

Three-Nucleon Forces with Symmetry Preserving Regulator

Hermann Krebs
Ruhr-Universität Bochum

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In collaboration with Evgeny Epelbaum

Outline

- Path-integral approach for derivation of nuclear forces
- Symmetry preserving regularization
- Status report on construction of 3N interactions

Path-Integral Framework for Derivation of Nuclear Forces

HK, Epelbaum, arXiv:2311.10893

Why a new Framework?

Difficulties in formulation of regularized chiral EFT

- Regularization should preserve chiral and gauge symmetries
- Regularization should not affect long-range pion physics

Pion-propagator in Euclidean space: $q^2 = q_0^2 + q_1^2 + q_2^2 + q_3^2$

$$\frac{1}{q^2 + M_\pi^2} \rightarrow \frac{\exp\left(-\frac{q^2 + M_\pi^2}{\Lambda^2}\right)}{q^2 + M_\pi^2} = \frac{1}{q^2 + M_\pi^2} - \frac{1}{\Lambda^2} + \frac{q^2 + M_\pi^2}{2\Lambda^4} + \dots$$

all $1/\Lambda$ -corrections are short-range interactions

q_0 - dependence in exponential requires second and higher order time-derivatives in pion field in the chiral Lagrangian

→ Canonical quantization of the regularized theory becomes difficult (Ostrogradski - approach, Constrains, ...)

Canonical vs Path-Integral Quantization

Canonical Quantization of QFT

Hamiltonian & Hilbert space
Creation/annihilation operators
Time-ordered perturbation theory



Path-Integral Quantization of QFT

Lagrangian & action
Summation over all classical paths
Loop expansion & Feynman rules

- Path-Integral approach is a natural choice in pionic and single-nucleon sector

Gasser, Leutwyler, *Annals Phys.* 158 (1984) 142;

Bernard, Kaiser, Kambor, Meißner, *Nucl. Phys. B* 388 (1992) 315

- In two - and more - nucleon sector Weinberg used canonical quantization language

Weinberg *Nucl. Phys. B* 362 (1991) 3

In using **old-fashioned perturbation theory** we must work with the Hamiltonian rather than the Lagrangian. The application of the usual rules of **canonical quantization** to the leading terms in (1) and (9) yields the total

Can we choose a formulation where we can work with the Lagrangian?

Path-Integral over Nucleons and Pions

We start with generating functional:

$$Z[\eta^\dagger, \eta] = \int [DN^\dagger][DN][D\pi] \exp\left(i \int d^4x (\mathcal{L} + \eta^\dagger(x)N(x) + N^\dagger(x)\eta(x))\right)$$

Yukawa toy-model:

$$\mathcal{L} = N^\dagger \left(i \frac{\partial}{\partial x_0} + \frac{\vec{\nabla}^2}{2m} + \frac{g}{2F} \vec{\sigma} \cdot \vec{\nabla} \pi \cdot \tau \right) N + \frac{1}{2} (\partial_\mu \pi \cdot \partial^\mu \pi - M^2 \pi^2)$$

- Perform a Gaussian path-integral over the pion fields

$$Z[\eta^\dagger, \eta] = \int [DN^\dagger][DN] \exp\left(i S_N + i \int d^4x (\eta^\dagger(x)N(x) + N^\dagger(x)\eta(x))\right)$$

$$S_N = \int d^4x N^\dagger(x) \left(i \frac{\partial}{\partial x_0} + \frac{\vec{\nabla}^2}{2m} \right) N(x) - V_{NN} \leftarrow \text{Non-instant one-pion-exchange interaction}$$

$$V_{NN} = -\frac{g^2}{8F^2} \int d^4x d^4y \vec{\nabla}_x \cdot [N^\dagger(x) \vec{\sigma} \tau] N(x) \Delta_F(x-y) \vec{\nabla}_y \cdot [N^\dagger(y) \vec{\sigma} \tau] N(y)$$

with non-instant pion propagator: $\Delta_F(x) = \int \frac{d^4q}{(2\pi)^4} \frac{e^{-iq \cdot x}}{q^2 - M^2 + i\epsilon}$

Instant Interactions from Path-Integral

To transform V_{NN} into an instant form we rewrite a pion propagator

$$\frac{1}{q_0^2 - \omega_q^2} = -\frac{1}{\omega_q^2} + \frac{1}{q_0^2 - \omega_q^2} + \frac{1}{\omega_q^2} = -\frac{1}{\omega_q^2} + q_0^2 \frac{1}{\omega_q^2} \frac{1}{q_0^2 - \omega_q^2}, \quad \omega_q = \sqrt{\vec{q}^2 + M^2}$$

In coordinate space this corresponds to $\Delta_F(x) = \Delta_S(x) - \frac{\partial^2}{\partial x_0^2} \Delta_{FS}(x)$ with

$$\Delta_S(x) = - \int \frac{d^4 q}{(2\pi)^4} \frac{e^{-i q \cdot x}}{\omega_q^2} = - \delta(x_0) \int \frac{d^3 q}{(2\pi)^3} \frac{e^{i \vec{q} \cdot \vec{x}}}{\omega_q^2}, \quad \Delta_{FS}(x) = \int \frac{d^4 q}{(2\pi)^4} \frac{e^{-i q \cdot x}}{\omega_q^2 (q_0^2 - \omega_q^2)}$$

