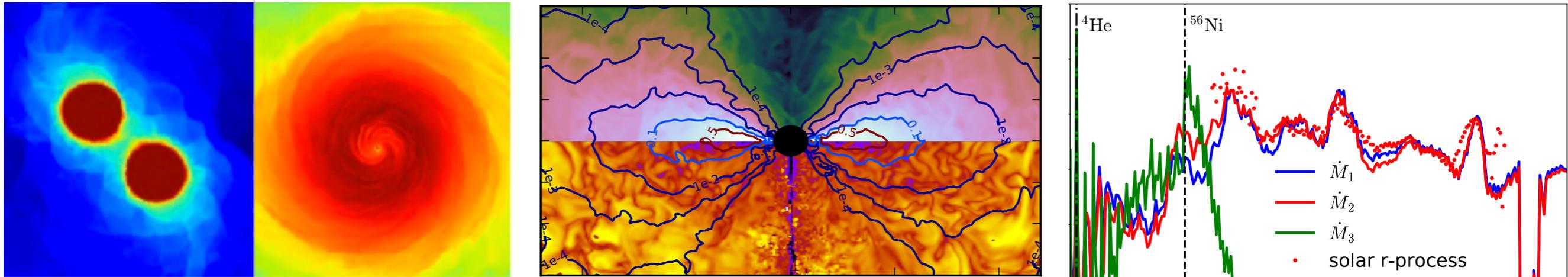


# Forging the Universe's gold



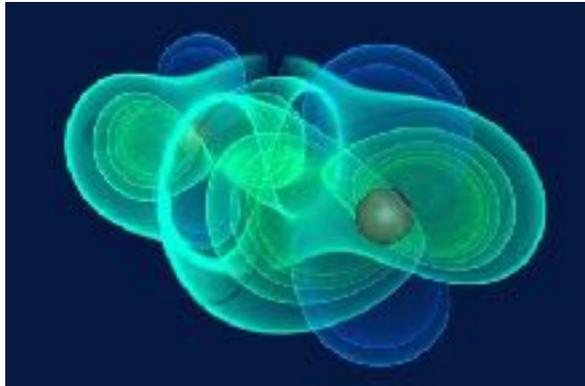
Daniel M. Siegel  
*Perimeter Institute for Theoretical Physics*  
*University of Guelph*



Theory Canada 14, TRIUMF & UBC, Vancouver, May 31, 2019

# Exciting times for physics

Strong gravity



Gravitational waves



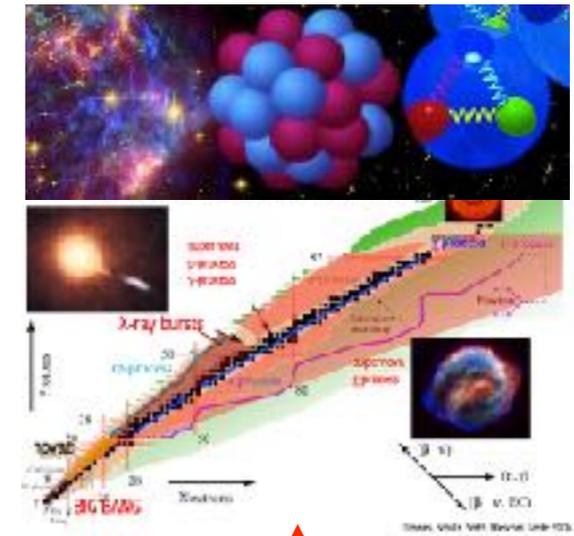
LIGO/Virgo gravitational wave detectors (just started O3!)

Transient astronomy



Electromagnetic counterparts

Nuclear astrophysics

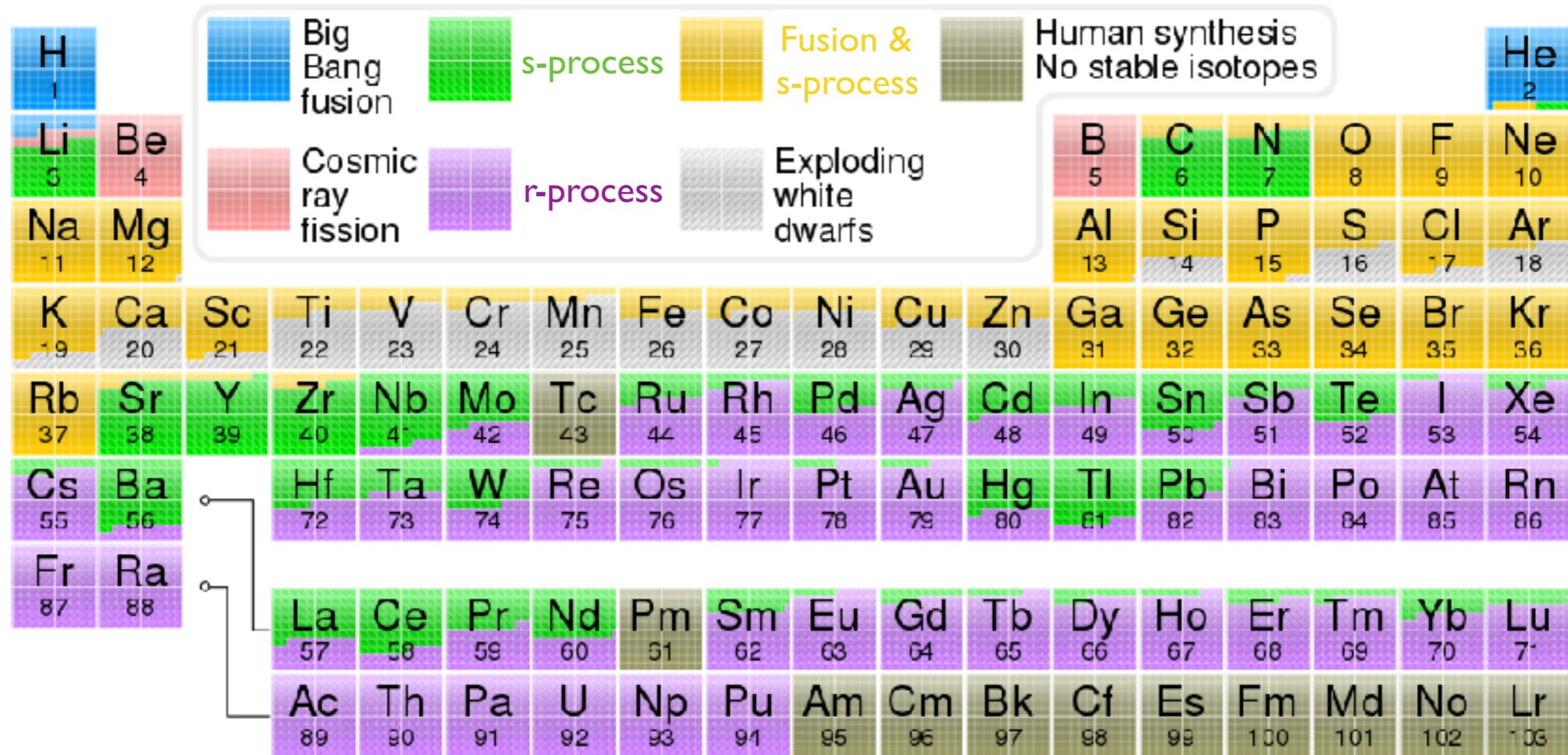


Nuclear theory & experiments



Astroparticle physics

# The origin of the elements

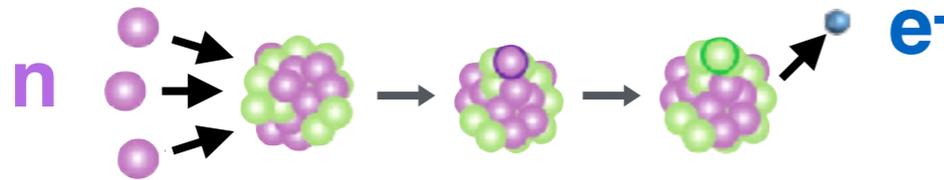


How are the *heavy* (r-process) elements formed?

# The r-process and s-process

Burbidge, Burbidge, Fowler, Hoyle (1957), Cameron (1957):

The heavy elements ( $A > 62$ ) are formed by neutron capture onto seed nuclei



slow neutron capture (s-process):

timescale for neutron capture longer than for  $\beta$ -decay

rapid neutron capture (r-process):

timescale for neutron capture shorter than for  $\beta$ -decay

→ speculated that r-process requires explosive environment of supernovae

REVIEWS OF  
MODERN PHYSICS  
VOLUME 29, NUMBER 4  
OCTOBER, 1957

## Synthesis of the Elements in Stars\*

E. MARGARET BURBIDGE, G. R. BURBIDGE, WILLIAM A. FOWLER, AND F. HOYLE

Kellogg Radiation Laboratory, California Institute of Technology, and  
Mount Wilson and Palomar Observatories, Carnegie Institution of Washington,  
California Institute of Technology, Pasadena, California

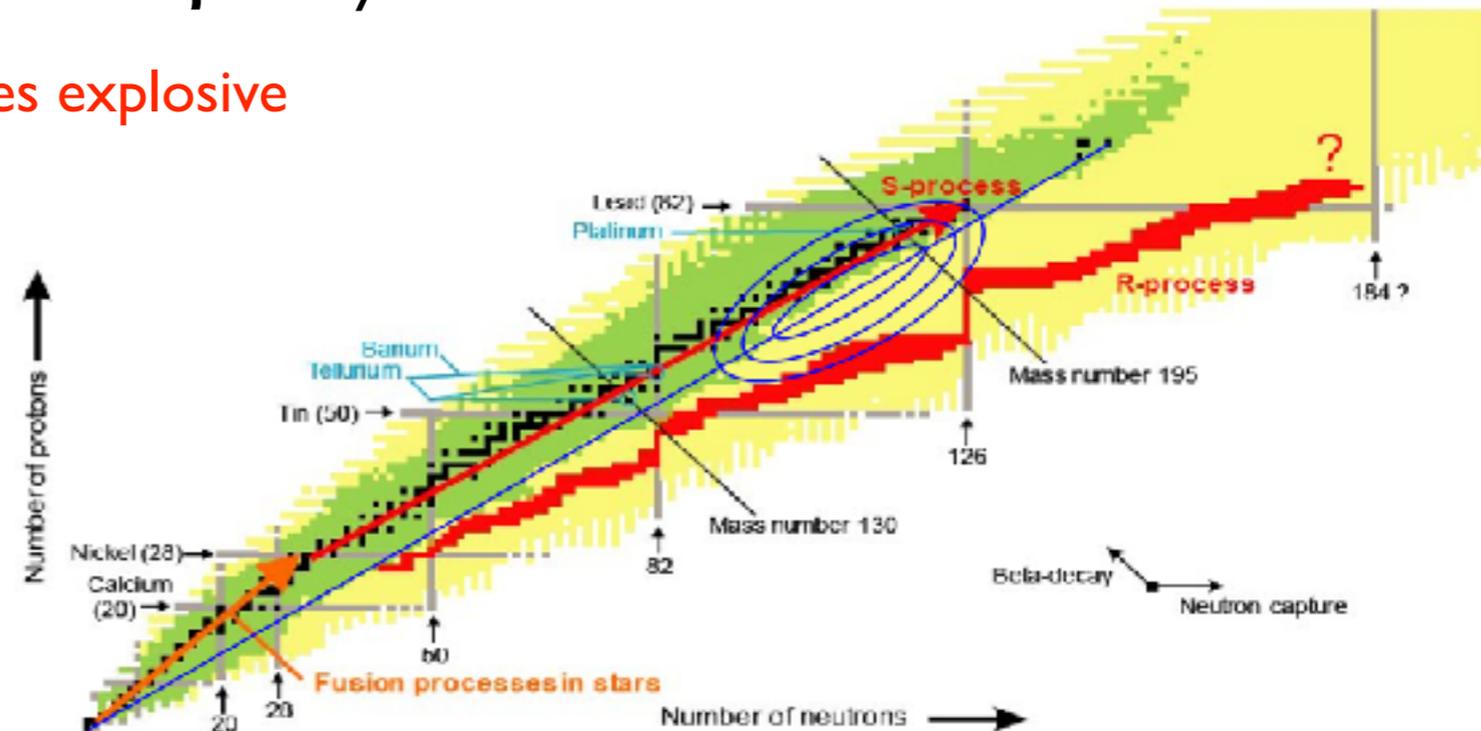
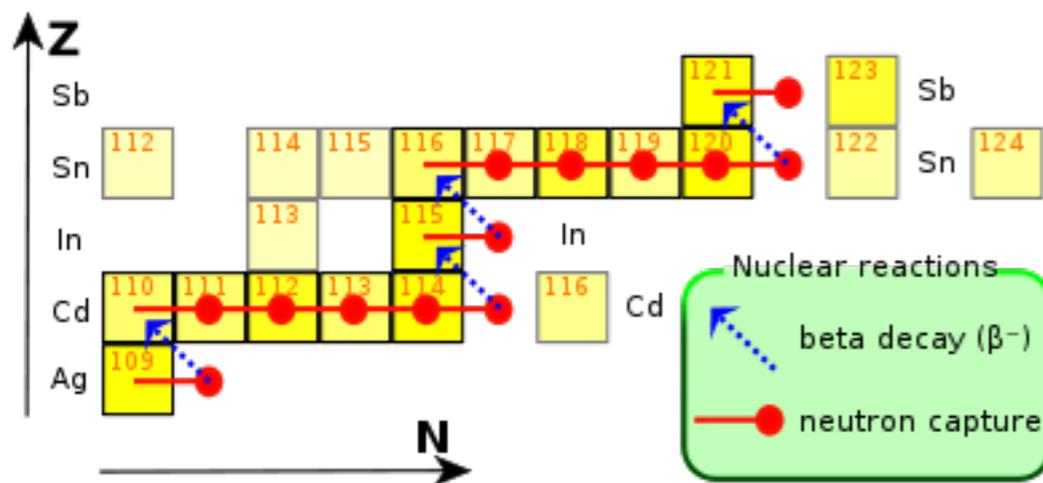
"It is the stars, The stars above us, govern our conditions";  
(*King Lear*, Act IV, Scene 3)

but perhaps

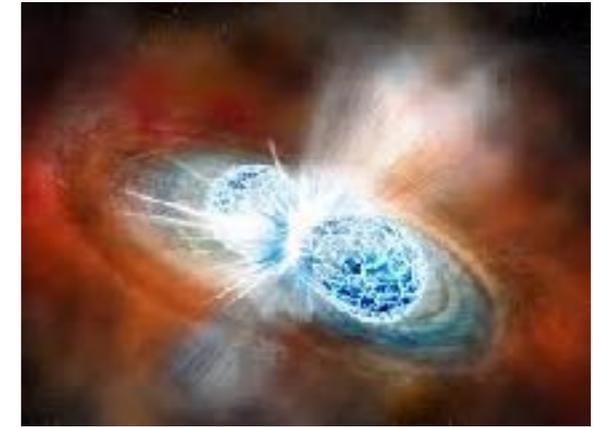
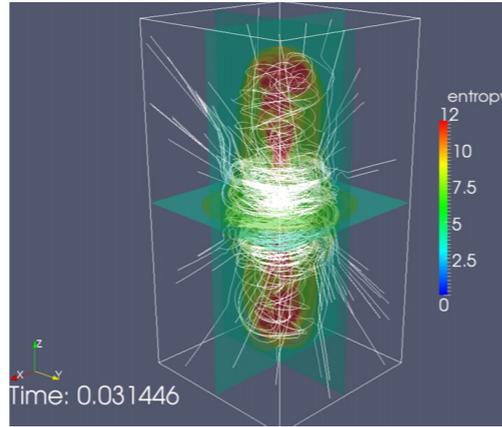
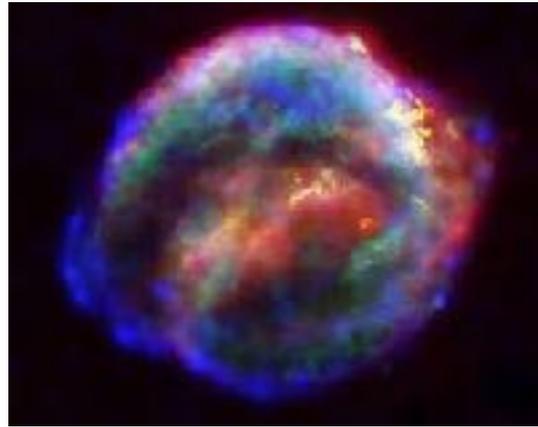
"The fault, dear Brutus, is not in our stars, But in ourselves,"  
(*Julius Caesar*, Act I, Scene 2)

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A. Element Abundances and Nuclear Structure.....	548



# r-process contenders



regular core-collapse supernovae

MHD supernovae

Collapsars

Neutron star mergers

Qian & Woosley 1996  
Hüdepohl+ 2010  
Roberts+ 2012  
Martinez-Pinedo+ 2012  
Janka 2016  
Fischer+ 2018

Winteler+ 2012  
Nishimura+ 2017  
Moesta+ 2014  
Moesta+ 2018

MacFadyen & Woosley 1999  
Siegel+ 2019

Lattimer & Schramm 1974  
Symbalisty & Schramm 1982  
Eichler+ 1989  
Meyer 1989  
Davis+ 1994  
Ruffert+ 1996

disfavored by observations & theory

likely light r-process only

heavy & light r-process

heavy & light r-process

Wallner+ 2015  
Hotokezaka+ 2015

Moesta+ 2018  
Siegel+ 2019

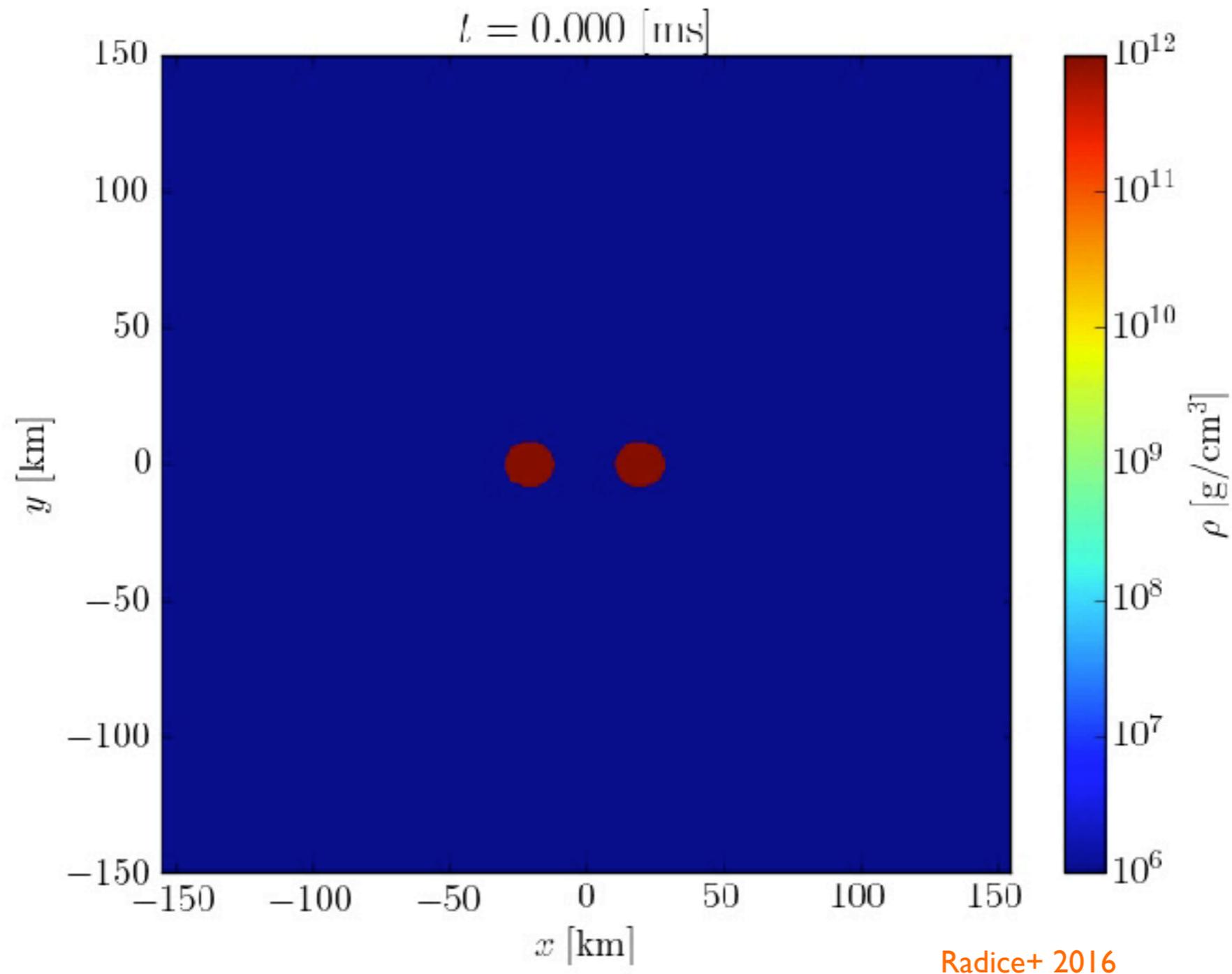
Siegel+ 2019

Siegel & Metzger 2017  
Radice+ 2018

I.

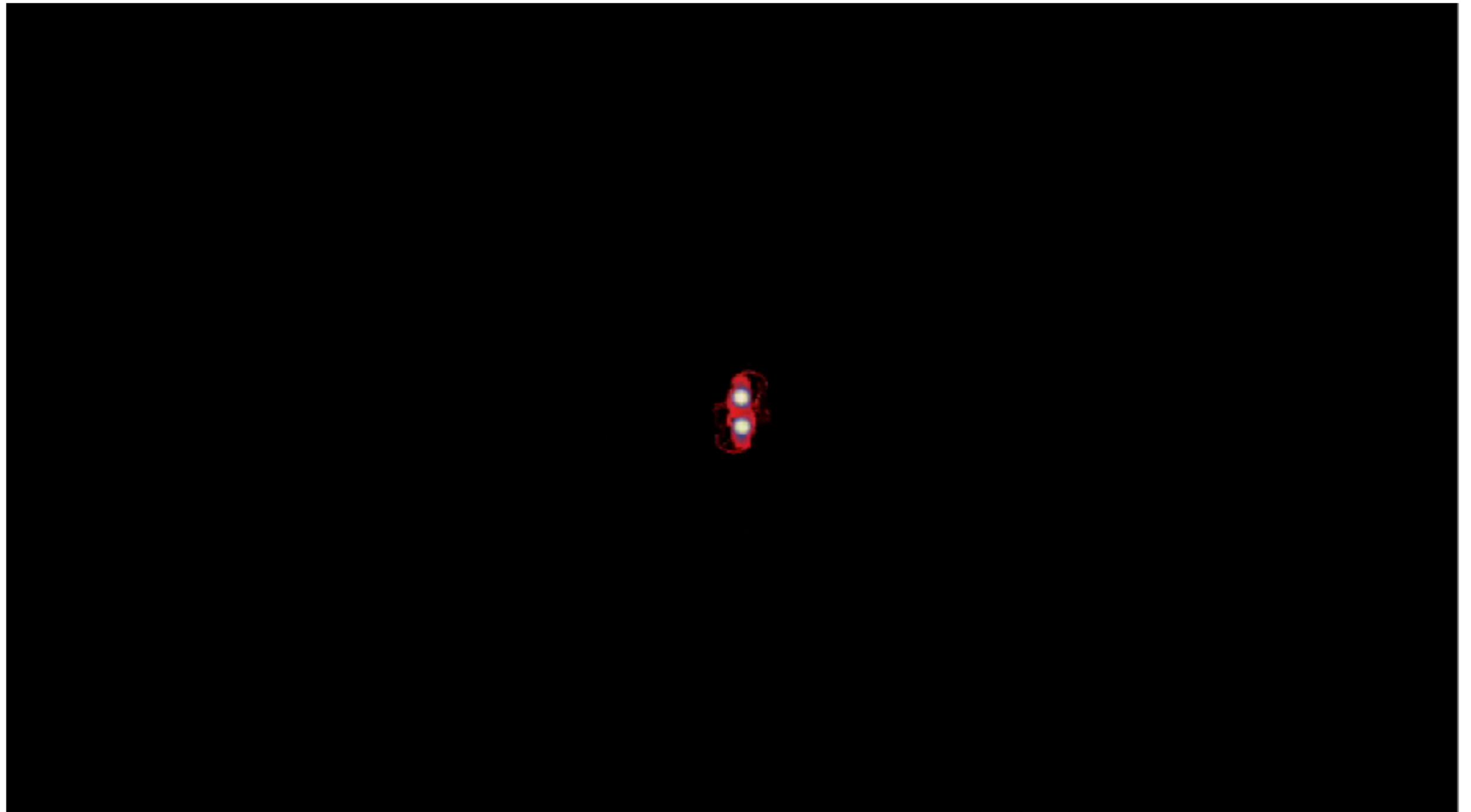
r-process in neutron star mergers

# NS-NS merger → black hole



Movie: BNS merger with prompt black-hole formation, showing dynamical ejecta and disk formation

