



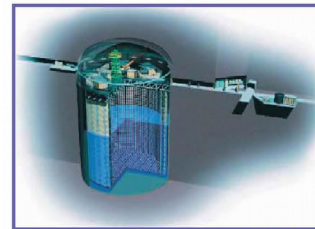
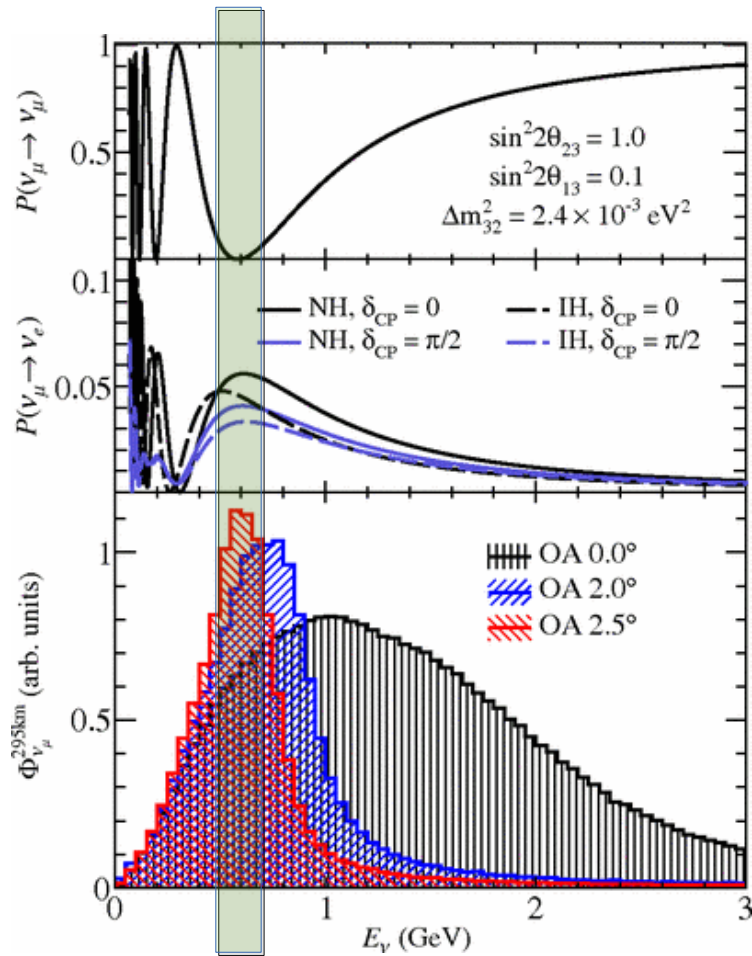
Current and future measurements with electron  
neutrinos and electron anti-neutrinos  
in the T2K off-axis near detector

**Sophie King**

On behalf of the T2K collaboration

June 2017

# The T2K Experiment



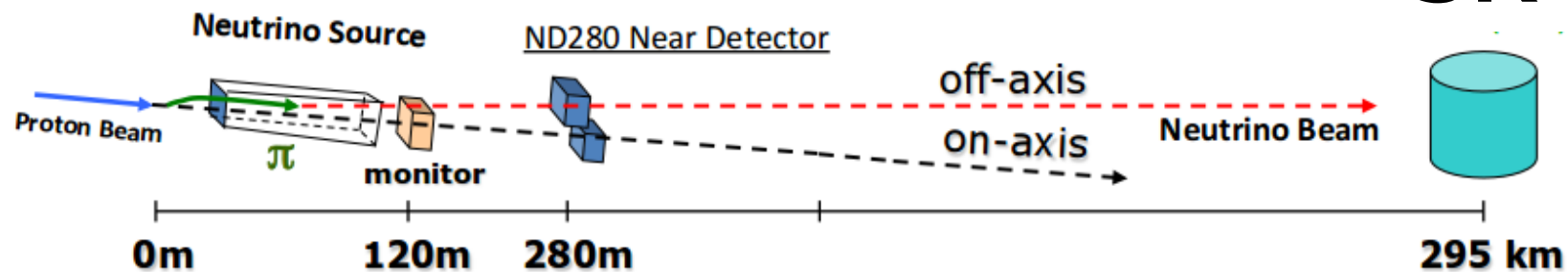
Super-Kamiokande  
(ICRR, Univ. Tokyo)



$P^+ (30 \text{ GeV}) \rightarrow \text{graphite target} \rightarrow \text{hadrons} \rightarrow \nu_\mu \text{ beam}$

ND280

SK



# The importance of $\nu_e$ measurements for oscillation experiments such as T2K

$\nu_e$  are **signal** in **oscillation** ( $\nu_\mu \rightarrow \nu_e$ ) search

$\nu_e$  are largest **background** (**intrinsic  $\nu_e$  beam component**)

→ need reliable model for cross-section and  $\nu_e$  flux

*Phys. Rev.*  
D86:053003, 2012.

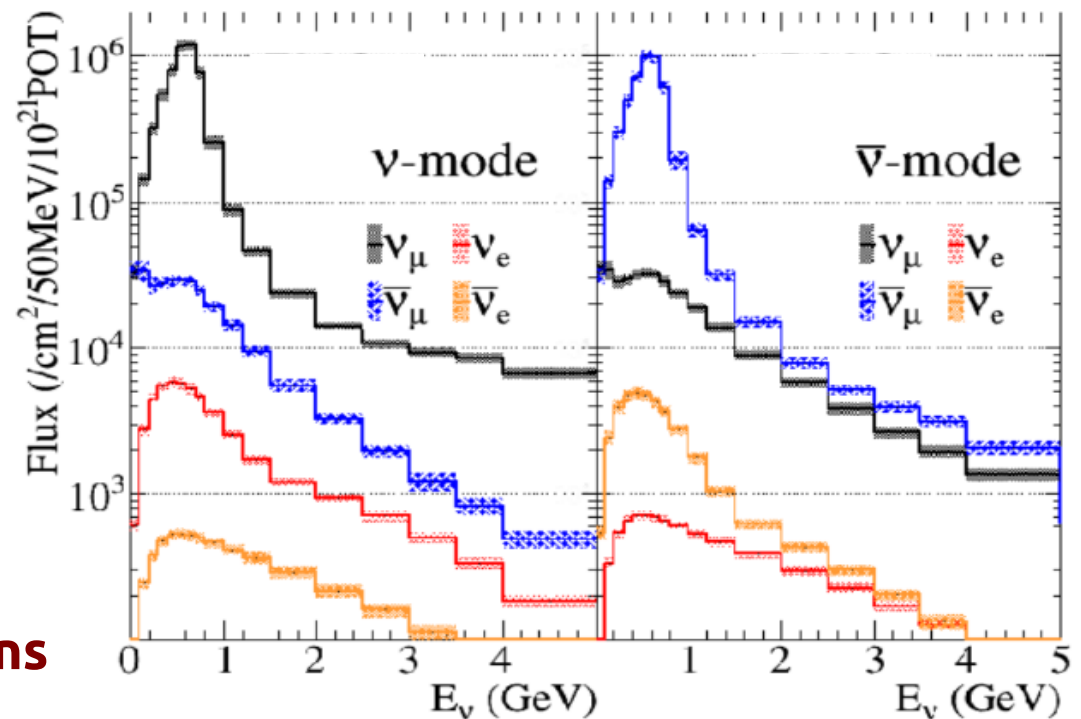
- Theoretical **differences in  $\nu_e$  and  $\nu_\mu$  cross sections** due to lepton mass

- Many  $\nu_\mu$  cross-section measurements

- Data for  $\nu_e$  interactions is more scarce

- Sensitivity of neutrino oscillation experiments is increasing

→ Look to future:  **$\nu_e$  cross-sections** constrained with data



# $\nu_e$ cross-section measurements



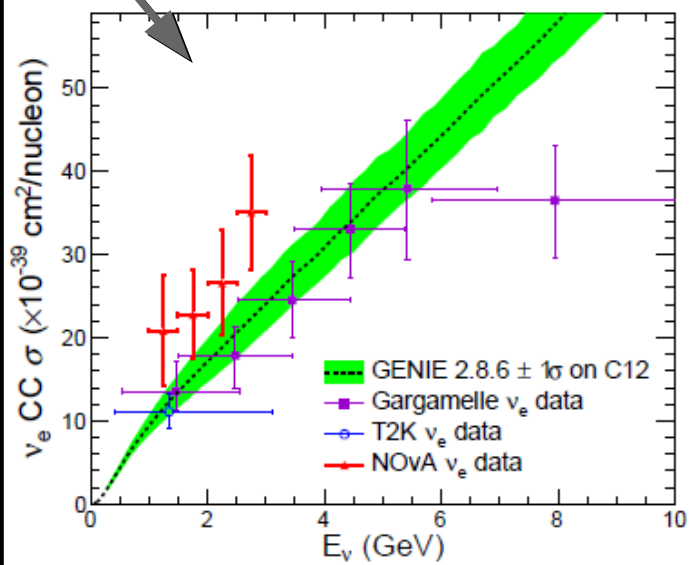
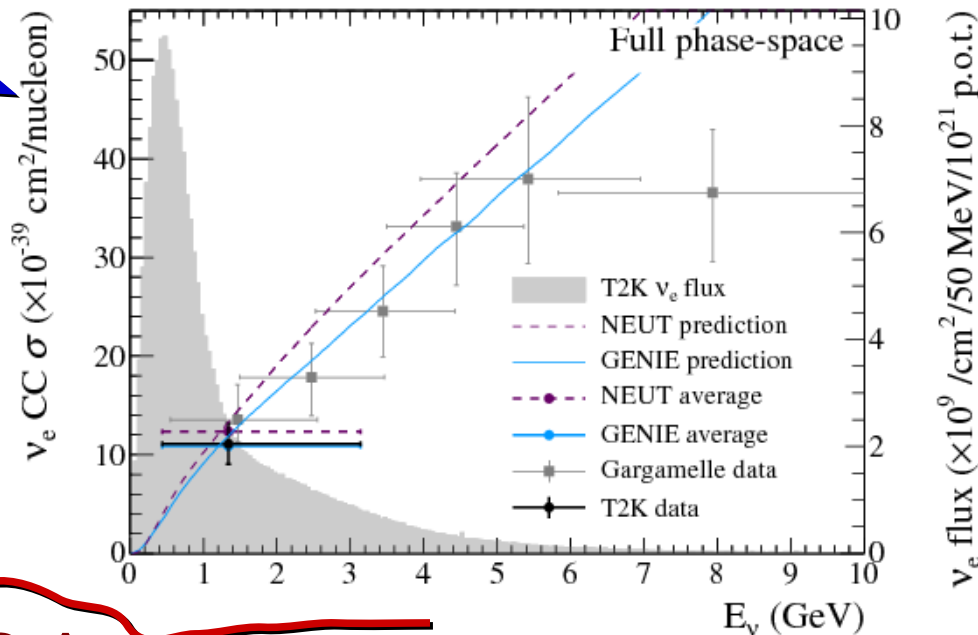
## T2K: $\nu_e$ CC inclusive cross-section

Phys Rev Lett 113,241803 (2014)

T2K Run **2010-2013** ( $\nu$ -beam)

Good quality data collected from  $5.9 \times 10^{20}$  protons on target (POT)

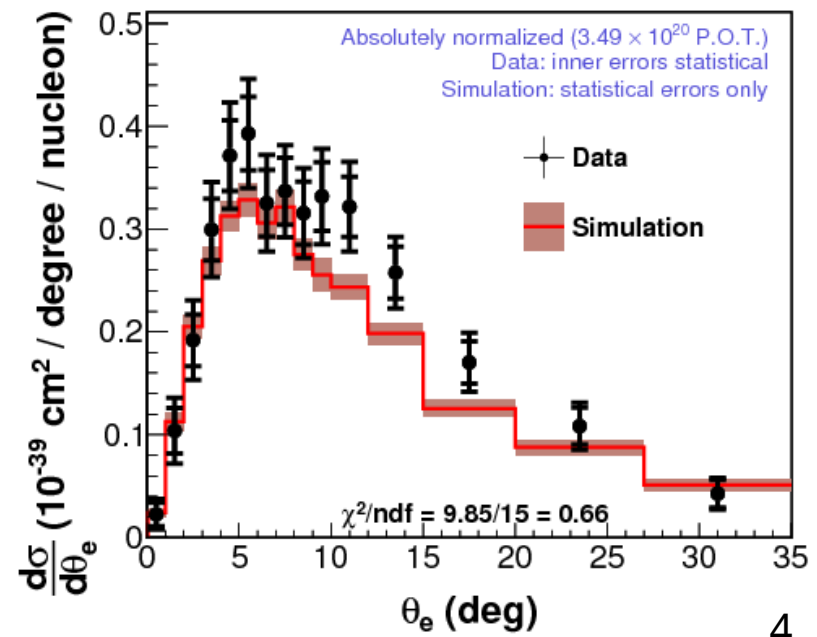
Gargamelle  $\nu_e$  and  $\bar{\nu}_e$  CC inclusive (included on plots) Nucl Phys B 133,1978



**Nova:  $\nu_e$  CC inclusive**  
ArXiv:1601.0121 (2016)

**MINERvA:**  
 $\nu_e$  CCQE-like  
Phys. Rev. Lett. 116 (2016)

- \*  $\langle E_\nu \rangle = 3.6$  GeV
- \* Hydrocarbon (similar to T2K & Nova)
- \*  $\nu_e$  and  $\bar{\nu}_e$  in signal
- \* 1 GeV cut on  $E_e$



# ND280

T2K off-axis near detector



2x **FGDs**

( Fine-Grained scintillator Detectors )