• The decomposition $\Delta_F(x) = \Delta_S(x) - \frac{\partial^2}{\partial x_0^2} \Delta_{FS}(x)$ can be generalized

$$G(x) = \int \frac{d^4 q}{(2\pi)^4} e^{-i q \cdot x} \tilde{G}(q_0^2, q^2) \text{ and } \tilde{G}(q_0^2, q^2) \text{ is differentiable at } q_0 = 0$$

$$\text{Defining } G_S(x) = \int \frac{d^4 q}{(2\pi)^4} e^{-i q \cdot x} \tilde{G}(0, q^2) \text{ and } G_{FS}(x) = \int \frac{d^4 q}{(2\pi)^4} e^{-i q \cdot x} \frac{\tilde{G}(q_0^2, q^2) - \tilde{G}(0, q^2)}{q_0^2}$$

$$\rightarrow G(x) = G_S(x) - \frac{\partial^2}{\partial x_0^2} G_{FS}(x)$$

Instant Interactions from Path-Integral

Perform an instant decomposition of the pion propagator $\Delta_F(x) = \Delta_S(x) - \frac{\partial^2}{\partial x_0^2} \Delta_{FS}(x)$

$$V_{NN} = -\frac{g^2}{8F^2} \int d^4x d^4y \vec{\nabla}_x \cdot [N^\dagger(x) \vec{\sigma} \tau] N(x) \Delta_F(x-y) \vec{\nabla}_y \cdot [N^\dagger(y) \vec{\sigma} \tau] N(y)$$

$$\rightarrow V_{NN} = V_{OPE} + V_{FS}$$

$$V_{OPE} = -\frac{g^2}{8F^2} \int d^4x d^4y \vec{\nabla}_x \cdot [N^\dagger(x) \vec{\sigma} \tau] N(x) \Delta_S(x-y) \vec{\nabla}_y \cdot [N^\dagger(y) \vec{\sigma} \tau] N(y) \quad \text{is instant}$$

$$V_{FS} = \frac{g^2}{8F^2} \int d^4x d^4y \vec{\nabla}_x \cdot [N^\dagger(x) \vec{\sigma} \tau] N(x) \frac{\partial^2}{\partial x_0^2} \Delta_{FS}(x-y) \vec{\nabla}_y \cdot [N^\dagger(y) \vec{\sigma} \tau] N(y) \quad \text{is non-instant}$$

V_{FS} is time-derivative dependent and thus can be eliminated by a non-polynomial field redefinition


$$N(x) \rightarrow N'(x) = N(x) + i \frac{g^2}{8F^2} \int d^4y [\vec{\sigma} \tau N(x)] \cdot [\vec{\nabla}_x \frac{\partial}{\partial x_0} \Delta_{FS}(x-y)] \vec{\nabla}_y \cdot [N^\dagger(y) \vec{\sigma} \tau N(y)]$$

$$N^\dagger(x) \rightarrow N'^\dagger(x) = N^\dagger(x) - i \frac{g^2}{8F^2} \int d^4y \vec{\nabla}_y \cdot [N^\dagger(y) \vec{\sigma} \tau N(y)] [\vec{\nabla}_y \frac{\partial}{\partial y_0} \Delta_{FS}(y-x)] \cdot [N^\dagger(x) \vec{\sigma} \tau]$$

Instant Interactions from Path-Integral

Non-local field transformations remove time-derivative dependent two-nucleon interactions but generate time-derivative dependent three-nucleon interactions.

These contributions can be eliminated by similar field transformations

$$\begin{aligned}
 Z[\eta^\dagger, \eta] &= \int [DN^\dagger][DN] \det \left(\frac{\delta(N^\dagger, N')}{\delta(N^\dagger, N)} \right) \exp \left(i S_{N(N^\dagger, N')} + i \int d^4x (\eta^\dagger(x) N(N^\dagger, N')(x) + N(N^\dagger, N')^\dagger(x) \eta(x)) \right) \\
 &\simeq \int [DN^\dagger][DN] \det \left(\frac{\delta(N^\dagger, N')}{\delta(N^\dagger, N)} \right) \exp \left(i S_{N(N^\dagger, N')} + i \int d^4x (\eta^\dagger(x) N'(x) + N^\dagger(x) \eta(x)) \right)
 \end{aligned}$$


Equivalence theorem: nucleon pole-structure is unaffected by the field-transf.

$$S_{N(N^\dagger, N')} = \int d^4x N^\dagger(x) \left(i \frac{\partial}{\partial x_0} + \frac{\vec{\nabla}^2}{2m} \right) N'(x) - V_{OPE} + \mathcal{O}(g^4)$$

$$V_{OPE} = -\frac{g^2}{8F^2} \int d^4x d^4y \vec{\nabla}_x \cdot [N^\dagger(x) \vec{\sigma} \tau] N'(x) \Delta_S(x-y) \vec{\nabla}_y \cdot [N^\dagger(y) \vec{\sigma} \tau] N'(y)$$



