

# Experimental Investigation of the Transverse and Longitudinal Structure Functions of Bound Nucleons at Jlab

Arie Bodek

University of Rochester

(The JUPITER Collaboration Jlab E02-109 E04-001 E06-009)

Presented at

**Nuint 2017, Toronto**

**Tuesday June 27, 2017 - 11:45-12:05**

*Special thanks to*

*S. Malace, Jefferson Lab,*

*V. Mamyran, University of Virginia,*

*I. Albayrak and M. E. Christy Hampton University*

# Basics: Rosenbluth L/T Separations

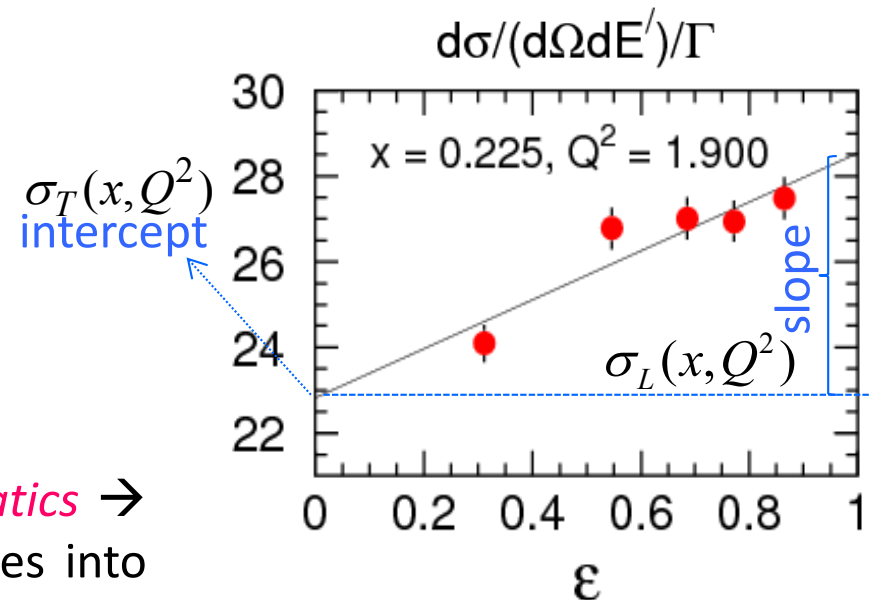
- **Separate L and T contributions** to the total cross section by performing a **fit of the reduced cross section dependence with  $\varepsilon$  at fixed  $x$  and  $Q^2$**

$$\frac{d^2\sigma}{d\Omega dE'} = \Gamma(\sigma_T(x, Q^2) + \varepsilon\sigma_L(x, Q^2)) = \Gamma\sigma_T(1 + \varepsilon R) \quad \varepsilon = 1/(1 + 2(1 + v^2/Q^2)\tan^2(\theta/2))$$

$$F_1(x, Q^2) = \frac{KM}{4\pi^2\alpha} \sigma_T(x, Q^2) \quad F_2(x, Q^2) = \frac{K}{4\pi^2\alpha} \frac{v}{(1+v^2/Q^2)} [\sigma_T + \sigma_L]$$

Requirements for precise  $R = \sigma_L/\sigma_T$ :

- As **many  $\varepsilon$  points** as possible spanning a large interval from 0 to 1  
 → **as many  $(E, E', \theta)$  settings as possible**
- **Very good control of point-to-point systematics** → **1-2 % on the reduced cross section** translates into **10-15 % on  $F_L$  (or 0.02 to 0.04 on  $R = \sigma_L/\sigma_T$ )**



**Deep inelastic neutrino differential cross section are relatively well known.**  
 The following are systematic errors in the high energy DIS total cross sections for  $W > 1.8$  GeV (Bodek-Yang)

$$\frac{d\sigma(\nu N)}{dx} \approx \frac{G_F^2 ME}{\pi} (Q + \bar{Q}) \left[ (1 - f_{\bar{q}}) + \frac{1}{3} f_{\bar{q}} - \frac{1}{6} \mathcal{R} \right], \quad (51)$$

and

$$\frac{d\sigma(\bar{\nu} N)}{dx} \approx \frac{G_F^2 ME}{\pi} (Q + \bar{Q}) \left[ \frac{1}{3} (1 - f_{\bar{q}}) + f_{\bar{q}} - \frac{1}{6} \mathcal{R} \right]. \quad (52)$$

How well do we know these for nuclear targets in the resonance region?

If  $\Delta R$  in a nucleus is 0.25 All these errors go up by x5

source	change (error)	change in $\sigma_\nu$	change in $\sigma_{\bar{\nu}}$	change in $\sigma_{\bar{\nu}}/\sigma_\nu$
R	-0.05	+1.0%	+2.0%	+1%
$f_{\bar{q}}$	+5%	-0.7%	+1.4%	+2.1%
$K^{\text{axial}} - K^{\text{vector}}$	+50%	+1.3%	+1.9%	+1.2%
N	+3%	+3%	+3%	0
Total		$\pm 3.4\%$	$\pm 4.3\%$	$\pm 2.5\%$

## Practically no existing data on $R_A$

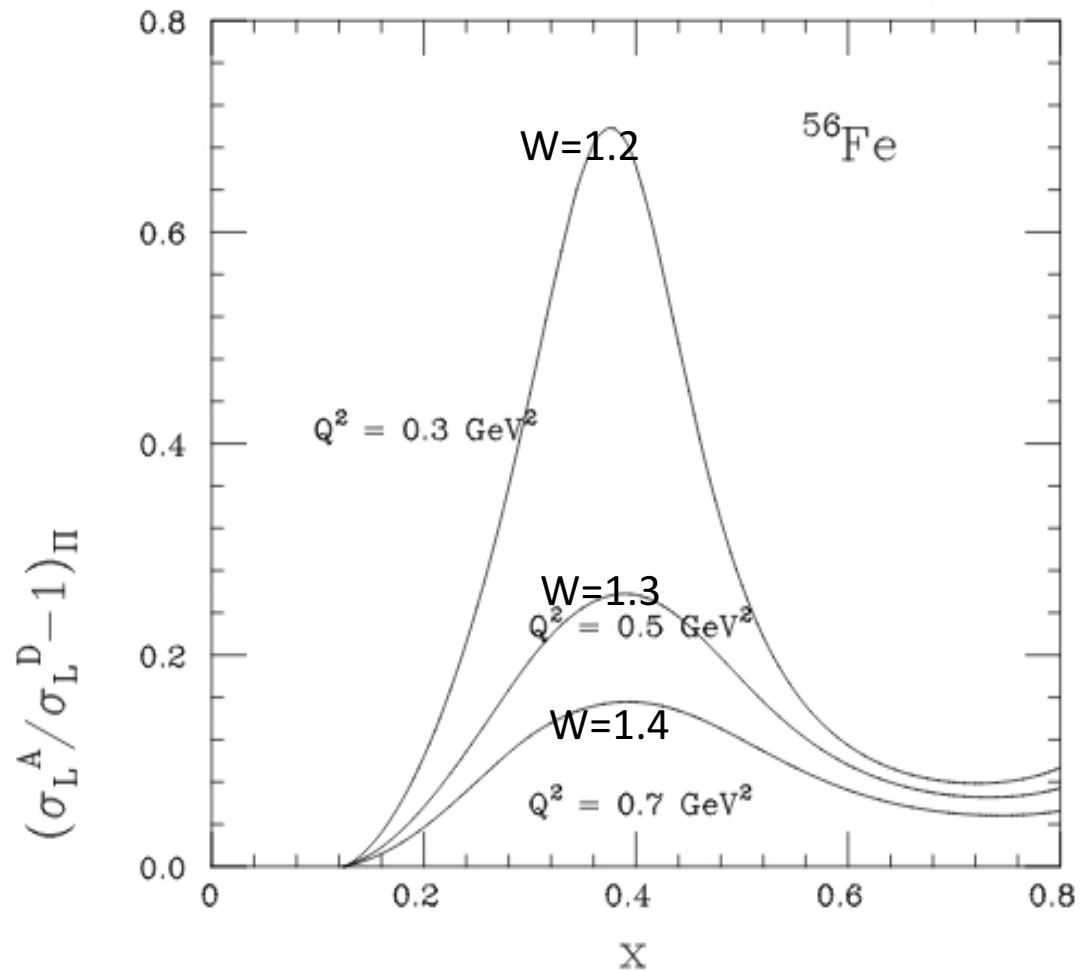
- ›  $F_{2A}$ ,  $R_A$  in this region is input for modeling neutrino interactions
  - Neutrino oscillation experiments Requires good models for neutrino-A cross sections at low energy