- **target** mass, vertex reconstruction

3x **TPCs**

(Time Projection Chambers)

In an applied magnetic field

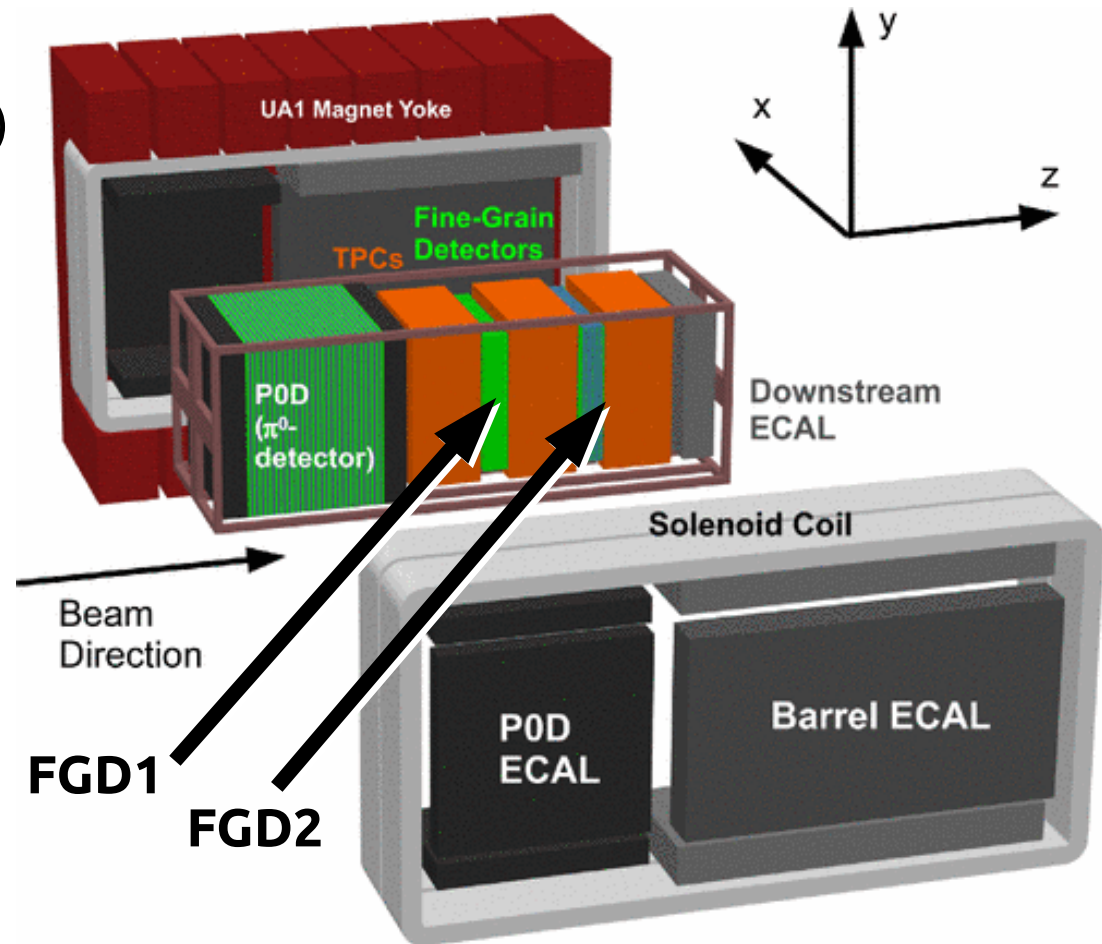
- **momentum** reconstruction

- **charge** identification

- **Particle Identification (PID)**

**Ecal** (Electromagnetic Calorimeters) surrounding the inner sub-detectors

- **energy containment**, more **PID**

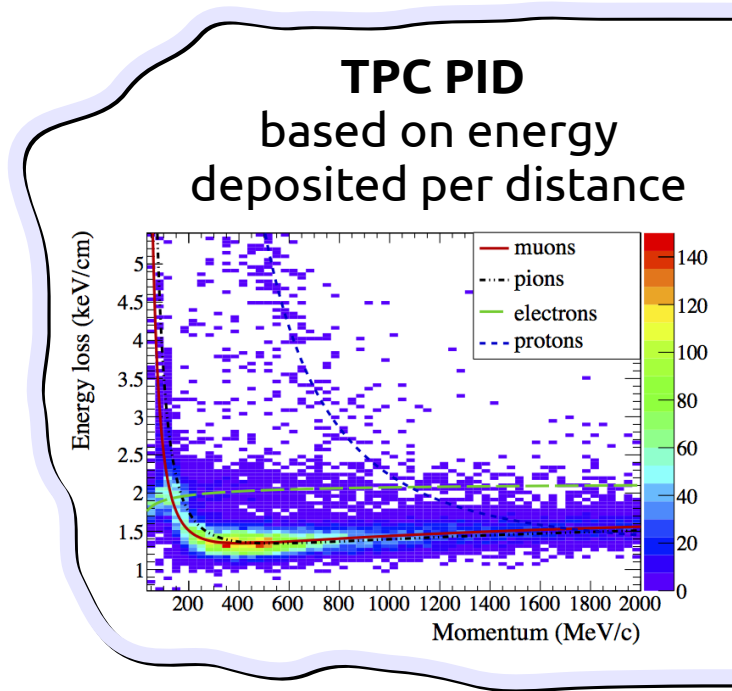
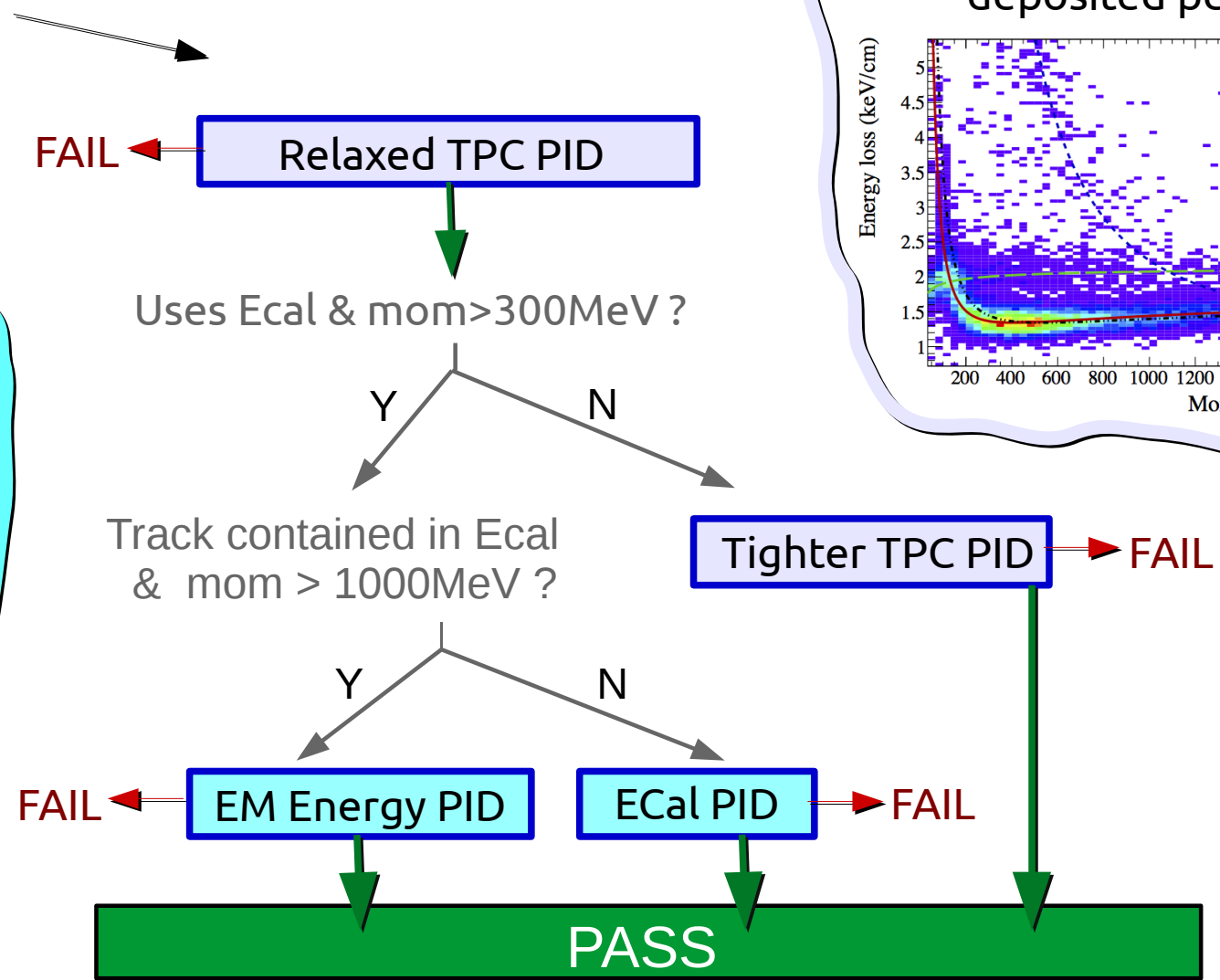




# Selection: Electron PID



- Select highest momentum **FGD→ TPC track** that starts in the FGD fiducial volume (FV)
- Perform particle identification (PID)



**EM Energy PID**  
based on scale of energy deposit

**Ecal PID**  
based on shape of energy deposit

# $\bar{\nu}_e$ CC selection



**Identify electron candidate:** Perform PID using TPC and ECal (previous page)

**Reject 'γ-events':**  $\gamma \rightarrow e^+ e^-$  { predominantly from outside of the fiducial volume (OOFV)

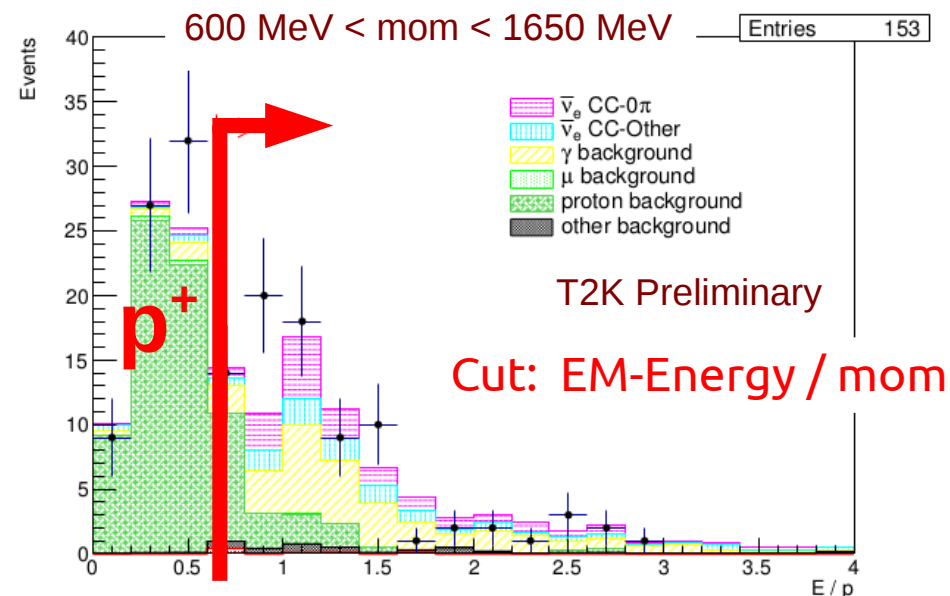
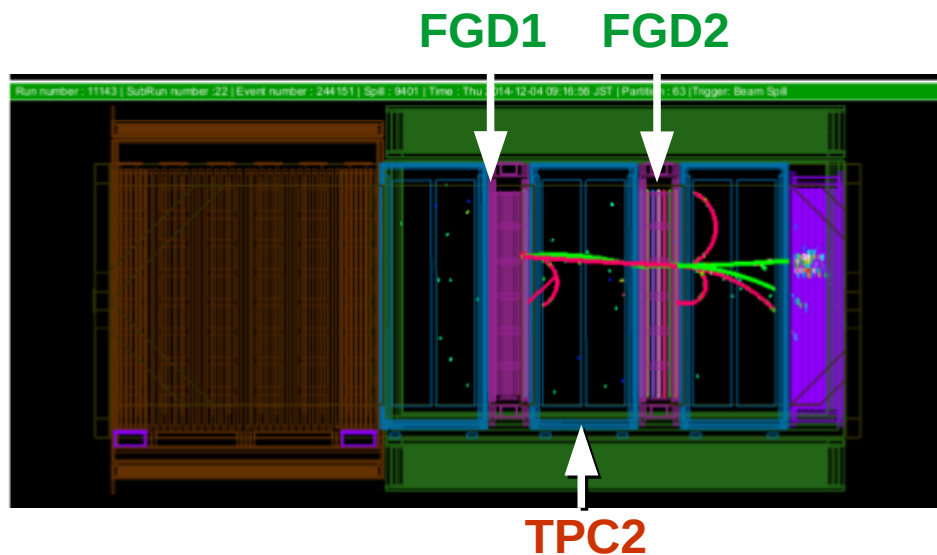
- Veto activity behind vertex
- Search for paired positron ( $\gamma \rightarrow e^+ e^-$ ) (see slide 11)
- Require mom > 200 MeV

# $\bar{\nu}_e$ CC selection

$p^+$  and  $e^+$  have very similar TPC dE/dx at 1GeV

→ **proton background** → **need additional cuts**

Tracks showering in FGD2



# $\gamma$ control sample



' $\gamma$ -events':  $\gamma \rightarrow e^+ e^-$  where a photon converts in the FGD