Instant one-pion-exchange interaction

Generalization to Chiral EFT

We start with generating functional:

$$Z[\eta^\dagger, \eta] = \int [DN^\dagger][DN][D\pi] \exp\left(i \int d^4x (\mathcal{L}_\pi + \mathcal{L}_{\pi N} + \mathcal{L}_{NN} + \mathcal{L}_{NNN} + \eta^\dagger(x)N(x) + N^\dagger(x)\eta(x))\right)$$

- Integrate over pion fields via loop-expansion of the action
 - ➔ expansion of the action around the classical pion solution
- Perform instant decomposition of the remaining interactions between nucleons
- Perform nucleon-field redefinitions to eliminate non-instant part of the interaction
- Calculate functional determinant to get one-loop corrections to few-nucleon forces

Connection to Unitary Transformations

Previous derivation of nuclear forces was based on unitary transformation technique

Field transformations (FT) within path-integral approach



Unitary transformations (UT) within canonical quantization approach

- Interactions generated by FT have always a form of heavy-baryon like tree-level or 4-dim loop-integrals

- Interactions generated by UT can be matched by 4-dim loop-integrals, *only* if some unitary phases are fixed

→ UT technique is more flexible

In practical calculation we do not want to explore the flexibility of UT in constructing non-renormalizable nuclear forces

- FT which don't generate interactions with time-derivatives describe off-shell ambiguities

- Allows to study unitary ambiguities of e.g. relativistic corrections

UT & FT path-integral approach lead to the same chiral EFT nuclear forces up to N⁴LO

Fazit: Path-integral formulation of nuclear forces is as powerful as UT technique, however it allows consideration of a wider class of theories

Symmetry Preserving Regulator

HK, Epelbaum, arXiv:2312.13932

Gradient-Flow Equation (GFE)

Yang-Mills gradient flow in QCD: [Lüscher, JHEP 04 \(2013\) 123](#)

$$\partial_\tau B_\mu = D_\nu G_{\nu\mu} \quad \text{with} \quad B_\mu|_{\tau=0} = A_\mu \quad \& \quad G_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu + [B_\mu, B_\nu]$$

B_μ is a regularized gluon field

- Apply this idea to ChPT: [HK, Epelbaum, arXiv:2312.13932](#)

(Proposed in various talks by D. Kaplan for nuclear forces)

Introduce a smoothed pion field W with $W|_{\tau=0} = U$ satisfying GFE

$$\partial_\tau W = i w \text{EOM}(\tau) w \quad \text{with} \quad w = \sqrt{W} \quad \text{and} \quad \text{EOM}(\tau) = [D_\mu, w_\mu] + \frac{i}{2} \chi_- - \frac{i}{4} \text{Tr}(\chi_-)$$

$$w_\mu = i(w^\dagger(\partial_\mu - i r_\mu)w - w(\partial_\mu - i l_\mu)w^\dagger), \quad \chi_- = w^\dagger \chi w^\dagger - w \chi^\dagger w, \quad \chi = 2B(s + ip)$$

Note: The shape of regularization is dictated by the choice of the right-hand side of GFE

- Our choice is motivated by a Gaussian regularization of one-pion-exchange in NN

Properties under Chiral Transformation

Replace all pion fields in pion-nucleon Lagrangians $\mathcal{L}_{\pi N}^{(1)}, \dots, \mathcal{L}_{\pi N}^{(4)}$: $U \rightarrow W$

$$\mathcal{L}_{\pi N}^{(1)} = N^\dagger \left(D^0 + g u \cdot S \right) N \rightarrow N^\dagger \left(D_w^0 + g w \cdot S \right) N$$

Chiral transformation: by induction, one can show

$$U \rightarrow RUL^\dagger \rightarrow W \rightarrow RWL^\dagger$$

- Regularized pion fields transform under τ - independent transformations
- Nucleon fields transform in τ - dependent way

$$N \rightarrow KN, \quad K = \sqrt{LU^\dagger R^\dagger R} \sqrt{U} \rightarrow N \rightarrow K_\tau N, \quad K_\tau = \sqrt{LW^\dagger R^\dagger R} \sqrt{W}$$

Gradient-Flow Equation

Analytic solution is possible of $1/F$ - expanded gradient flow equation:

$$W = 1 + i\tau \cdot \phi(1 - \alpha\phi^2) - \frac{\phi^2}{2} \left[1 + \left(\frac{1}{4} - 2\alpha \right) \phi^2 \right] + \mathcal{O}(\phi^5), \quad \phi_b = \sum_{n=0}^{\infty} \frac{1}{F^n} \phi_b^{(n)}$$

In the absence of external sources we have

$$[\partial_\tau - (\partial_\mu^x \partial_\mu^x - M^2)] \phi_b^{(1)}(x, \tau) = 0, \quad \phi_b^{(1)}(x, 0) = \pi_b(x)$$

$$[\partial_\tau - (\partial_\mu^x \partial_\mu^x - M^2)] \phi_b^{(3)}(x, \tau) = (1 - 2\alpha) \partial_\mu \phi^{(1)} \cdot \partial_\mu \phi^{(1)} \phi_b^{(1)} - 4\alpha \partial_\mu \phi^{(1)} \cdot \phi^{(1)} \partial_\mu \phi_b^{(1)} \\ + \frac{M^2}{2} (1 - 4\alpha) \phi^{(1)} \cdot \phi^{(1)} \phi_b^{(1)}, \quad \phi_b^{(3)}(x, 0) = 0$$