# NS-NS merger → neutron star

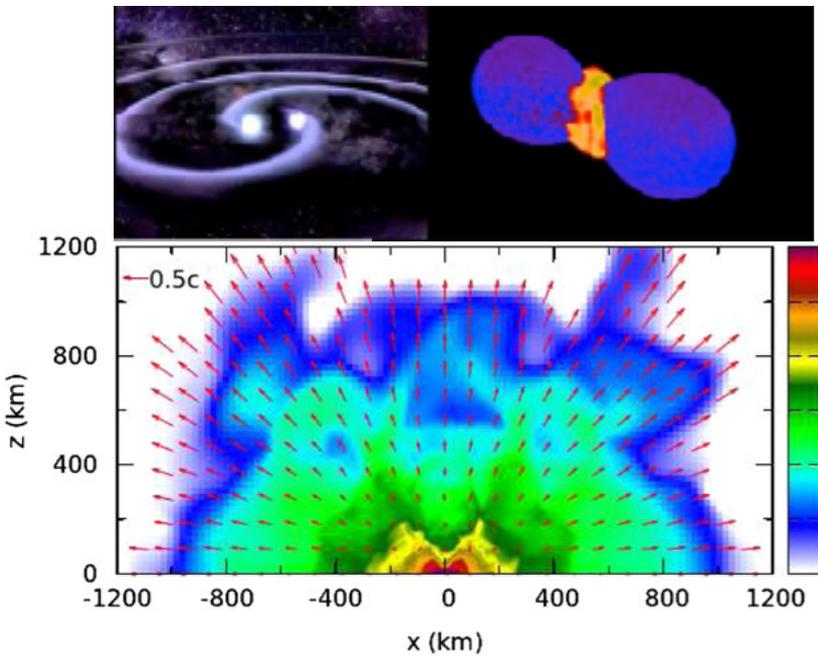


Movie: BNS merger showing dynamical ejecta and winds from remnant neutron star

Ciolfi, Siegel+2017

# Sources of ejecta in NS mergers

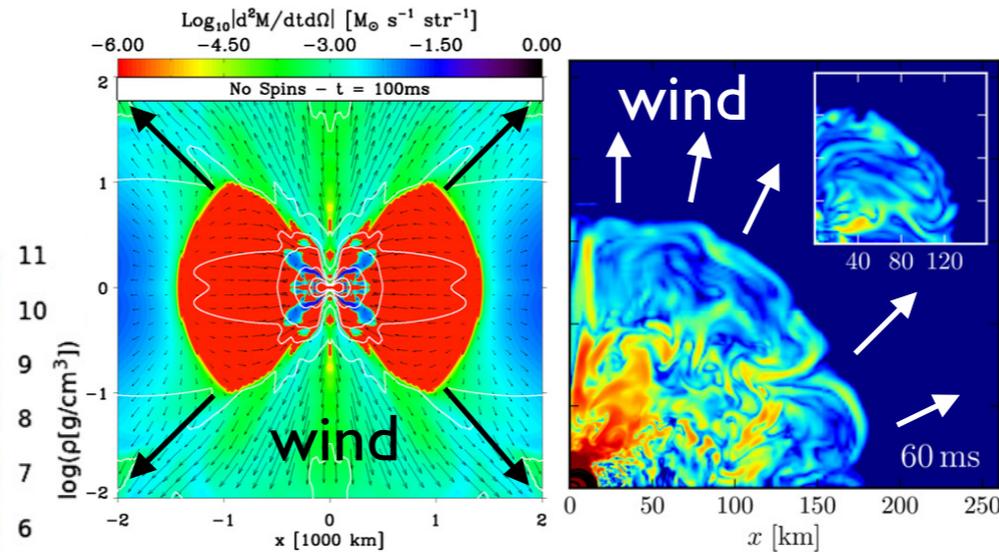
dynamical ejecta ( $\sim$ ms)



Hotokezaka+ 2013, Bauswein+ 2013

tidal ejecta  
shock-heated ejecta

winds from NS remnant ( $\sim$ 10ms-1s)



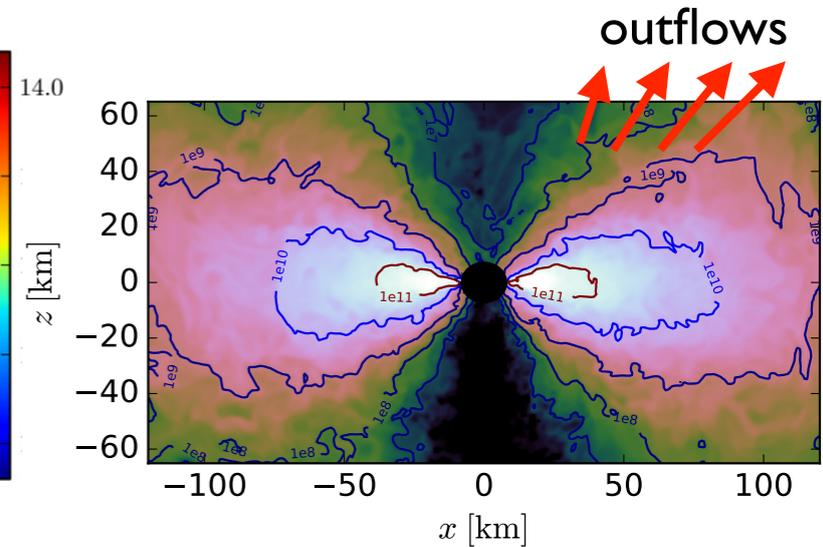
Dessart+ 2009

Siegel+ 2014  
Ciolfi, Siegel+ 2017

neutrino- and magnetically  
driven wind

(NS-NS mergers only!)

accretion disk ( $\sim$ 10ms-1s)



Siegel & Metzger 2017 PRL

disk outflows

# The GW170817 kilonova: direct r-process signature

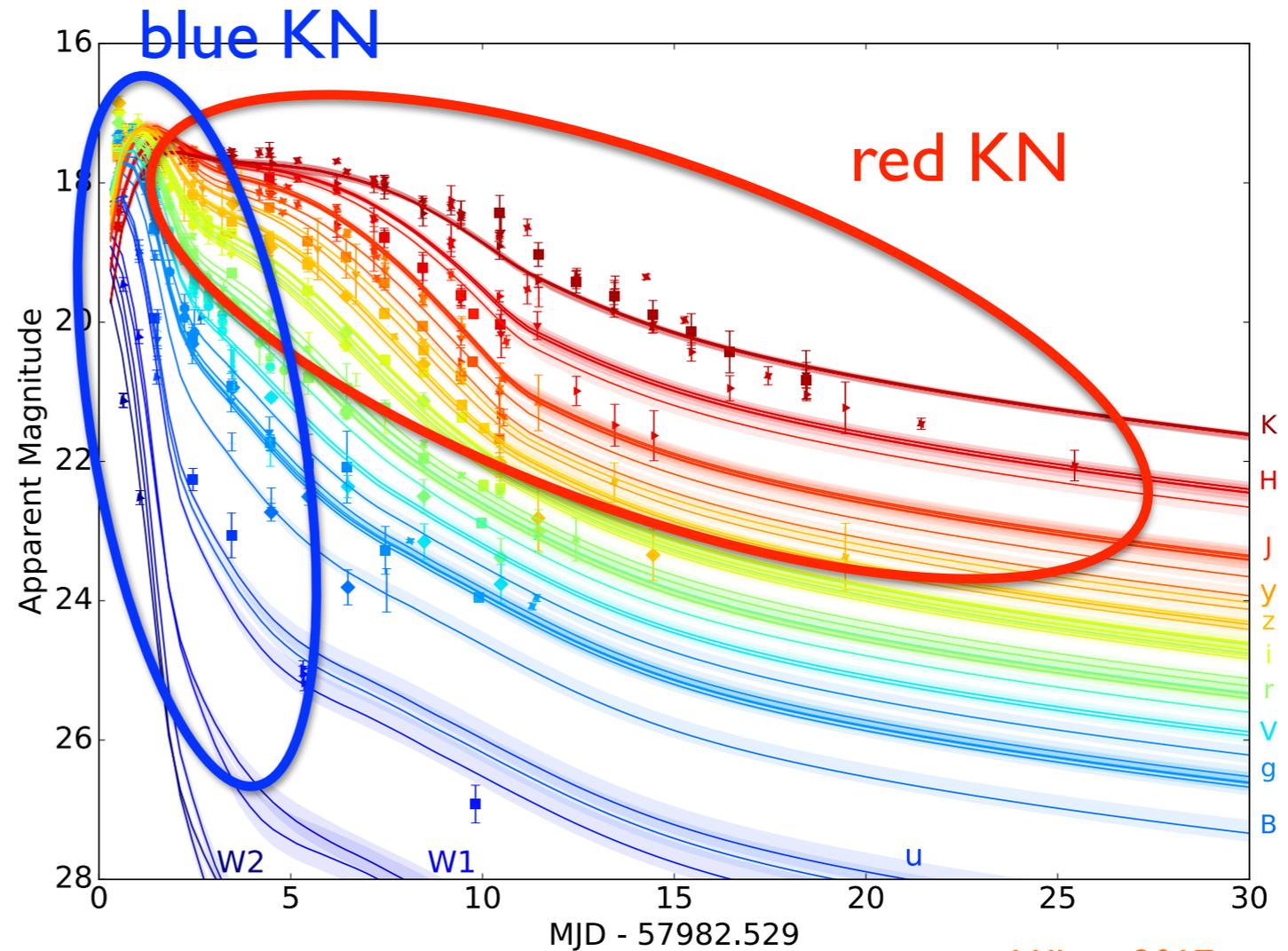
- **blue** kilonova properties:

$M_{ej} \sim 10^{-2} M_{\text{sun}}$     Kilpatrick+ 2017  
 $v_{ej} \sim 0.2-0.3c$     Kasen+ 2017  
 $Y_e > 0.25$     Nicholl+ 2017  
 $X_{La} < 10^{-4}$     Villar+ 2017  
                                  Coughlin+ 2018

- **red** kilonova properties:

$M_{ej} \sim 4-5 \times 10^{-2} M_{\text{sun}}$     Kilpatrick+ 2017  
 $v_{ej} \sim 0.08-0.14c$     Kasen+ 2017  
 $Y_e < 0.25$     Kasliwal+ 2017  
 $X_{La} \sim 0.01$     Drout+ 2017  
                                  Cowperthwaite+ 2017  
                                  Chornock+ 2017  
                                  Villar+ 2017  
                                  Coughlin+ 2018

heavy r-process elements!



# High opacities of the Lanthanides

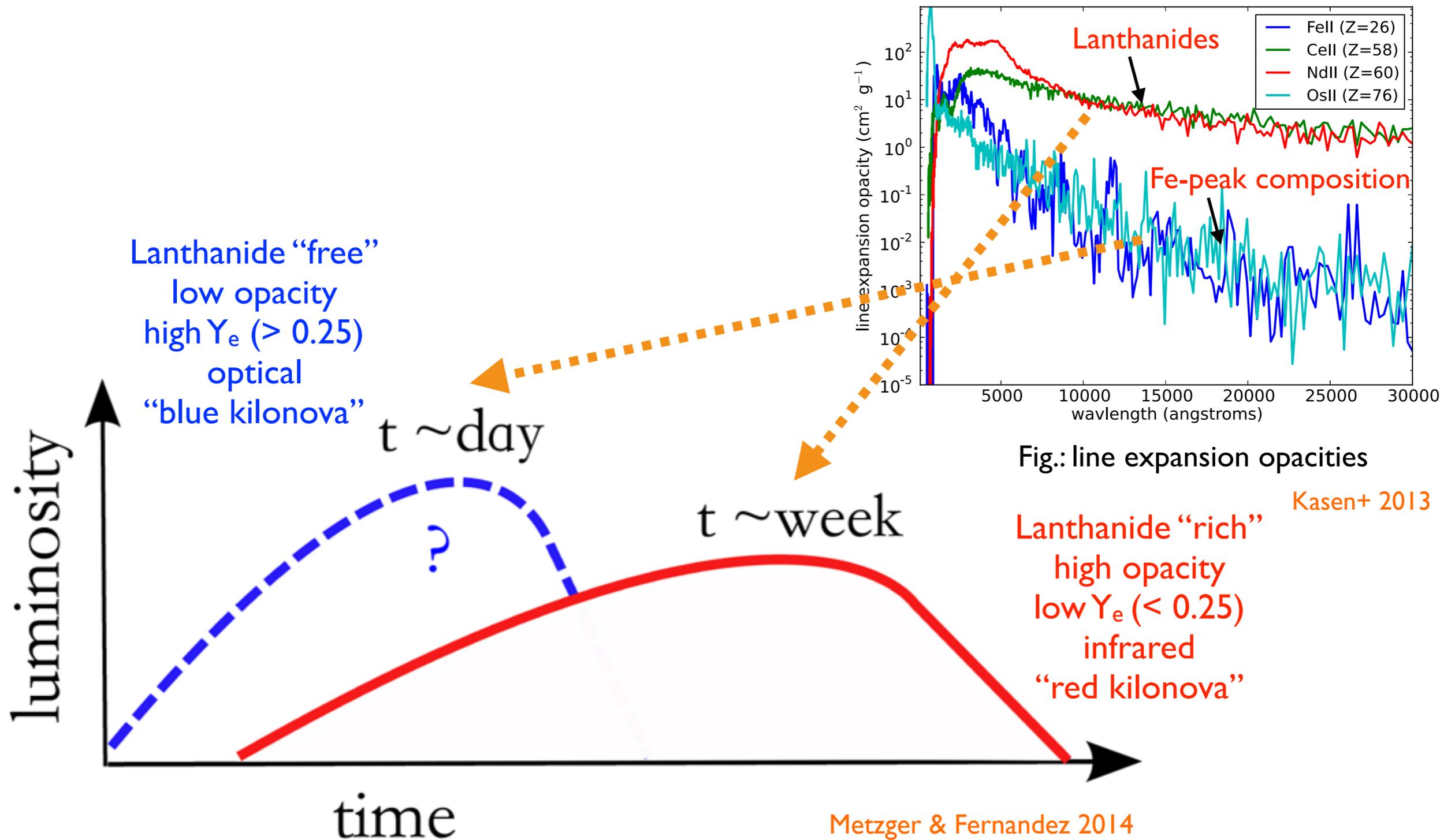


Fig.: kilonova lightcurves probe composition (Lanthanide mass fraction).

# The GW170817 kilonova: direct r-process signature

- **blue** kilonova properties:

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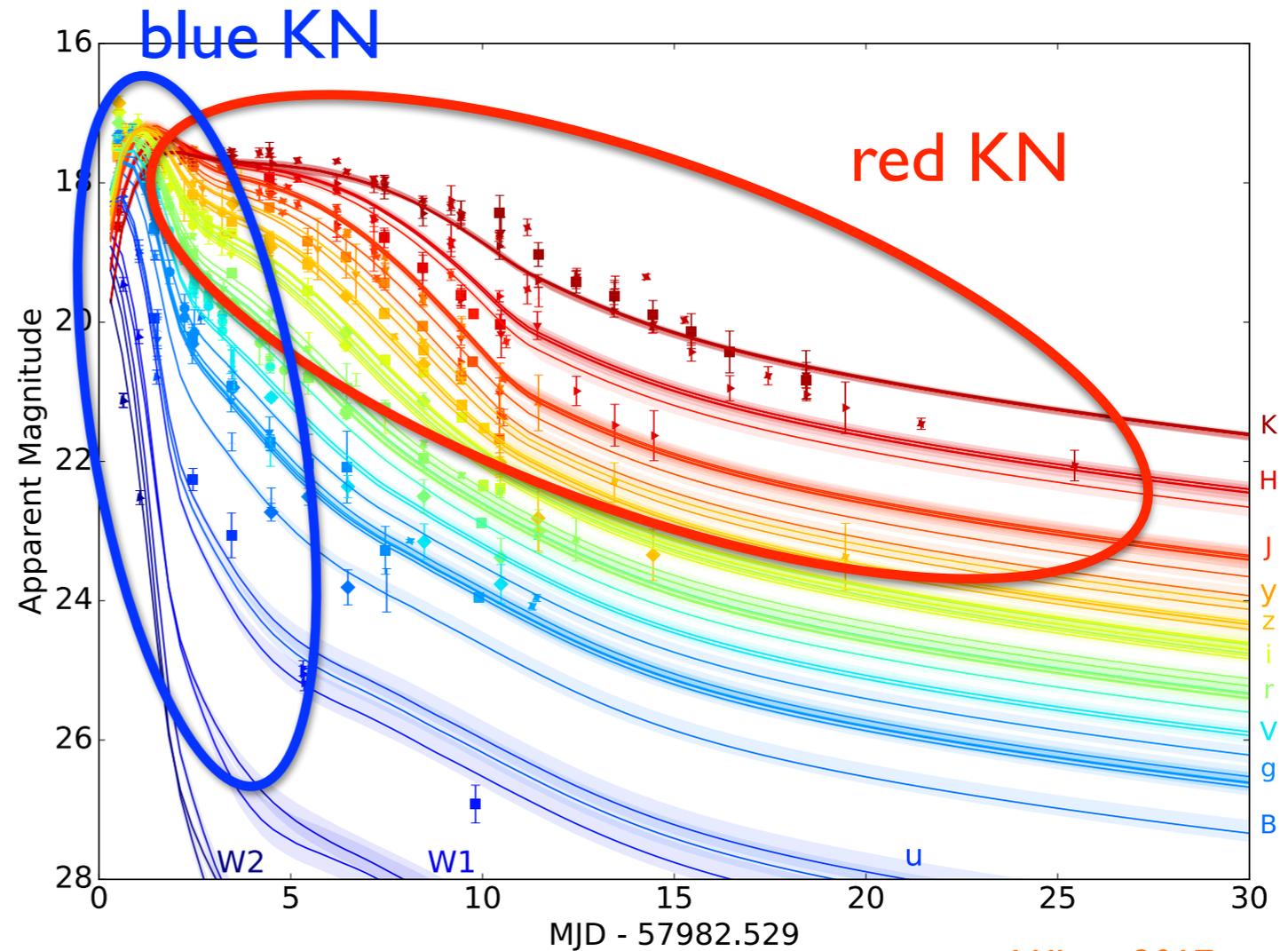
Cowperthwaite+ 2017

Chornock+ 2017

Villar+ 2017

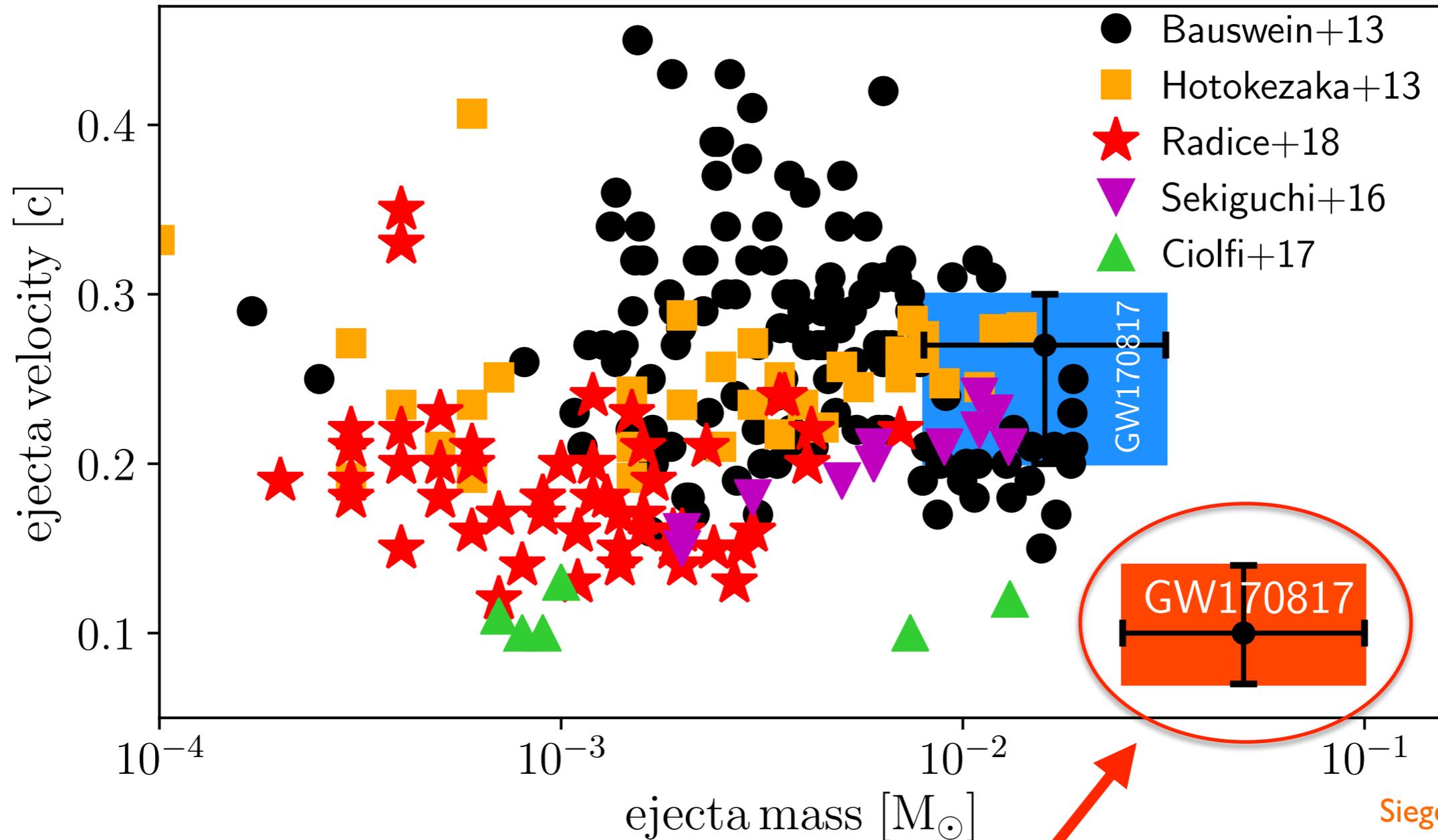
Coughlin+ 2018

↓  
heavy r-process elements!



# The GW170817 kilonova: theory faces observations

BNS merger simulations: **dynamical ejecta**

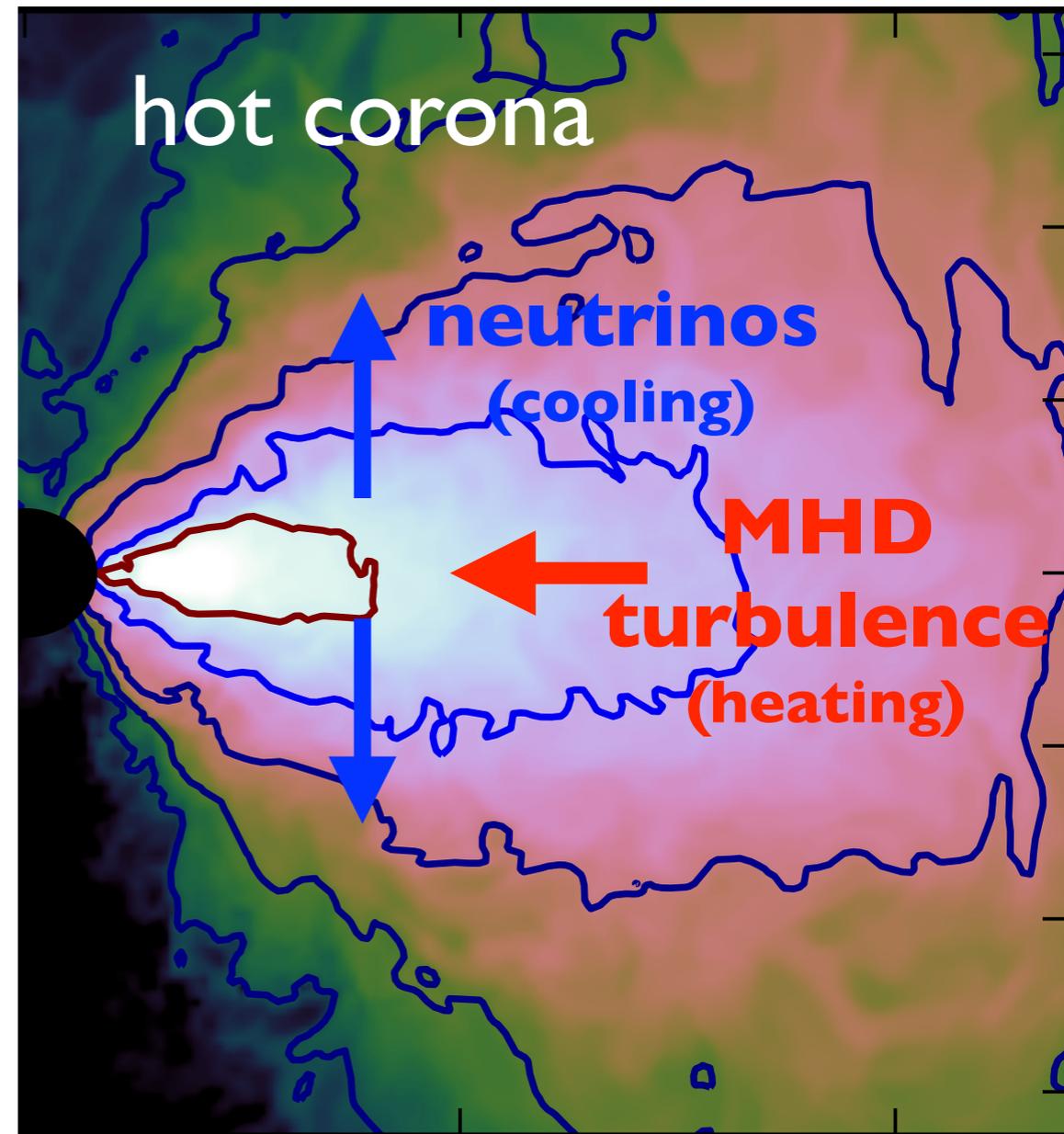
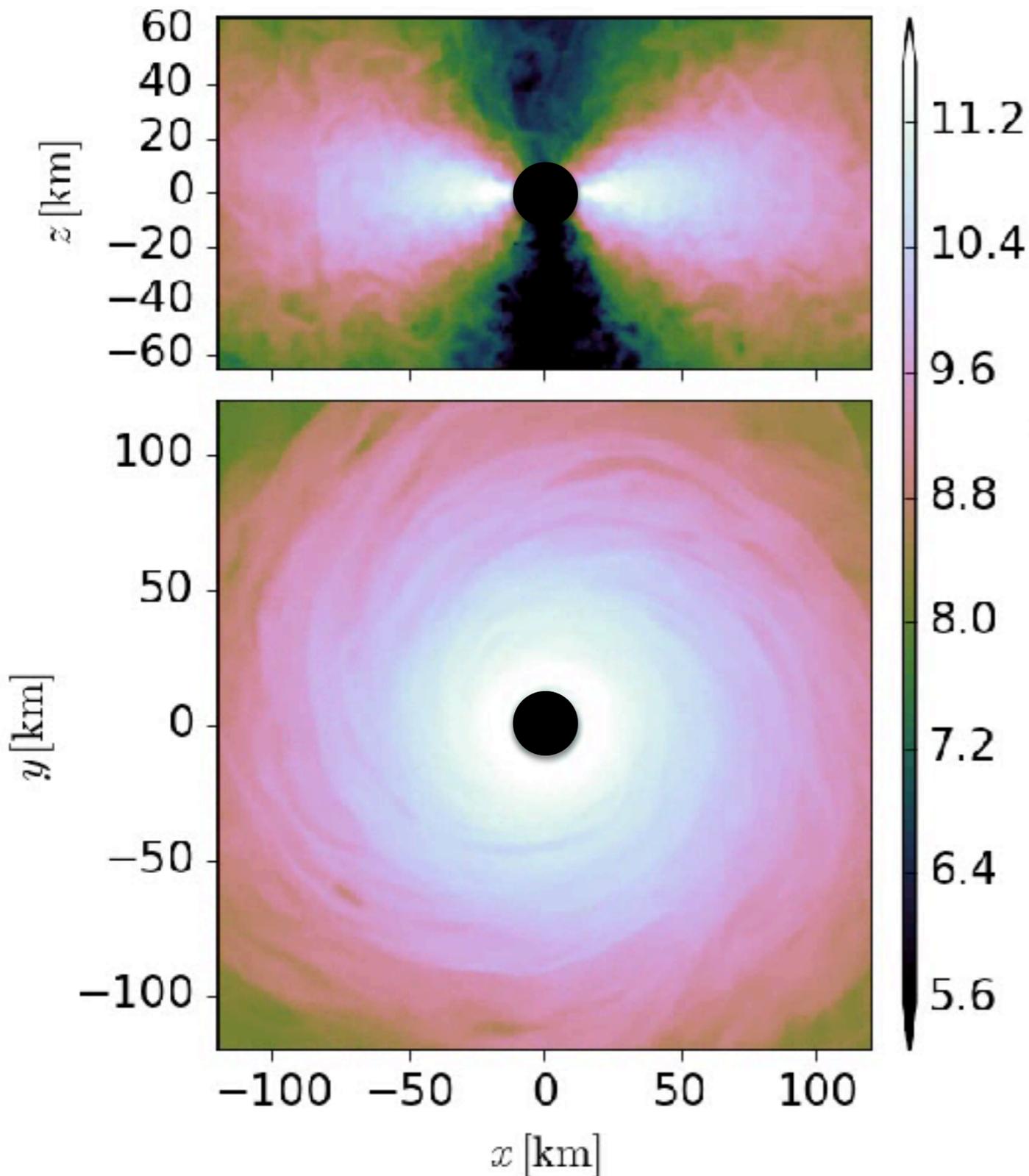