→ largely from NC  $\pi^0$  interactions → large uncertainties

→ ' $\gamma$ -sample' control sample with a purity ~ 95%

An adapted version of this selection is used to search for NC $\gamma$  in ND280  
→ See poster by Teppei

\* Select  $e^+/e^-$  candidate (PID on slide 7)

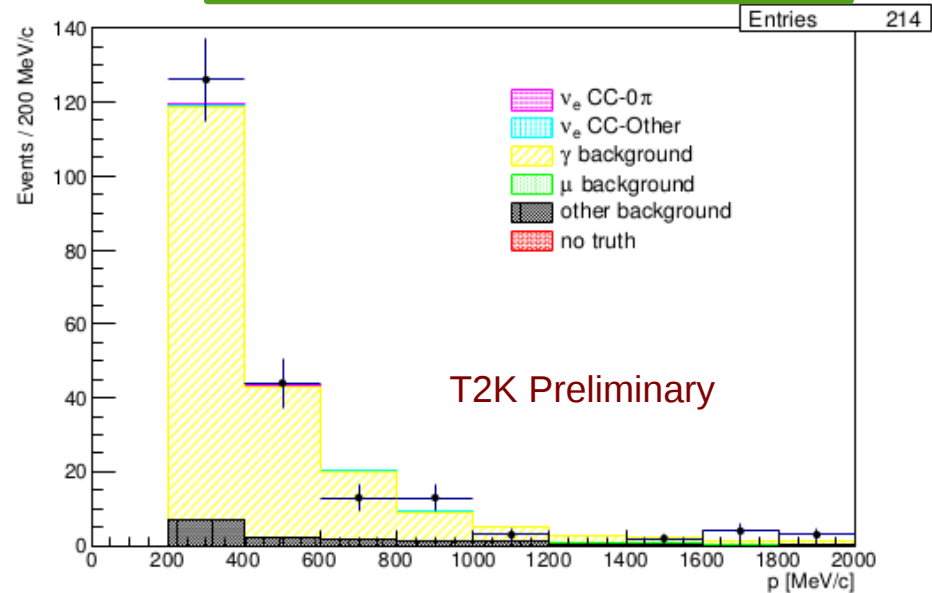
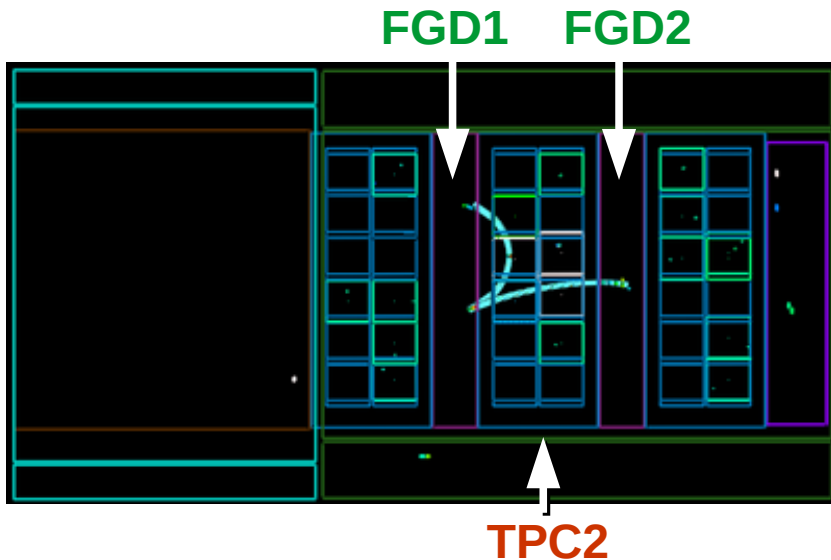
Loop over TPC tracks to search for  $e^+/e^-$  pair.

\*  $\gamma$  invariant mass:  $M_{inv} < 100 \text{ MeV}$  where

\* opposite charge to first track

\* distance between the tracks

$$M_{inv} = \sqrt{2 \cdot m_e^2 + 2 \cdot (E_1^2 \cdot E_2^2 - P_1^2 \cdot P_2^2)}$$

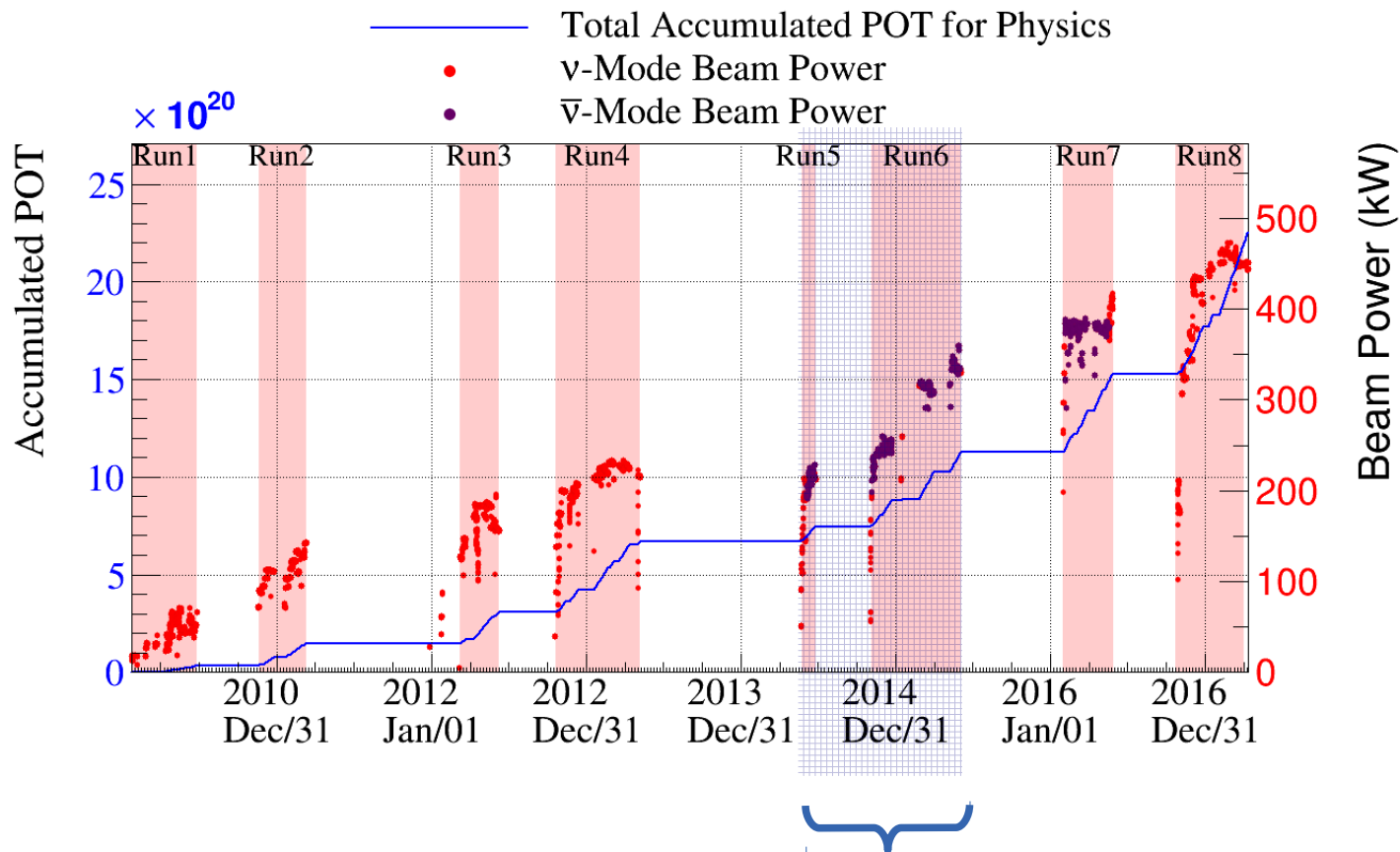




# Beam component measurement

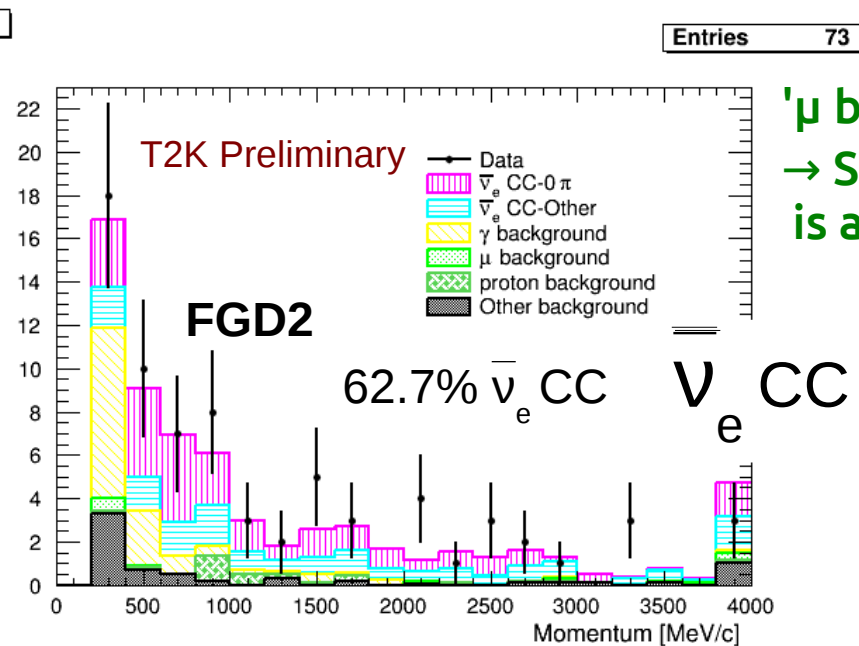
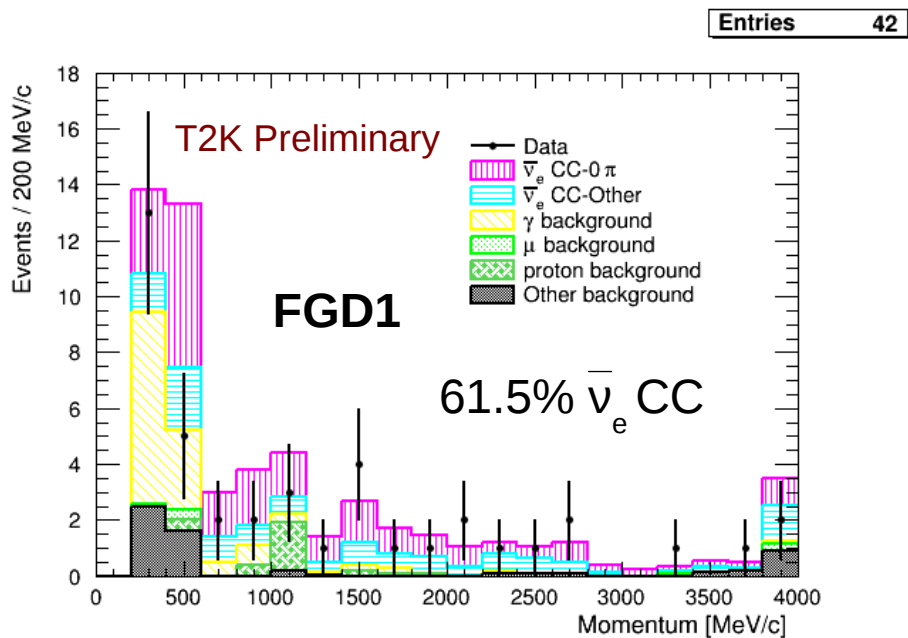
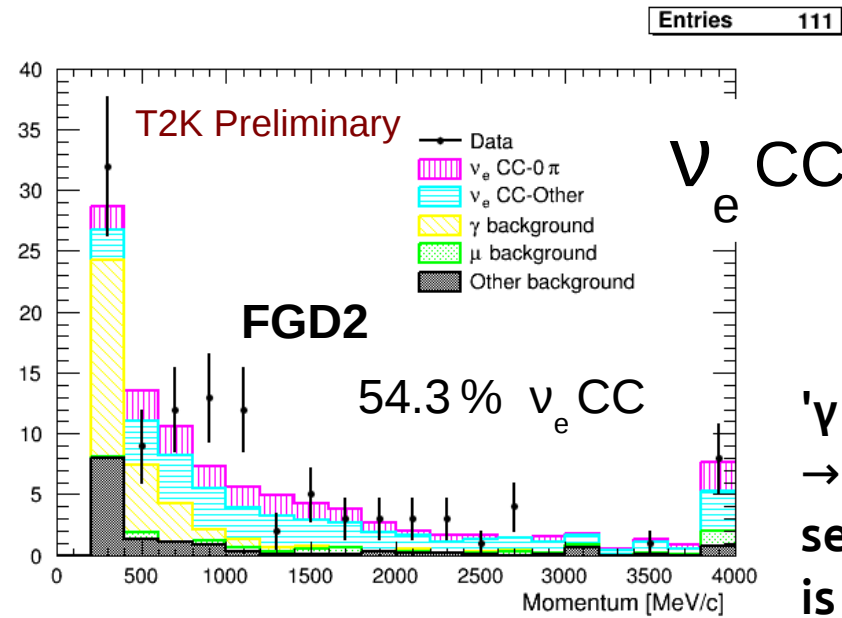
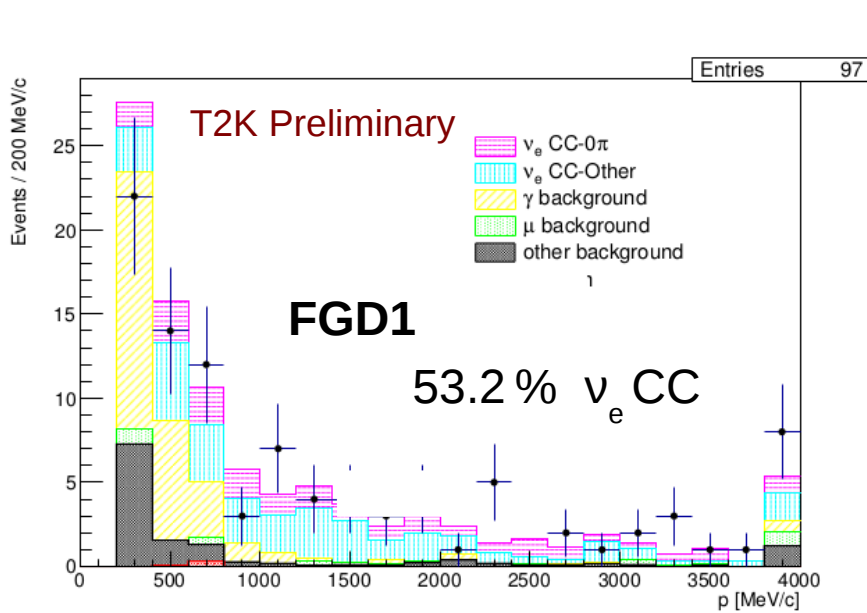
T2K 2014-2015  $\bar{\nu}$ -beam  $\sim 4 \times 10^{20}$  POT