Iterative solution in momentum space: $\tilde{\phi}^{(n)}(q, \tau) = \int d^4x e^{iq \cdot x} \phi_b^{(n)}(x, \tau)$

$$\tilde{\phi}_b^{(1)}(q) = e^{-\tau(q^2 + M^2)} \tilde{\pi}_b(q)$$

$$\tilde{\phi}_b^{(3)}(q) = \int \frac{d^4q_1}{(2\pi)^4} \frac{d^4q_2}{(2\pi)^4} \frac{d^4q_3}{(2\pi)^4} (2\pi)^4 \delta(q - q_1 - q_2 - q_3) \int_0^\tau ds e^{-(\tau-s)(q^2 + M^2)} e^{-s \sum_{j=1}^3 (q_j^2 + M^2)} \\ \times \left[4\alpha q_1 \cdot q_3 - (1 - 2\alpha) q_1 \cdot q_2 + \frac{M^2}{2} (1 - 4\alpha) \right] \tilde{\pi}(q_1) \cdot \tilde{\pi}(q_2) \tilde{\pi}_b(q_3)$$

Integration over momenta of pion fields with Gaussian prefactor introduces smearing

Iterative solution in Coordinate Space

$$\phi(x_\mu, \tau) = \text{[Diagram 1]} + \text{[Diagram 2]} + \dots$$

[integrated over \vec{x}_1, t_1, τ_1]

Light-shaded area visualizes smearing in Euclidean space of size $\sim \sqrt{2\tau}$

Solid line stands for Green-function:

$$[\partial_\tau - (\partial_\mu^x \partial_\mu^x - M^2)] G(x - y, \tau - s) = \delta(x - y) \delta(\tau - s)$$

$$G(x, \tau) = \theta(\tau) \int \frac{d^4 q}{(2\pi)^4} e^{-\tau(q^2 + M^2)} e^{-i q \cdot x}$$

$$\phi_b^{(1)}(x, \tau) = \int d^4 y G(x - y, \tau) \pi_b(y)$$

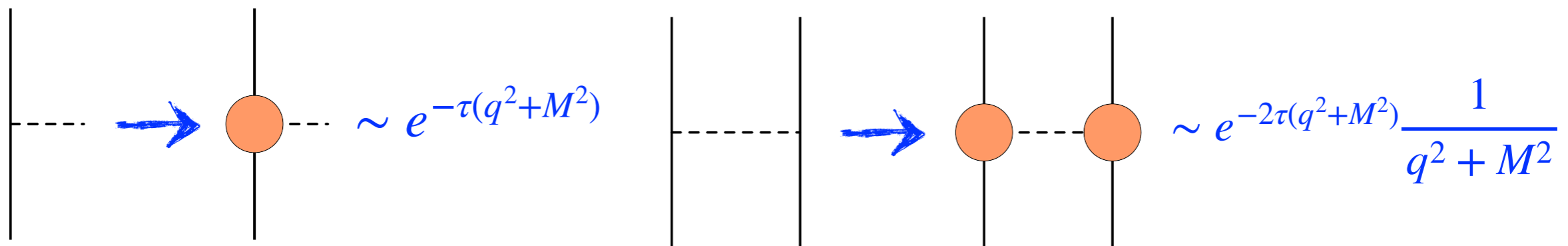
$$\begin{aligned} \phi_b^{(3)}(x, \tau) = & \int_0^\tau ds \int d^4 y G(x - y, \tau - s) \left[(1 - 2\alpha) \partial_\mu \phi^{(1)}(y, s) \cdot \partial_\mu \phi^{(1)}(y, s) \phi_b^{(1)}(y, s) \right. \\ & \left. - 4\alpha \partial_\mu \phi^{(1)}(y, s) \cdot \phi^{(1)}(y, s) \partial_\mu \phi_b^{(1)}(y, s) + \frac{M^2}{2} \phi^{(1)}(y, s) \cdot \phi^{(1)}(y, s) \phi_b^{(1)}(y, s) \right] \end{aligned}$$

Regularization for Nuclear Forces

To regularize long-range part of the nuclear forces and currents

- Leave pionic Lagrangians $\mathcal{L}_\pi^{(2)}$ & $\mathcal{L}_\pi^{(4)}$ unregularized (essential)
- Replace all pion fields in pion-nucleon Lagrangians $\mathcal{L}_{\pi N}^{(1)}, \dots, \mathcal{L}_{\pi N}^{(4)}$: $U \rightarrow W$

$$\mathcal{L}_{\pi N}^{(1)} = N^\dagger \left(D^0 + g u \cdot S \right) N \rightarrow N^\dagger \left(D_w^0 + g w \cdot S \right) N$$