Siegel 2019

**inconsistent** with **dynamical ejection**  
**consistent** with **post-merger accretion disk**

# Post-merger accretion disk outflows

Siegel & Metzger 2017, PRL Siegel & Metzger 2018



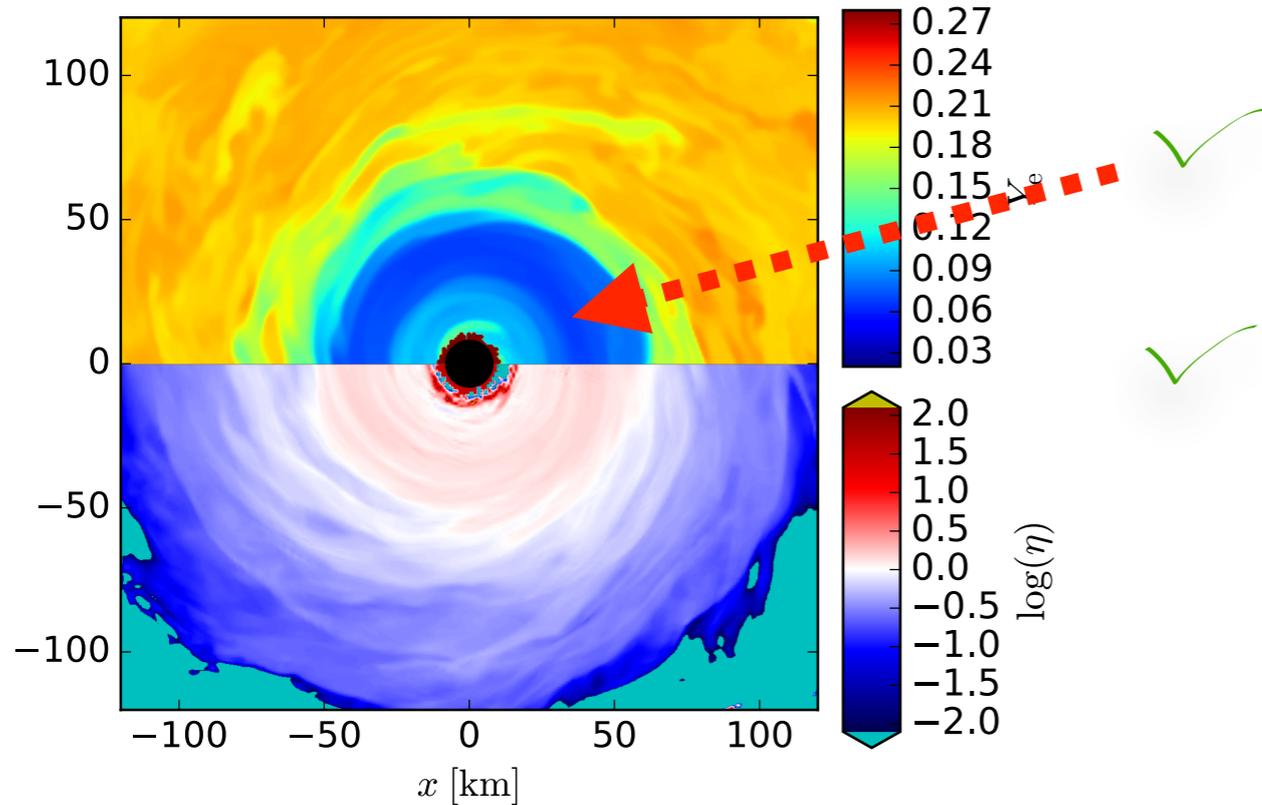
heating-cooling imbalance in corona  
launches thermal wind

$t = 20.113 \text{ ms}$

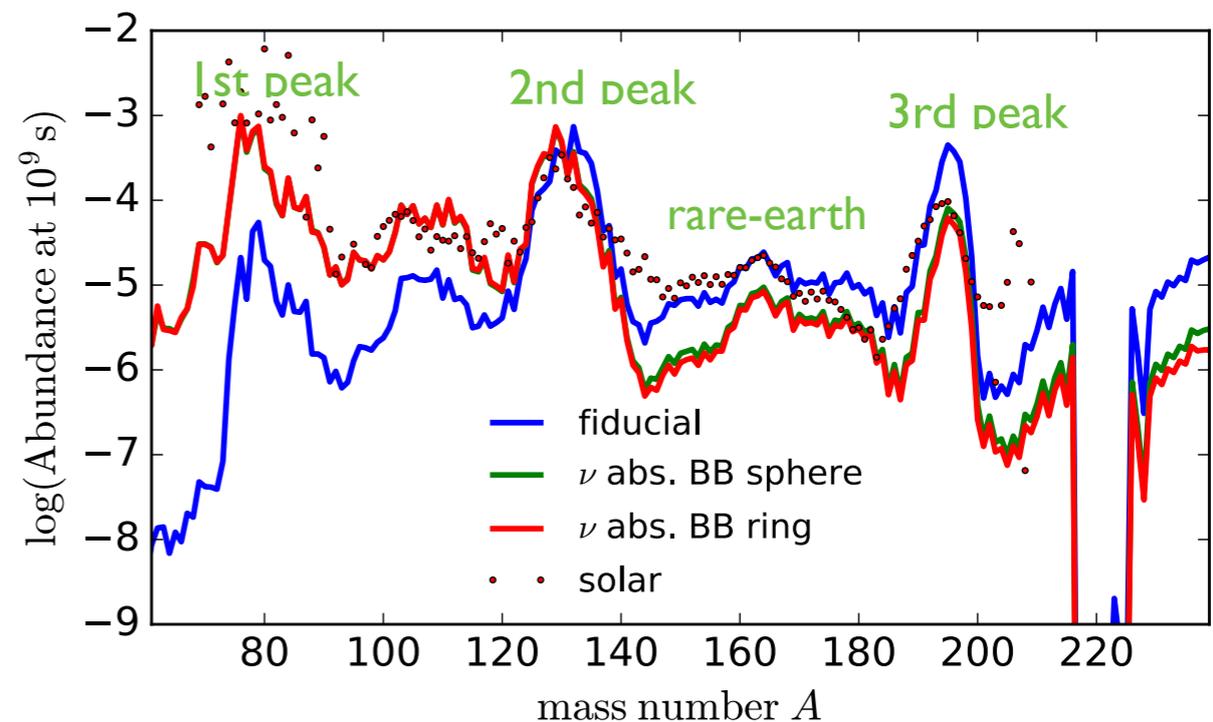
# Disk outflows and the red kilonova

Siegel & Metzger 2017, PRL

Siegel & Metzger 2018



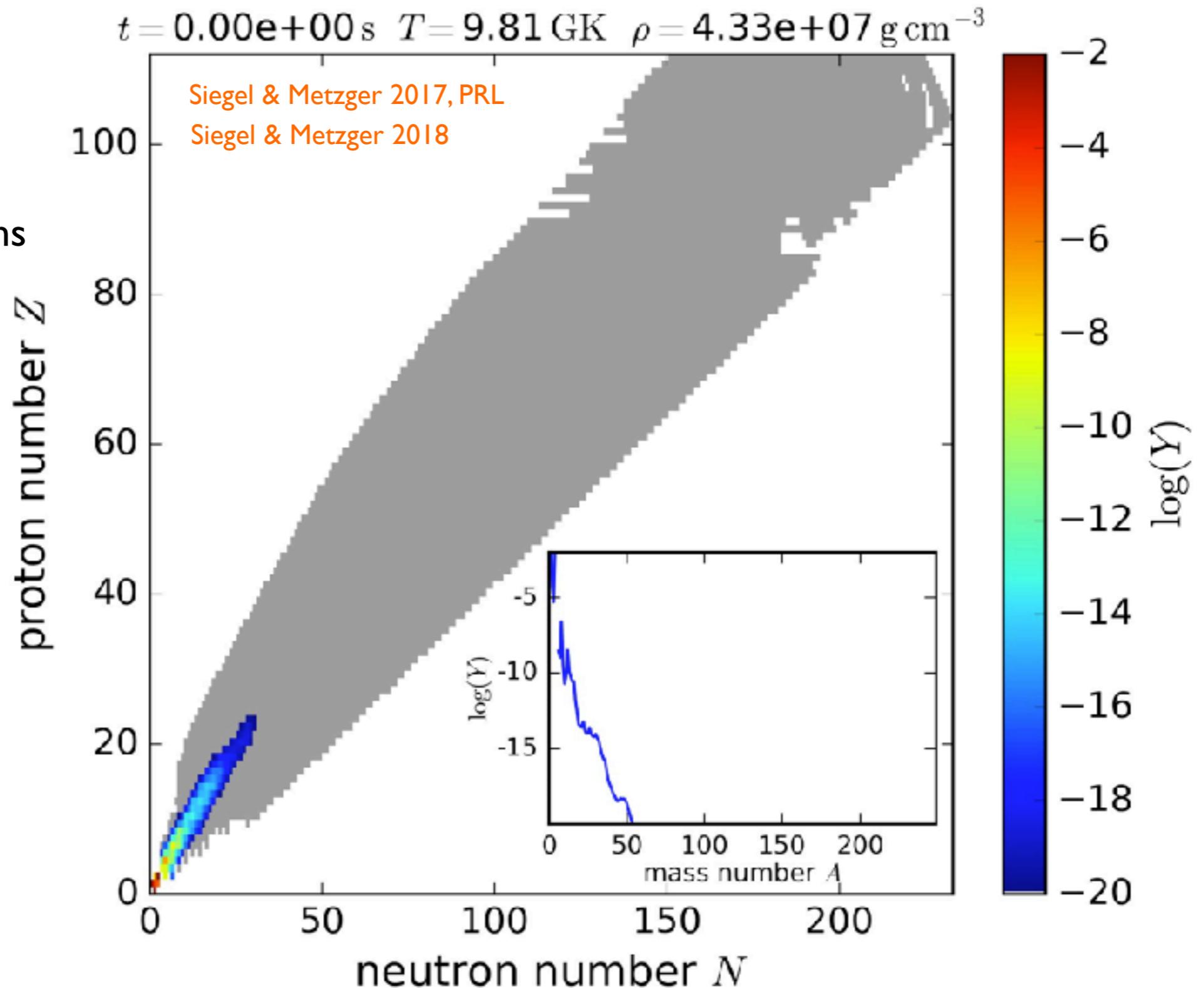
- **Neutron-richness:** self-regulation mechanism in degenerate inner disk provides neutron rich outflows ( $Y_e < 0.25$ )
- Production of full range of r-process nuclei, excellent agreement with observed r-process abundances (solar, halo stars)



# r-process nucleosynthesis in disk outflows

nuclear reaction  
network  
(SkyNet)

- neutron captures
- photo-dissociations
- $\alpha$ -,  $\beta$ -decays
- fission

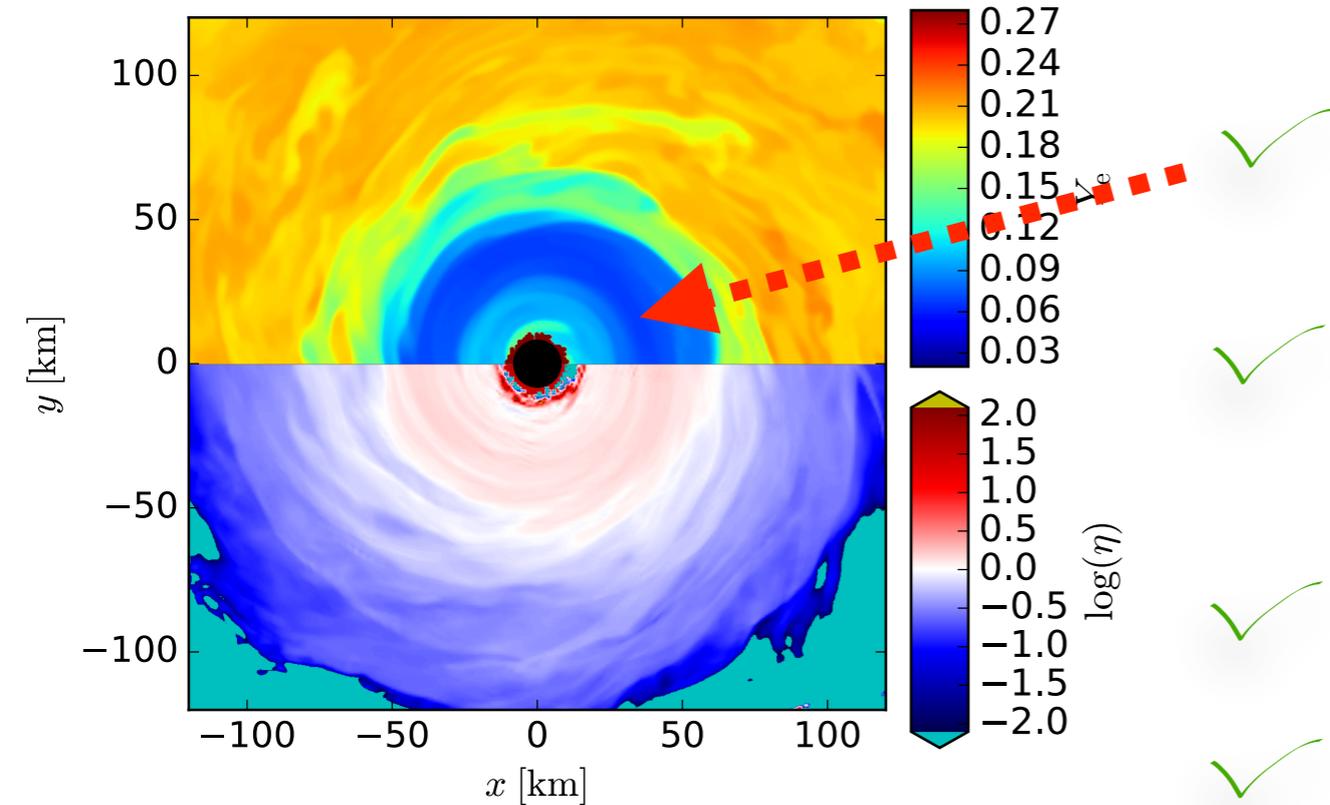


Movie: r-process nucleosynthesis from NS merger remnant disks

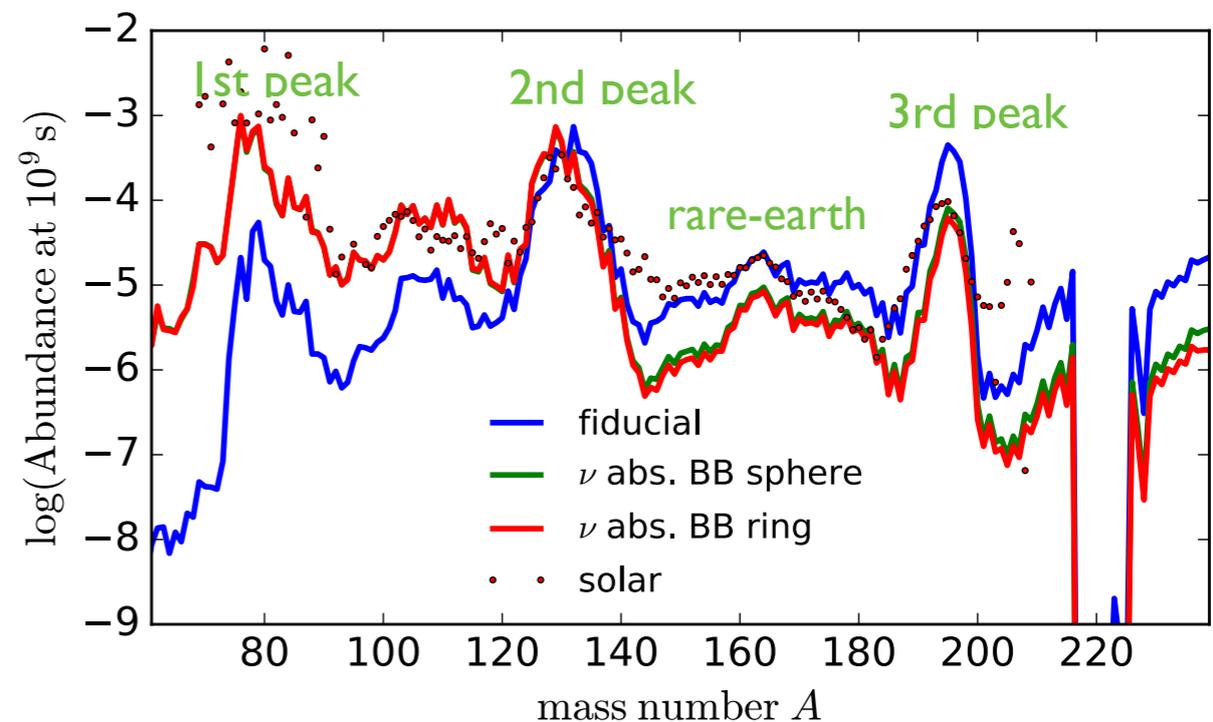
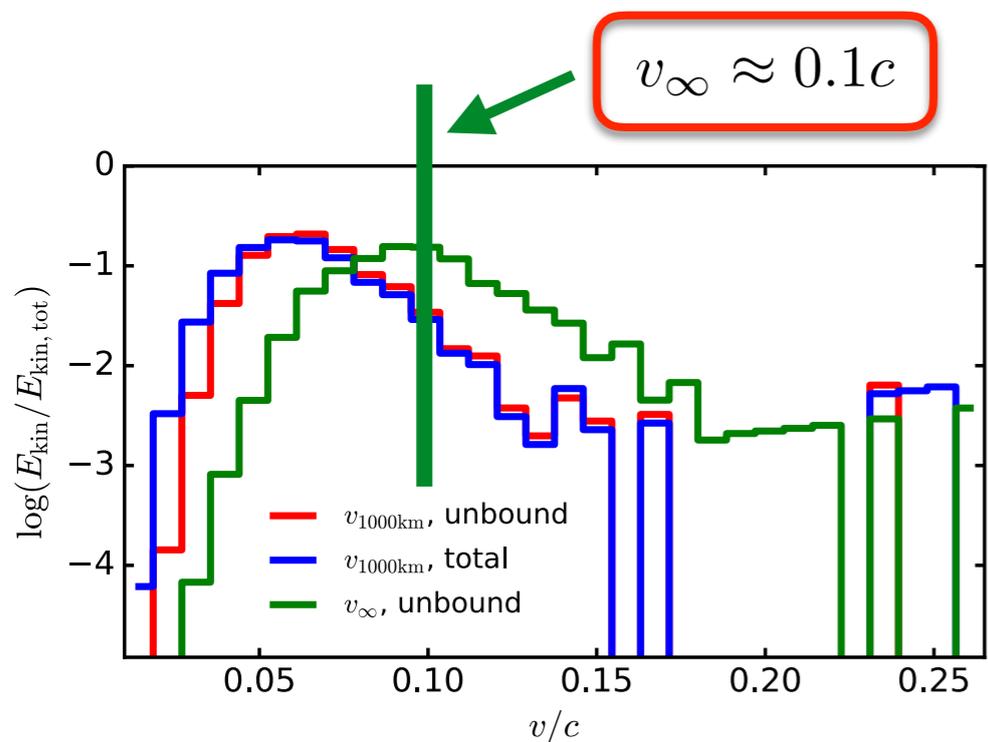
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Siegel & Metzger 2017, PRL

Siegel & Metzger 2018



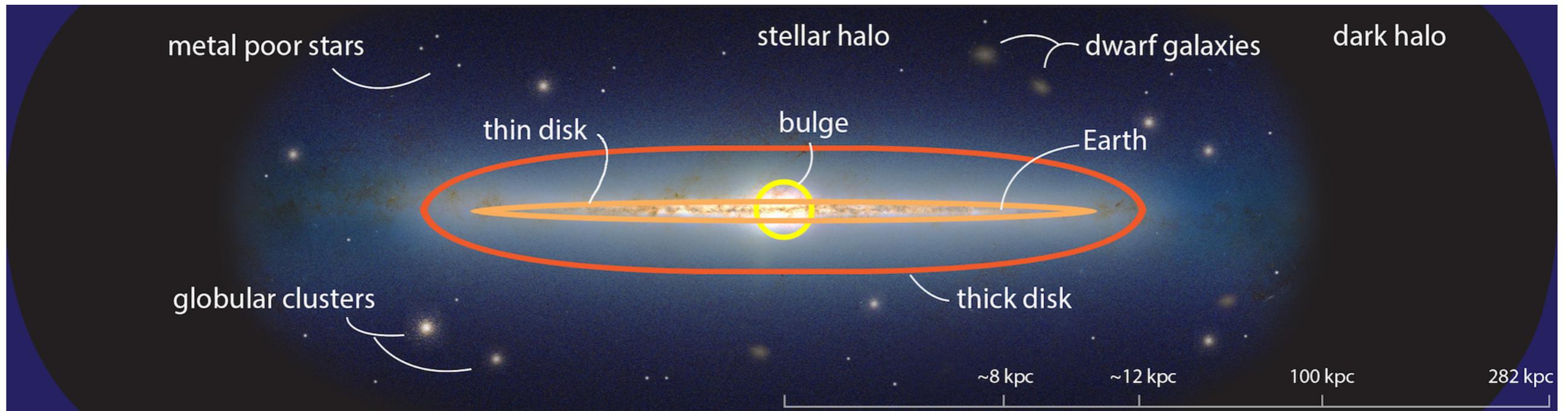
- **Neutron-richness**: self-regulation mechanism in degenerate inner disk provides neutron rich outflows ( $Y_e < 0.25$ )
- Production of full range of r-process nuclei, excellent agreement with observed r-process abundances (solar, halo stars)
- **Slow outflow** velocities ( $\sim 0.1c$ )
- **Large amount of ejecta** ( $\gtrsim 10^{-2} M_\odot$ )