$\nu_e$  CC selection and  $\bar{\nu}_e$  CC selection



# Selections

T2K 2014-2015:  $\sim 4 \times 10^{20}$  POT  $\bar{\nu}$ -beam



# Intrinsic $\nu_e / \bar{\nu}_e$ component of beam



- \* T2K Run 2014-2015 ( $\bar{\nu}$ -beam)
- \* Performed a fit to extract the  $\nu_e$  and  $\bar{\nu}_e$  components of the beam
- \* Using  $\nu_e$  CC and  $\bar{\nu}_e$  CC selections in FGD1 and FGD2
- \* Gamma sample used to constrain the background – scale factor for OOFV  $\gamma$ -background
- \* Unbinned maximum likelihood – simultaneous fit across 3 samples ( $\nu_e$  CC,  $\bar{\nu}_e$  CC,  $\gamma$ )

T2K Preliminary

## Statistics

# Events	FGD1+FGD2	FGD1	FGD2
$\nu_e$ CC			
Data	208	97	111
$\bar{\nu}_e$ CC			
Data	115	42	73

## Scale factor results:

$$f(\nu_e) = 1.250 \pm 0.135 \text{ (stats.)} \pm 0.122 \text{ (syst.)}$$

$$f(\bar{\nu}_e) = 1.142 \pm 0.144 \text{ (stats.)} \pm 0.132 \text{ (syst.)}$$

	Detector	Flux + xsec
$\nu_e$ CC	syst 6.5%	syst 7.5%
$\bar{\nu}_e$ CC	6.5%	9.5%

# Planned cross-section measurements with FGD1 selections

$\nu_e$  CC selection and  $\bar{\nu}_e$  CC selection

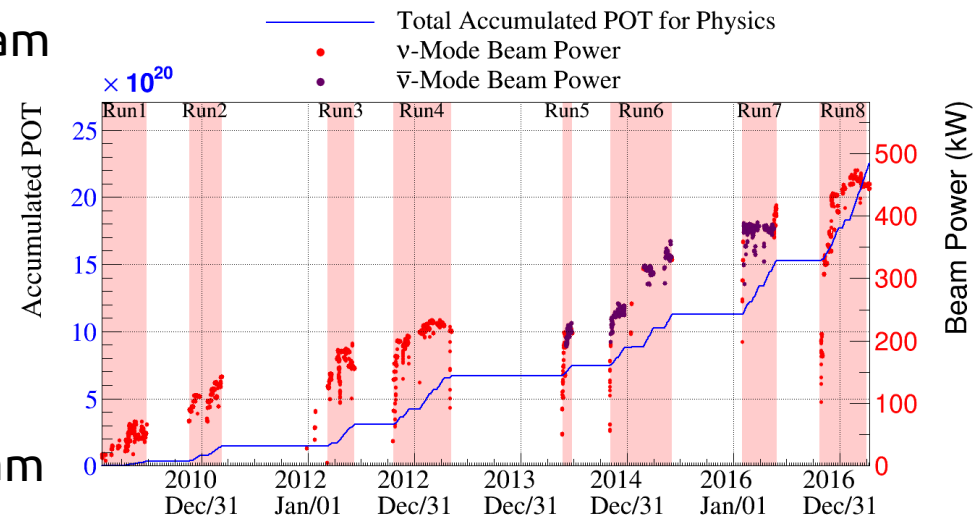
And  $\nu_e$  CC  $0\pi$

T2K Run 2010 – 2013:  $\sim 6 \times 10^{20}$  POT  $\nu$  – beam  
(sample used in plots on next page)

T2K Run 2014-2015:  $\sim 4 \times 10^{20}$  POT  $\bar{\nu}$ -beam  
(sample in previous plots)

T2K Run 2016-:  $\sim 3 \times 10^{20}$  POT  $\bar{\nu}$ -beam

T2K Run 2016 – 2017:  $\sim 4 \times 10^{20}$  POT  $\nu$  – beam  
(plots not available)



# Splitting $\nu_e$ CC events into $\nu_e$ CC $0\pi$ and $\nu_e$ CC other sub-samples

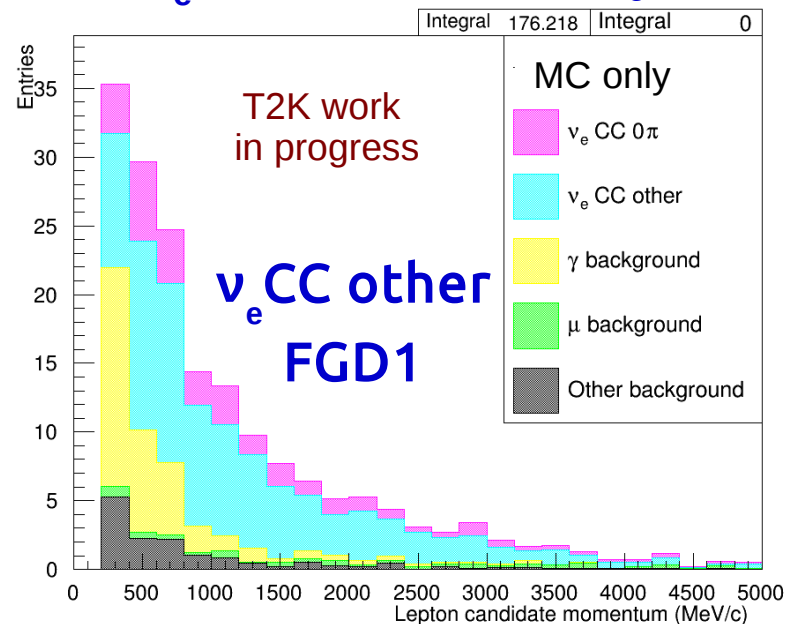
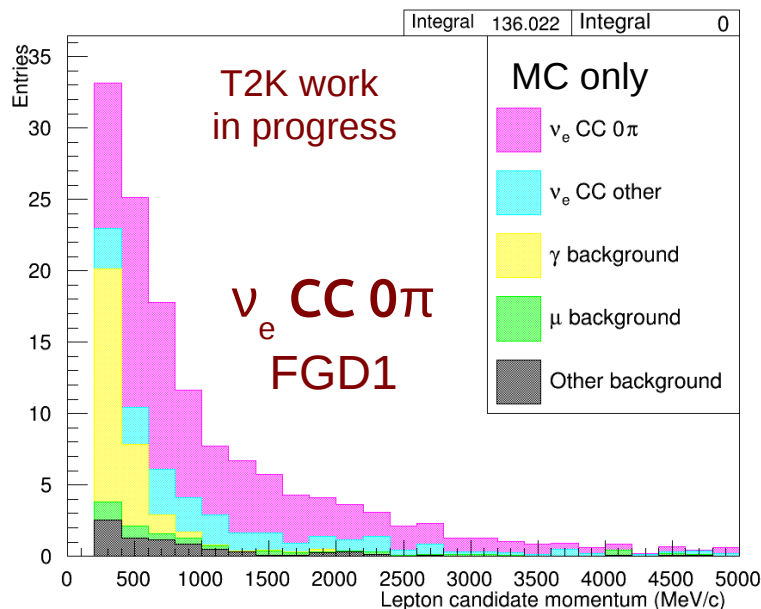
Split  $\nu_e$  CC sample according to the particles that exit the nucleus ( i.e. after FSI )

\* Michel/delayed electrons

\* track multiplicity ( + TPC PID )

$\nu_e$  CC  $0\pi$  (no mesons exit nucleus)

$\nu_e$  CC other (Any  $\nu_e$  CC that is not CC  $0\pi$ )



$\nu$  – beam selection: T2K Run 2010 – 2013 ( $\sim 6 \times 10^{20}$  POT  $\nu$  – beam)

NOTE: plot does not include recent run period  $\rightarrow$  increased stats for measurement

# Summary



## Motivation

- Intrinsic  $\nu_e$  is the biggest background in  $\nu_\mu \rightarrow \nu_e$  oscillation
- $\nu_e$  cross-section data is scarce, want data-driven constraints in future

## Status

- Selections optimised and systematics computed
- Beam component measurements done
- Planned cross-section measurements in FGD1:  $\bar{\nu}_e$  CC,  $\nu_e$  CC,  $\bar{\nu}_e$  CC0 $\pi$   
→ Maximum log likelihood fit across signal region and control samples

Selections:  $\bar{\nu}_e$  CC ( $\bar{\nu}$ -beam),  $\nu_e$  CC ( $\nu$ -beam and  $\bar{\nu}$ -beam),  $\bar{\nu}_e$  CC0 $\pi$  ( $\nu$ -beam and  $\bar{\nu}$ -beam)

Control samples:  $\gamma$ -sample,  $\nu_e$  CC-other

T2K Rns 2010-2015, good quality POT =  $\sim 6 \times 10^{20}$  POT  $\nu$  +  $\sim 4 \times 10^{20}$  POT  $\bar{\nu}$   
T2K Runs 2010-2017, predicted ood quality POT =  $\sim 10 \times 10^{20}$  POT  $\nu$  +  $\sim 7 \times 10^{20}$  POT  $\bar{\nu}$