G.A.Miller, Phys.Rev.C64,022201(2001)

At low  $Q^2$  a model by Miller predicts significant  $A$ -dependent enhancement in  $F_L$  due to nuclear pions/mesons.  
+0.25 increase in  $R$  at  $Q^2=0.3 \text{ GeV}^2$   
Much smaller for  $Q^2 > 1 \text{ GeV}^2$

This implies a reduction of 5% in neutrino and 10% in antineutrino cross section at The region of the Delta (1238).

(In addition to the normalization Uncertainty in  $F_2$ ).



# 6 GeV L/T separation program in Hall C

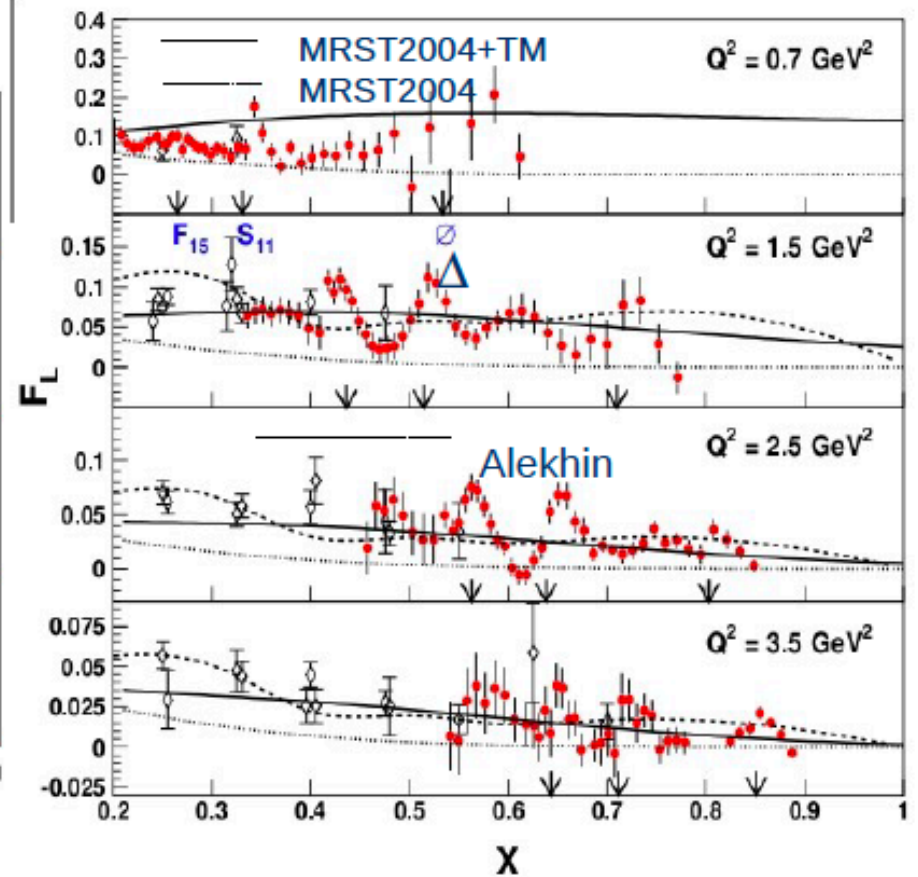
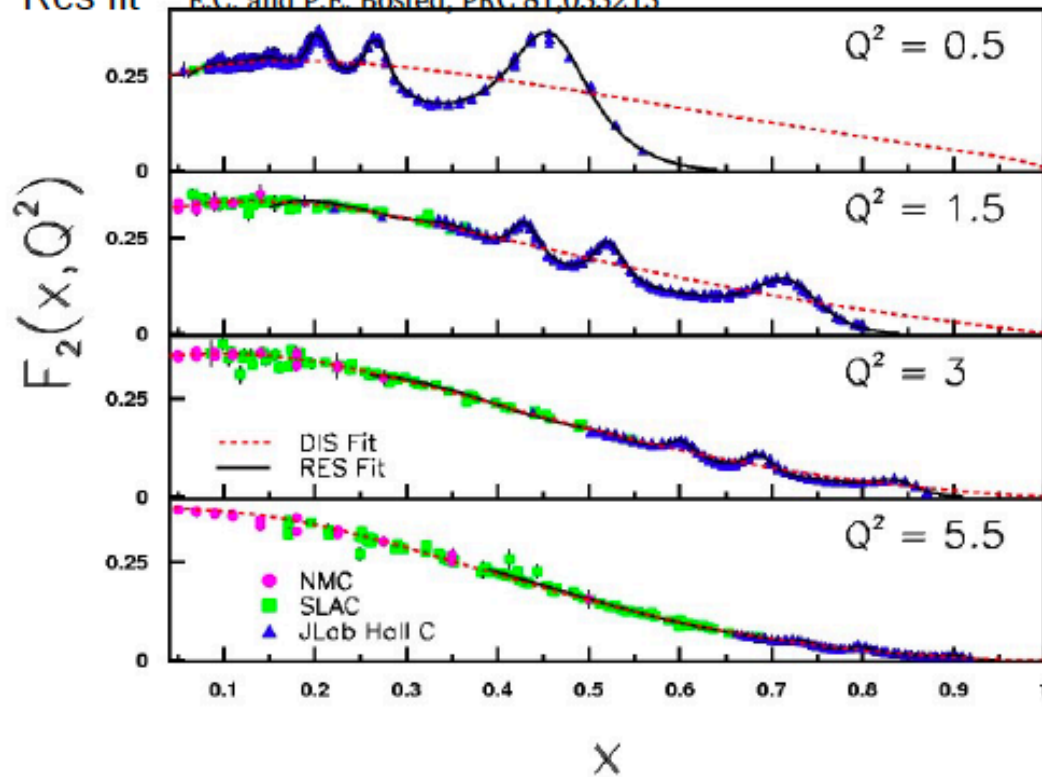
<i>Experiment</i>	<i>target(s)</i>	<i>W range</i>	<i>Q<sup>2</sup> range</i>	<i>Status</i>
E94-110	p	RR	0.3 - 4.5	nucl-ex/041002 -- low Q
E99-118	p,d	DIS+RR	0.1 - 1.7	PRL98:14301 -- low Q
E00-002	p,d Publication submitted	DIS+RR	0.25 - 1.5	Analysis finalized-- low Q
E02-109	d	RR+QE	0.2 - 2.5	Finalizing cross section analysis -- low Q
E06-009	d	RR+QE	2.0 - 4.0	Cross section, F <sub>L</sub> finalized -- high Q Non-singlet moments paper drafted.
=====				
<b>E04-001 - I</b>	<b>C, Al, Fe/Cu</b>	<b>RR+QE</b>	<b>0.2 - 2.5</b>	<b>Finalizing cross section analysis (Next)</b> <b>Phase I: low Q</b>
<b>E04-001 - II</b>	<b>C, Al, Fe/Cu</b>	<b>RR+QE</b>	<b>2.0 - 4.0</b>	<b>Cross section, RA-Rd</b> <b>finalized for most targets (This talk)</b> <b>Phase II high Q</b>

