Denton (2018), Zhu et al. (2019)

The fraction of black-hole-forming progenitors



Fraction of black-hole-forming progenitors influences the highly energetic part of the DSNB, above \sim 15 MeV.

Lunardini (2009), Keehn, Lunardini (2010), Lunardini, Tamborra (2012), Priya, Lunardini (2017), Møller, **AMS** et al. (2018), Nakazato et al. (2018) Kresse et al. (2020), ... **30**/55

Cosmological supernovae rate

Petrushevska et al (2016)



The supernovae rate influences the normalization of the DSNB. Ando, Sato (2004), Beacom (2010), Horiuchi et al. (2011), Møller, **AMS**, Tamborra, Denton (2018), Nakazato et al. (2018), ... 31/55

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Expected 1σ uncertainty: fraction of BH forming progenitors



- The high uncertainty comes from f_{BH-SN} -mass accretion rate degeneracy
- DUNE is sensitive to neutrinos → helps to reduce the uncertainty

Møller, AMS, Tamborra, Denton (2018)

Expected 1σ uncertainty: local supernova rate



• Relative error of 20%-33% independent of the mass ordering.



Møller, AMS, Tamborra, Denton (2018)

Astrophysical uncertainties affecting the DSNB

- Neutrino Flux from an "Average Supernova" Lunardini (2009), Lunardini & Tamborra (2012), Horiuchi et al. (2018), Kresse et al. (2018), ...
- Cosmological Supernovae Rate Beacom (2010), Horiuchi et al. (2011), Ando et al. (2023), ...
- Initial Mass Function Ziegler, Edwards, AMS, Tamborra, Horiuchi, Ando, Freese (2022)
- Fraction of Black-Hole-Forming Progenitors Lunardini (2009), Lien et al. (2010), Keehn & Lunardini (2012), Priya & Lunardini (2017) Møller, AMS, Tamborra, Denton (2018), Horiuchi et al. (2018), Kresse et al. (2018), ...
- Binary Interactions

Horiuchi, Kinugawa, Takiwaki, Takahashi (2021)

Non exhaustive list of references

Probing self-interacting sterile neutrino dark matter with the DSNB

In collaboration with B. Balantekin, G. Fuller, and A. Ray

Phys.Rev.D in 108 (2023) 12, 123011

KeV-mass sterile neutrino self-interactions



$$\sigma(E_{\nu}) = \frac{g_s^4}{4\pi} \frac{s}{(s - m_{\phi}^2)^2 + m_{\phi}^4 \Gamma_{\phi}^2} \approx \frac{\pi g_s^2}{m_{\phi}^2} E_{\nu} \delta(E_R - E_{\nu}), \text{ where } E_R = m_{\phi}^2 / 2m_s$$

• sterile component in the DSNB ν_i interacts with the mostly sterile relic background of N_i

Modified DSNB flux

$$\phi_{\alpha}(E_{\nu}) \simeq \sum_{i=1}^{3} |U_{\alpha i}|^2 \int_{0}^{z_{\max}} dz \; \frac{P_i(E_{\nu}, z)}{H(z)} \times \; R_{\text{SN}}(z) \; F_{\text{SN}}^i(E_{\nu}(1+z))$$

Probability of interaction

$$P_i(E_\nu, z) = e^{-\tau_i(E_\nu, z)}$$

$$\tau_i(E_{\nu}, z) \simeq \tau_R \Theta(z - z_R) = \frac{\Gamma_R(z_R)}{(1 + z_R)H(z_R)} \Theta(z - z_R)$$

where $z_R = E_R/E_{\nu} - 1$, interaction rate $\Gamma_R(z_R) \simeq |U_{si}|^2 n_{\nu_s}(z_R) \sigma_R$, and sterile neutrino number density $n_{\nu_s}(z_R) = n_{\nu_s}(1 + z_R)^3$

smilar studies for active neutrino self-interactions and eV-mass sterile neutrinos:

Goldberg et al. (2005), Baker et al. (2007), Farzan, Palomares-Ruiz (2014), Reno et al. (2018), Creque-Sarbinowski et al. (2021) 35/55

Secret neutrino interactions: DSNB



•Sterile neutrino self-interactions may result in features in DSNB

Sensitivity limits



Overalap with the TRISTAN experiment paramater spaceReduction of the astrophysical uncertainties helps but not by a lot

Towards probing the DSNB in all flavors

In collaboration with J. Beacom, and I. Tamborra

Phys.Rev.D 105 (2022) 4, 043008

Can we detect the *x*-flavor DSNB? Maybe



DSNB modeling: Møller, **AMS**, Tamborra, Denton (2018)

- Favor-blind channel: potential detection window $\sim 18 30$ MeV
- Current limit: $\nu_x \approx 750 \text{ cm}^{-2} \text{ s}^{-1}$ for $E_{\nu} > 19.3 \text{ MeV}$ Lunardini, Peres (2008)