→ Upcoming Xsec measurements using FGD1 as target, with 2010-2017 data



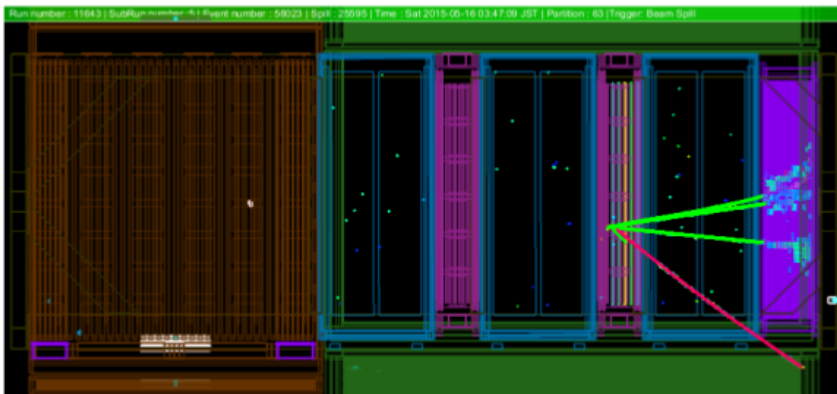


# Backup

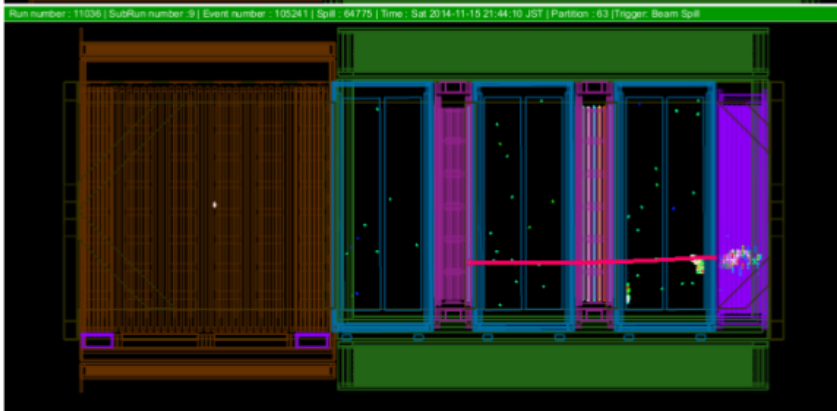
# Data event displays



T2K Preliminary



FGD2  $\nu_e$  CC  
candidate

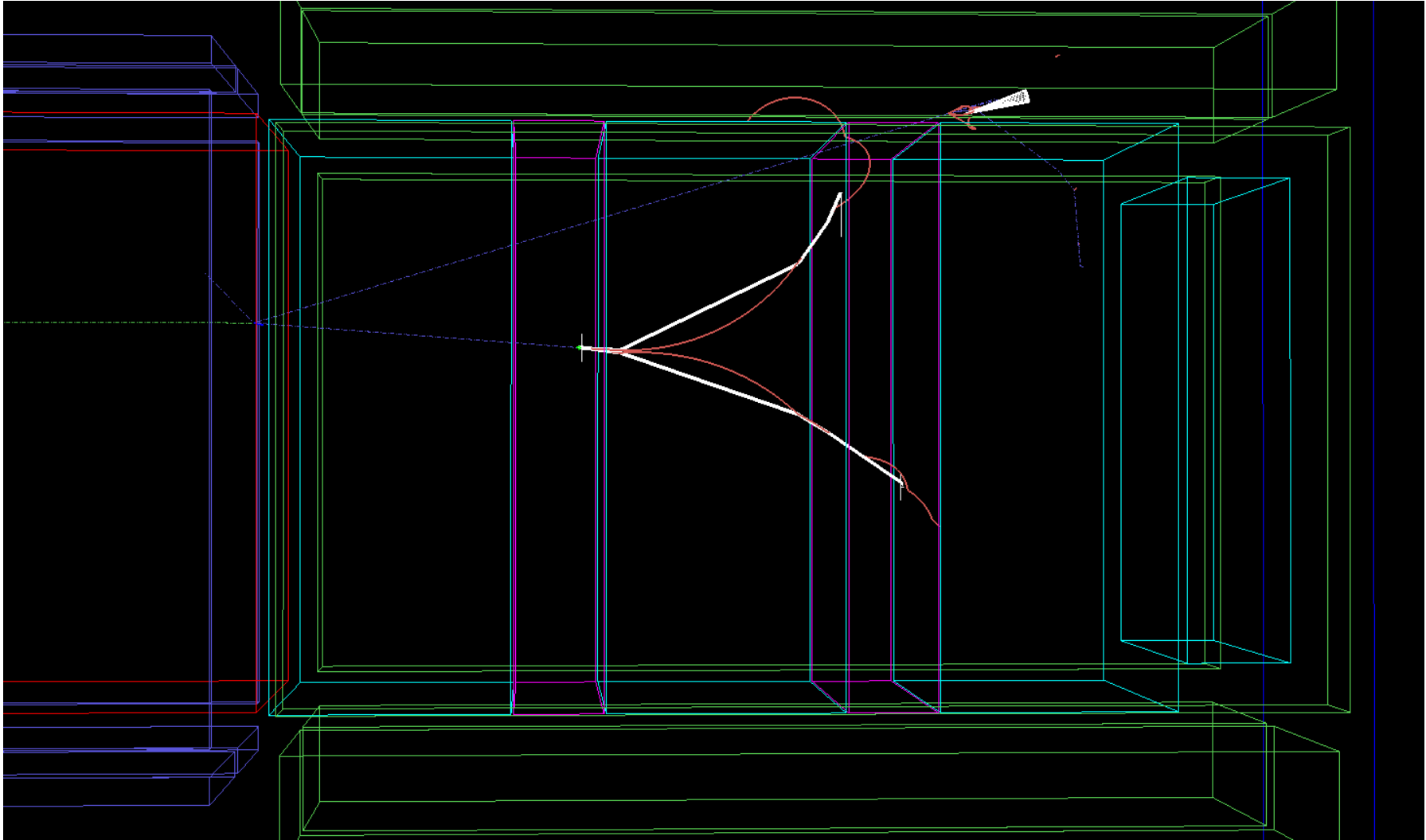


FGD1  $\bar{\nu}_e$  CC candidate  
→ FGD1 event  
→ track goes through FGD2 to ECal

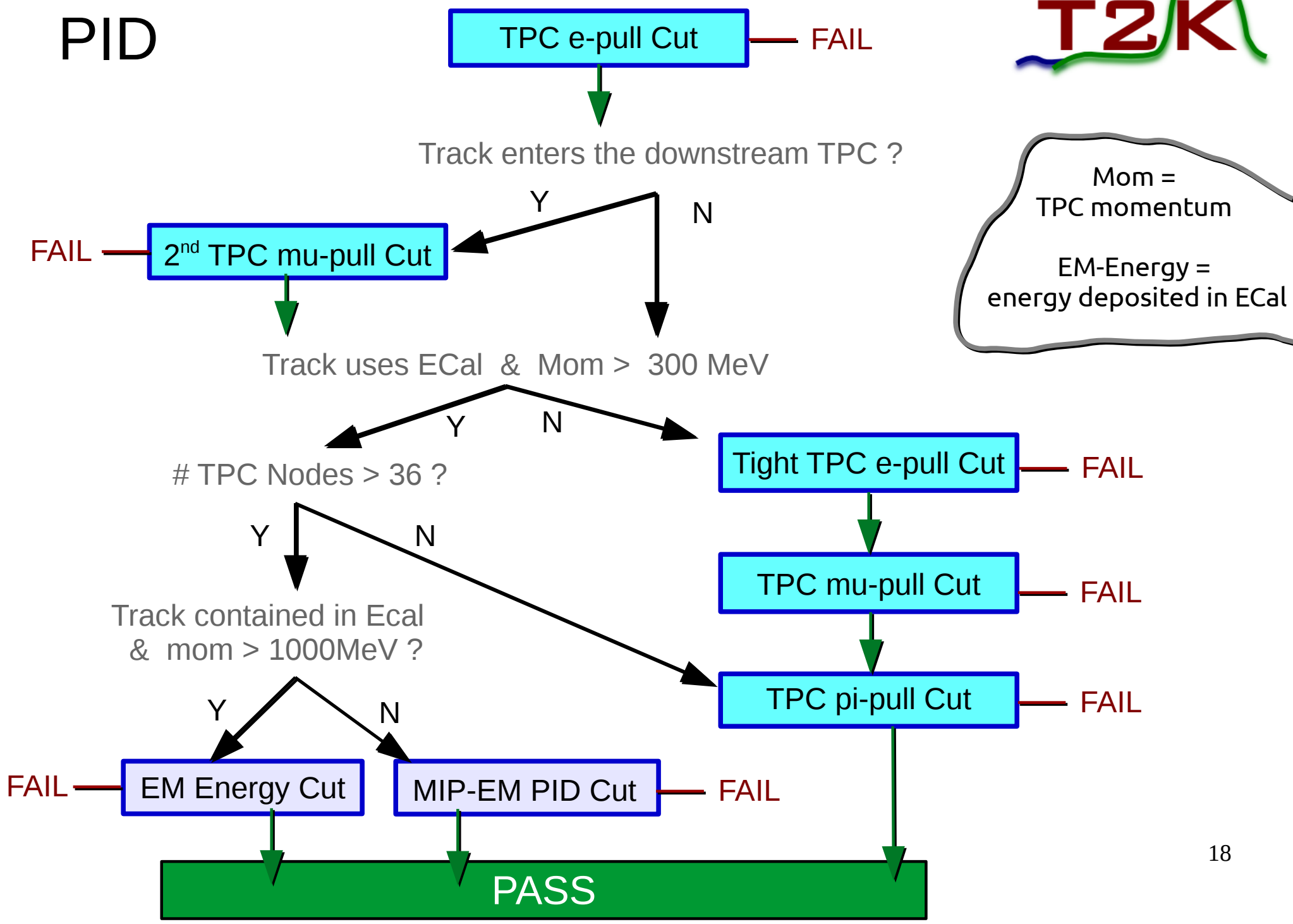


FGD1  $\bar{\nu}_e$  CC candidate  
→ FGD1 event  
→ track showers in FGD2

# MC $\pi^0$ event display



## PID



Mom =  
TPC momentum

EM-Energy =  
energy deposited in ECal

# $\nu_e$ CC selection

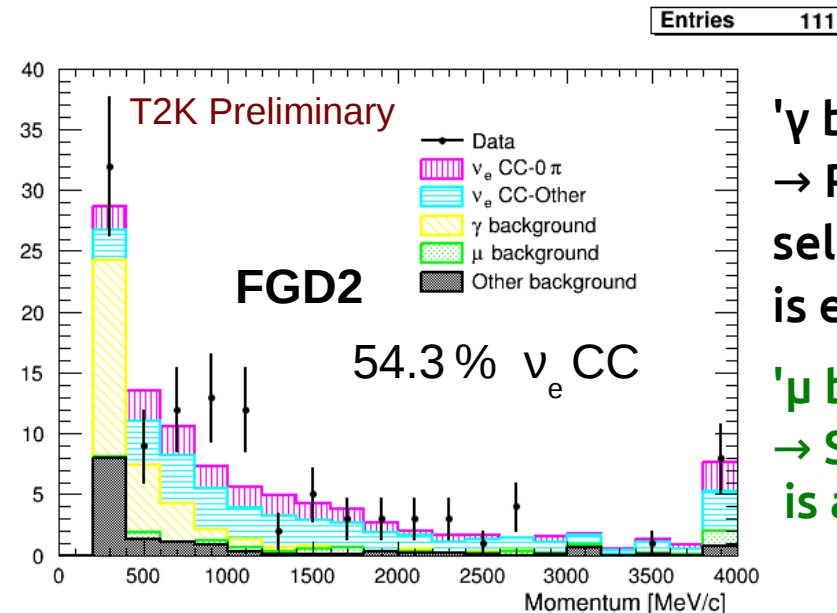
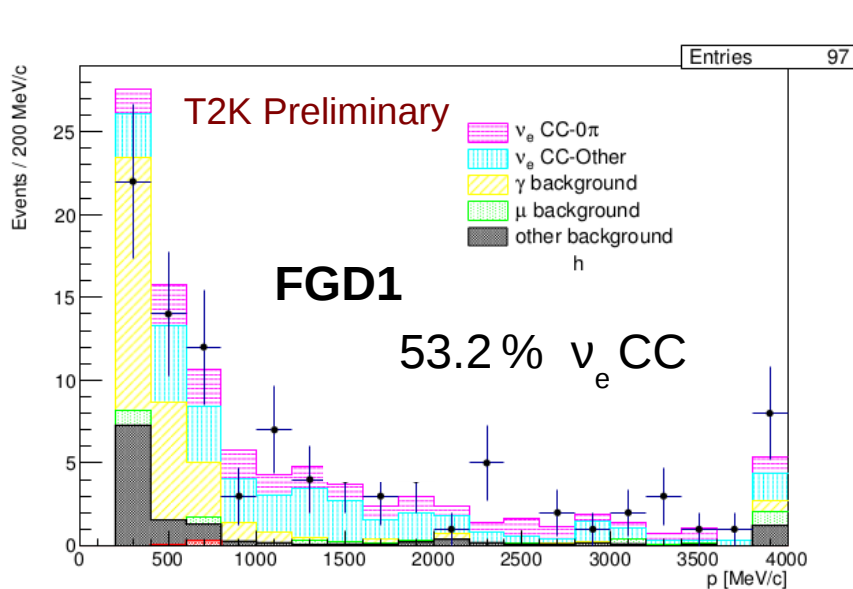


## Identify electron candidate

- Select highest momentum **FGD** → **TPC track** starting in the FGD fiducial volume (FV)
- Perform **PID** using TPC and ECal (previous page)

## Reject 'γ-events': $\gamma \rightarrow e^+ e^-$ { predominantly from outside of the fiducial volume (OOFV)

- Time of flight between FGD1 and Ecal or FGD2 → removes OOFV tracks
- Veto upstream activity in ECal, TPC, P0D → reject out of fiducial volume (OOFV)
- Search for paired positron ( $\gamma \rightarrow e^+ e^-$ ) (see slide 11)
- Require mom > 200 MeV



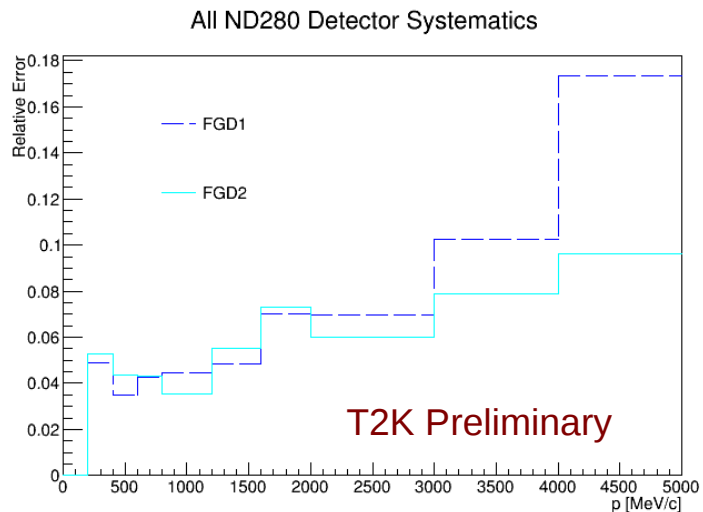
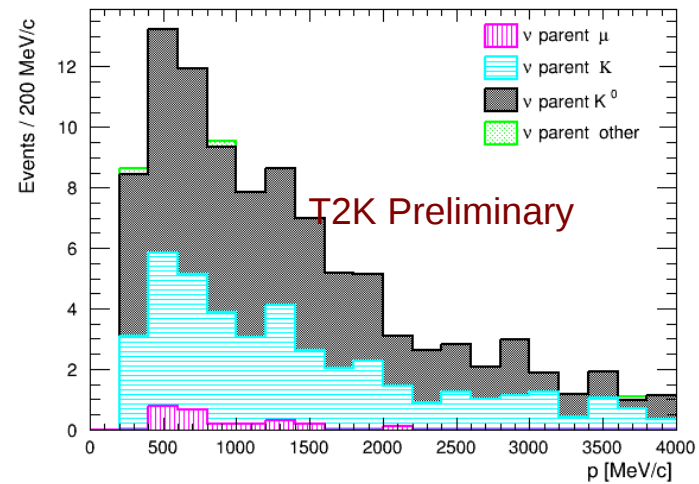
' $\gamma$  background'  
→ Parent is a  $\gamma$ ,  
selected track  
is  $e^+/e^-$