**II.**

# **Chemical evolution**

# Basic anatomy of the Milky Way



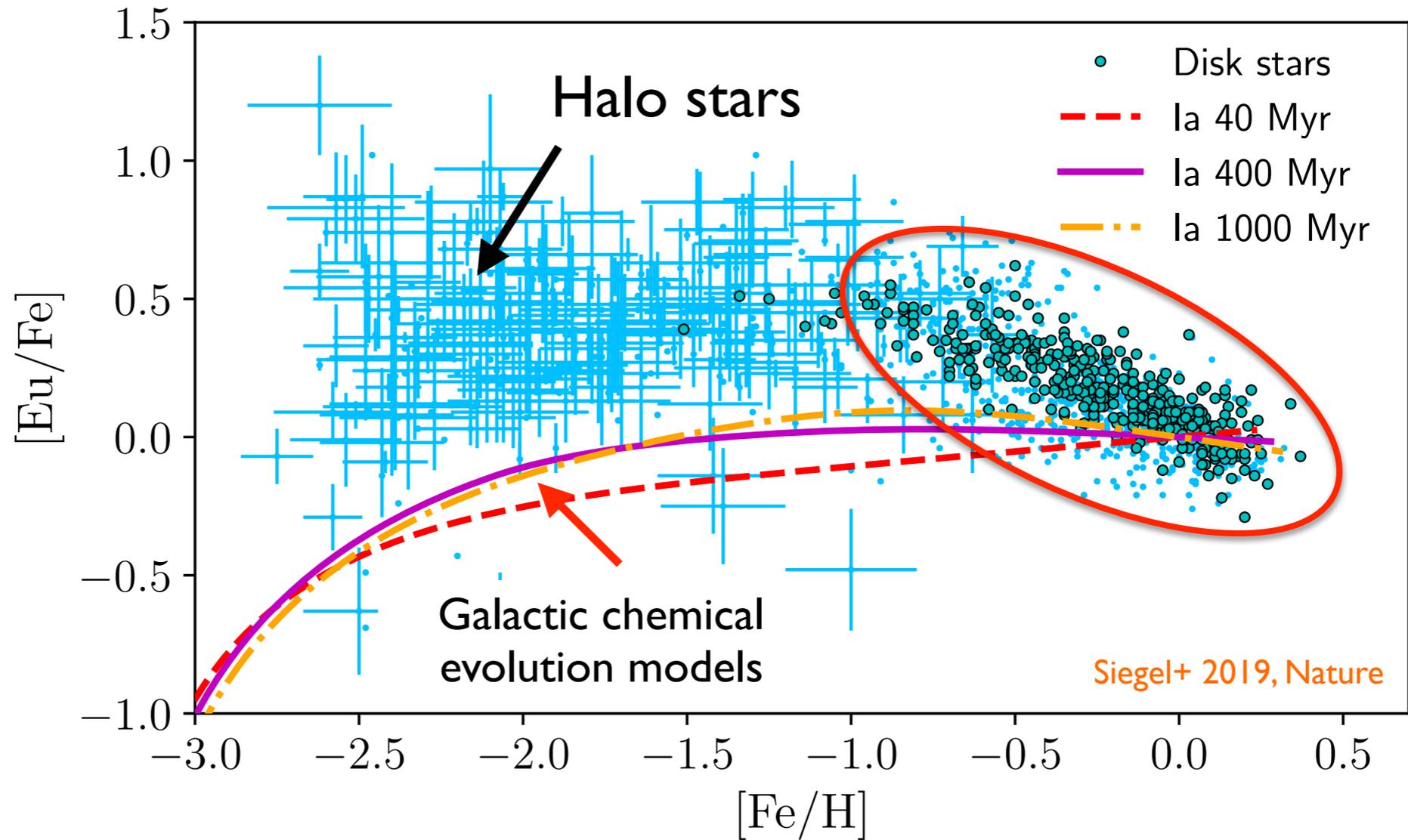
Frebel 2018

# Challenges for r-process from NS mergers

- **halo stars** at very low metallicity
  - maybe need hierarchical assembly of halo from sub-halos Ishimaru+ 2015  
Hirai+ 2015  
Komiya+ 2016
  - maybe need cross-pollution of sub-halos
- **(UF) dwarf galaxies** Ji+ 2016  
Hansen+ 2017
  - need extremely low kick velocities  $< 10$  km/s, short merger times  $< 1$  Gyr  
Beniamini+ 2016 (but very sensitive on initial separation  $< R_{\text{sun}}$ )
  - need survival of unstable case BB mass transfer Safarzadeh+ 2019
- **globular clusters**
  - need extremely short merger times  $< 10$  Myr Bekki & Tsujimoto 2017
  - or need 2nd epoch of star formation from AGB winds, short merger times  $< 100$  Myr
- **r-process vs. Fe** evolution (disk stars)
  - NS mergers inconsistent with negative Eu/Fe trend (same delay-time distribution as SNe Ia) Côté+ 2017, 2018  
Hotokezaka+ 2018a  
Siegel+ 2019
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# Challenges for r-process from NS mergers

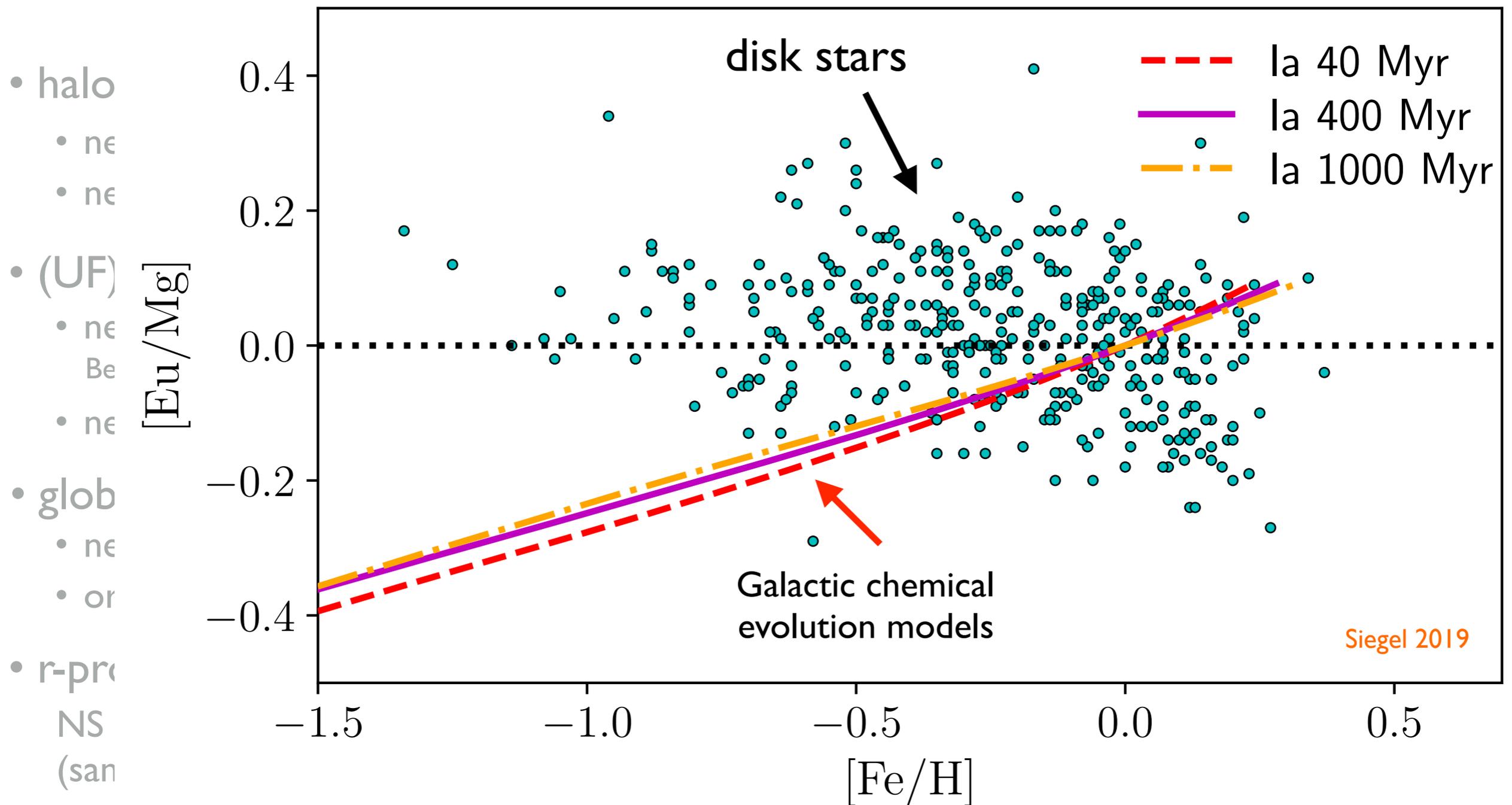
- halo stars at
  - need hierarc
  - need cross-p
- (UF) dwarf g
  - need extren
  - Beniamini+ 201
  - need surviv
- globular clus
  - need extren
  - or need 2nd



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 (same delay-time distribution as SNe Ia)

Côté+ 2017, 2018  
 Hotokezaka+ 2018a  
 Siegel+ 2019

# Challenges for r-process from NS mergers



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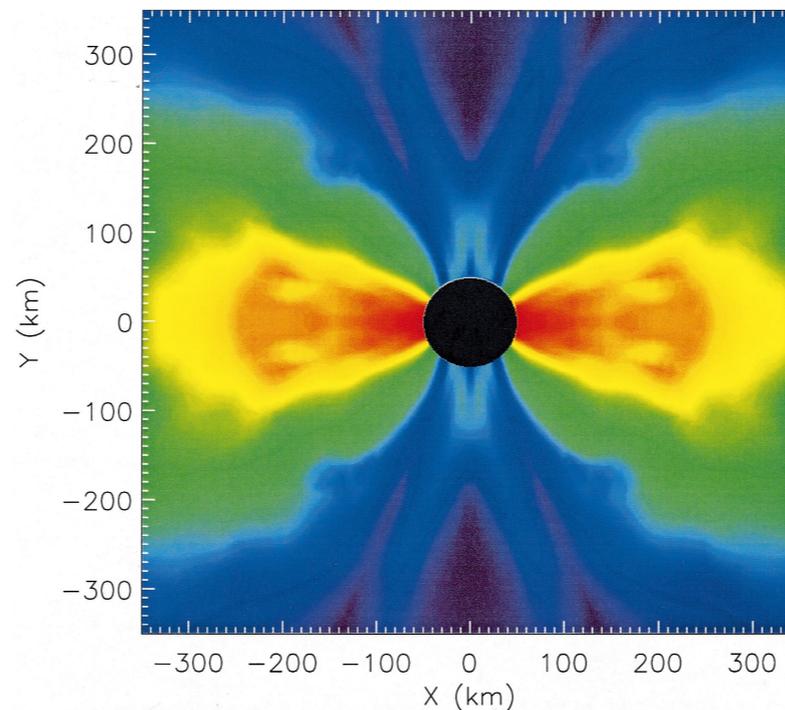
**III.**

**Collapsars**

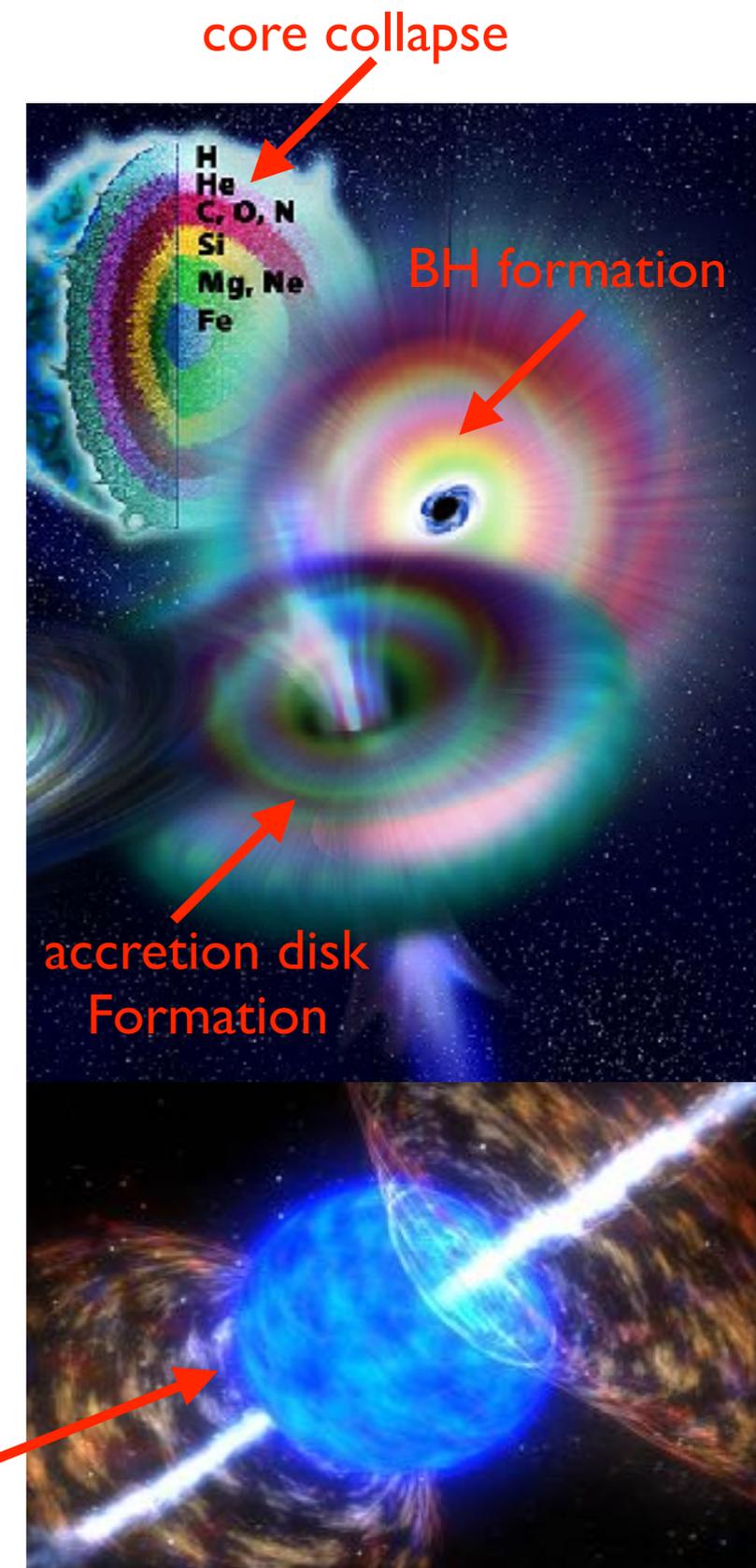
# Collapsars

- BH-accretion disk from **collapse of rapidly rotating massive stars** ( $M > 20 M_{\text{sun}}$ )
  - “failed explosion” (direct collapse to a BH)
  - “weak explosion” (proto-NS collapses due to fallback material)
- **Angular momentum** of infalling stellar material leads to circularization and formation of accretion disk around the BH
- Widely accepted model to generate **long GRBs** and their accompanying GRB SNe (**hypernovae, broad-lined Type Ic**)

MacFadyen & Woosley 1999

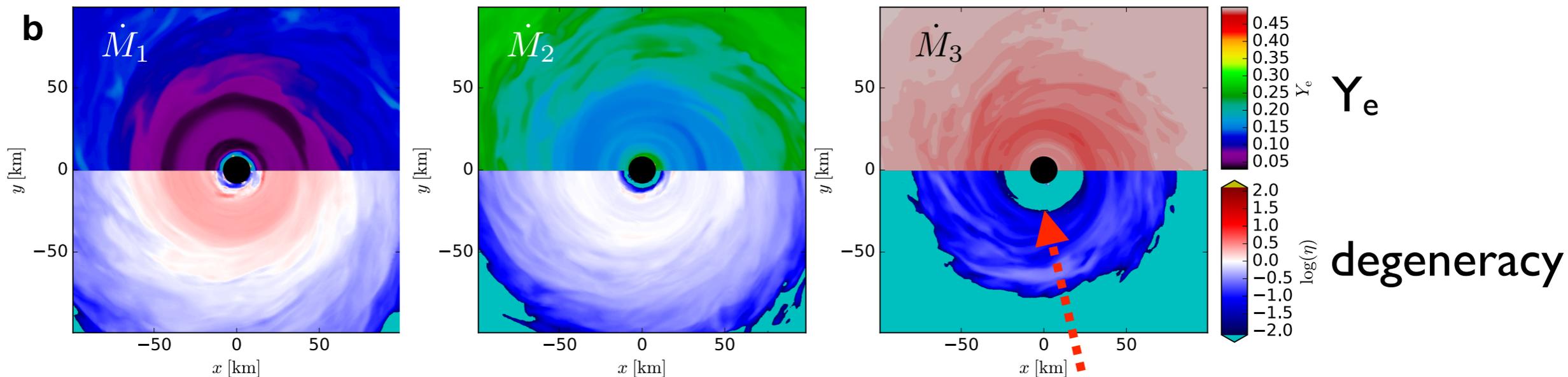
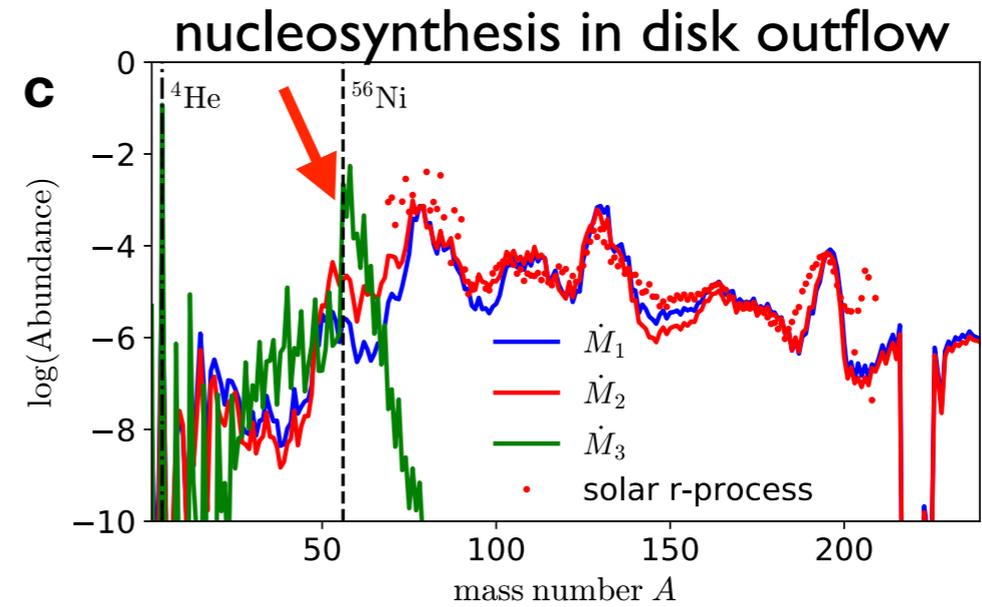
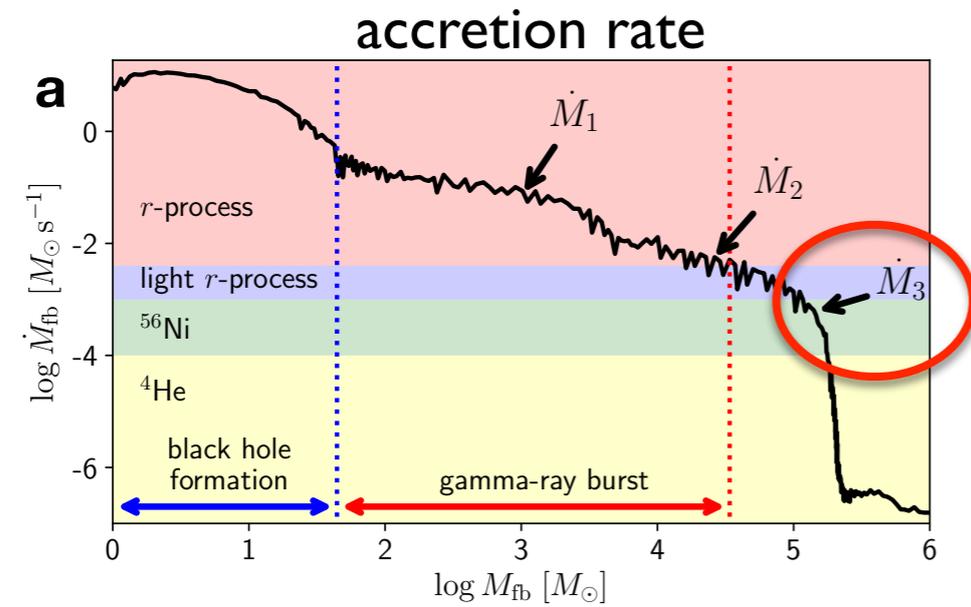


jet punches through infalling material, generates GRB

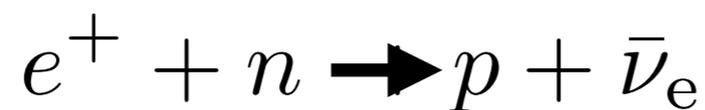
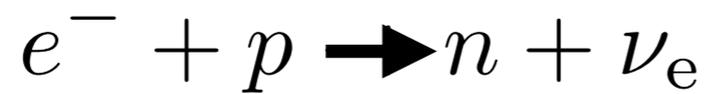


# r-process in collapsars

Siegel, Barnes, Metzger 2019, Nature



Neutron-richness:



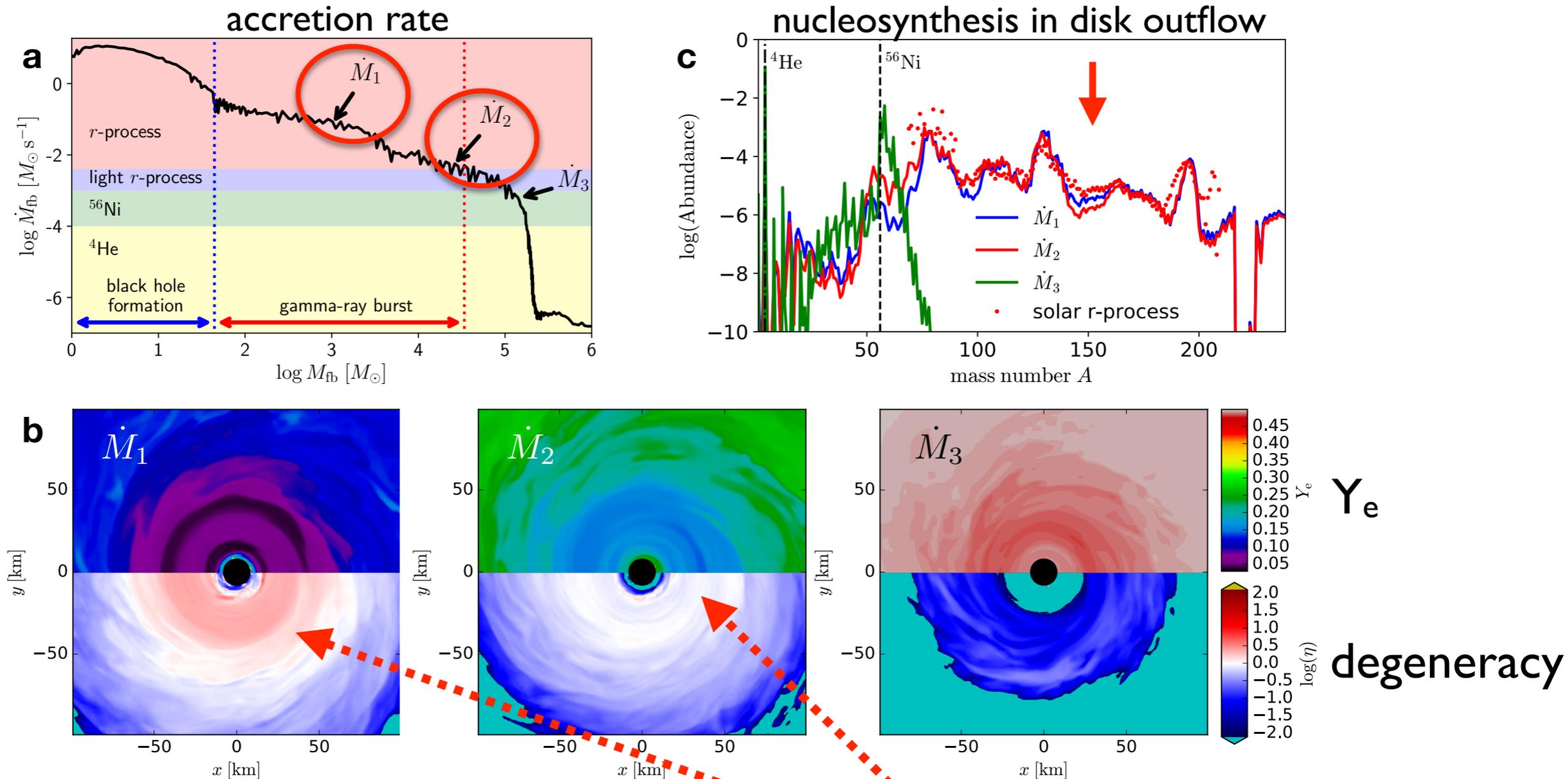
Low disk densities (low  $\dot{M}$ ):

$$Y_e \sim 0.5$$

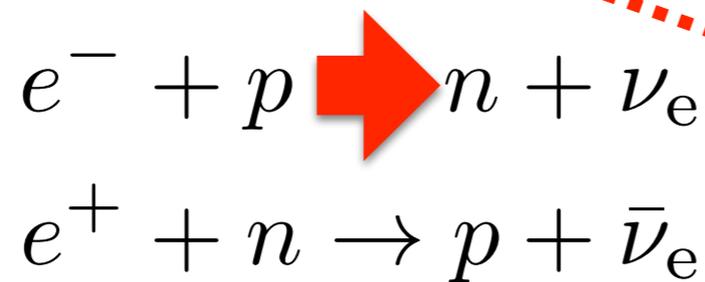
outflows produce  $^{56}\text{Ni}$

# r-process in collapsars

Siegel, Barnes, Metzger 2019, Nature



Neutron-richness:



High disk densities (high  $\dot{M}$ ):  
 → degenerate electrons  
 $Y_e \sim 0.1$   
 outflows produce  $r$ -process nuclei

# Collapsars: r-process yield

Siegel, Barnes, Metzger 2019, Nature

## 1) Purely empirically (long vs. short GRBs):

assume accreted mass proportional to gamma-ray energy (same physical processes in both types of bursts, similar observational properties!)

$$\frac{m_{r,\text{coll}}}{m_{r,\text{merger}}} \sim \frac{m_{\text{acc}}^{\text{LGRB}} \int R_{\text{LGRB}}(z) dz}{m_{\text{acc}}^{\text{SGRB}} \int R_{\text{SGRB}}(z) dz} > \frac{E_{\text{iso}}^{\text{LGRB}} R_{\text{LGRB}}(z=0)}{E_{\text{iso}}^{\text{SGRB}} R_{\text{SGRB}}(z=0)} \approx 4 - 30$$

→ dominant contribution to Galactic r-process relative to mergers

## 2) From Galactic r-process content

assume collapsars as main contribution to Galactic r-process:

$$m_{r,\text{coll}} \sim X_r f_Z^{-1} \frac{\dot{\rho}_{\text{SF}}(z=0) f_b}{R_{\text{LGRB}}(z=0)} \approx 0.08 - 0.3 M_{\odot} \left(\frac{f_Z}{0.25}\right)^{-1} \left(\frac{X_r}{4 \times 10^{-7}}\right) \left(\frac{f_b}{5 \times 10^{-3}}\right)$$

→ consistent with relative estimate, using r-process yield from GW170817 ( $\sim 0.05 M_{\text{sun}}$ )

## 3) Purely theoretically (simulations & pre-supernova models)

per event r-process yield as probed by simulations:  $\text{few} \times 10^{-2} - 1 M_{\odot}$

→ consistent with 1) and 2)

# Collapsars vs. challenges for NS mergers

- halo stars at very low metallicity

- maybe need hierarchical assembly of halo from sub-halos
- maybe need cross-pollution of sub-halos

Ishimaru+ 2015

Hirai+ 2015

Komiya+ 2016

- (UF) dwarf galaxies

2016

Hansen+ 2017

- need extremely low kick velocities  $< 10$  km/s, short merger times  $< 1$  Gyr