RR = Resonance Region

# Proton $F_2$ , $F_1$ , $F_L$ well measured at 6 GeV

DIS fit – 'F2ALLM' H.Abramowicz and A.Levy, hep-ph/9712415

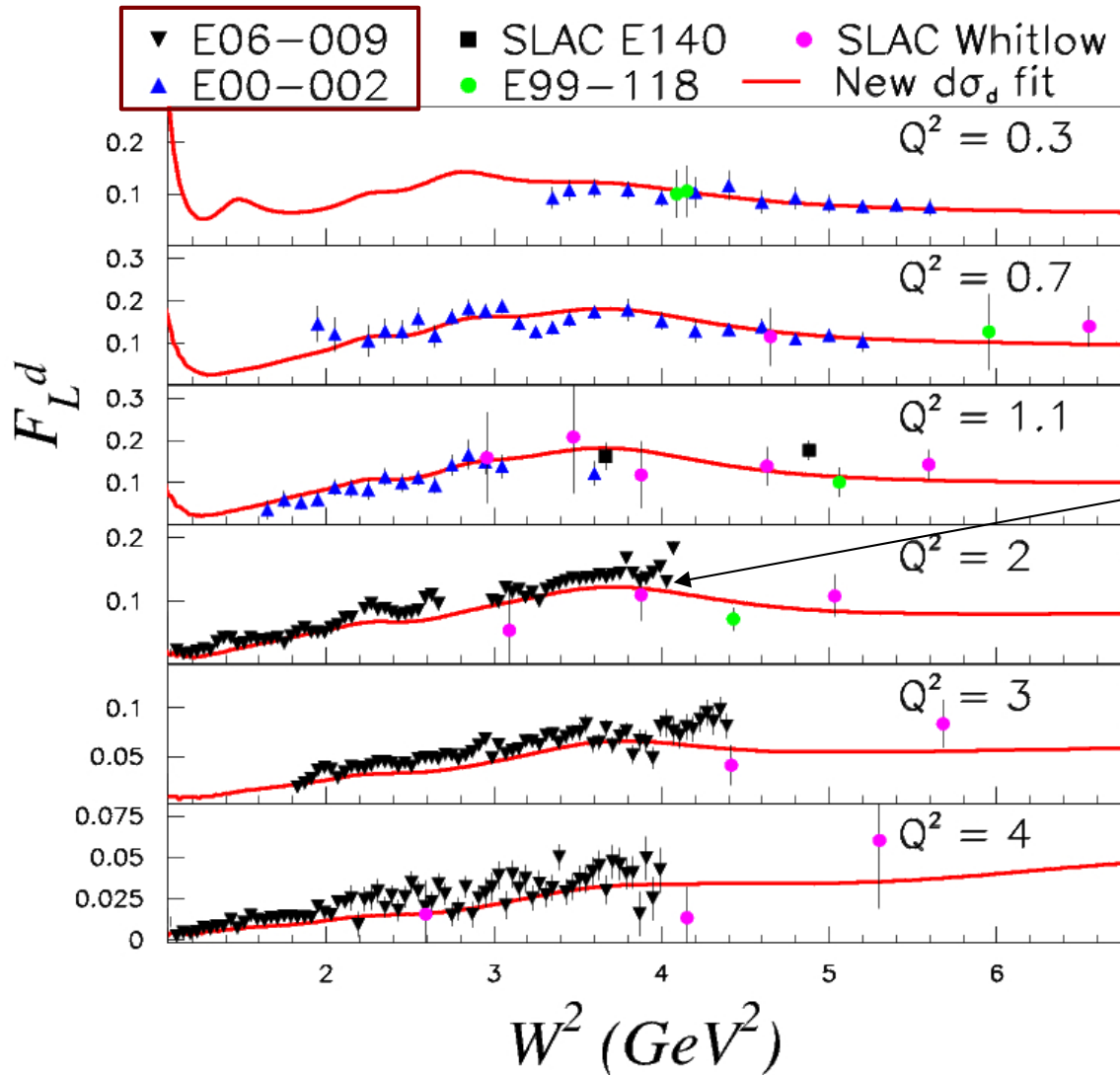
Res fit – E.C. and P.E. Bosted, PRC.81,055213



→ Used to study Q-H duality, structure function moments, and input for other physics studies

→ Deuteron and nuclear target data of similar quality to study  
 => - duality and QCD moments of neutron and p-n  
 - Modifications of  $F_1$ ,  $F_L$  in nuclear medium

# Status of $F_L$ deuteron data



→ Multiple new data sets

- I. E00-002 (also proton data)
- II. E06-009

→ Generally good agreement between data sets, but some tension in fit.

fit is Weak-binding approximation  
Smearing fit (proton input from Christy-Bosted fit)

M.E.C, N. Kalantarians, J. Ethier, W. Melnitchouk,  
In preparation

→ additional low  $Q^2$  resonance region from phase-I (E02-109) expected to be finalized Winter of 2017.

# $F_L, R$ on Deuterium and heavier targets JLab Hall C: E02-109, E04-001, E06-009

- ◆ Precision extraction separated structure functions on:  
 $d, Al, C, Fe/Cu$
- ◆ Search for nuclear effects in  $F_L, R$ .
- ◆ Study quark-hadron duality in separated structure functions for neutron and nuclei.

THIS TALK



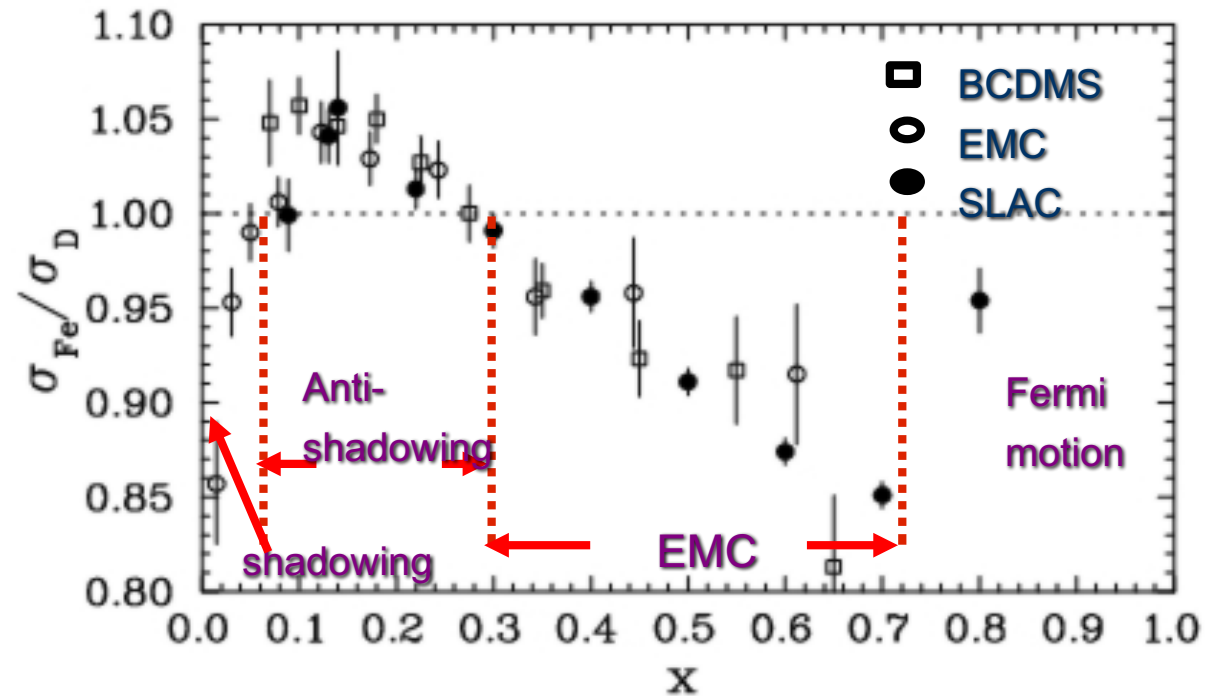
# $F_L^A(R_A)$ in Nuclei (E04-001)

Jupiter Collaboration

\*Well known since the EMC experiment that the nuclear medium modifies nucleon structure functions.