' $\mu$  background'  
→ Selected track  
is a muon

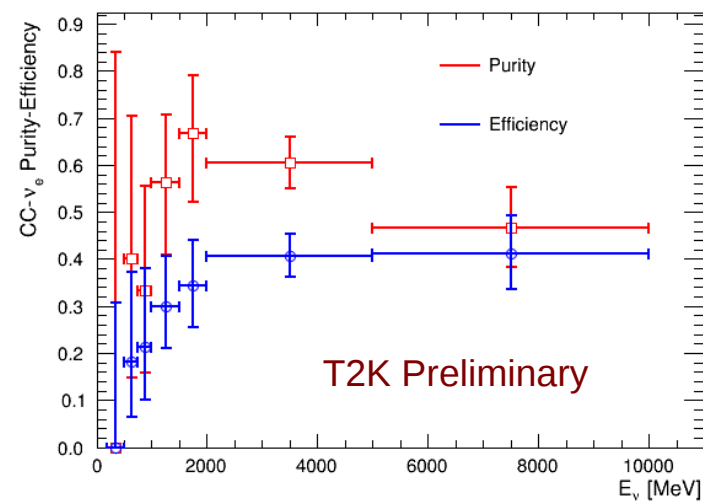
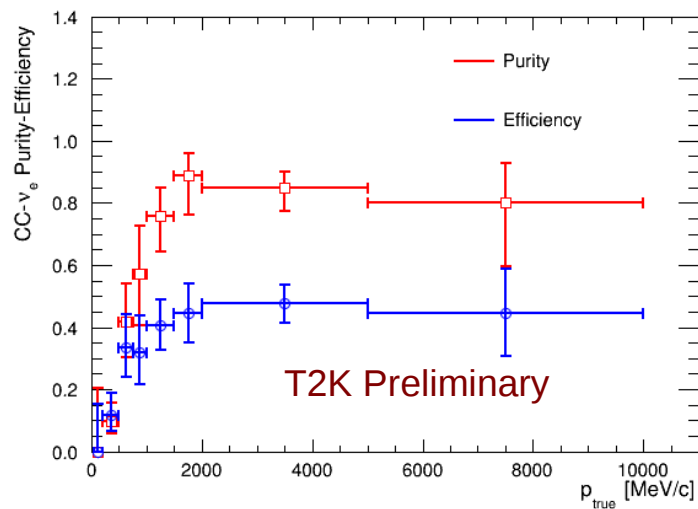
$\nu_e$  CC in T2K Run 2014-2015 ( $\bar{\nu}$ -beam)

# $\nu_e$ CC events

## T2K 2014-2015 ( $\bar{\nu}$ -beam)



Selection	FGD1-FGD2(%)	FGD1(%)	FGD2(%)
CC- $0\pi$	20.0	20.1	19.9
CC-Other	33.2	31.9	34.4
Gamma	28.6	30.4	27.0
Muon	4.0	3.4	4.6
Other	14.1	14.2	14.0





# $\bar{\nu}_e$ CC selection

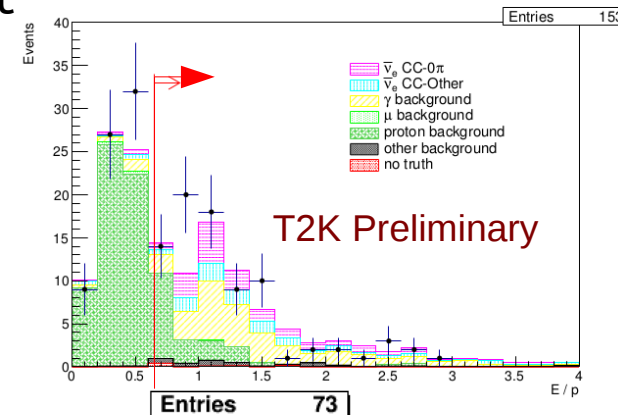


## Searching for a positron instead of electron

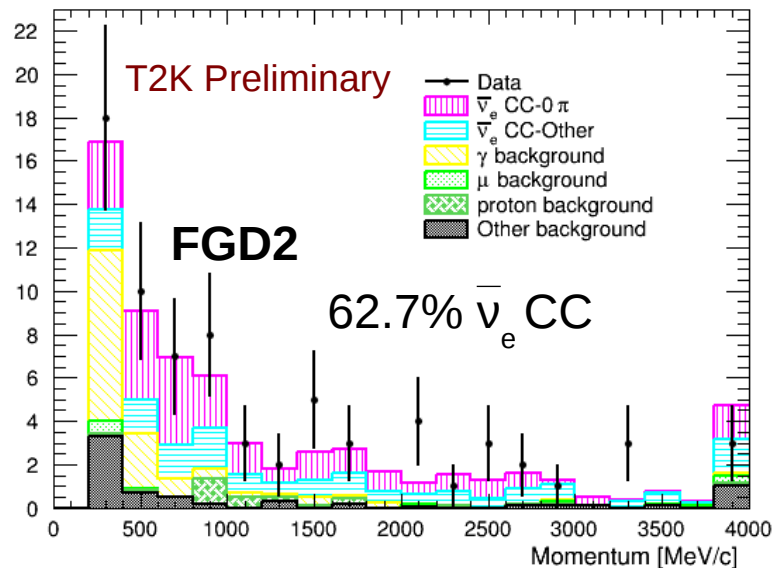
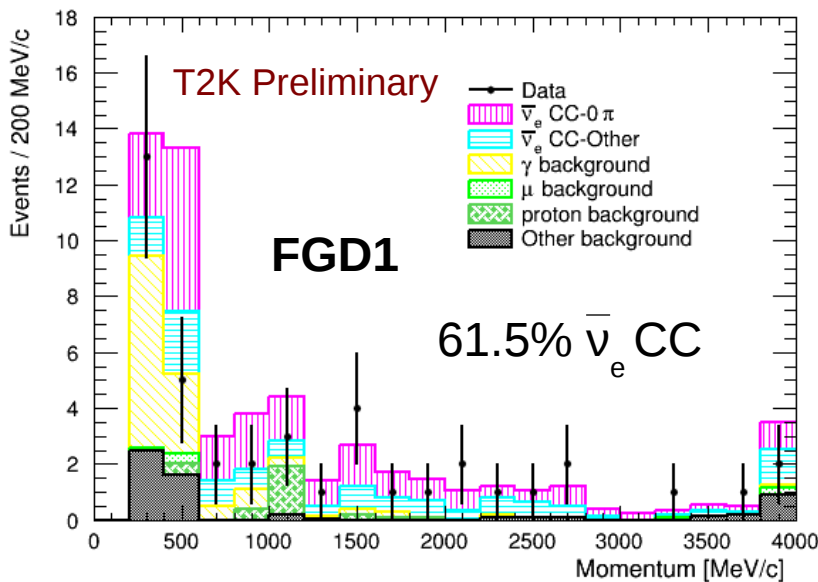
Proton and positron/electron have very similar  $dE/dx$  in the TPC around 1 GeV  
 → **protons form a background** to positron selection → **need additional cuts**

- Select highest momentum track, if negative then remove event (instead of highest positive →  $p^+$  often 2<sup>nd</sup> highest mom)
- Additional ECal PID - separate EM showers from hadronic
- Cut on ratio: EM-Energy / mom
- Search for tracks showering in FGD2

600 MeV < mom < 1650 MeV



Entries 42



$\bar{\nu}_e$  CC events  
 in T2K  
 2014-2015  
 ( $\bar{\nu}$ -beam)

# E/P cut

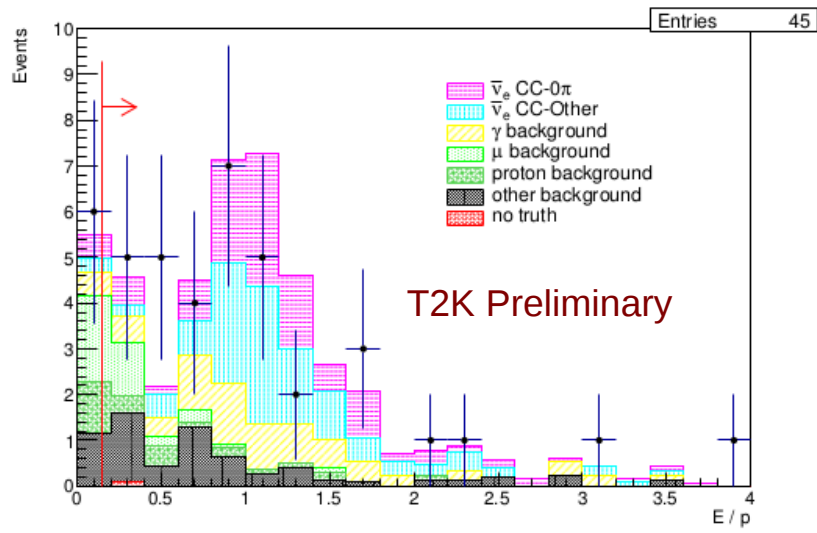
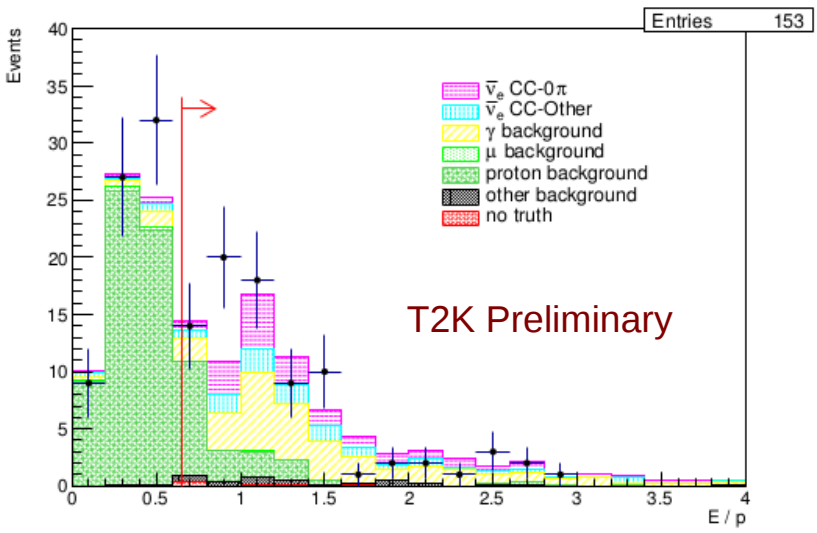
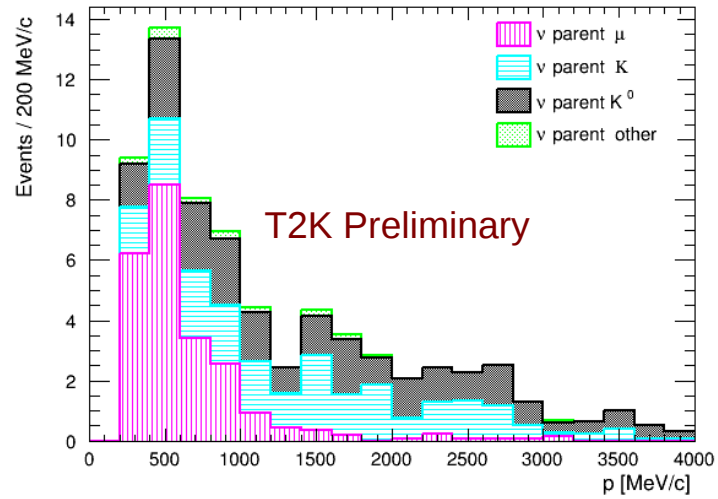


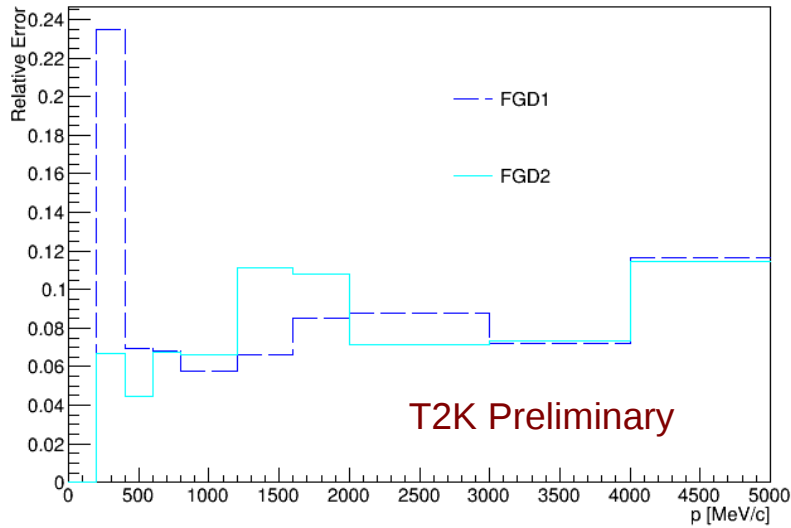
Figure 11: E/P for tracks with  $600 \text{ MeV}/c < p < 1650 \text{ MeV}/c$  (left) and with  $p \geq 1650 \text{ MeV}/c$  (right) for the  $\bar{\nu}_e$  selection.