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Côté+ 2017, 2018

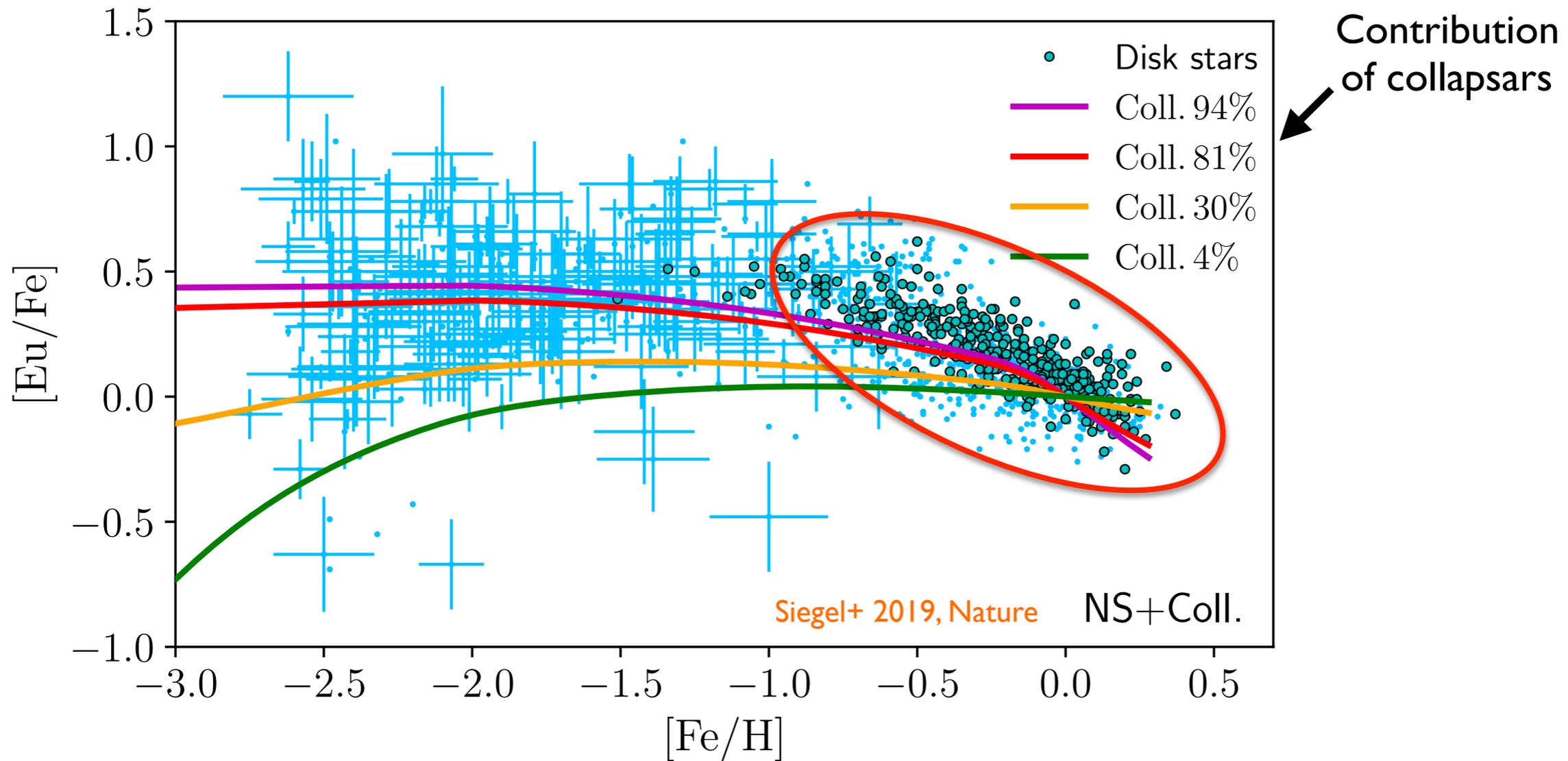
Hotokezaka+ 2018a

Siegel+ 2019

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# Collapsars vs. challenges for NS mergers



- **r-process vs. Fe evolution (disk stars)**

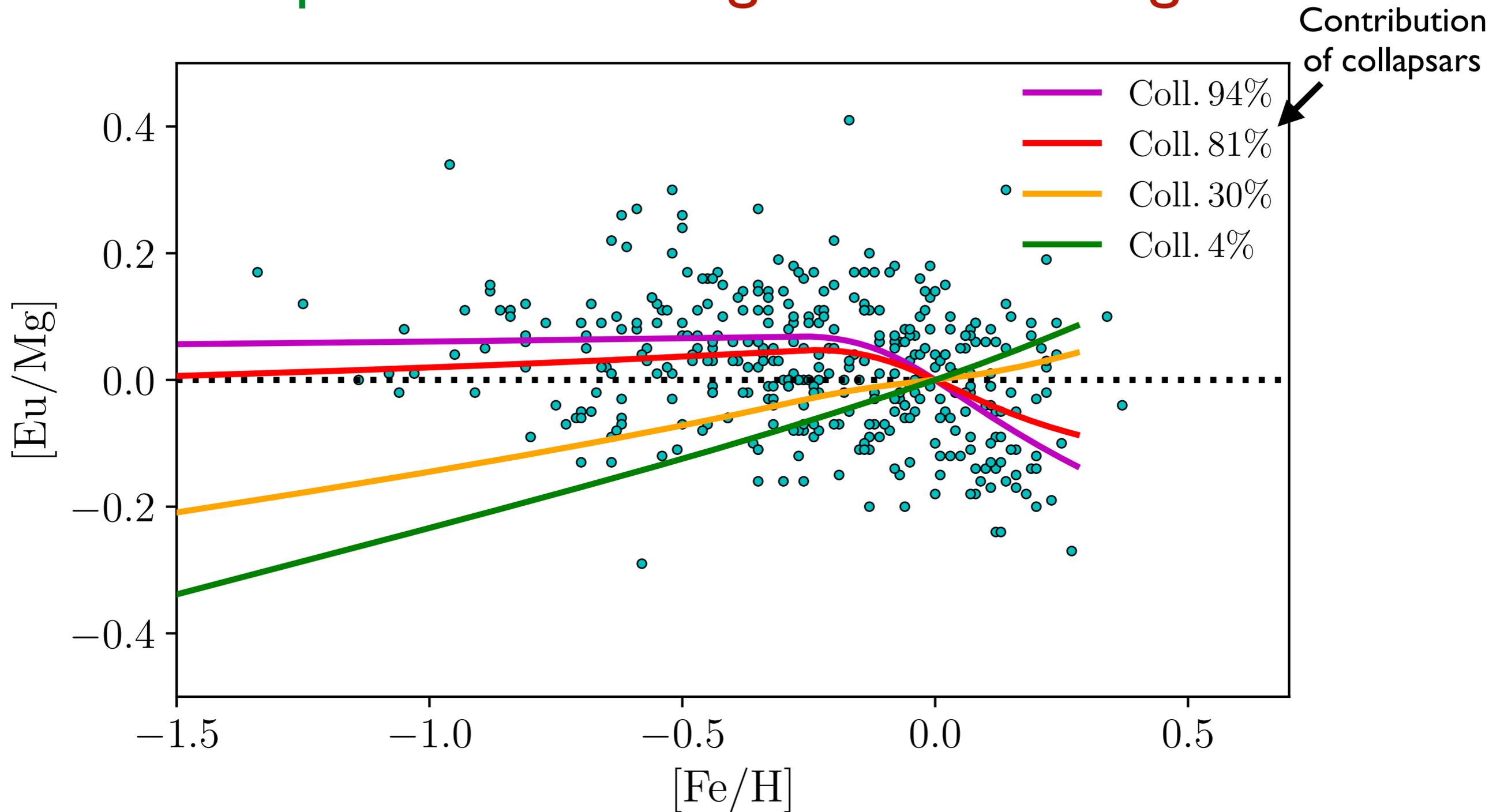
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Côté+ 2017, 2018

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Siegel+ 2019

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Siegel 2019

# Conclusions

## Core-collapse supernovae:

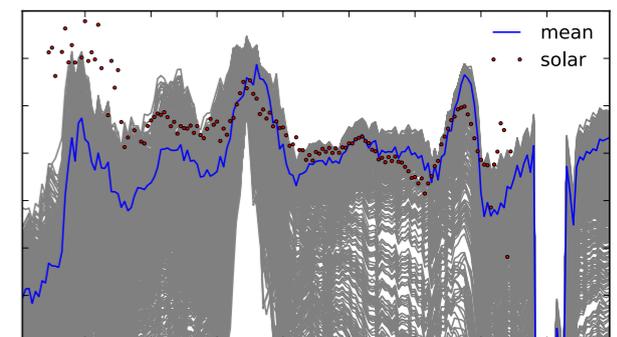
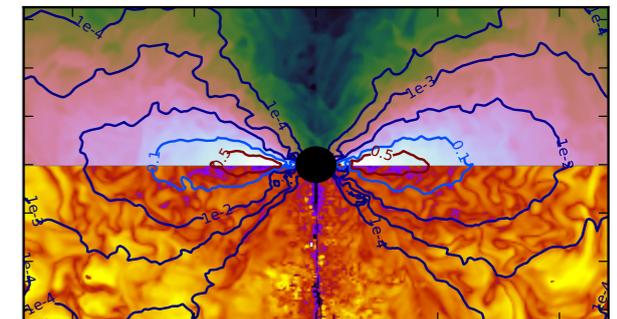
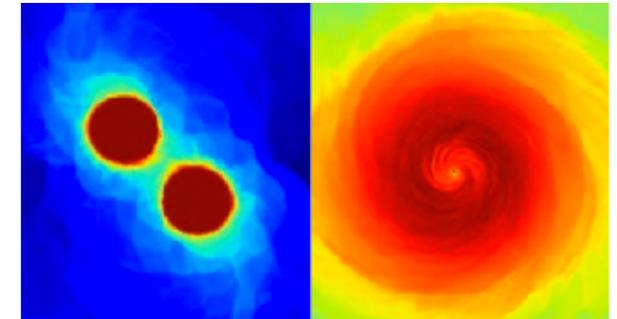
- ordinary CC-SNe unlikely to produce any r-process elements
- MHD supernovae may contribute to the light r-process

## NS mergers:

- massive **post-merger accretion disks** expected to be ubiquitous, outflows can produce **entire range of r-process nuclei**, should **dominate NS merger ejecta**
- GW170817: heavy elements & red kilonova most likely originate from outflows of such disks

## Collapsars: likely **dominant contribution to Galactic r-process**

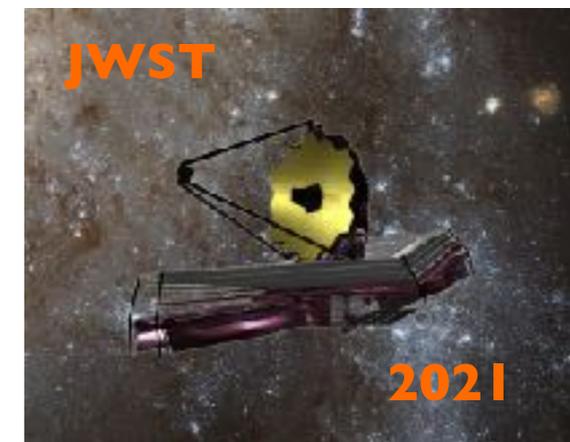
- similar physics as in NS post-merger disks
- lower event rate overcompensated by higher yield
- overcome observational challenges of merger-only models for Galactic r-process
- direct observational imprint of r-process in late-time GRB supernova lightcurves & spectra
- GRB supernova radiation transport modeling likely rules out MHD supernovae to produce lanthanides



# Outlook: some thoughts on the future

## Population of NS mergers & kilonovae:

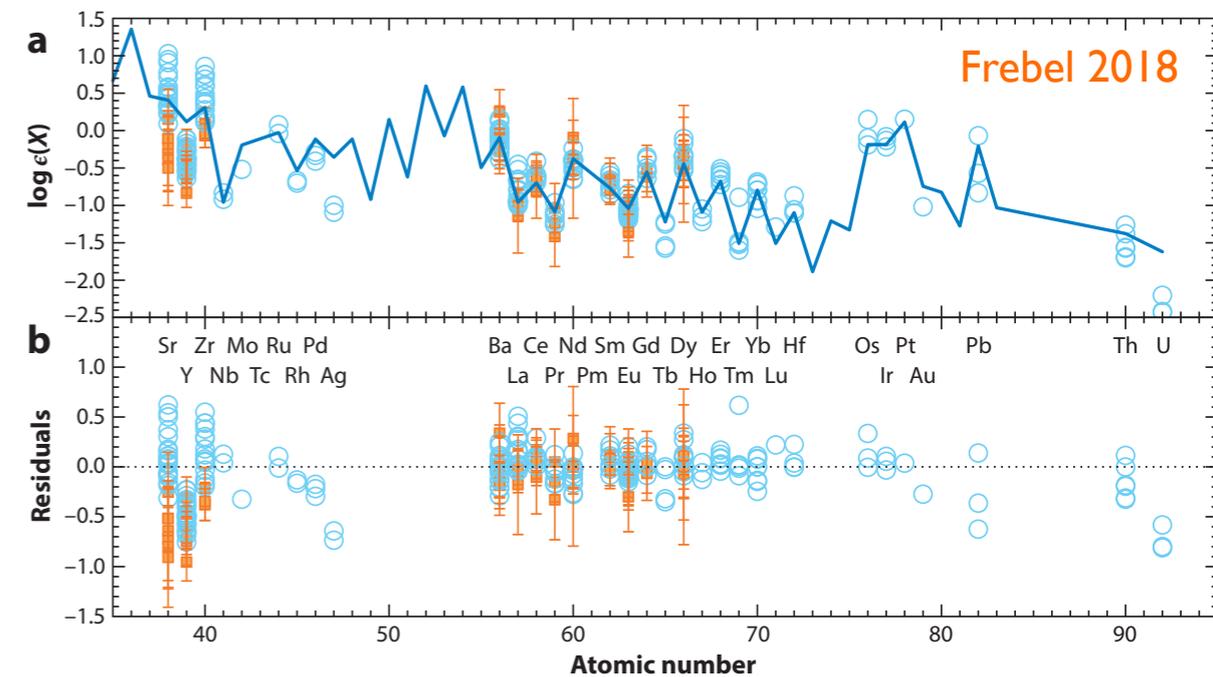
- better understand post-merger evolution  
(instabilities, complex interplay between gravity, EM and thermal effects, weak interactions)
- How ubiquitous are massive disks?  
Dominate overall mass ejection?
- Merger rates, contribution of BH-NS



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- **diversity:**
  - contribution to r-process of other ejecta channels: dynamical ejecta, winds
  - origin of actinide boost stars?
  - **light r-process and diversity**
- neutrino oscillations?



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## Long GRBs/collapsars: kilonovae in supernovae

- look for r-process features in nearby SN Ic-BL ( $\sim 1-2 \text{ yr}^{-1}$  at  $< 100 \text{ Mpc}$ ): VLT
- more distant GRB supernovae with ELT

## Other astrophysical sites?



# Outlook: some thoughts on the future

## Population of NS mergers & kilonovae:

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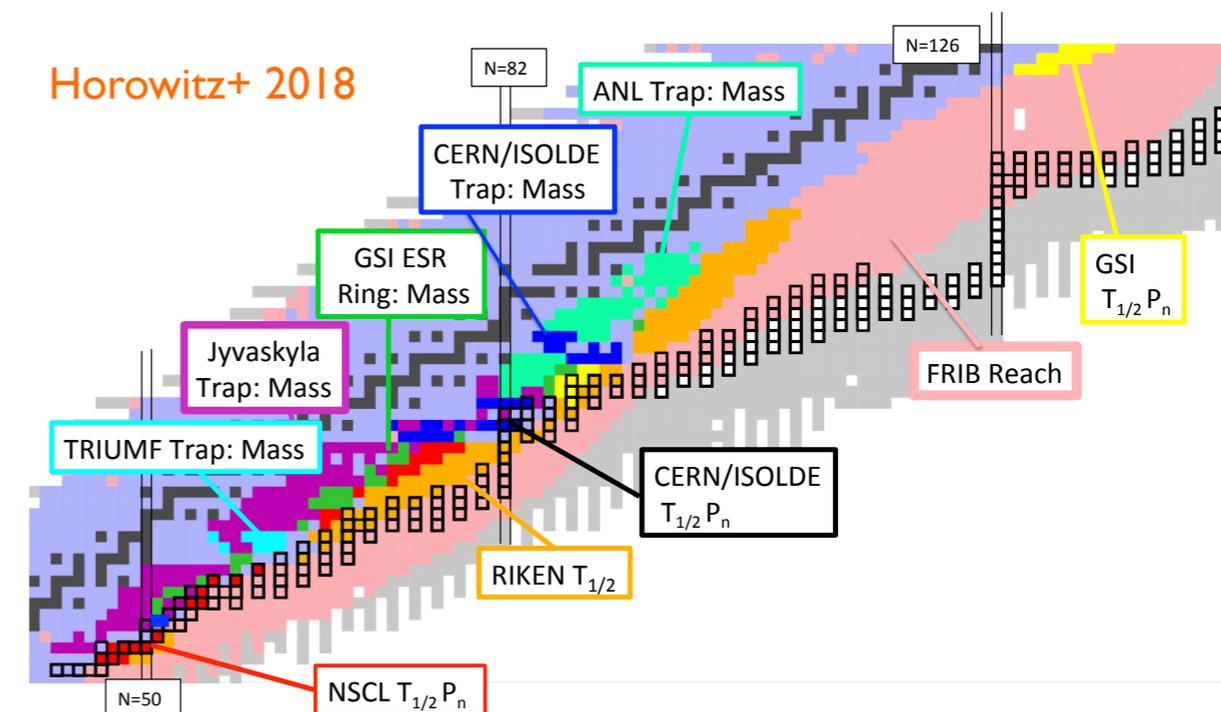
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## Other astrophysical sites?

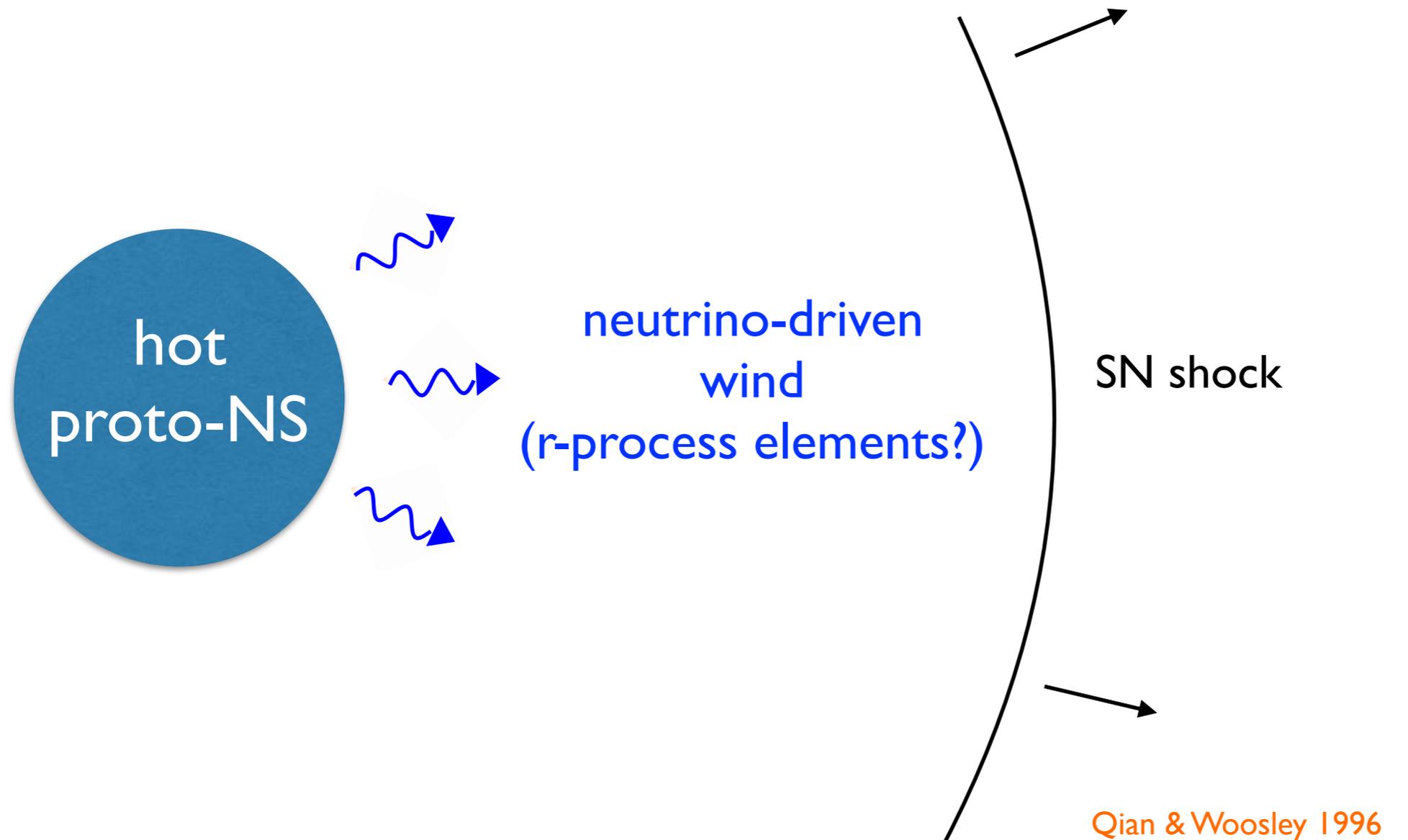
## Nuclear physics: experimental data on r-process nuclei

## Chemical evolution: chemical assembly of the Milky Way, dwarf galaxies, globular clusters



# Appendix

# Core-collapse supernovae

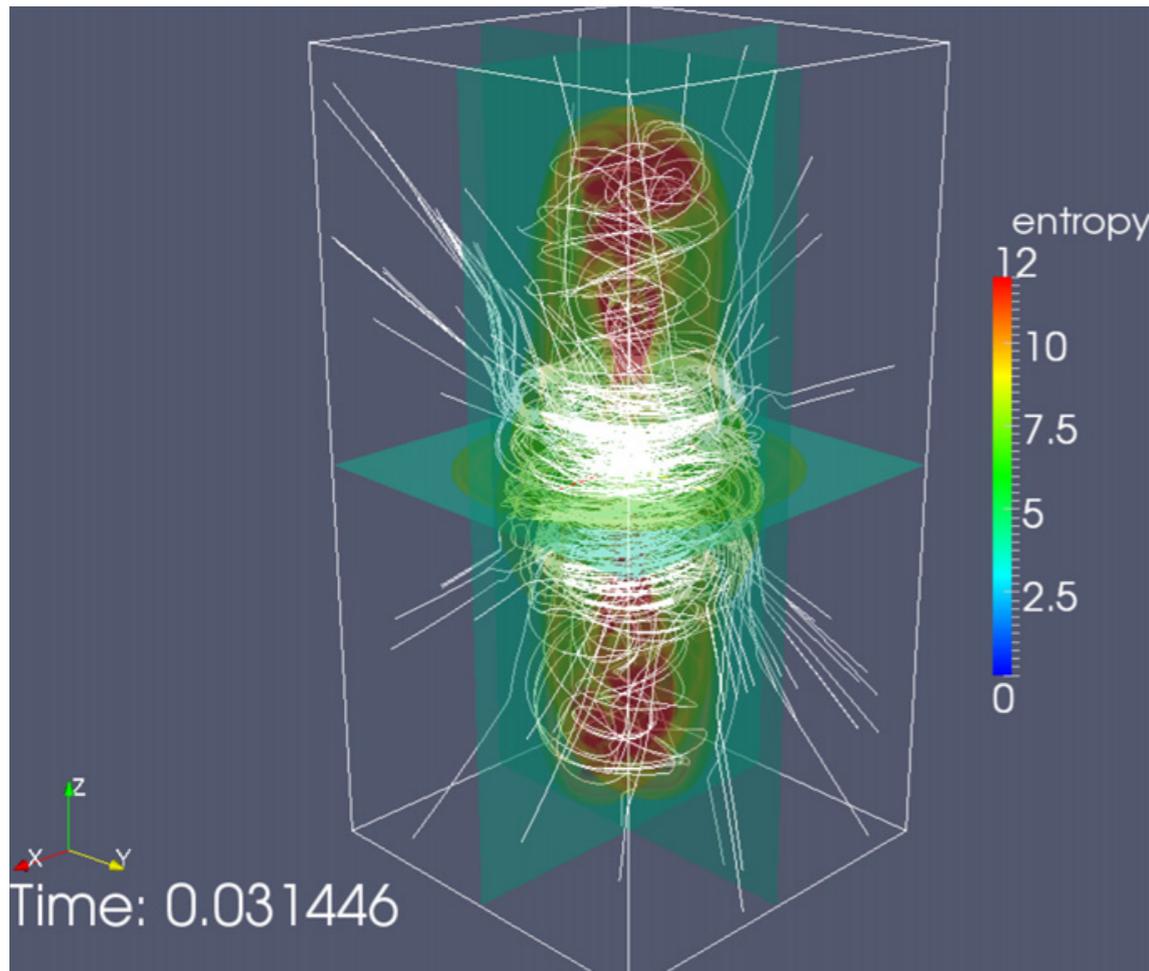


- theoretically: neutrino-driven wind mostly proton-rich
- observationally: disfavored by  $^{244}\text{Pu}$  measurements