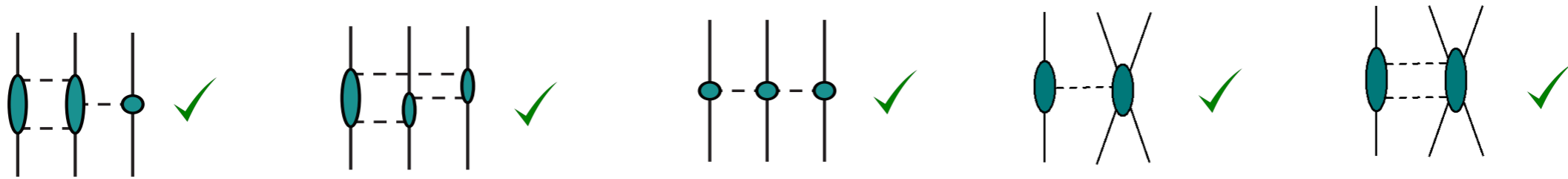


For $\tau = \frac{1}{2\Lambda^2}$ this regulator reproduces SMS regularization of OPE

Status Report on 3NF

Status Report on 3N at N³LO

- We calculated all long- and short-range contributions to 3NF & 4NF at N³LO



3NF's are given in terms of integrals over Schwinger parameters

$$V_{3N}^{2\pi-1\pi} = \tau_1 \cdot \tau_2 \times \tau_3 \vec{q}_1 \cdot \vec{\sigma}_1 \times \vec{\sigma}_2 \vec{q}_3 \cdot \vec{\sigma}_3 \frac{e^{-\frac{q_3^2 + M_\pi^2}{\Lambda^2}}}{q_3^2 + M_\pi^2} \left(-\frac{g_A^4}{F_\pi^6} \frac{q_1}{2048\pi} \int_0^\infty d\lambda \operatorname{erfi} \left(\frac{q_1 \lambda}{2\Lambda \sqrt{2 + \lambda}} \right) \frac{\exp \left(-\frac{q_1^2 + 4M_\pi^2}{4\Lambda^2} (2 + \lambda) \right)}{2 + \lambda} + \dots \right) + \dots$$

Dimension of integrals over Schwinger parameters depends on topology

Space			
Momentum	2	1	3
Coordinate	4	1	0

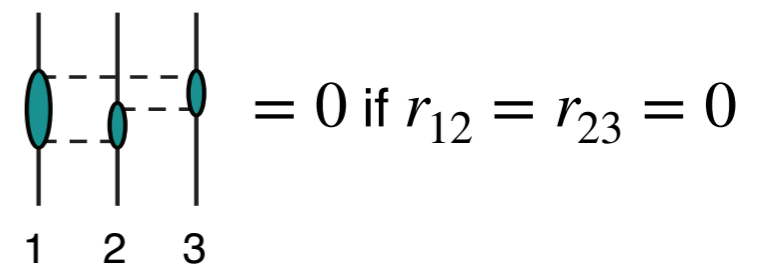
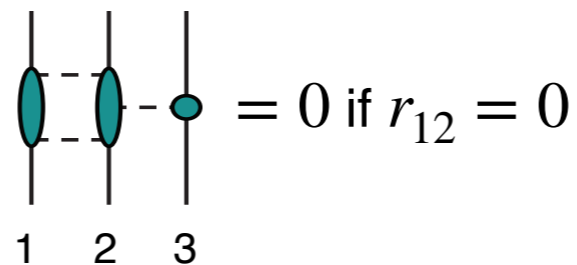
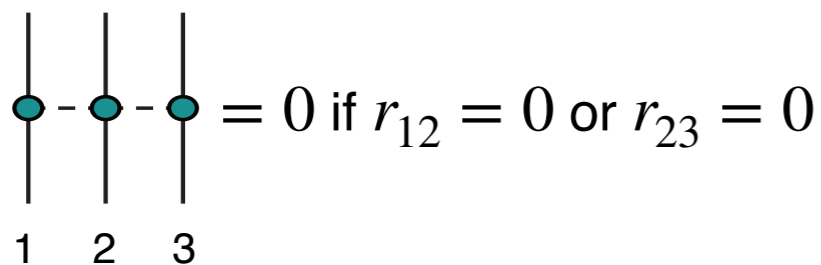
Subtraction Scheme

Choice of the short-range scheme

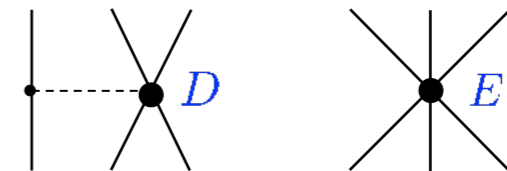
- NN case: local part of NN force vanishes if distance between nucleons vanishes

➔ leads to natural size of LECs

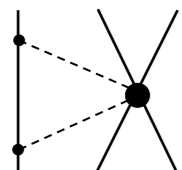
- 3N case: vanishing of the local part of 3NF is topology dependent



Can be achieved by adjustment of D- and E-like terms:



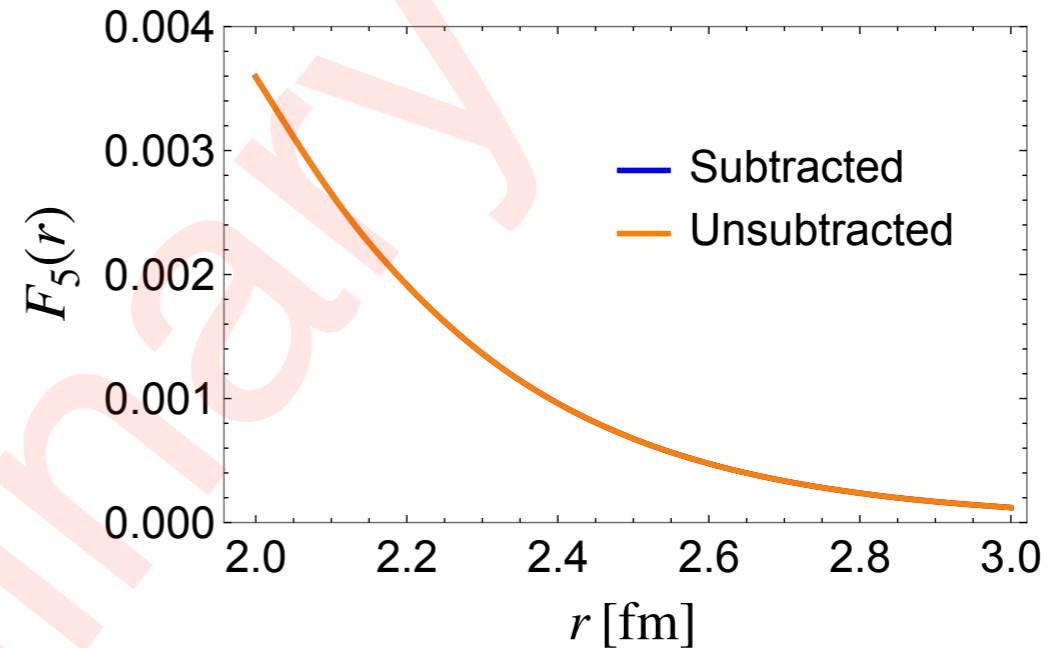
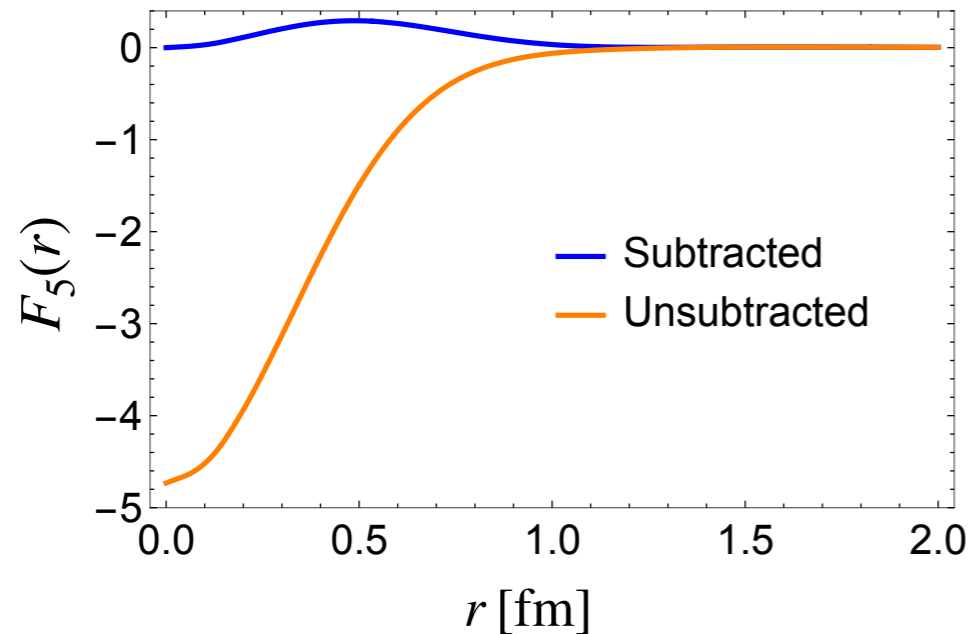
Vanishing of 3NF for any $r_{ij} = 0$ would require inclusion of two-pion-contact terms