$\bar{\nu}_e$  CC

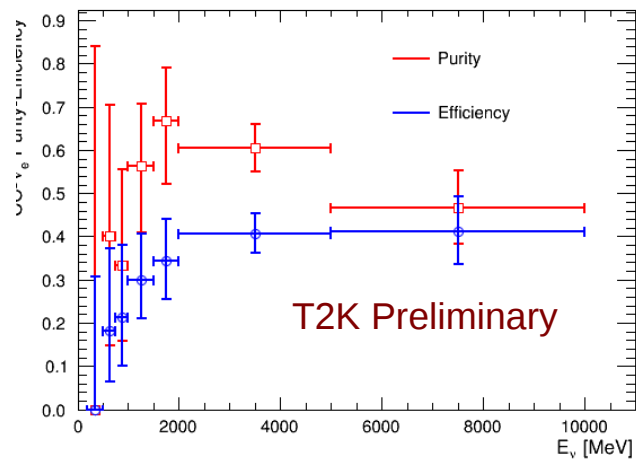
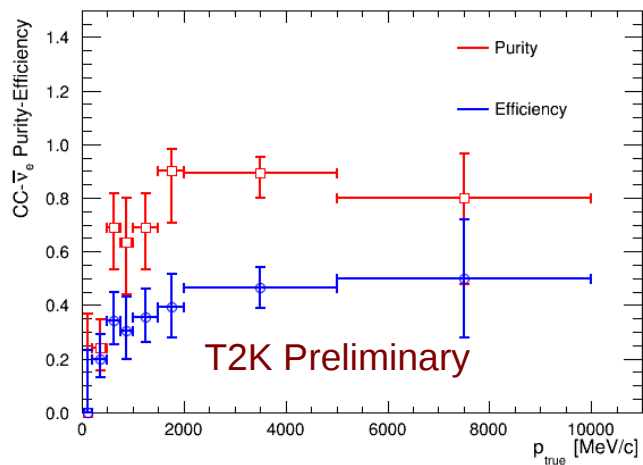
T2K 2014-2015 ( $\bar{\nu}$ -beam)



All ND280 Detector Systematics



Selection	FGD1-FGD2(%)	FGD1(%)	FGD2(%)
CC- $0\pi$	38.8	40.1	37.7
CC-Other	23.4	21.4	25.0
Gamma	20.8	21.3	20.4
Muon	1.8	1.5	2.1
Proton	4.7	5.2	4.3
Other	10.4	10.5	10.4



# Selection improvements since beam component measurement



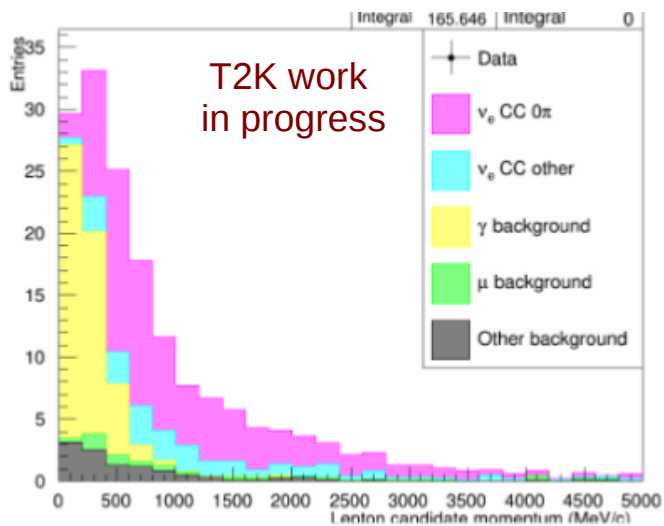
$\nu_e$  CC /  $\bar{\nu}_e$  CC selection

- Require mom > 200 MeV (dominated by  $\gamma$ -events below this)  
→ **unless time of flight information is available**

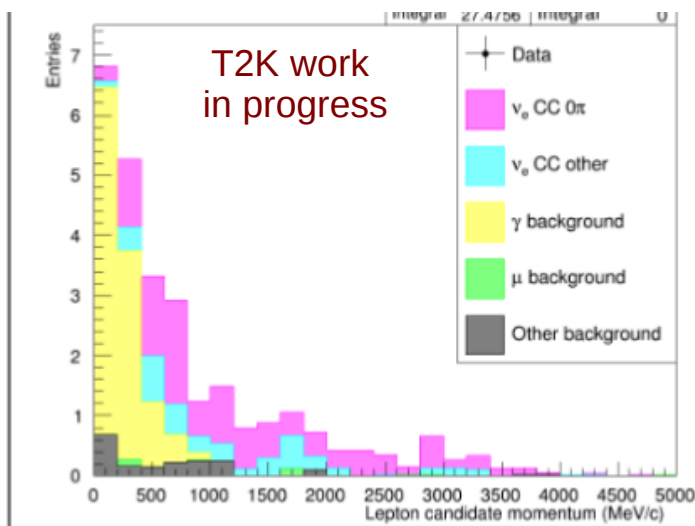
$\bar{\nu}_e$  CC selection

- **For tracks that stop in FGD2, apply FGD PID (reject proton background)**

# $\bar{\nu}_e$ CC0 $\pi$ selection



T2K Run 2010-2013  
ν-beam ( $\sim 6 \times 10^{20}$  POT)



T2K Run 2014-2015  
 $\bar{\nu}$ -beam ( $\sim 4 \times 10^{20}$  POT)

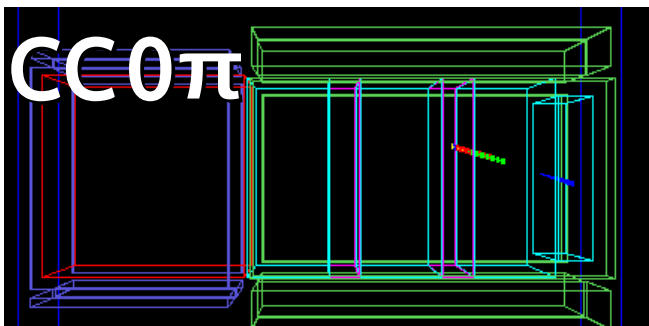
T2K Rns 2010-2015, good quality POT

$$= \sim 6 \times 10^{20} \text{ POT } \nu + \sim 4 \times 10^{20} \text{ POT } \bar{\nu}$$

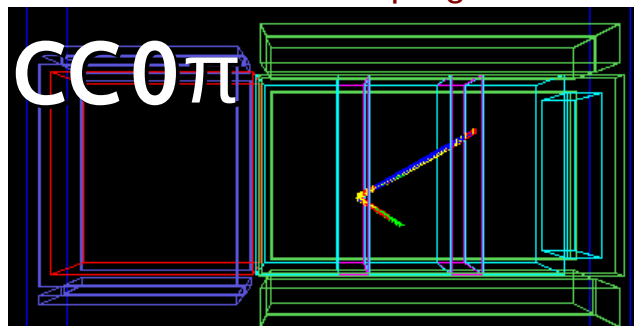
T2K Runs 2010-2017, predicted ood quality POT

$$= \sim 10 \times 10^{20} \text{ POT } \nu + \sim 7 \times 10^{20} \text{ POT } \bar{\nu}$$

T2K work in progress



T2K work in progress



# Intrinsic $\nu_e$ in the Beam

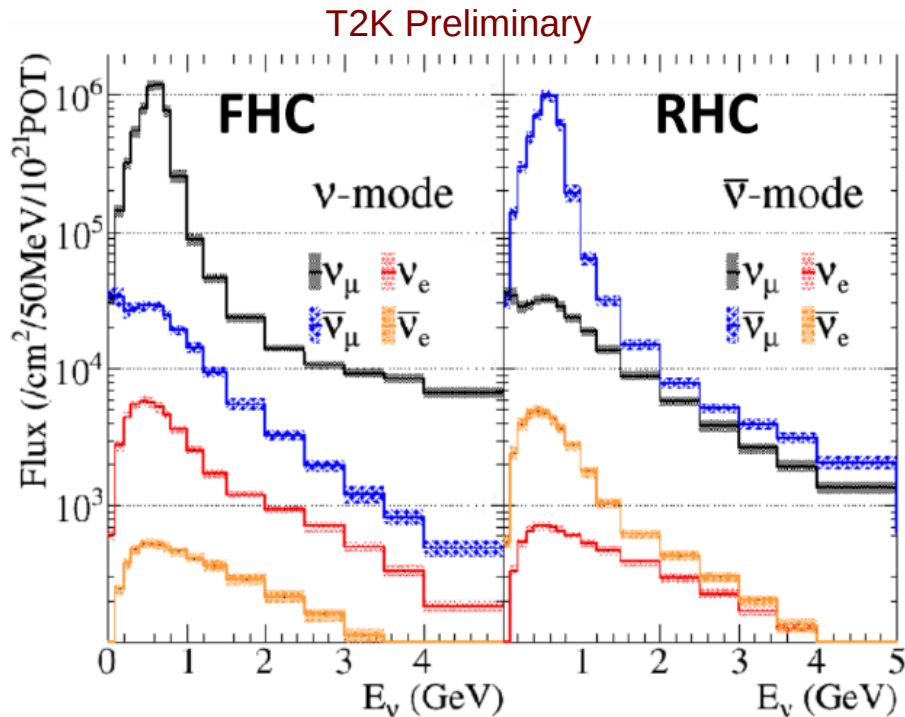
$$K^\pm \rightarrow \pi^0 + e^\pm + \nu_e(\bar{\nu}_e)$$

$$K_L^0 \rightarrow \pi^\pm + e^\pm + \nu_e(\bar{\nu}_e)$$

} Higher energy

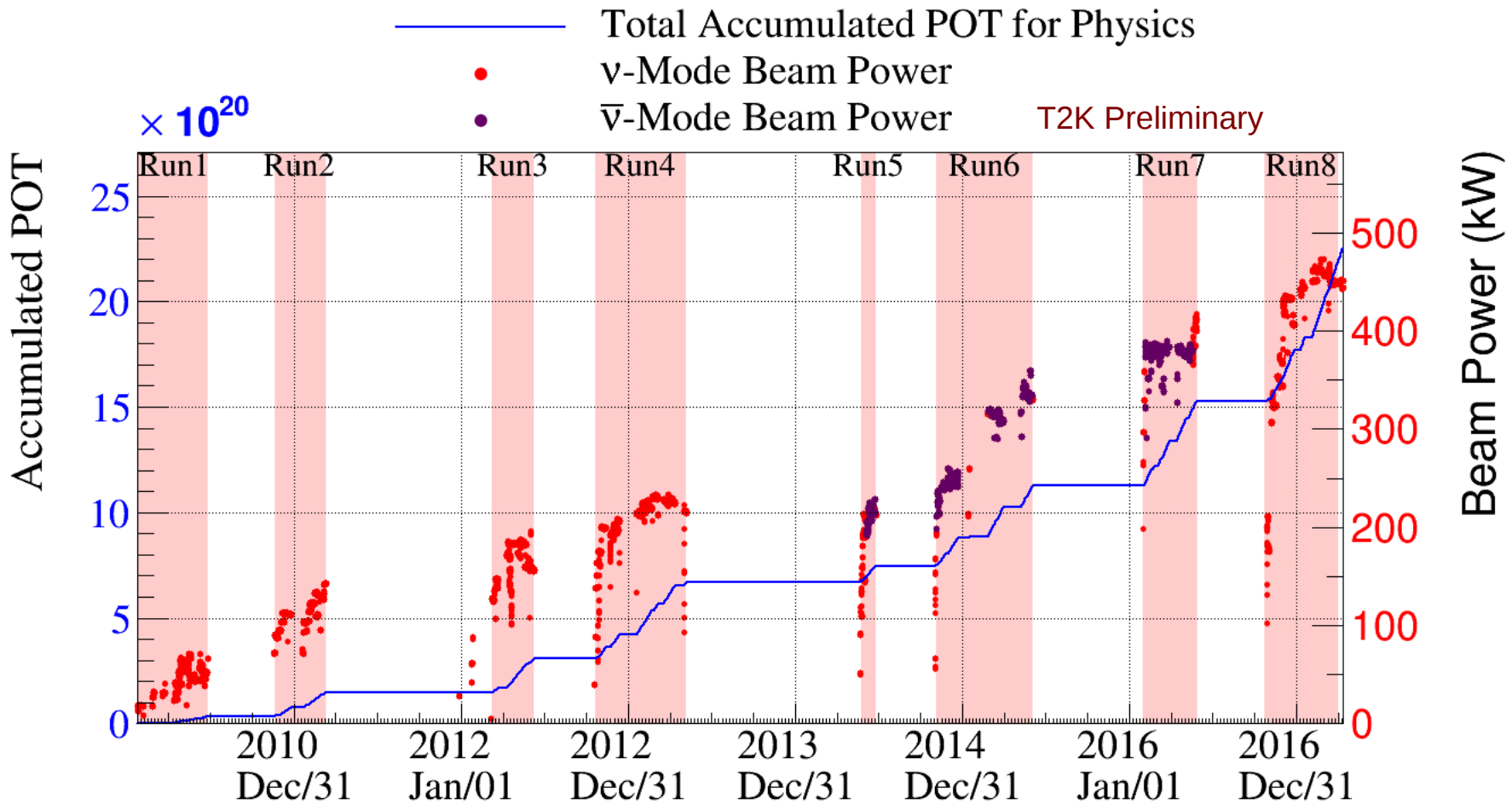
$$\mu^\pm \rightarrow e^\pm + \bar{\nu}_\mu(\nu_\mu) + \nu_e(\bar{\nu}_e)$$