Vitagliano et al. (2019), Honda et al. (2011), Newstead et al. (2020)
Maybe: Coherent elastic neutrino-nucleus scatterings (CEvNS)



$$\frac{d\sigma_{\rm SM}}{dE_r} = \frac{G_F^2 m_T}{4\pi} Q_w^2 \left(1 - \frac{m_T E_r}{2E_\nu^2} \right) F^2(Q), \ Q_w = \left[N - Z(1 - 4\sin^2\theta_W) \right]$$

- coherently enhanced by the square of the neutron number
- flavor insensitive
- coherent up to $\sim 50 \text{ MeV}$

Freedman (1974), Strigari (2009)

Current and future CE_VNS detectors



Event rate in the xenon-based detector



- The potential energy window displayed by the bare fluxes disapears
- Reason: Low energy recolis are most probable for all neutrino energies
- Detection of the *x*-flavor DSNB seems out of reach, BUT...

Can we improve the limits on the *x*-flavor DSNB? Yes



• Potential for an imporevement by $\gtrsim 1 - 2$ orders of magnitude

Sensitivity bounds on the normalization of the x-flavor DSNB



- XENON1T, PandaX-4T: limits comparable to the SK ν_x DSNB limit
- Constant energy window: limits can improve O(10%) for wider windows at small exposures and narrower windows at large exposures

Sensitivity bounds on the x-flavor DSNB



- Simple DSNB: all supernovae emit the same Fermi-Dirac ν_x spectrum
- Potential handle on the normalization and mean energy of the SN ν_x
- 1000 ton yr: limits comparable with current SK limit on $\bar{\nu}_e$ DSNB

Distinctive nuclear signatures of low-energy atmospheric neutrinos

In collaboration with J. Beacom

Phys.Rev.D 108 (2023) 4, 043035

Grand Unified Neutrino Spectrum



Grand Unified Neutrino Spectrum - Detected Fluxes



Atmospheric neutrino measurements



Diffuse Supernova Neutrino Background (DSNB)



AMS (2022)

• DSNB \rightarrow

isotropic and stationary guaranteed neutrino flux Guseinov (1967), Totani et al. (2009), Ando, Sato (2004), Lunardini (2009), Beacom (2010),..

• mitigating uncertainties in the atmopsheric neutrinos helps the discovery limits



- neutrino floor/fog → barrier for dark matter direct detection experiments Vergados & Ejiri (2008), Strigari (2009), Baudis et al. (2013), ...
- mitigating uncertainties in the atmopsheric neutrinos helps the discovery limits

Low-energy Atmospheric Neutrino Flux

Primary production channels $\pi^+ \rightarrow \mu^+ + \nu_{\mu}; \ \mu^+ \rightarrow e^+ + \bar{\nu}_{\mu} + \nu_e$ $\pi^- \rightarrow \mu^- + \bar{\nu}_{\mu}; \ \mu^- \rightarrow e^- + \nu_{\mu} + \bar{\nu}_e$

Non-oscillated flavor ratio $\nu_{e}: \nu_{\mu}: \nu_{\tau} = 1:2:0$

Sources of uncertainty solar wind modulations Earth's geomagnetic field

Oscillated flavor ratio $\nu_e : \nu_\mu : \nu_\tau \approx 1 : 1 : 1$

Past measurements: energies > 100 MeV



Neutral current channels



- instantaneous decay of ¹²C*
- emission of a monoenergetic γ





- coincidence detection of *e*⁺ and *e*⁻
- difference in ${}^{12}B_{g.s.}$ and ${}^{12}N_{g.s.}$ lifetimes $\rightarrow \nu_e$ vs. $\bar{\nu}_e$ distinction

Distinctive nuclear channels

Charged current channels: ν_{μ}

- coincidence detection of μ , its decay *e* and β -decay *e*
- difference in ${}^{12}B_{g.s.}$ and ${}^{12}N_{g.s.}$ lifetimes $\rightarrow \nu_{\mu}$ vs. $\bar{\nu}_{\mu}$ distinction
- triple vs. double coincidence detection $\rightarrow \nu_e$ vs. ν_{μ} distinction

The Jiangmen Underground Neutrino Observatory (JUNO)





- large-scale carbon-based liquid scintilator detector
- soon operational (~ 2024)
- excelent energy resolution $\lesssim 3\%$
- excelent spatial resolution $\lesssim 10 \text{ cm}$
- low backgrounds in the considerd channels

JUNO inclusive studies: Cheng et al. (2020), Cheng et al. (2020), JUNO Collaboration (2022)

JUNO collabortation (2015), Sitsi (2022) 52/55

Cross section: elementary particle treatment (EPT)