→ However, after 25 years the mechanism is *still* not fully understood.

→ Is the effect different in  $F_1$  and  $F_2$ ?



\* The latter  $\Rightarrow$  nuclear dependence of  $R$  and  $F_L$  !

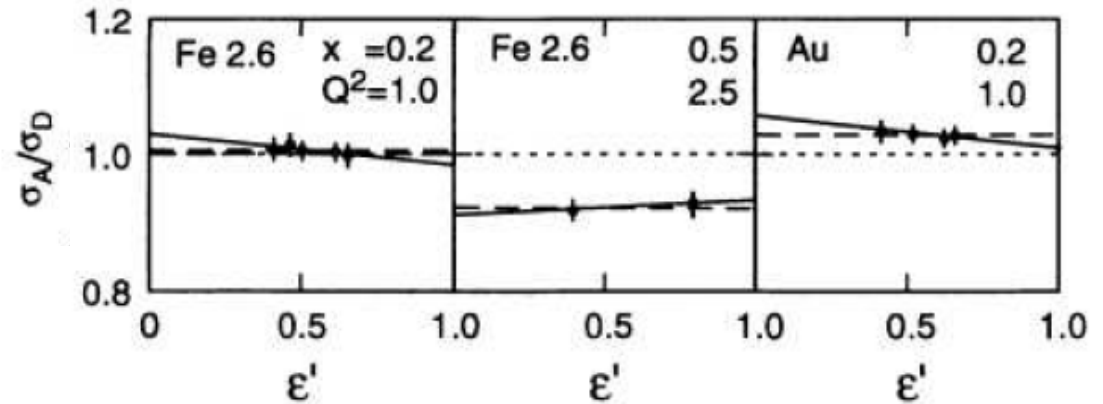
Need to know if  $A$  dependence exists in  $F_L$  for full understanding of EMC effect.

# Most existing data at intermediate x from SLAC E140

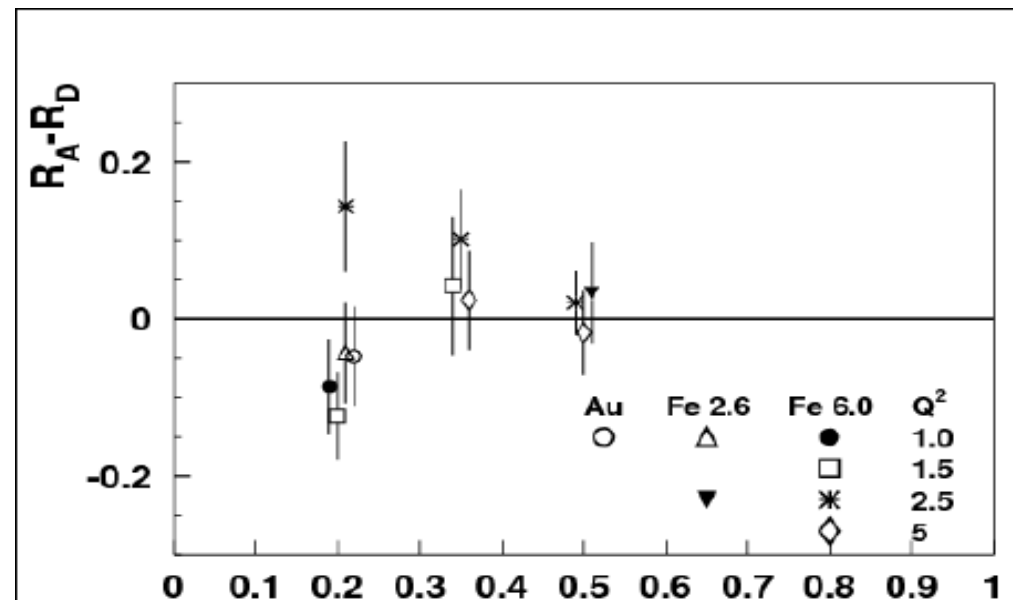
(Dasu *et.al Phys. Lett. D 49 (1993)*)

→ Much of systematics drop out in cross section ratio

Reduction in errors in  $R_A - R_D$

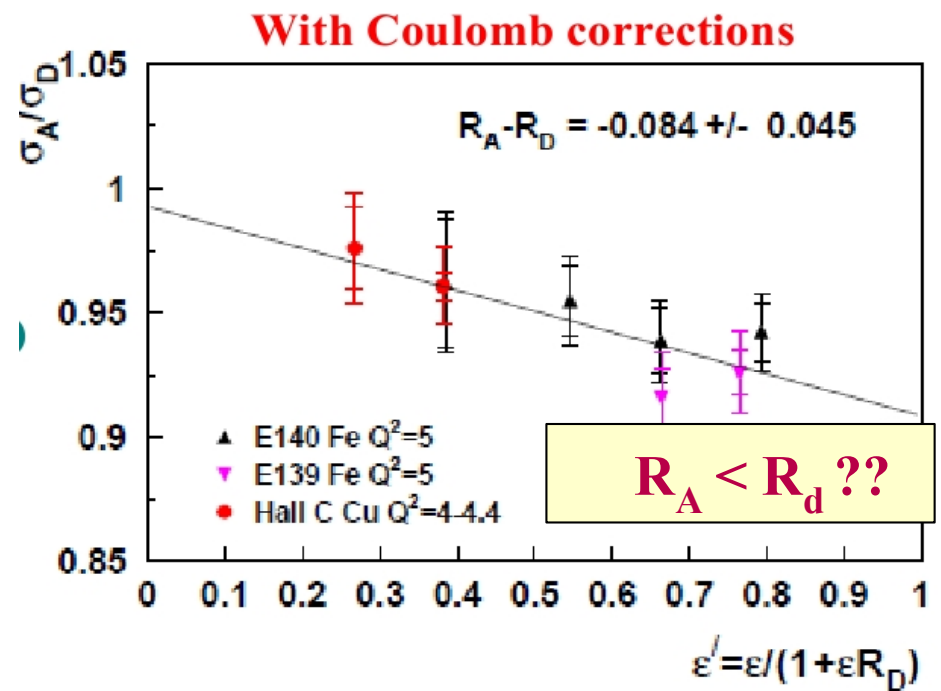
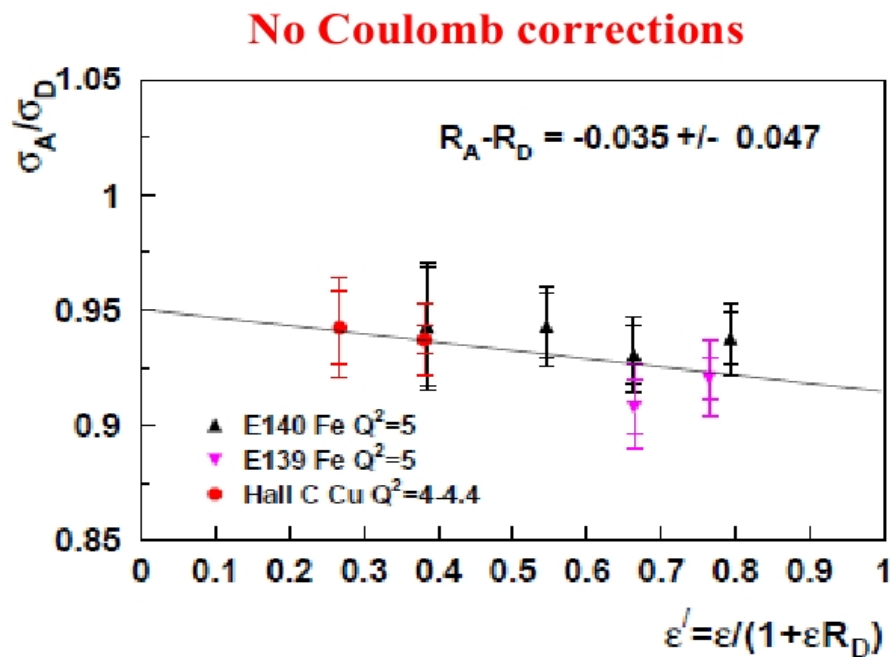