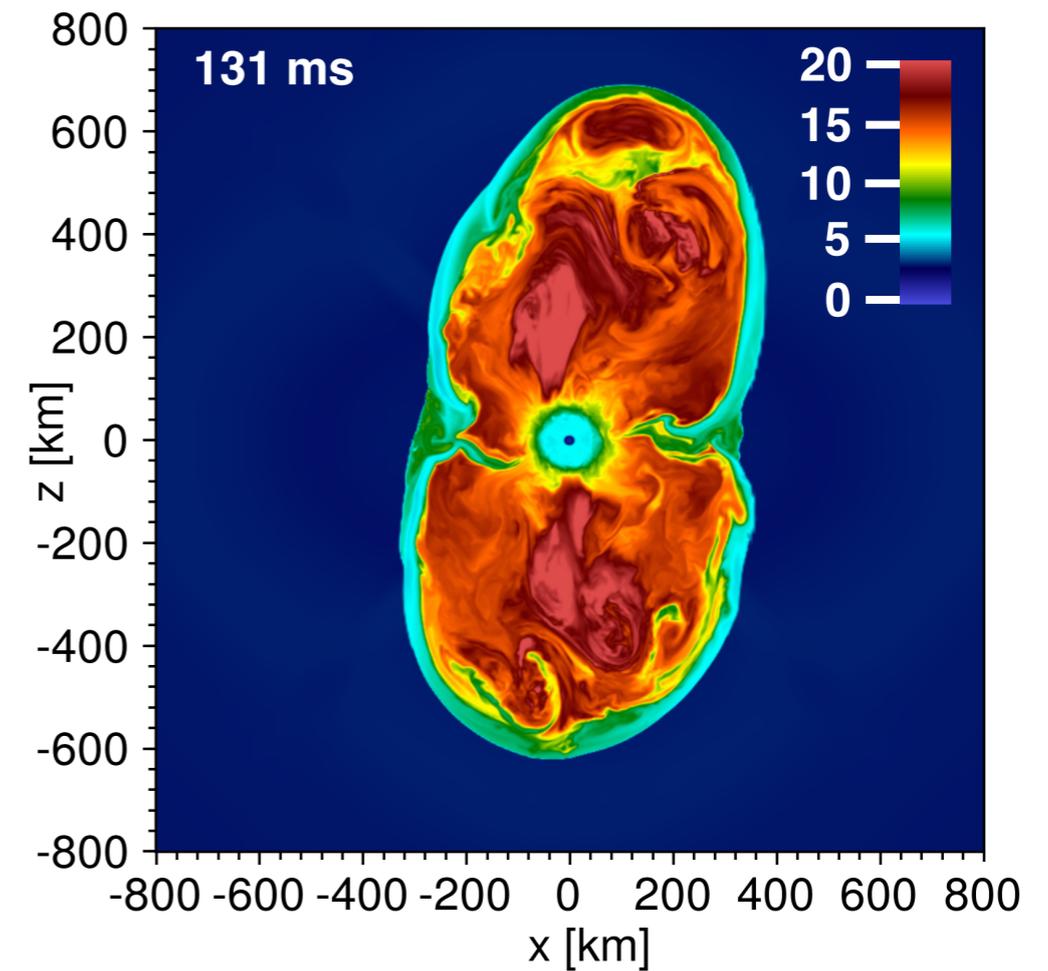
Qian & Woosley 1996  
Hüdepohl+ 2010  
Roberts+ 2012  
Martinez-Pinedo+ 2012  
Janka 2016  
Fischer+ 2018

Wallner+ 2015  
Hotokezaka+ 2015

# MHD supernovae



Winteler+ 2012



Moesta+ 2018

- magnetically driven explosion (jet) could eject neutron rich material fast enough to trigger r-process

Winteler+ 2012,  
Nishimura+ 2017

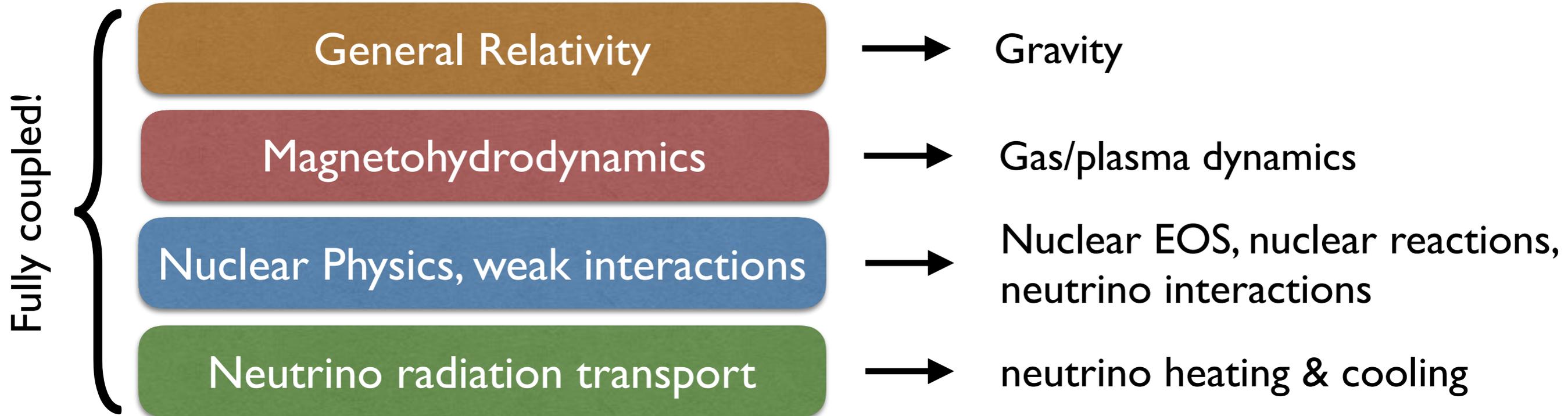
But:

- when 3D jet stability taken into account, unlikely to produce heavy r-process
- effect of high-opacity r-process material inconsistent with SN lightcurves & spectra

Mösta+ 2018  
Halevi & Mösta 2018

Siegel+ 2019

# A multi-physics challenge



$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = \frac{8\pi G}{c^4}T_{\mu\nu}$$

$$T^{\mu\nu} = T_{\text{mat}}^{\mu\nu} + T_{\text{EM}}^{\mu\nu} + T_{\text{neu}}^{\mu\nu}$$

$$\nabla_{\mu}T^{\mu\nu} = \Psi^{\nu}$$

$$\nabla_{\mu}{}^{\star}F^{\mu\nu} = 0$$

$$\nabla_{\mu}(n_{\text{b}}u^{\mu}) = 0$$

$$\nabla_{\mu}(n_{\text{e}}u^{\mu}) = \mathcal{R}$$

## Weak interactions (neutrinos):

$$e^{+} + n \leftrightarrow p + \bar{\nu}_e$$

$$e^{-} + p \leftrightarrow n + \nu_e$$

$$e^{+} + e^{-} \rightarrow \bar{\nu}_{e,\mu,\tau} + \nu_{e,\mu,\tau}$$

$$\gamma \rightarrow \bar{\nu}_{e,\mu,\tau} + \nu_{e,\mu,\tau}$$



$\Psi^{\nu}, \mathcal{R}$

# State-of-the-art GRMHD

GRHydro+ Siegel & Metzger 2017  
Siegel+ 2017

See also: Palenzuela+ 2015

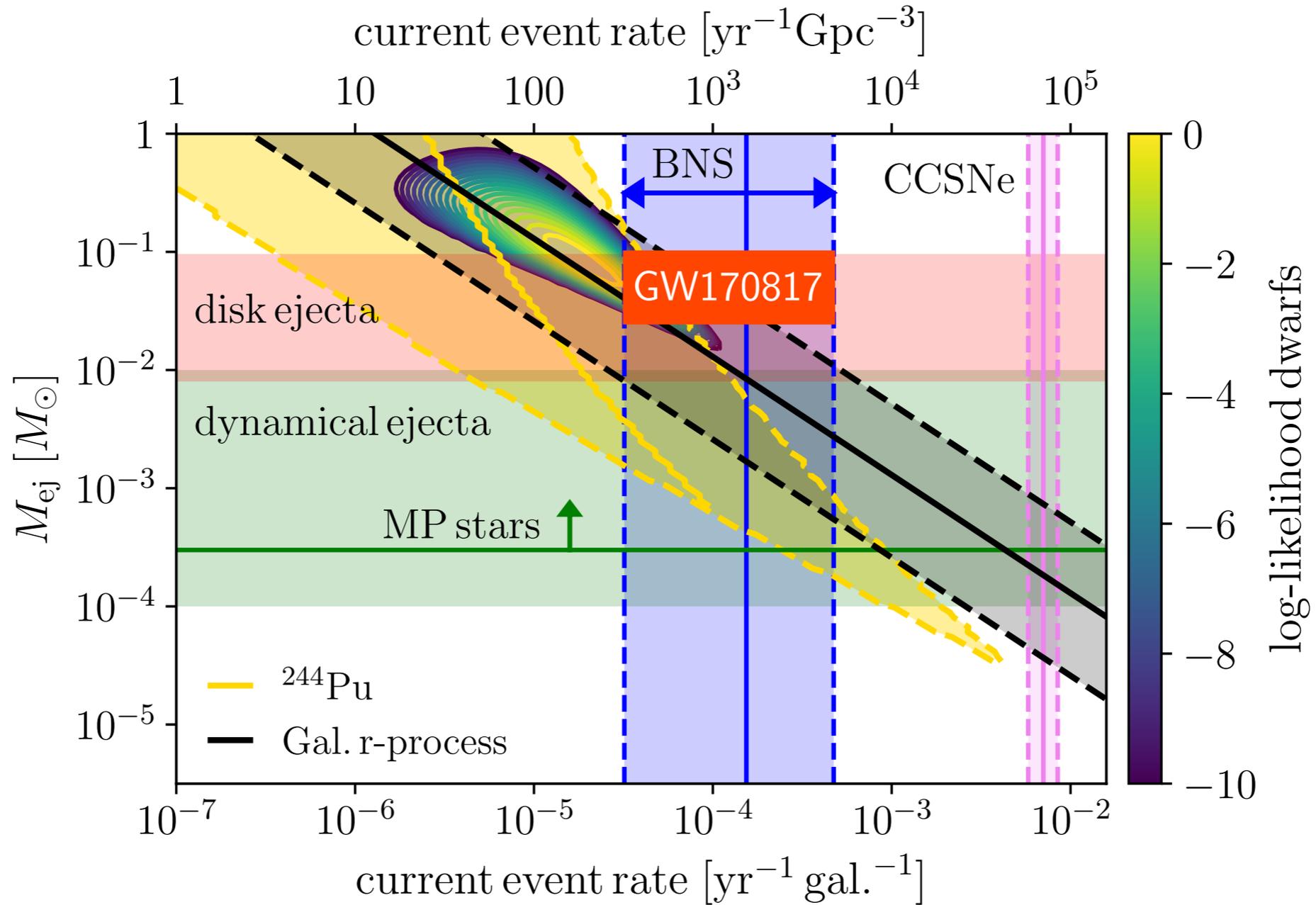
- evolved version of original GRHydro Moesta+ 2014
- ideal GRMHD
- dynamic and fixed spacetimes
- realistic (tabulated) 3-parameter nuclear EOS
- enhanced methods for primitive recovery to support evolved microphysics
- weak interactions & approximate neutrino transport
- benefits from the *Einstein Toolkit*
  - provides spacetime solver, AMR (nested, moving boxes), multi-patch spherical grids, general infrastructure for HPC

Nuclear reaction network

e.g.: SkyNet Lippuner & Roberts 2017



# Constraints on r-process nucleosynthesis

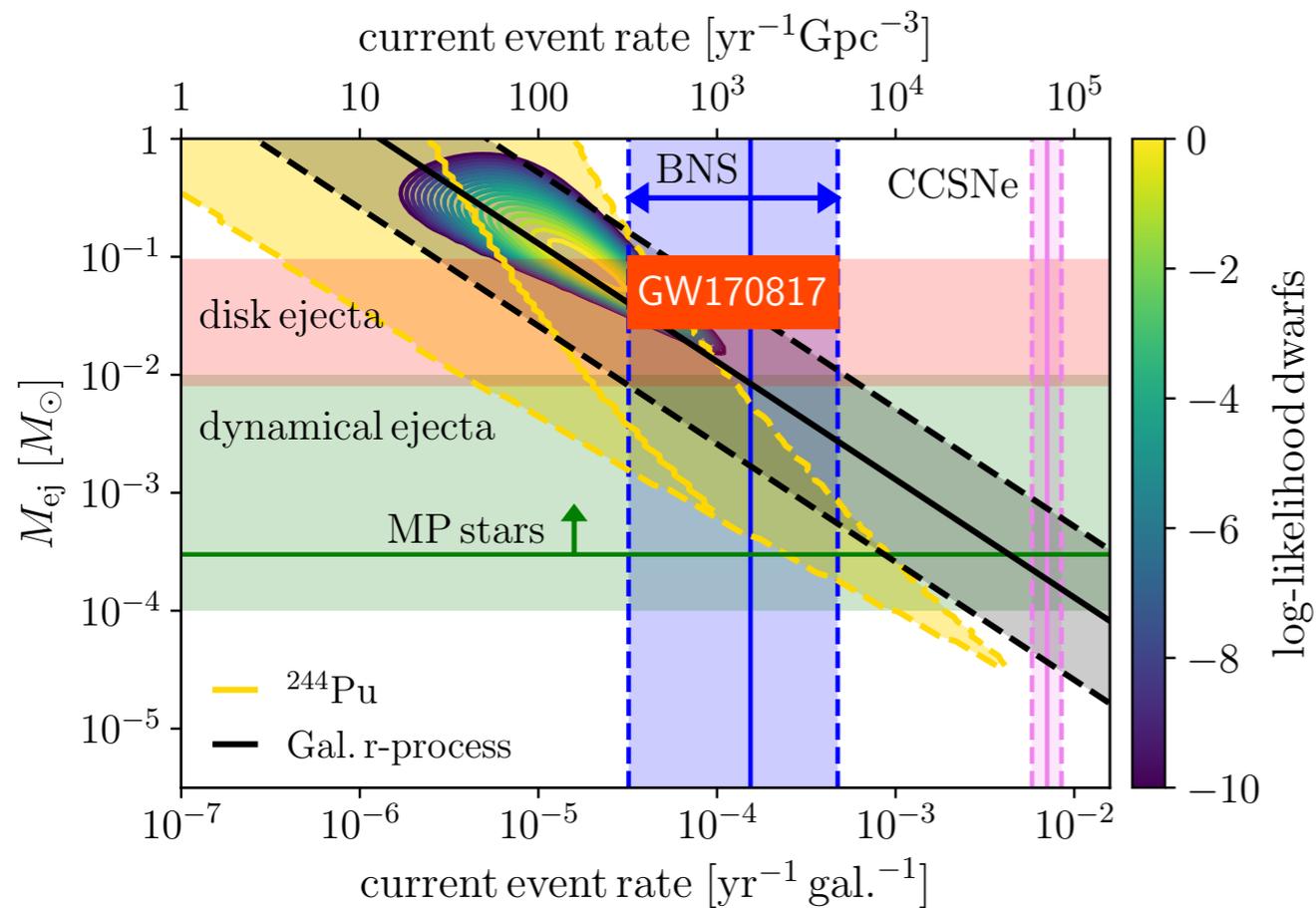


Siegel 2019

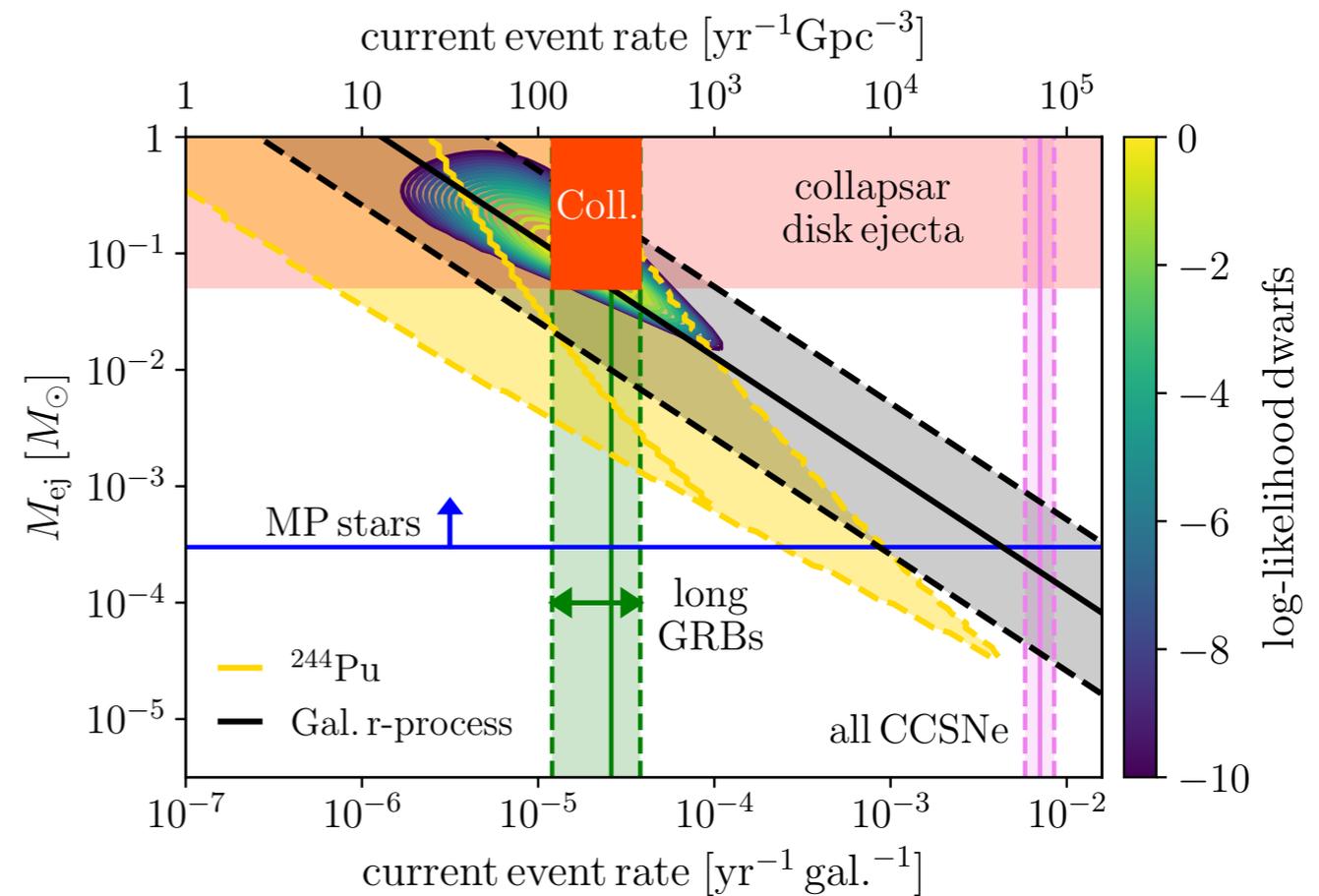
# Constraints on r-process nucleosynthesis

Siegel 2019

## NS mergers



## collapsars



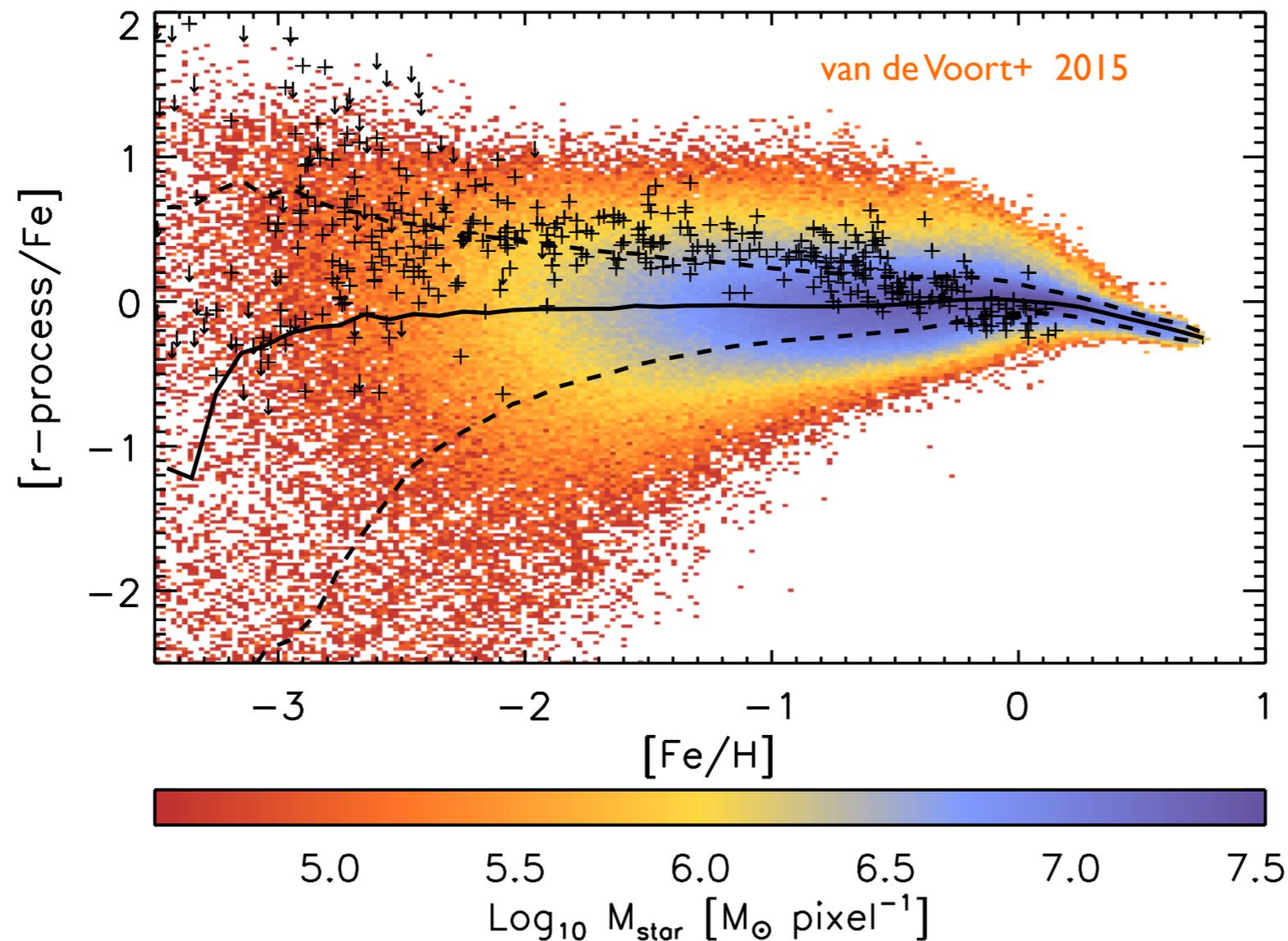
# Challenges for r-process from NS mergers

- **halo stars** at very low metallicity
  - maybe need hierarchical assembly of halo from sub-halos
  - maybe need cross-pollution of sub-halos

Ishimaru+ 2015

Hirai+ 2015

Komiya+ 2016



But see also:

Shen+ 2015

# Challenges for r-process from NS mergers

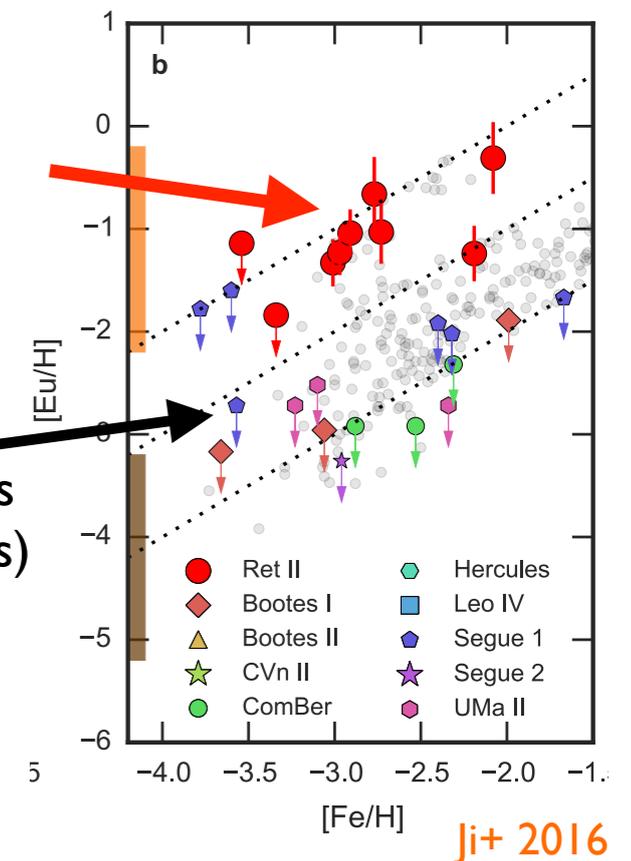
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Ishimaru+ 2015  
 Hirai+ 2015  
 Komiya+ 2016

- (UF) dwarf galaxies
  - need extremely low kick velocities  $< 10$  km/s, short merger times  $< 1$  Gyr  
 Beniamini+ 2016 (but very sensitive on initial separation  $< R_{\text{sun}}$ )
  - need survival of unstable case BB mass transfer Safarzadeh+ 2019

Reticulum II stars

other UFDs  
 (upper limits)