Appear first at N⁵LO and are expected to be small

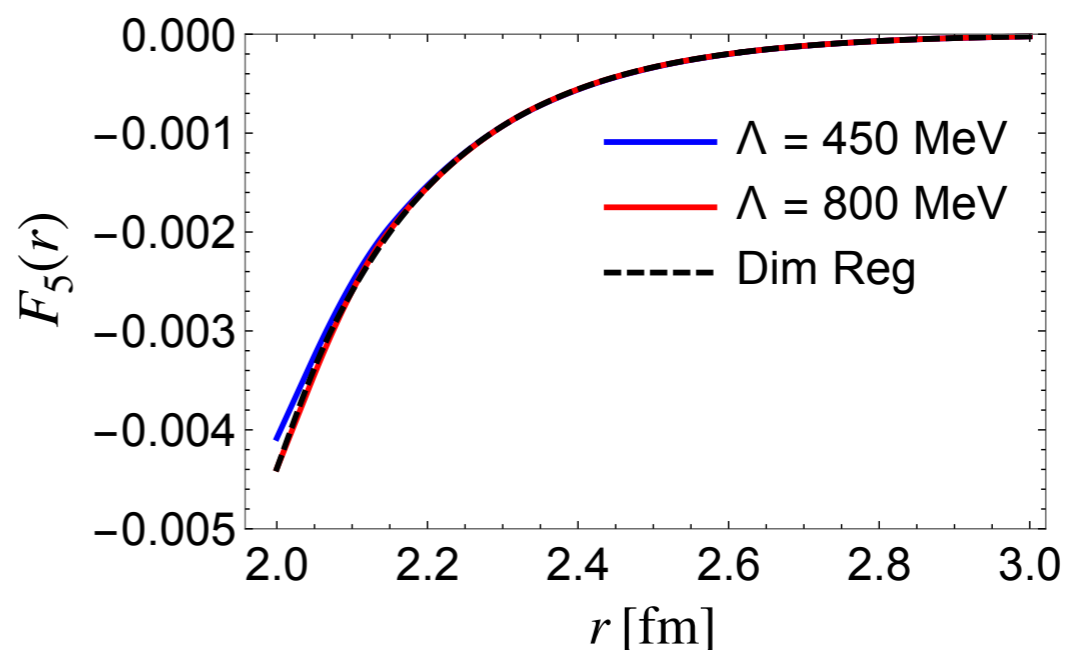
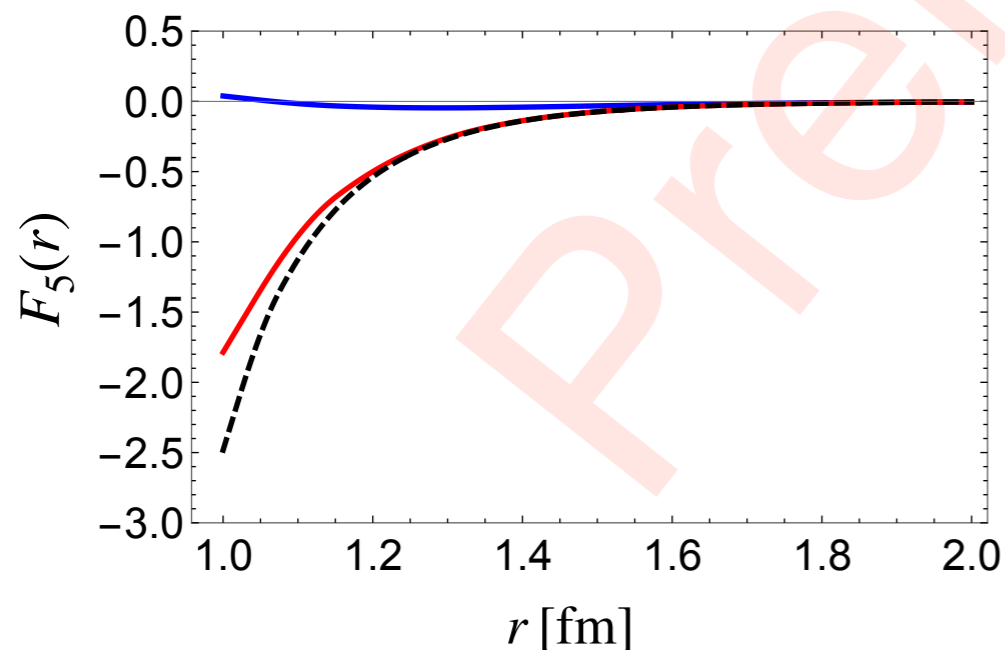
Selected Profile Functions

$$V_{3N}^{\text{ring}} = F_1(r_{12}, r_{23}, r_{13}) + \dots + \tau_2 \cdot \tau_3 \vec{\sigma}_1 \cdot \vec{\sigma}_2 F_5(r_{12}, r_{23}, r_{13}) + \dots \quad F_5(r) = F_5(r, r, r) \text{ [MeV]}$$

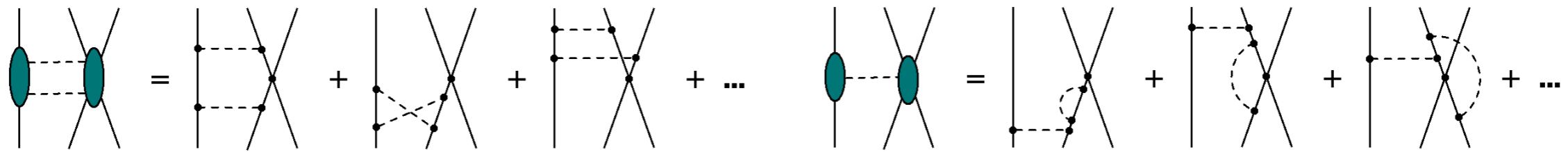


By construction: subtracted & unsubtracted forces differ in the short-range region

At $\Lambda \rightarrow \infty$ regularized 3NF reproduce dim. reg. results from [Bernard et al. PRC77 \(08\)](#)