**$R_A - R_D$  found to be consistent with zero**



Re-analysis of L/T separations (P. Solvignon, J. Arrington, D. Gaskell, ArXiv:0906.0512 ) including neglected Coulomb effects for electron entering and exiting nucleus

This is for  $Q^2 = 5 \text{ GeV}^2$





# L/T kinematics (d, C, Al, Cu, Fe)

RR+QE  $Q^2: 0.2 - 2.5$  in analysis

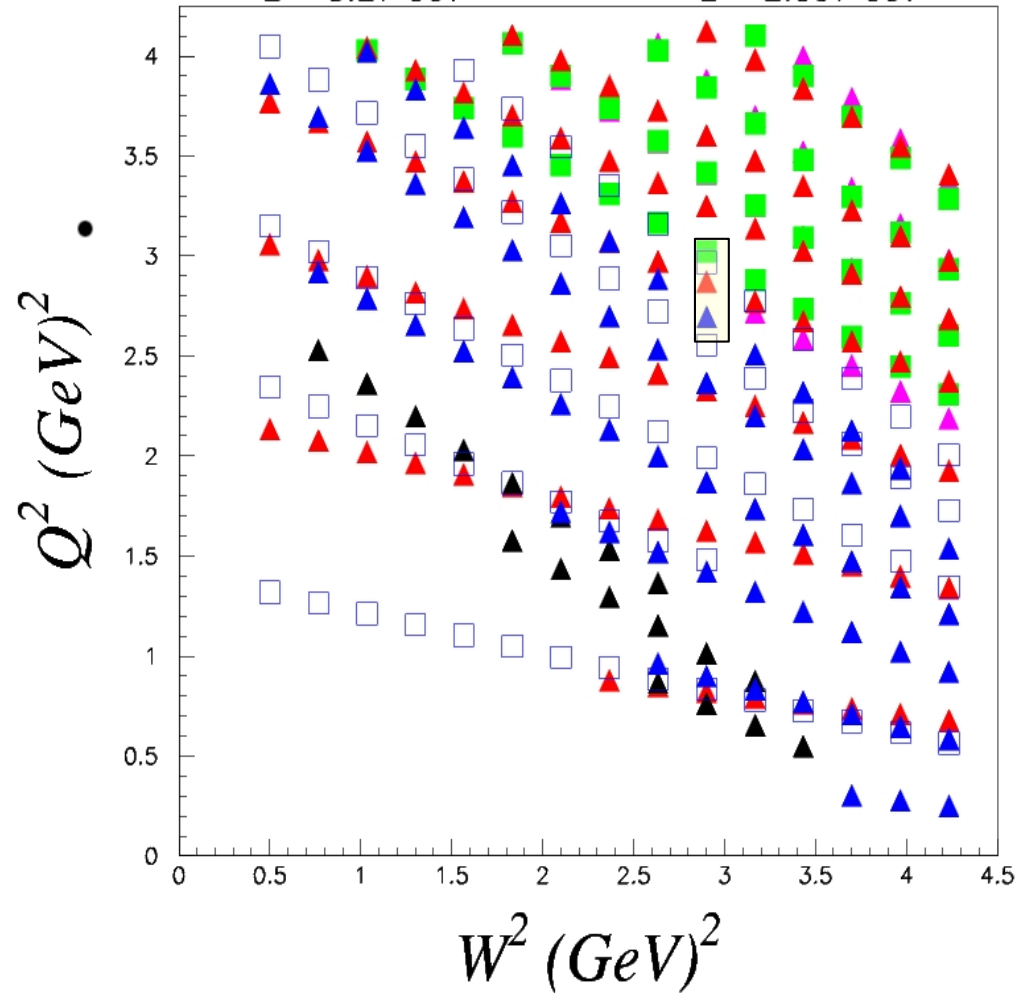
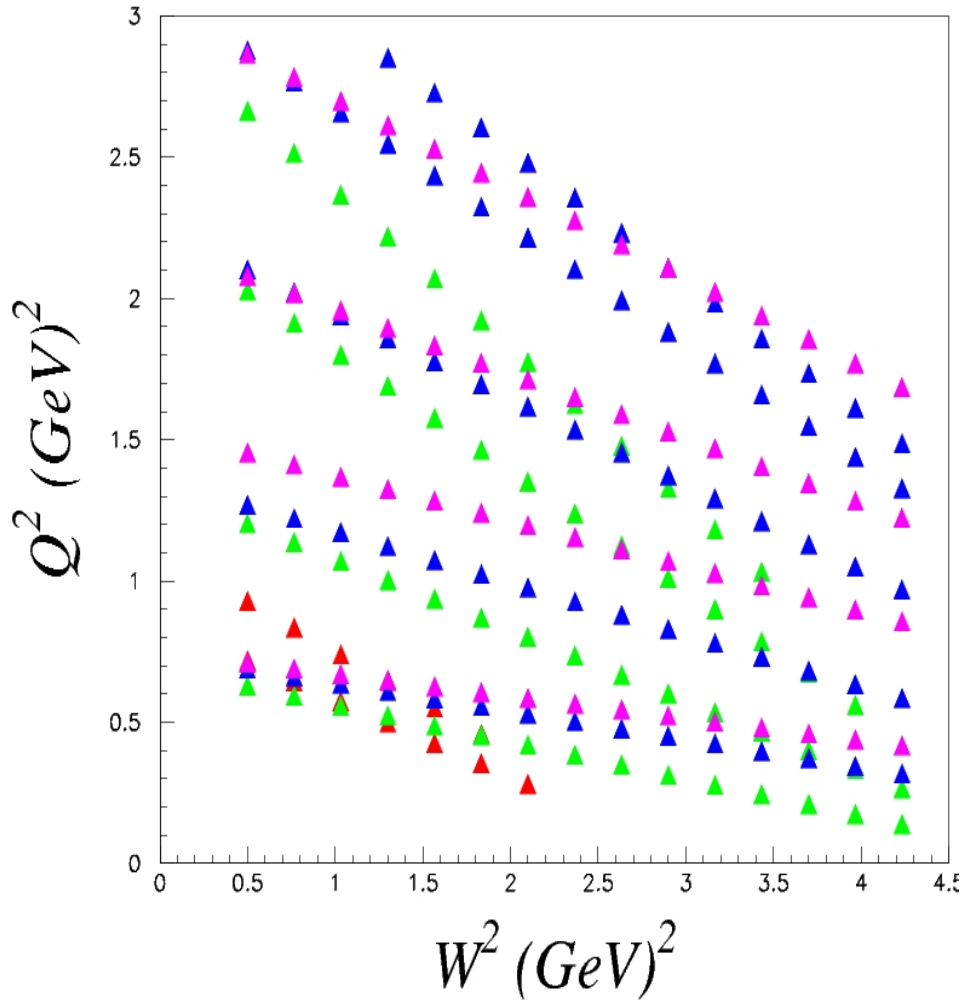
RR+QE  $Q^2: 2 - 4$  THIS TALK