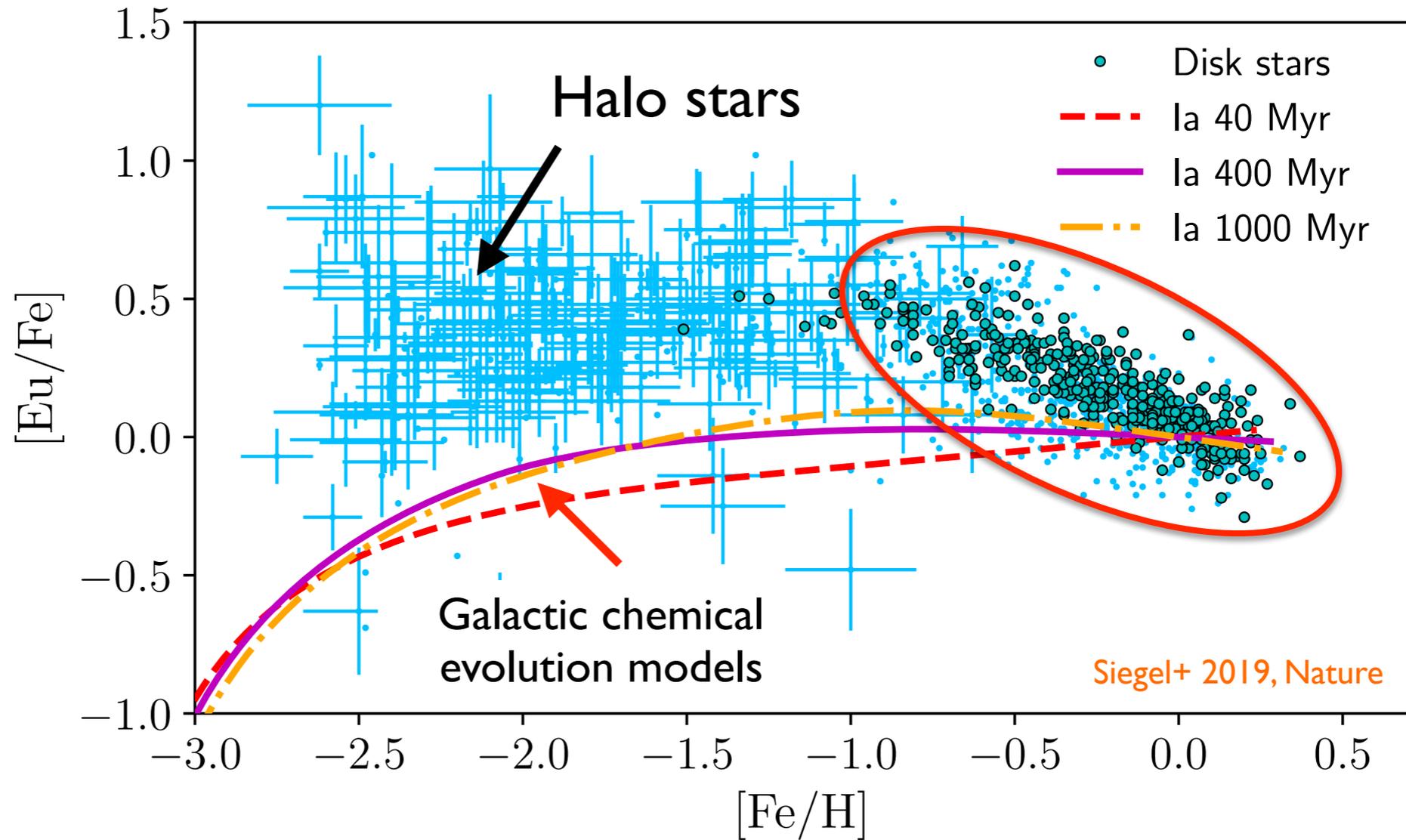


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  - or need 2nd epoch of star formation from AGB winds, short merger times  $< 100$  Myr

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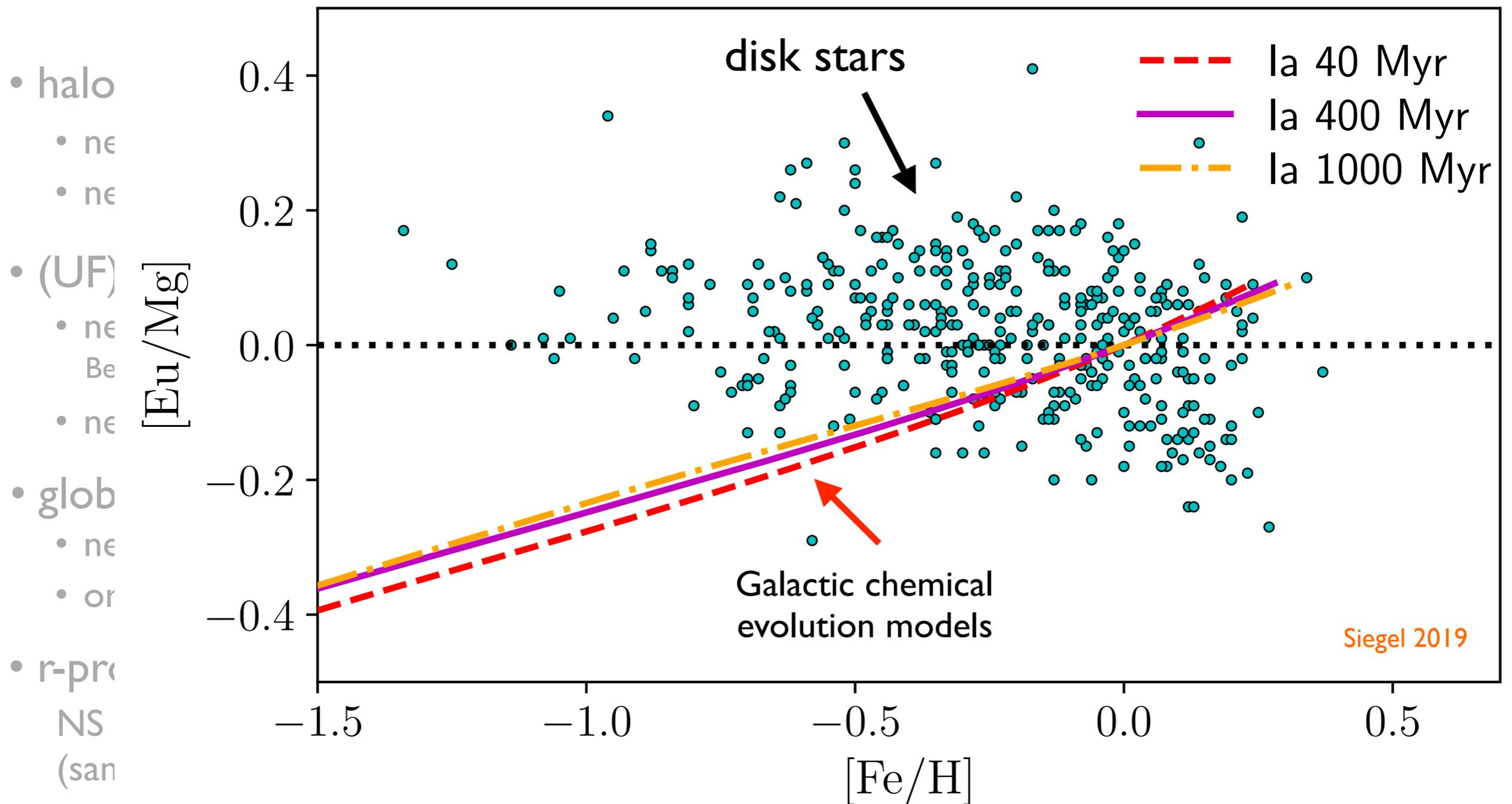
- halo stars at
  - need hierarchical
  - need cross-section
- (UF) dwarf galaxies
  - need extreme
  - Beniamini+ 201
  - need survival
- globular clusters
  - need extreme
  - or need 2nd



- **r-process vs. Fe** evolution (disk stars)
  - NS mergers inconsistent with negative Eu/Fe trend (same delay-time distribution as SNe Ia)

Côté+ 2017, 2018  
 Hotokezaka+ 2018a  
 Siegel+ 2019

# Challenges for r-process from NS mergers



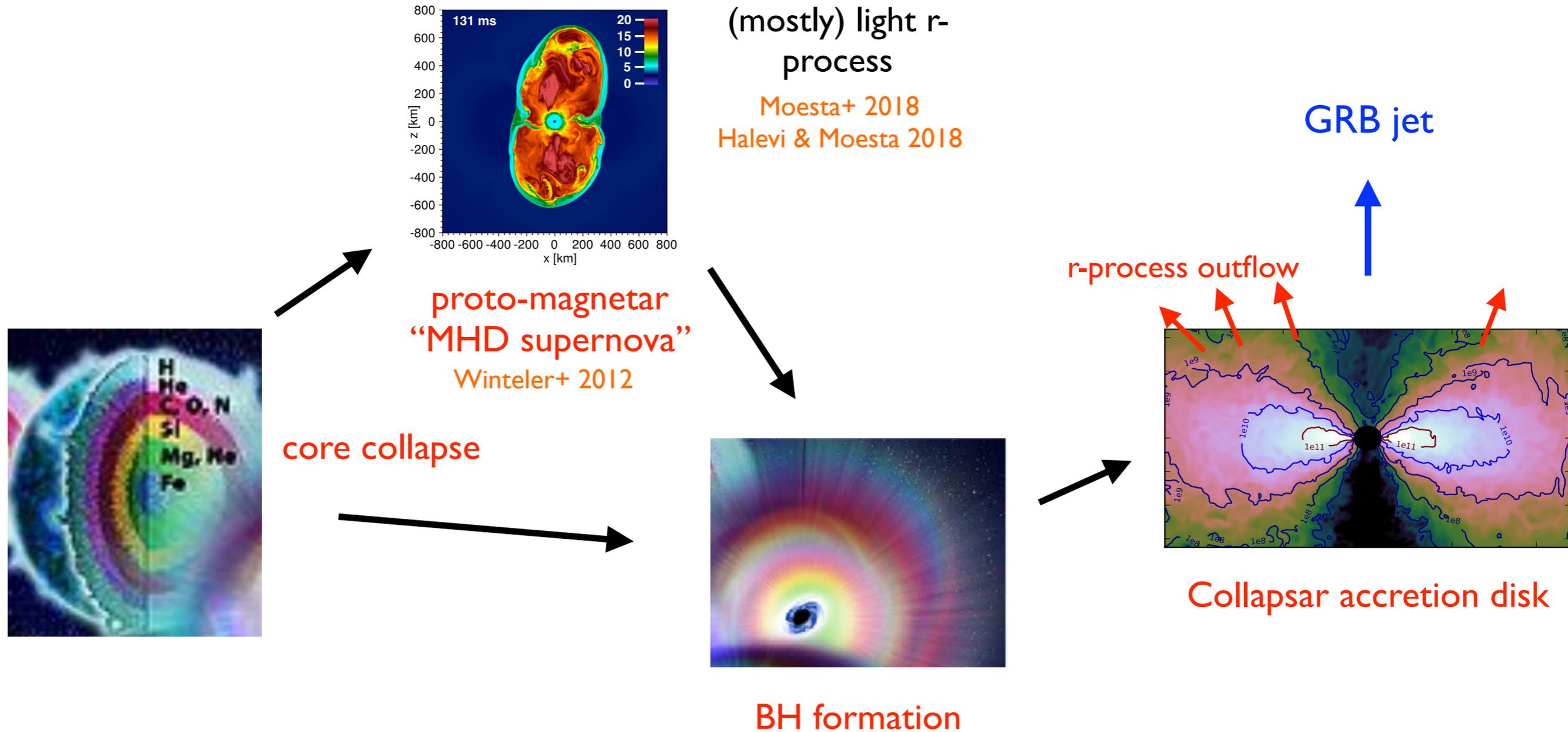
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# Collapsar scenario overview



# Conjecture:

Outflows from compact accretion disks synthesize most of the Galactic heavy r-process elements

NS mergers

short GRBs

$\sim 0.03 M_{\text{sun}}$

$\sim 1540 \text{ Gpc}^{-3} \text{ yr}^{-1}$

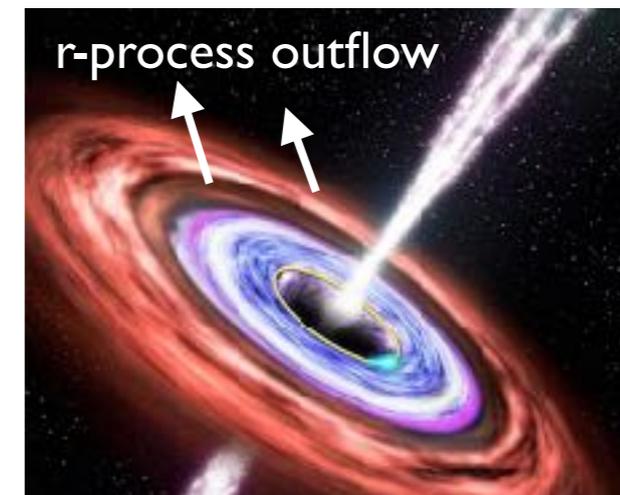
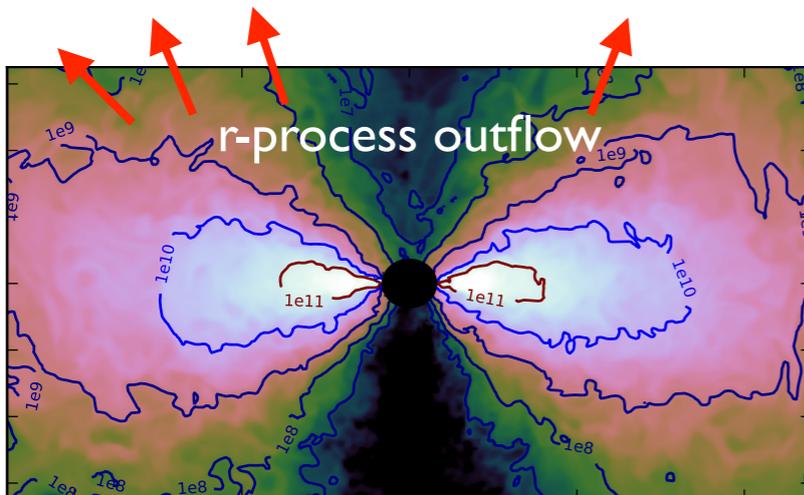
Collapsars

long GRBs, GRB supernovae (SNe Type Ic-BL)

$\sim 0.08-1 M_{\text{sun}}$

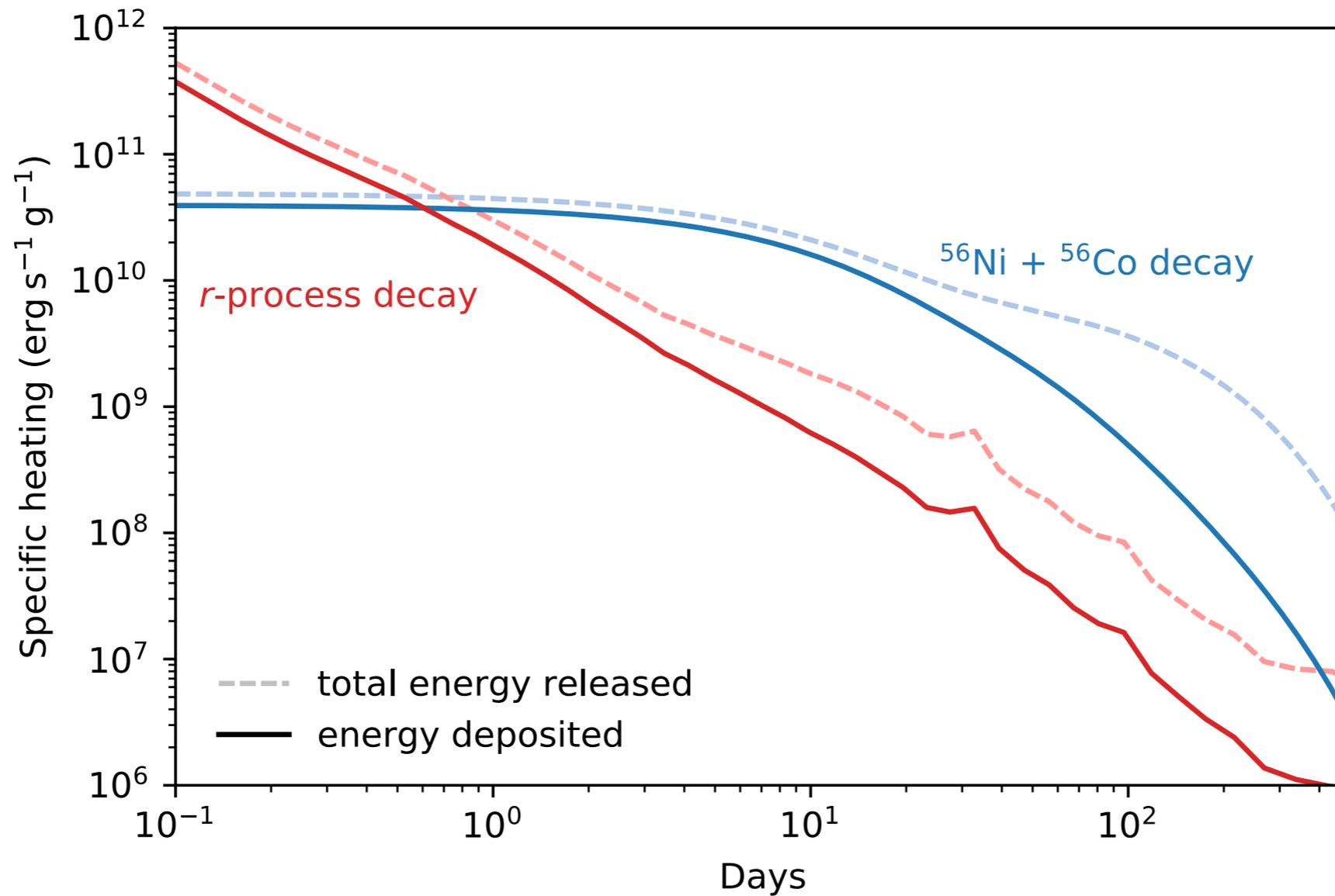
$\sim 260 \text{ Gpc}^{-3} \text{ yr}^{-1}$

(current rates)



# How to test the collapsar scenario observationally?

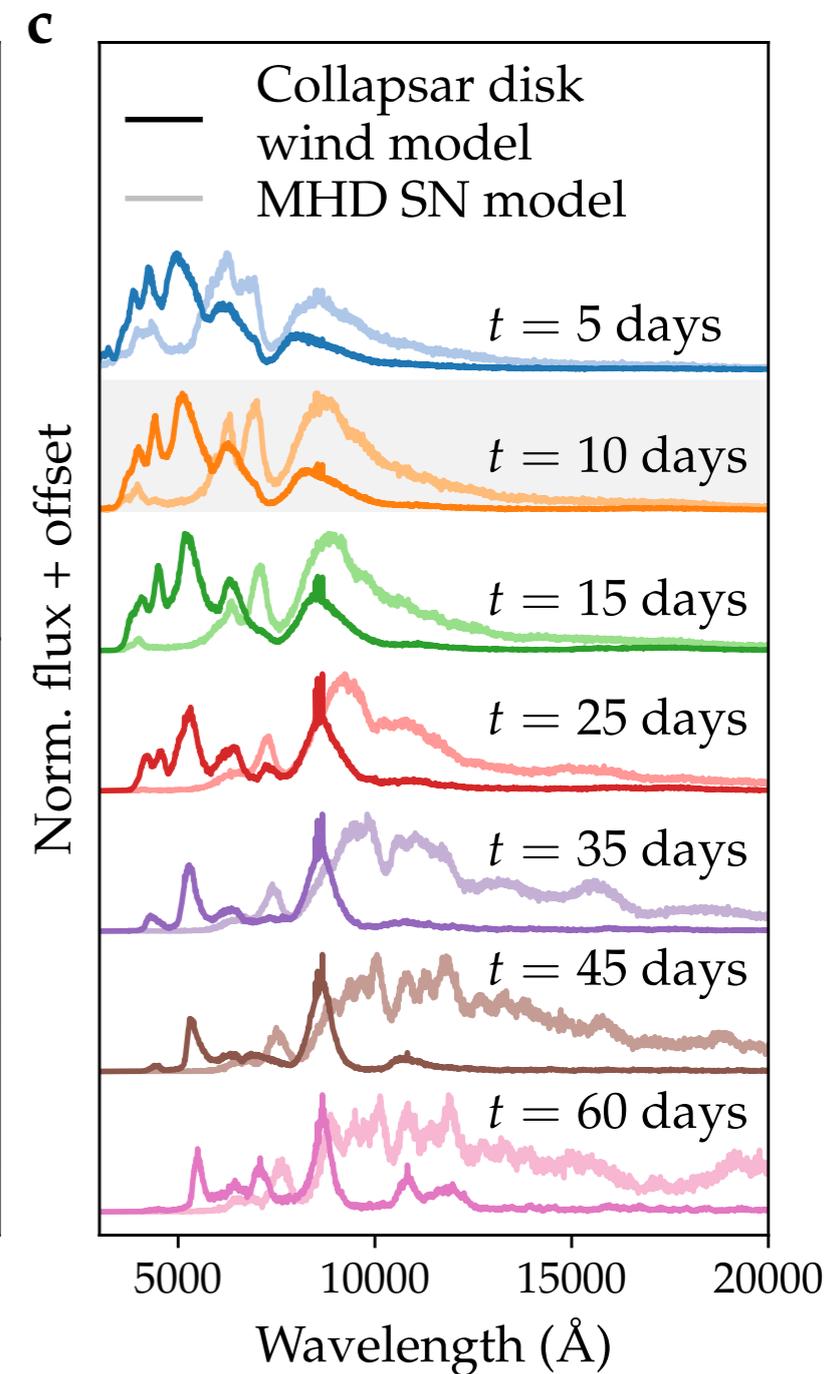
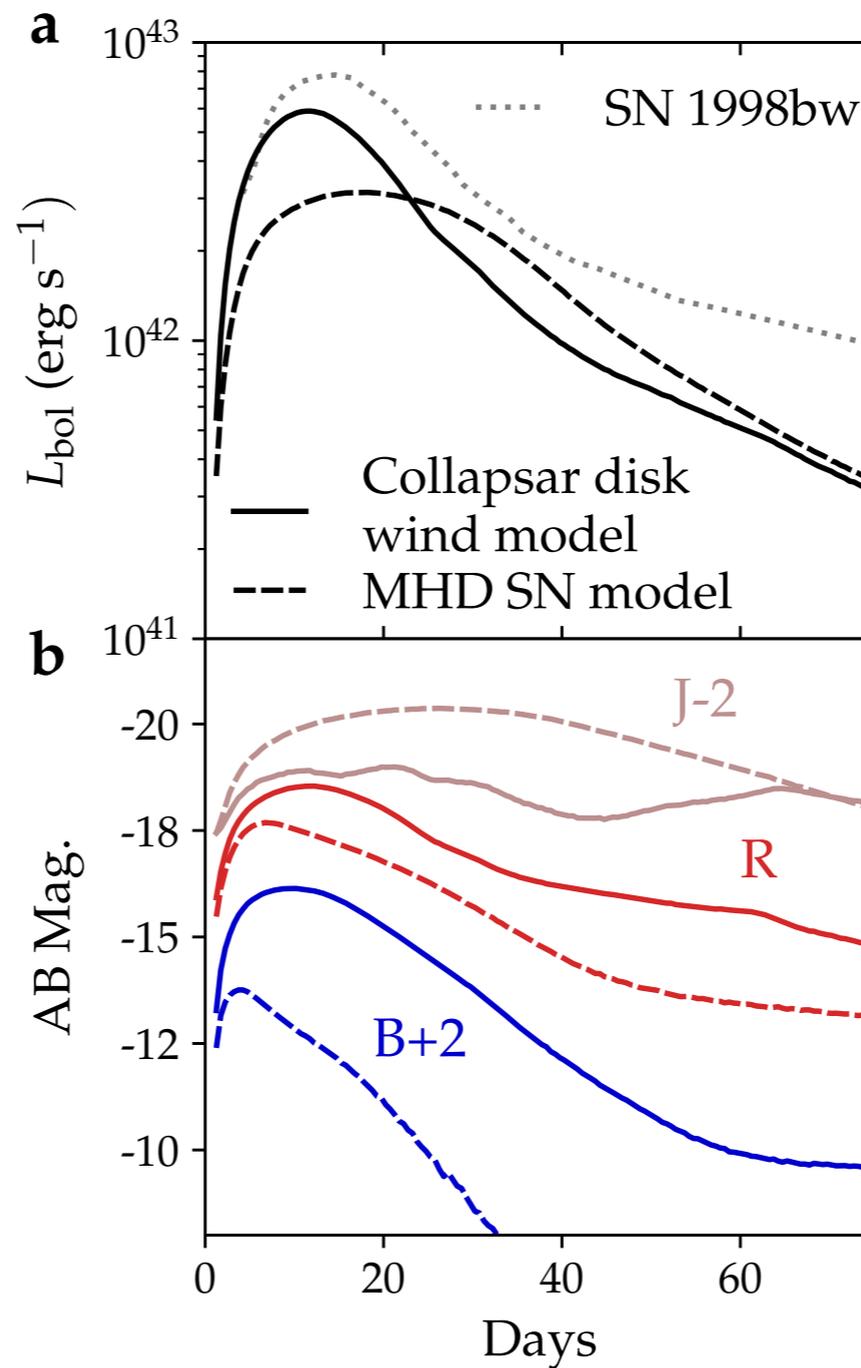
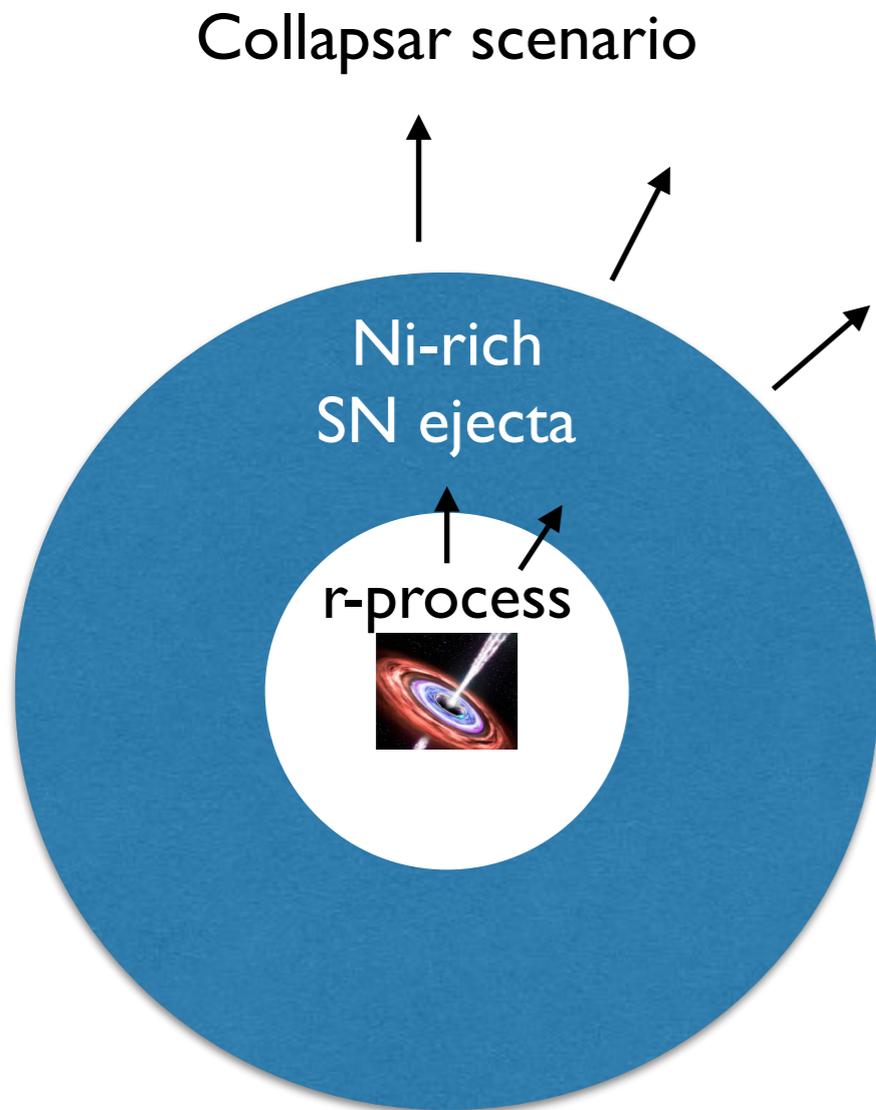
Siegel, Barnes, Metzger 2019, Nature