- superallowed transitions from 0⁺ to 1⁺ states in A=12 triad
- the exclusive ν ¹²C cross sections measured only at low energies
- experimental data agrees well with the EPT treatment
- 5-40% difference with respect to, e.g., RPA calculations

Atmospheric neutrino detection in JUNO

NC channel detection: single events Irreducible BG: solar and DSNB ν Reducible BG: atm. ν - p scattering

85 kton yr exposure ightarrow 25(40)% uncertainty of the atmospheric u rate





CC channel detection: coincidence events Irredicuble BG: accidental coincidences Rate per 85 kton yr: ~0.0004

essentially background free channels

Astrophysical sources of MeV neutrinos

- can serve as powerful testing grounds in constraining new physics
- reliable limits, only when the sources are accurately modeled

Detection of astrophysical neutrino fluxes

- brings us closer to fully understanding the physics inside the sources
- help us to rule out potential new physics scenarios

Exciting times ahead, a truly high statistic era of neutrino physics!

Thank you for the attention!

Backup Slides

Quark deconfinement as a supernova explosion engine for massive blue supergiant stars



Fischer, Bastian, Wu et al. (2017)

Determination of the uncertainty of the CCSN localization



Detectors	No conversion	Full conversion
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IC-HK	-0.32 ± 0.10	-0.32 ± 0.10
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95% C.L. upper limit on m_{ν} [eV]		
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DUNE	$0.80^{+0.21}_{-0.29}$	$0.58_{-0.19}^{+0.14}$

Astrophysical uncertainties affecting the DSNB

Kresse et al. (2020) 10^{1} $\phi_{>17.3}\gtrsim 3.1/{
m cm}^2/{
m s}\ \phi_{>17.3}\lesssim 3.1/{
m cm}^2/{
m s}$ $d\phi/dE$ [MeV⁻¹cm⁻²s⁻¹ fiducia 10^{0} 10^{-1} 17.3 MeV 10^{-2} $\bar{\nu}_{P}$ 10^{-3} 10 20 30 40 E [MeV]

• models with the extreme combinations of parameters are disfavoured

• large emission from black-hole-forming collapses and their fraction

The fraction of black-hole-forming progenitors



Varying Initial Mass Function



- larger fraction of stars may evolve to black holes at high redshift
- changed rate of the core-collapse supernovae





Majority of massive stars have stellar companions and experience binary interactions Sana et al. 2012, Zapartas et al. 2020





Images: iflscience, Wiki

Majority of massive stars have stellar companions and experience binary interactions Sana et al. 2012, Zapartas et al. 2020





Effects on the stellar population Horiuchi et al. 2021

- change in mass due to mass transfer
- reduced progenitor counts
- increased progenitor counts

Images: iflscience, Wiki

Binary interactions: impact on DSNB



- enhancement ≤ 75% compared to estimate w/o binary considerations
- core mass increases due to rotational effects
- more studies needed

Atmospheric neutrino oscillations



Relative uncertainty of atmospheric neutrinos



Cheng et al. (2022)

EPT cross sections

$$\sigma(E_{\nu}) = \frac{3G_F^2}{2\pi} F_A^2(E_{\nu}')^2 I , \quad \sigma(E_{\nu}) = \frac{3G_F^2}{\pi} \cos\theta_C^2 F_A^2 E_e p_e I \mathcal{F}^{\pm}(Z, E_e) , \quad (2)$$

$$I = \frac{1}{2} \int_{-1}^{1} dz f(\mathbf{q}^2) \left(A + B + C\right) , \qquad (3)$$

$$f(\mathbf{q}^2) = \left(\frac{F_A(q)}{F_A}\right)^2 = \left(1 - \frac{1 - \rho}{6(b|\mathbf{q}|)^2}\right)^2 \exp\left(-\frac{(b|\mathbf{q}|)^2}{2}\right) , \qquad (4)$$

$$A = 1 - \frac{z}{3} \pm \frac{4}{3} (E_{\nu} + E_{\nu}')(1 - 2\sin^2\theta_W)(1 - z) \frac{F_M}{F_A}, \qquad (5)$$

$$B = \frac{2}{3} \left(E'_{\nu} E_{\nu} (1 - z^2) + (1 - z) \mathbf{q}^2 \right) (1 - 2\sin^2 \theta_W)^2 \left(\frac{F_M}{F_A} \right)^2 , \quad (6)$$

$$C = -\frac{2}{3}\Delta M(1+z)\frac{F_T}{F_A} + \frac{1}{3}(1+z)\mathbf{q}^2 \left(\frac{F_M}{F_A}\right)^2 .$$
 (7)

Fukugita et al. (1988)

CQRPA cross sections



Samana et al. (2010)

Limits from the SN 1987A



DSNB variability



Sensitivity of the limits to a detection window


Event rate: lead detector



Event rate: lead crystals detector



Which part of the spectrum are $CE\nu NS$ detectors sensitive to?