## Phase-I

## Phase-II

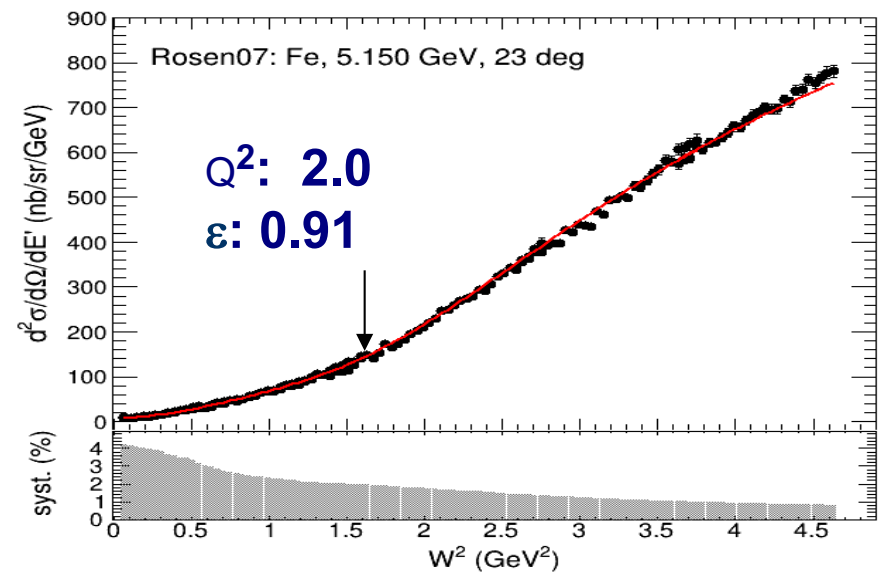
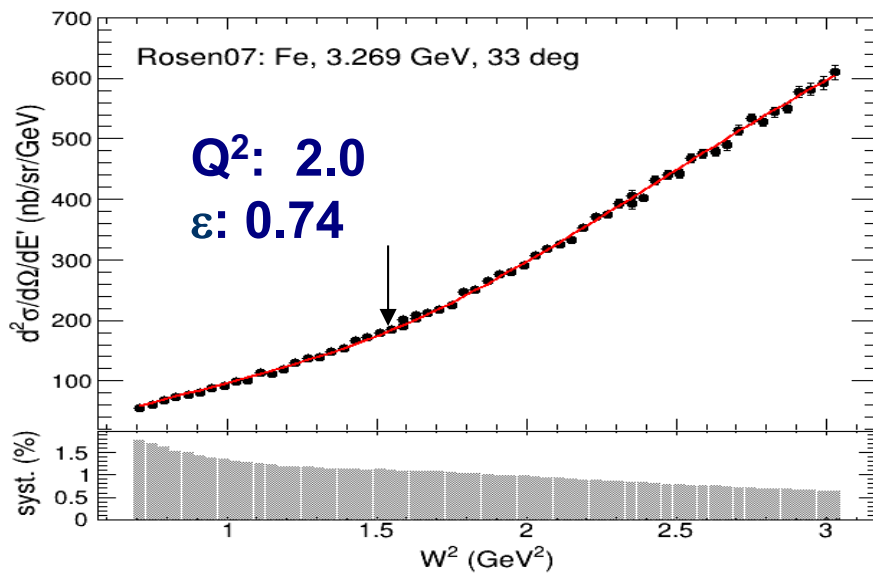
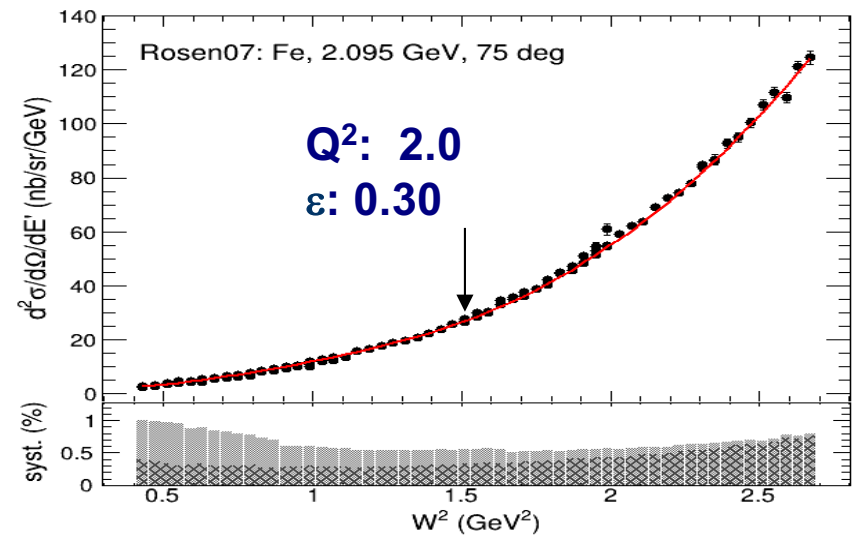
- ▲  $E' = 1.2$  GeV
- ▲  $E' = 2.3$  GeV
- ▲  $E' = 3.5$  GeV
- ▲  $E' = 4.6$  GeV

- ▲  $E = 4.137$  GeV
- $E = 4.065$  GeV
- $E = 3.27$  GeV
- ▲  $E = 5.16$  GeV
- ▲  $E = 3.116$  GeV
- ▲  $E = 2.097$  GeV



# Final E04-001 Phase II $^{56}\text{Fe}$ cross sections (no Coulomb corrections)

- Example scans utilized in L/T Separations at  $Q^2 = 2$  near  $\Delta(1232)$
- Correlated systematics at bottom
- Red curve represents fit to global data set using p, n (d) fits as input.