} Lower energy



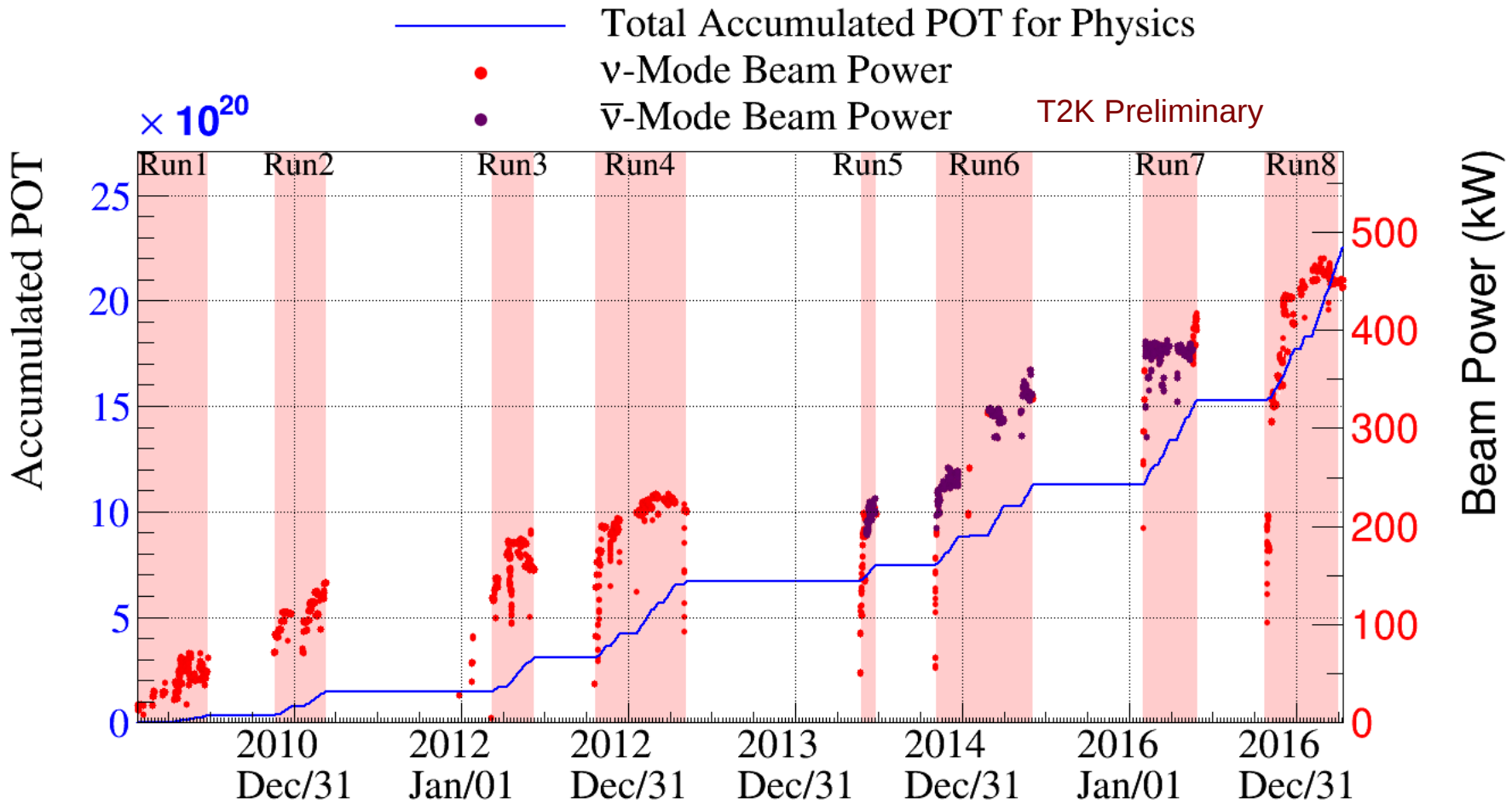


# T2K data: Run1-8



Max beam power 495kW  
23 January 2010 – 12 April 2017  
Nu mode:  $\sim 15e20$  POT  
Antinu-nu made:  $\sim 8e20$  POT

# T2K data: Run1-6



Max beam power 371kW  
23 January 2010 – 03 June 2015  
Nu mode:  $\sim 7e20$  POT  
Antinu-nu made:  $\sim 4e20$  POT

# More on $\nu_e / \bar{\nu}_e$ beam component



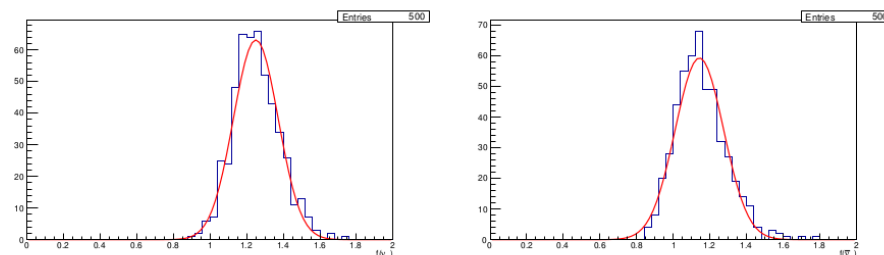
Results using constraints from ND280 data

Note: Constraints not implemented on selection plots

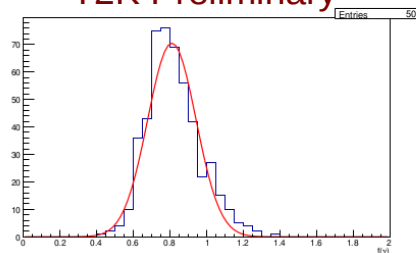
$$f(\nu_e) = 1.250 \pm 0.135 \text{ (stats.)} \pm 0.122 \text{ (syst.)}$$

$$f(\bar{\nu}_e) = 1.142 \pm 0.144 \text{ (stats.)} \pm 0.132 \text{ (syst.)}$$

$$f(\gamma) = 0.810 \pm 0.063 \text{ (stats.)} \pm 0.142 \text{ (syst.)}$$



T2K Preliminary



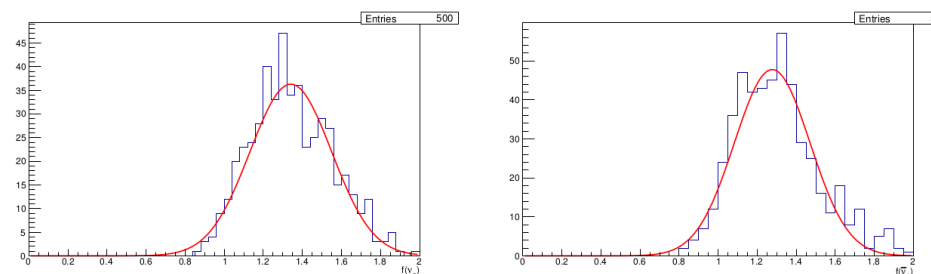
Results without constraints from ND280 data

Note: This corresponds to what is seen on plots

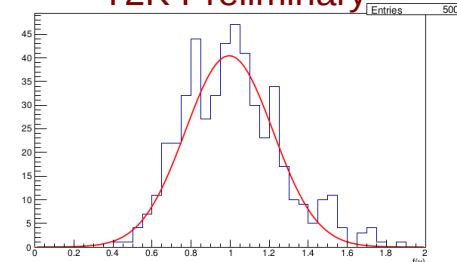
$$f(\nu_e) = 1.341 \pm 0.143 \text{ (stats.)} \pm 0.209 \text{ (syst.)}$$

$$f(\bar{\nu}_e) = 1.277 \pm 0.157 \text{ (stats.)} \pm 0.194 \text{ (syst.)}$$

$$f(\gamma) = 0.995 \pm 0.072 \text{ (stats.)} \pm 0.243 \text{ (syst.)}$$



T2K Preliminary



# Cross-section likelihood fitter



Plan to measure cross-sections:  $\nu_e$  CC,  $\bar{\nu}_e$  CC,  $\nu_e$  CC0pi

Maximum log likelihood fit across signal region and control samples

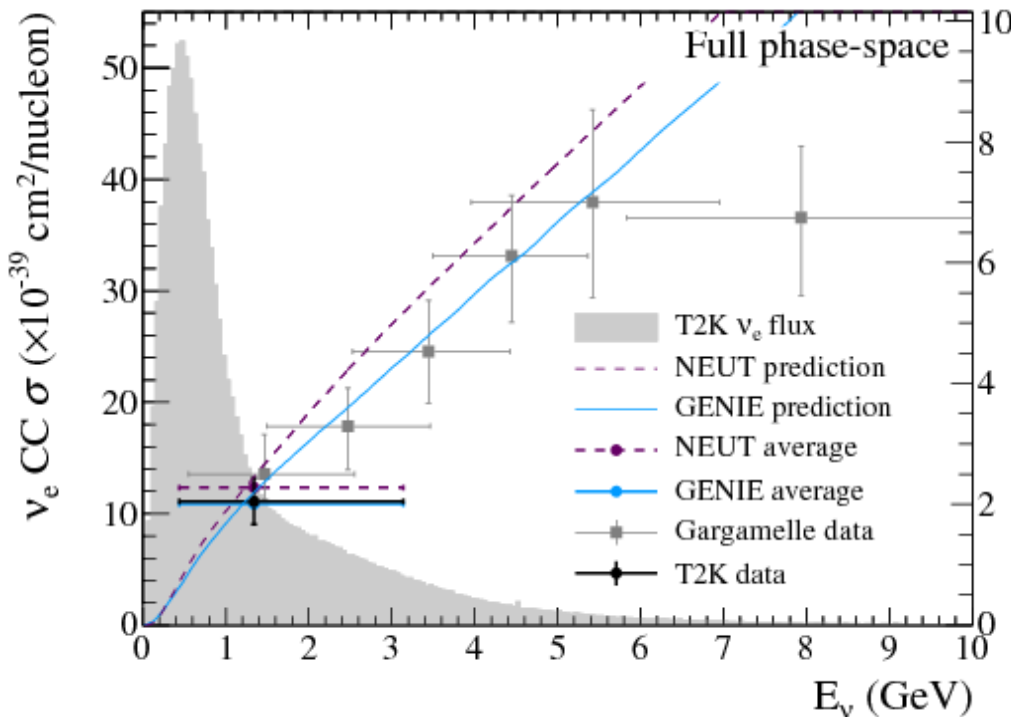
- fit performed in reconstructed variables
  - fitting the signal component in each true bin
  - some background constrained by control samples, other background fixed
  - signal split into true regions/bins which are constructed of recon bins
  - background in recon bins
  - PDFs built in reco bins → KDE to smooth PDFs
- \* Detector systematics treated as nuisance parameters in the fit
- detector covariance for signal and control samples provided in necessary bins
- \* Flux and interaction systematics computed by generating large number of 'throws'
- covariance matrix used to vary model parameters
  - effect propagating using event weights
  - fit redone for each 'throw', then all throws used to compute covariance
- \* Statistical 'throws' generating by varying bins according to poisson statistics

# 2014 T2K $\nu_e$ CC measurement



## T2K: $\nu_e$ CC inclusive cross-section

Phys Rev Lett 113,241803 (2014)



$\nu_e$  flux ( $\times 10^9$  /cm<sup>2</sup>/50 MeV/10<sup>21</sup> p.o.t.)

T2K Run 2010-2013 ( $\nu$ -beam)

Good quality data collected from  
 $5.9 \times 10^{20}$  protons on target (POT)

Predicted # signal evts: 245

Predicted Purity: 65%

Predicted Efficiency: 27%

Bayesian unfolding + bkg subtraction

Photon conversion ( $\gamma$ ) control sample  
 → constrain interactions outside  
 fiducial volume → 95% pure

$$\text{Flux-av. } \sigma = [ 1.11 \pm 0.09 \text{ (stat)} \pm 0.18 \text{ (syst)} ] \times 10^{-38} \text{ cm}^2/\text{nucleon}$$

Gargamelle  $\nu_e$  and  $\bar{\nu}_e$  CC inclusive

(included on plot) Nuc1 Phys B 133,1978

→ agrees with Gargamelle result,  
 as well as both the  
 NEUT and GENIE predictions

# Systematic error at SK



TABLE II. Systematic uncertainty on the predicted event rate at the far detector.

Source (%)	$\nu_\mu$	$\nu_e$	$\bar{\nu}_\mu$	$\bar{\nu}_e$
ND280-unconstrained cross section	0.7	3.0	0.8	3.3
Flux and ND280-constrained cross section	2.8	2.9	3.3	3.2
Super-Kamiokande detector systematics	3.9	2.4	3.3	3.1
Final or secondary hadron interactions	1.5	2.5	2.1	2.5
Total	5.0	5.4	5.2	6.2



# Predicted event rate at SK



TABLE XIX: Predicted number of  $\nu_\mu$  CC candidates and  $\nu_e$  CC candidates for an exposure of  $6.57 \times 10^{20}$  POT with and without oscillations and with oscillations using the typical parameter values:  $\sin^2 \theta_{12} = 0.306$ ,  $\Delta m_{21}^2 = 7.5 \times 10^{-5} \text{ eV}^2/c^4$ ,  $\sin^2 \theta_{23} = 0.5$ ,  $\Delta m_{32}^2 = 2.4 \times 10^{-3} \text{ eV}^2/c^4$ ,  $\sin^2 \theta_{13} = 0.0243$ ,  $\delta_{CP} = 0$  and normal mass hierarchy. The total numbers are broken down into the intrinsic beam components (those without an arrow) and oscillated components.

	$\nu_\mu$ CC		$\nu_e$ CC	
	Osc.	No osc.	Osc.	No osc.
$\nu_\mu$	116.46	431.77	0.94	1.38
$\nu_e \rightarrow \nu_\mu$	0.16	0	0.00	0
$\bar{\nu}_\mu$	7.81	13.92	0.05	0.06
$\nu_e$	0.26	0.27	3.13	3.38
$\nu_\mu \rightarrow \nu_e$	0.26	0	16.55	0
$\bar{\nu}_e$	0.02	0.02	0.15	0.16
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	0.00	0	0.22	0
Total	124.98	445.98	21.06	4.97