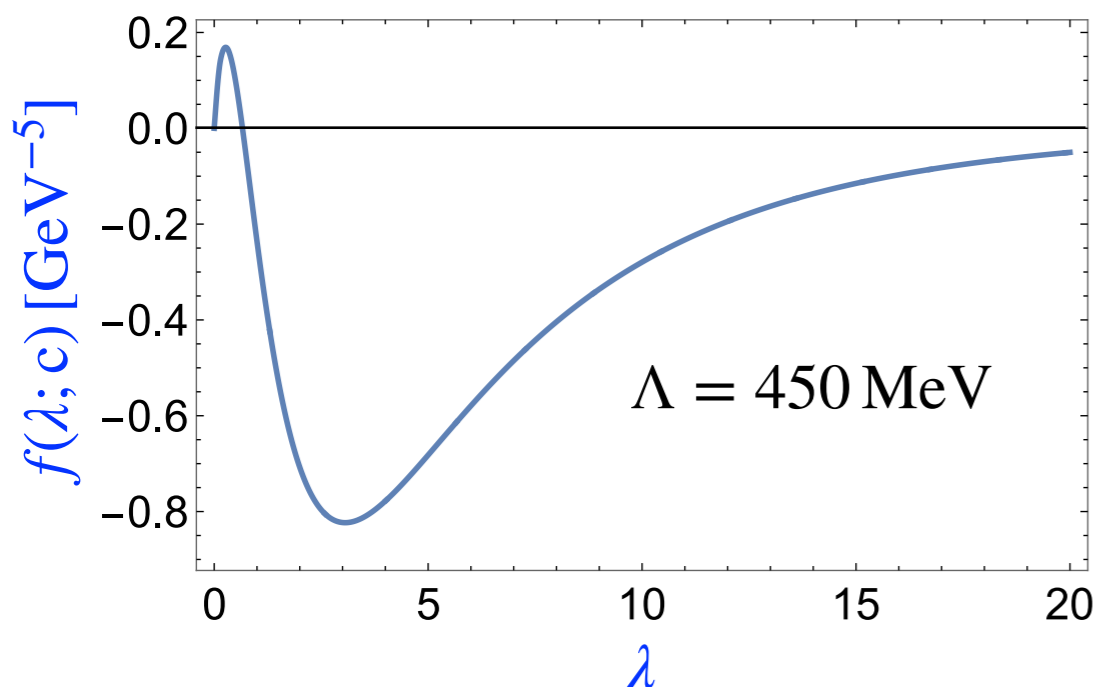
Short-Range Part on 3NF at N3LO



- Non-locality introduces additional momenta
- To get a finite 3NF in $\Lambda \rightarrow \infty$ limit we have to perform 5 additional field-transformations which include second power of the pion propagator

➔ more extensive calculation

Short-range parts are given in terms of 1-dim integrals over Schwinger parameters



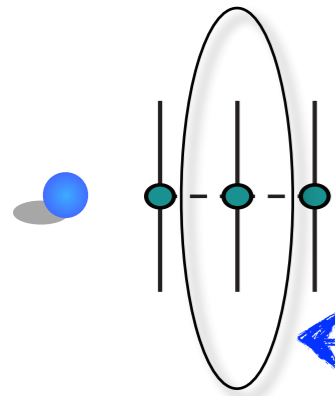
Selected structure & configuration c :

$$\hat{q}_1 \cdot \vec{\sigma}_2 \hat{q}_1 \cdot \vec{\sigma}_3 \tau_1 \cdot \tau_3 C_S \int_0^\infty d\lambda f(\lambda; c)$$

c includes momenta in MeV & cosines of angles:

q_1	k_1	q_{23}	k_{23}	$\hat{q}_1 \cdot \hat{k}_1$	$\hat{q}_1 \cdot \hat{q}_{23}$	$\hat{q}_1 \cdot \hat{k}_{23}$	$\hat{k}_1 \cdot \hat{q}_{23}$	$\hat{k}_1 \cdot \hat{k}_{23}$	$\hat{q}_{23} \cdot \hat{k}_{23}$
1	$\frac{1}{2}$	3	2	$-\frac{1}{6}$	$\frac{1}{2}$	$\frac{1}{5}$	$\frac{1}{7}$	$-\frac{1}{9}$	$\frac{1}{8}$

Homework



TPE topology includes pion-nucleon amplitude as a subprocess

Pion-nucleon amplitude with gradient-flow regulator depends on c_i 's

Fit c_i 's to pion-nucleon sub-threshold coefficients which are determined from Roy-Steiner equation

Calculation of pion-nucleon scattering with gradient-flow regulator required

Partial wave decomposition (PWD): K. Hebeler, A. Nogga & R. Skibinski

PWD is computationally more expensive, due to higher dimension of integrals over Schwinger parameters

Summary

- Path-integral approach for derivation of nuclear forces
- Gradient flow regularization preserves chiral symmetry
- Long- & short-range part of 3NF at N³LO is calculated

Outlook

- Pion-nucleon scattering with gradient-flow regulator
- Partial wave decomposition
- Symmetry preserving regularized nuclear currents