0/2/1/1/

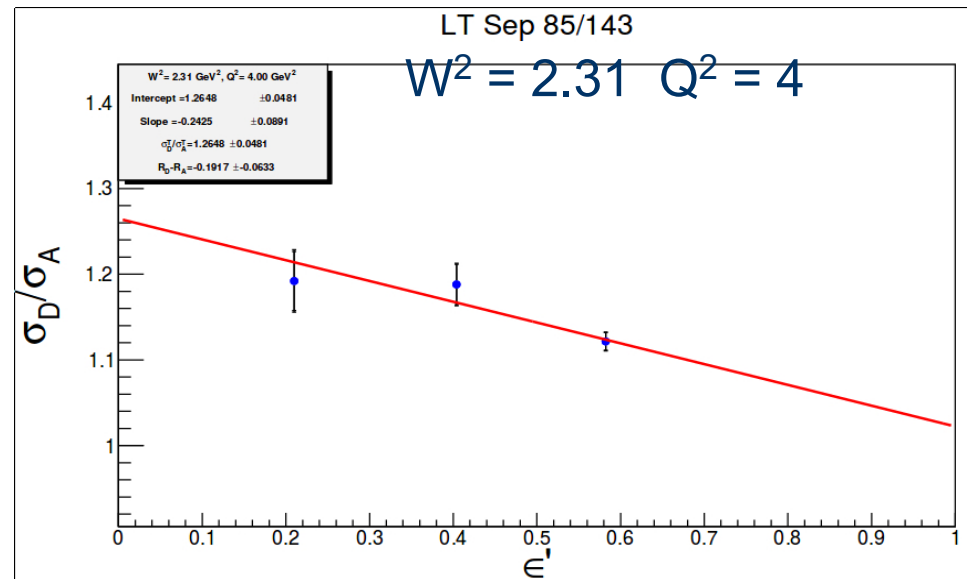
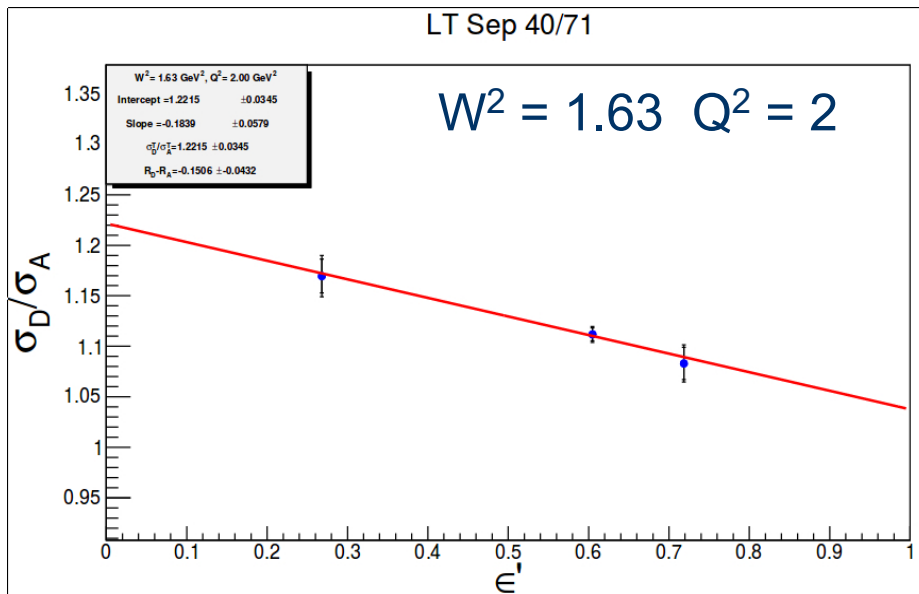
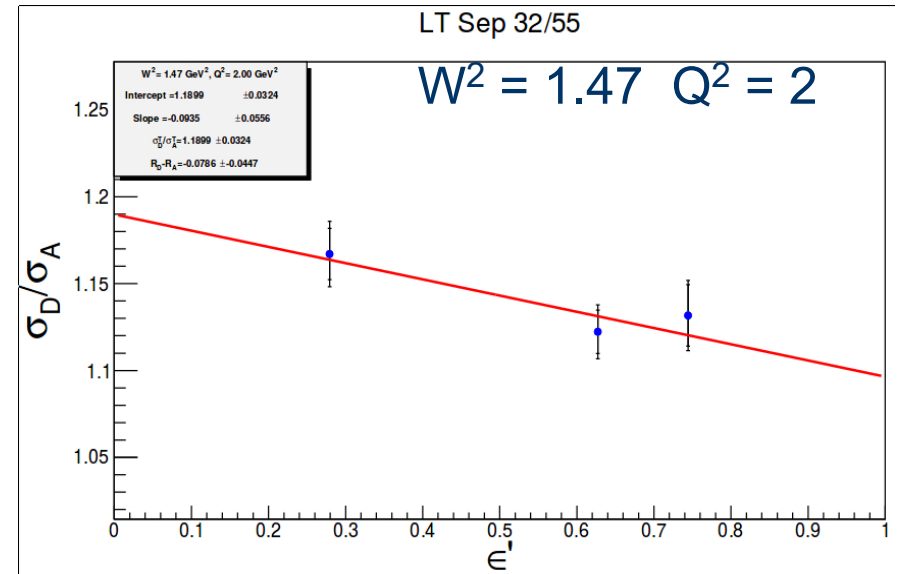
# Example E04-001 Phase II L/T Separations

Note we now use  $\sigma_T^d / \sigma_T^A$

→ Example L/T Separations for  $^{12}\text{C}$

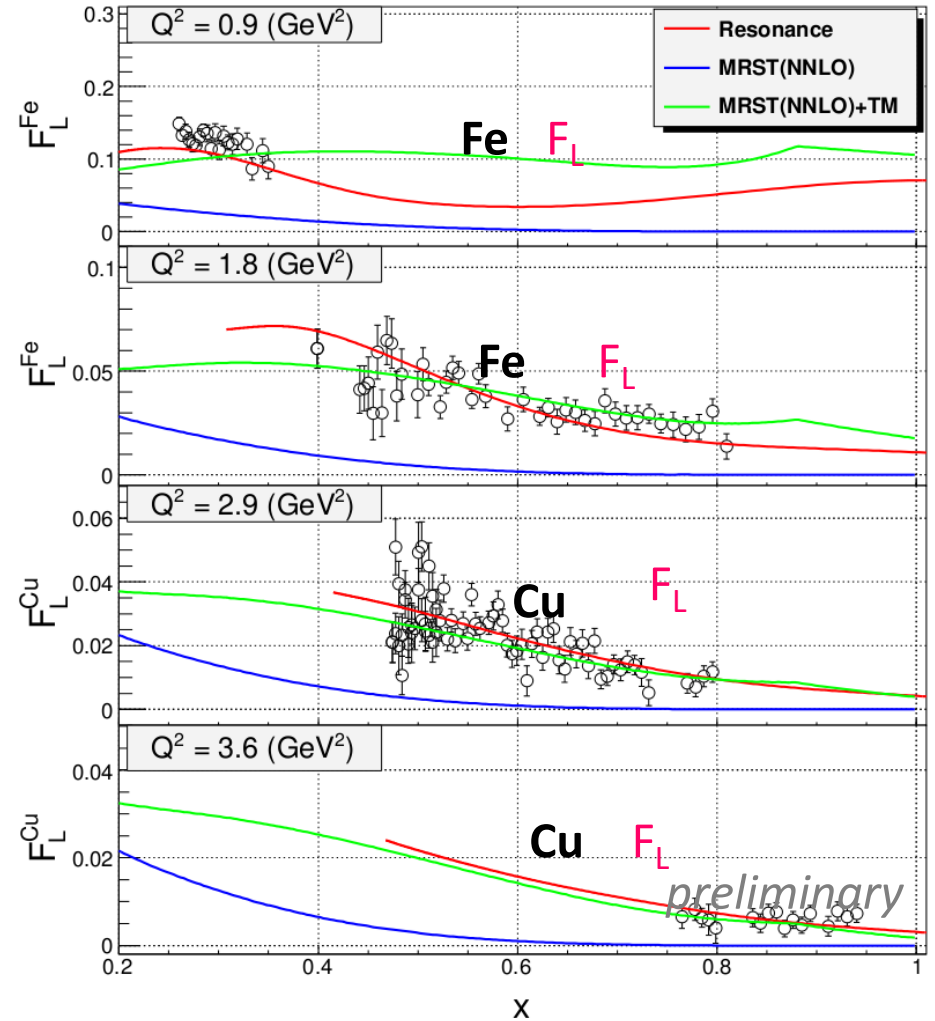
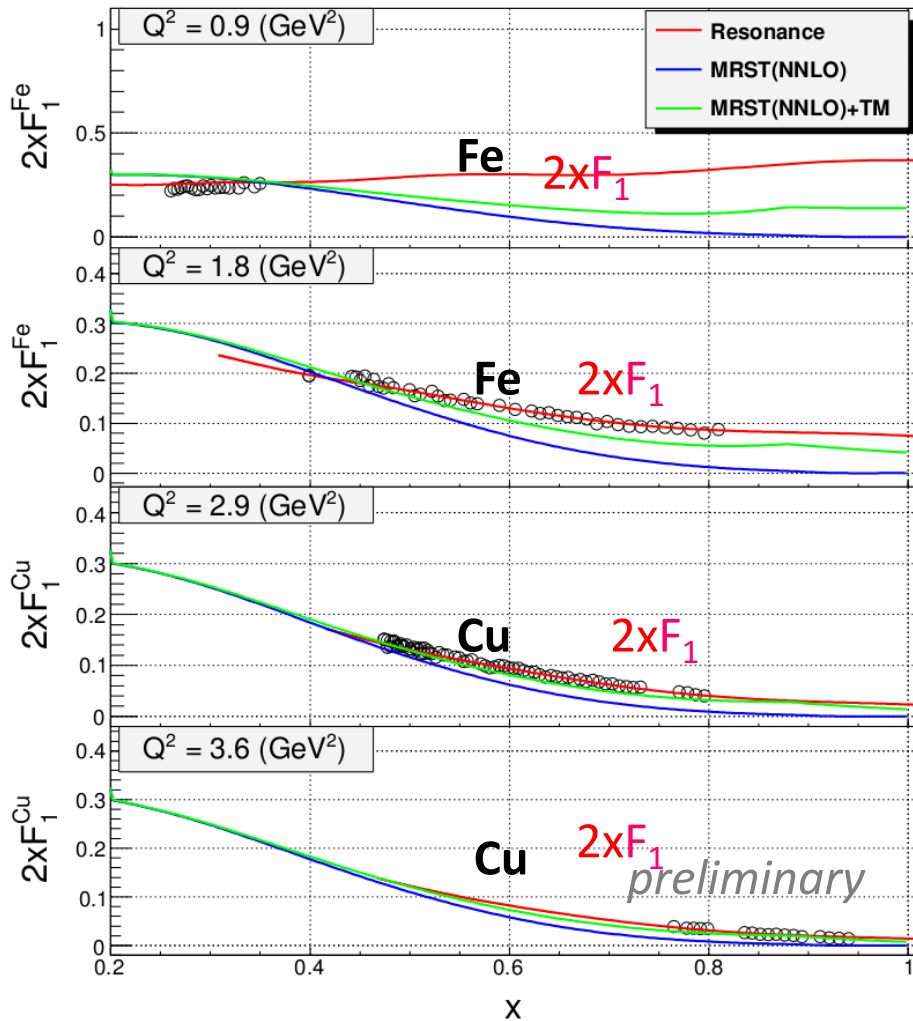
~300 separations for  $^{12}\text{C}$ ,  $^{27}\text{Al}$   
 About 100 each for  $^{56}\text{Fe}$ ,  $^{64}\text{Cu}$