‘a kilonova in a supernova’

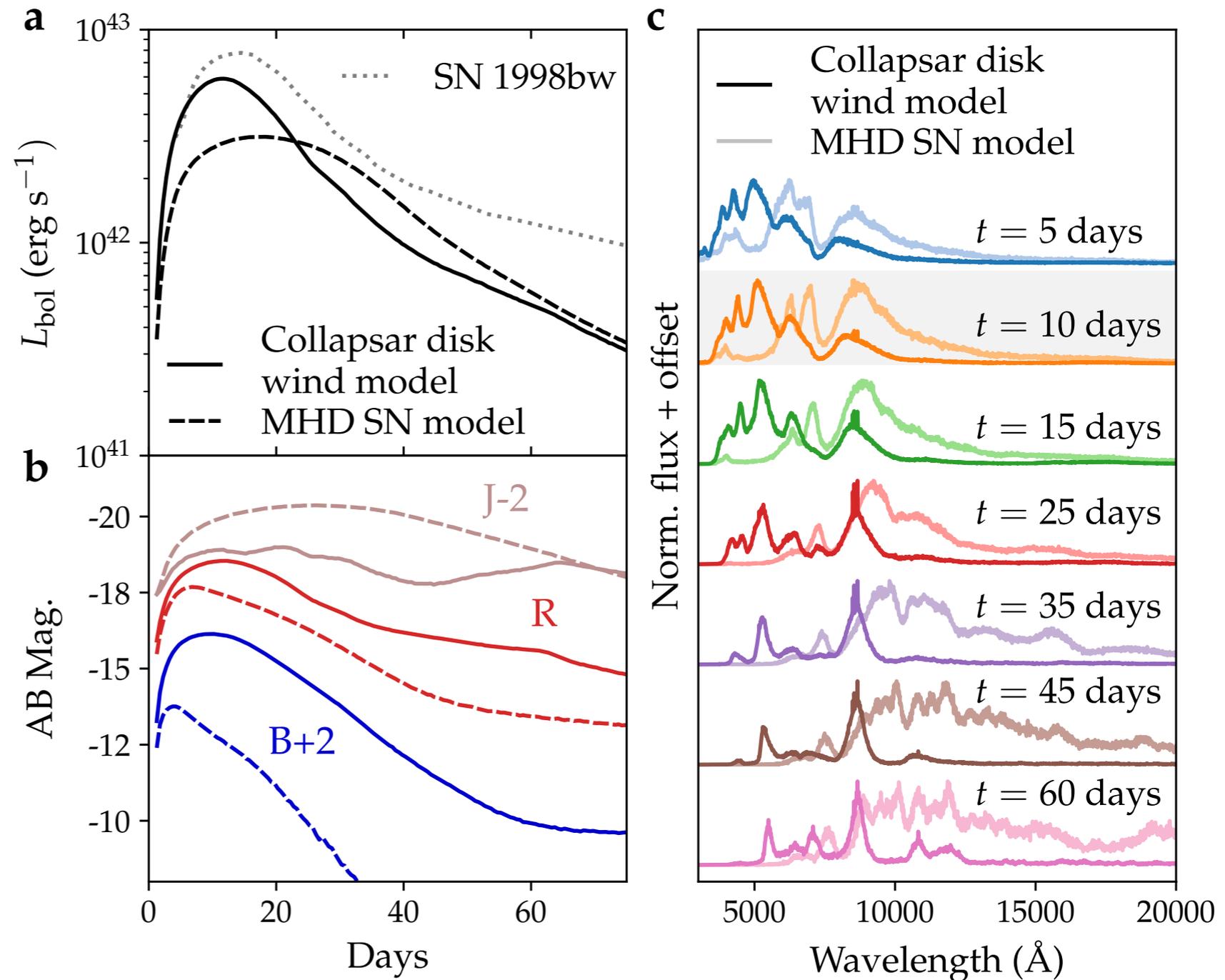
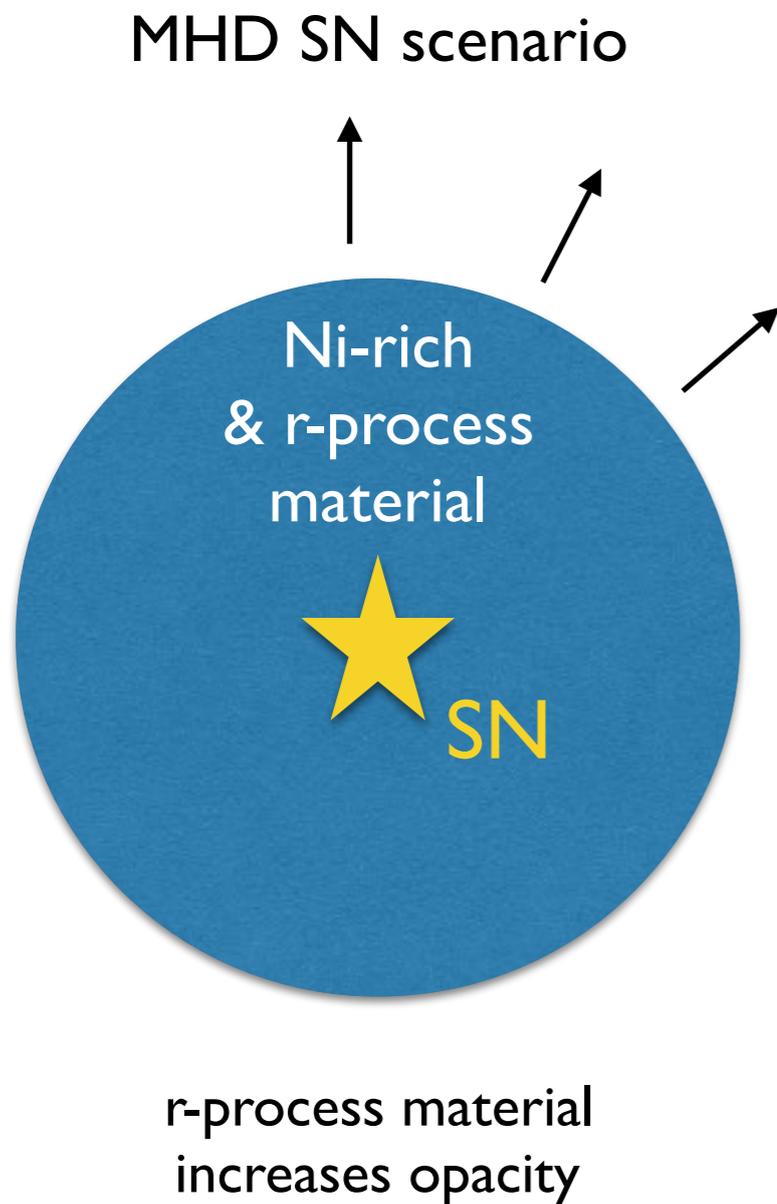
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Siegel, Barnes, Metzger 2019, Nature



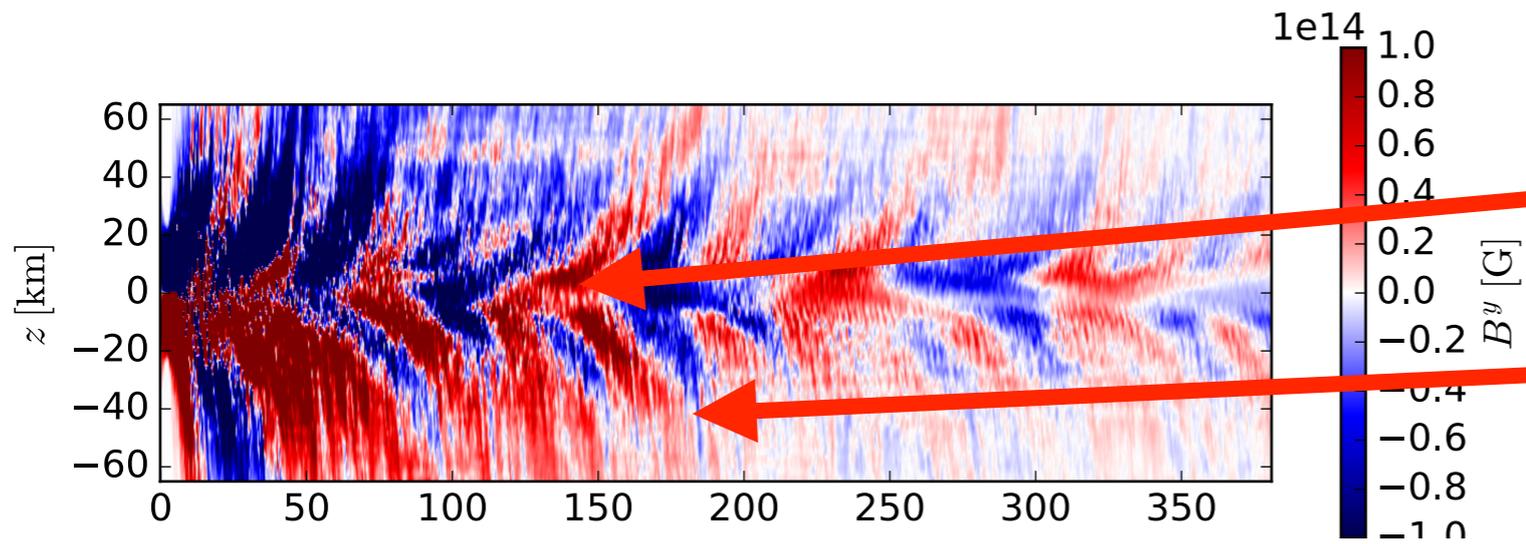
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Siegel, Barnes, Metzger 2019, Nature



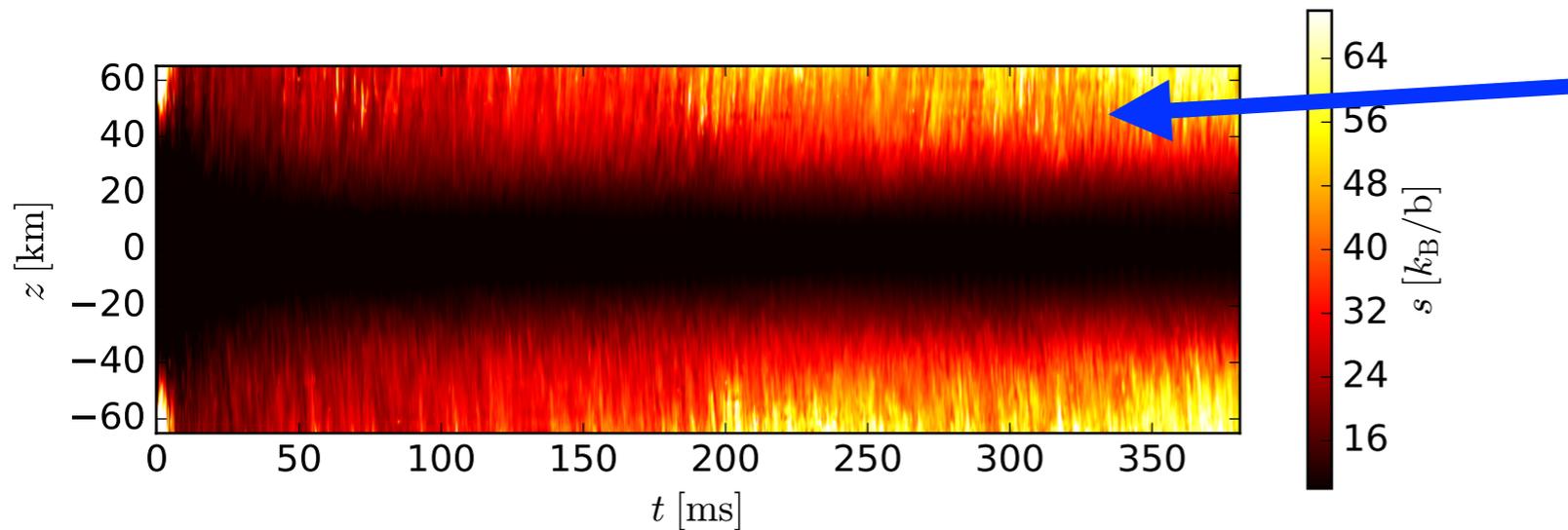
→ MHD supernovae likely ruled out as significant heavy r-process source (consistent with recent 3D GRMHD simulations [Moesta+ 2018](#))

# Accretion disk dynamo & generation of outflows



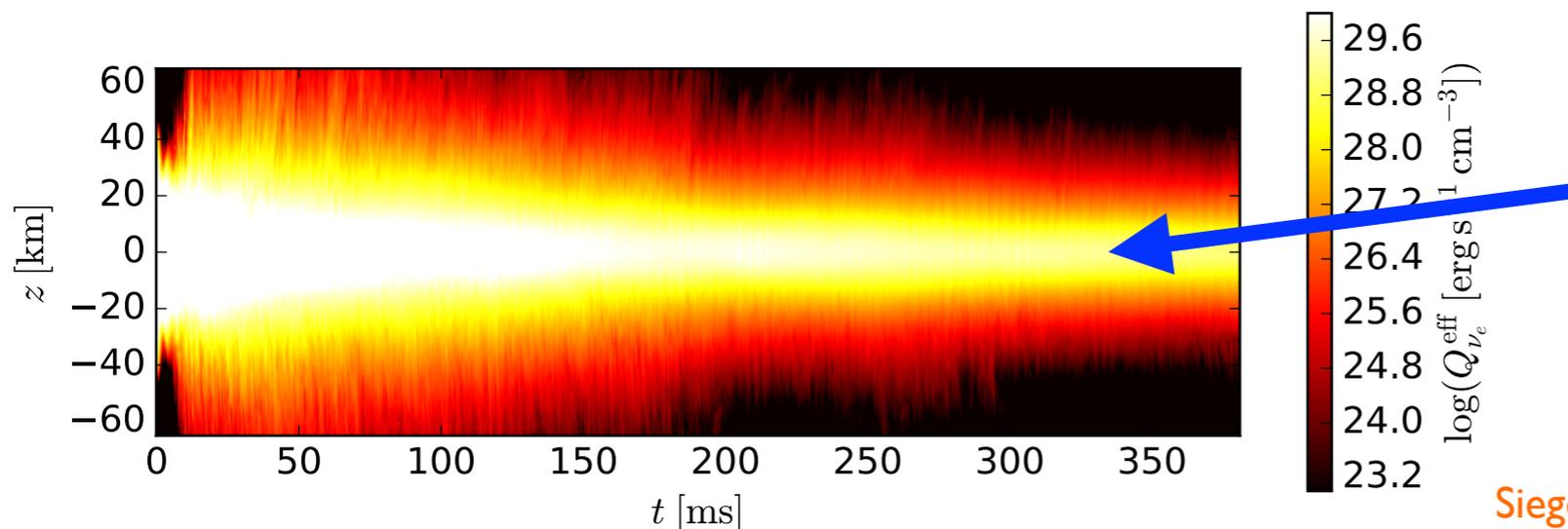
magnetic energy is generated in the mid-plane

- migrates to higher latitudes
- dissipates into heat off the mid-plane



→ “hot corona”

hot corona launches **thermal outflows** (neutron-rich wind)

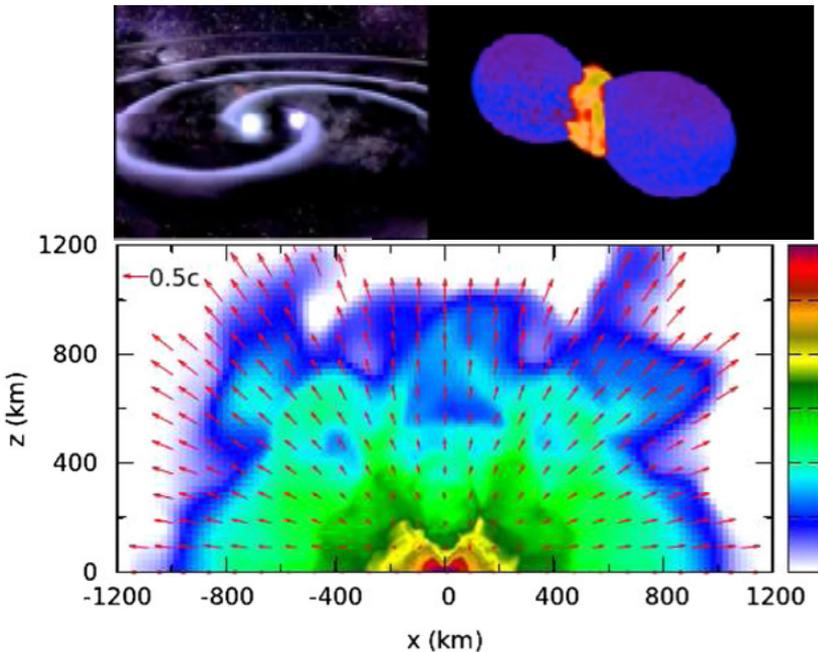


NS post-merger accretion disk are **cooled from the mid-plane** by neutrinos (rather than from the EM photosphere)!

Siegel & Metzger 2018

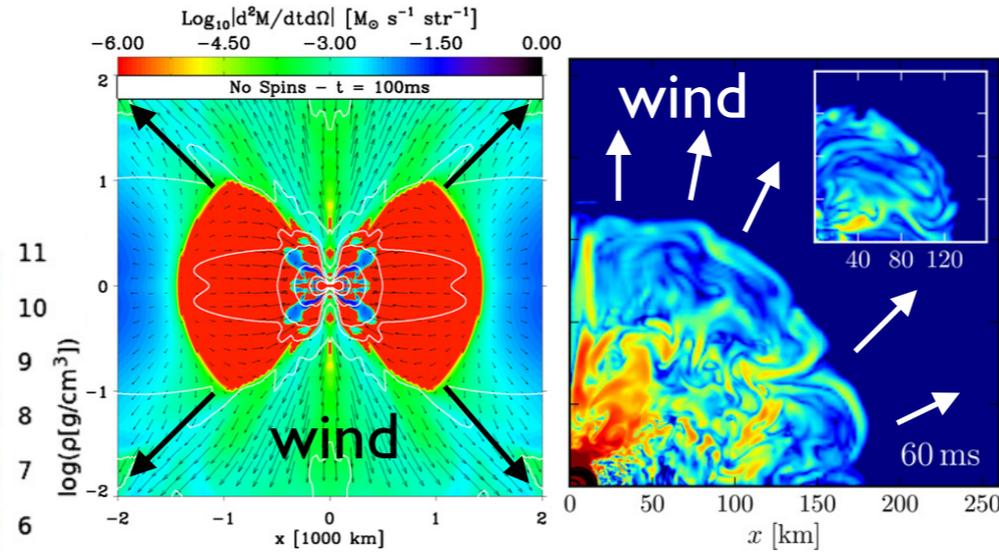
# Sources of ejecta in NS mergers

dynamical ejecta (~ms)



Hotokezaka+ 2013, Bauswein+ 2013

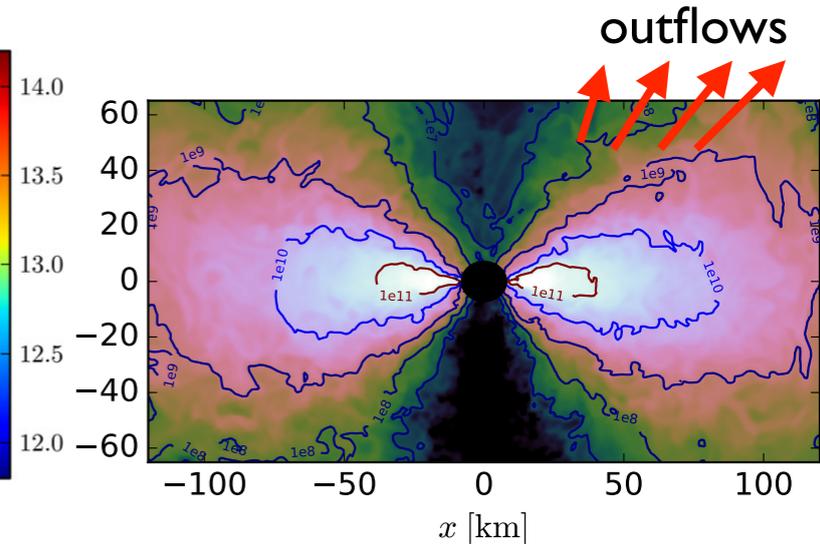
winds from NS remnant (~10ms-1s)



Dessart+ 2009

Siegel+ 2014  
Ciolfi, Siegel+ 2017

accretion disk (~10ms-1s)



Siegel & Metzger 2017, 2018

tidal ejecta  
shock-heated ejecta

$$M_{\text{tot}} \lesssim 10^{-3} M_{\odot}$$

$$v \gtrsim 0.2c$$

neutrino-driven wind

$$\dot{M}_{\text{in}} \sim (10^{-4} - 10^{-3}) M_{\odot} \text{s}^{-1}$$

magnetically driven wind

$$\dot{M}_{\text{in}} \sim (10^{-3} - 10^{-2}) M_{\odot} \text{s}^{-1}$$

thermal outflows

$$M_{\text{tot}} \gtrsim 0.3 - 0.4 M_{\text{disk}}$$

$$v \sim 0.1c$$

Overall ejecta mass per event:

$$\lesssim 10^{-3} - 10^{-2} M_{\odot}$$

strongly depends on EOS and mass ratio

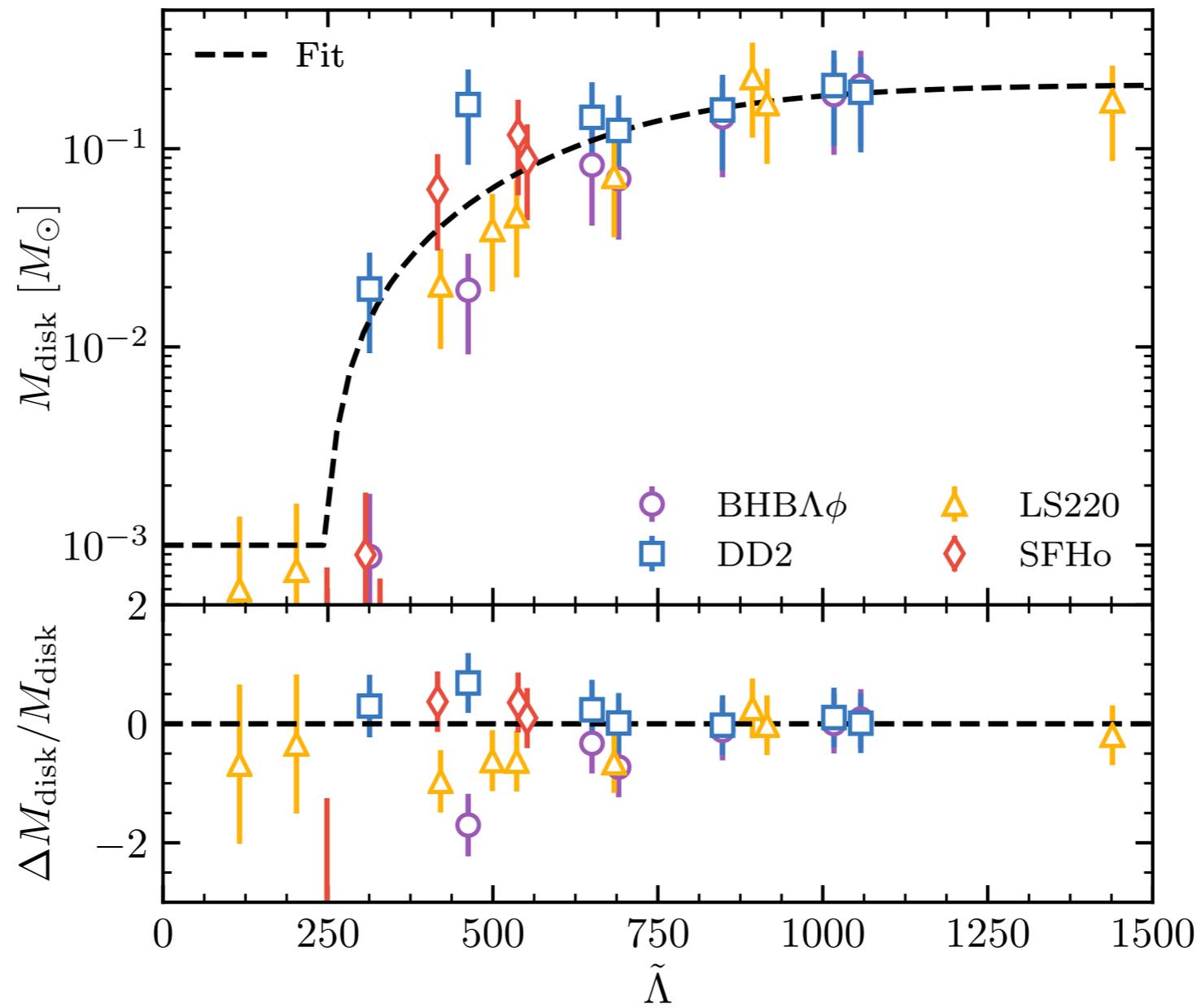
Bauswein+ 2013  
Radice+ 2016, 2017  
Sekiguchi+ 2016  
Palenzuela+2015  
Lehner+2016  
Ciolfi, Siegel+2017

Siegel & Metzger 2017, 2018

$$\gtrsim 10^{-2} M_{\odot}$$

lower limit

# NS post-merger disk masses



Radice+ 2018