→ Statistical + pt-pt systematic in shown



The experiment also measures absolute  $2xF_1$ ,  $F_2$ ,  $F_L$  in the Res. Region for several nuclear targets **C, Al, Fe, Cu** as well as  $R_A$ - $R_D$

*To the MRST pQCD fit EMC effect corrections and isoscaler corrections are also added*





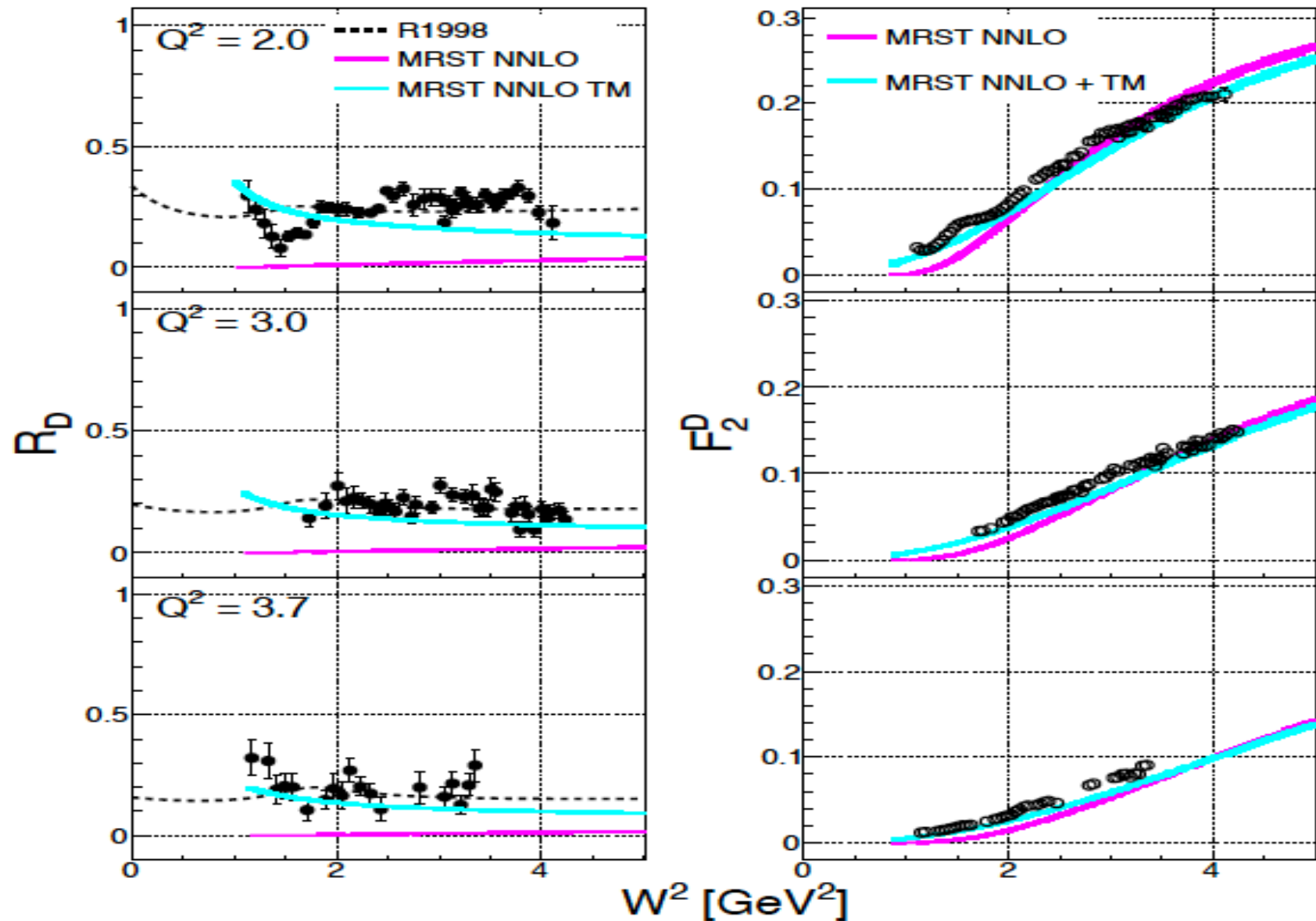
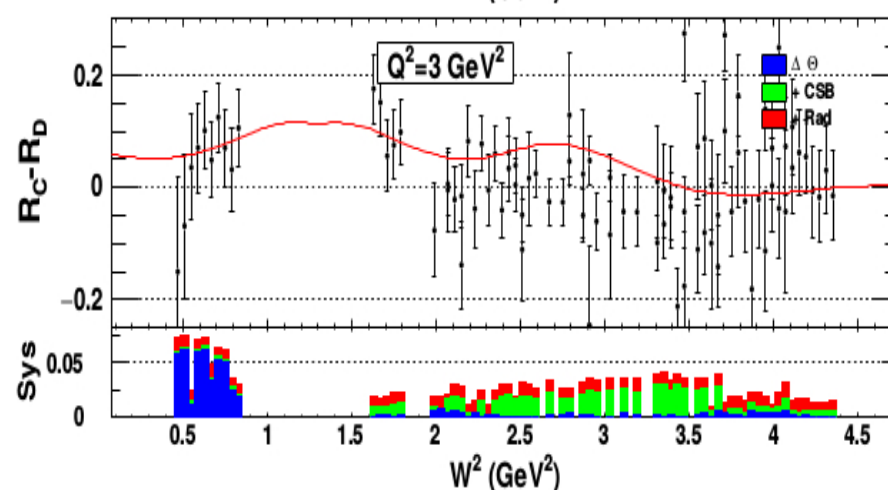
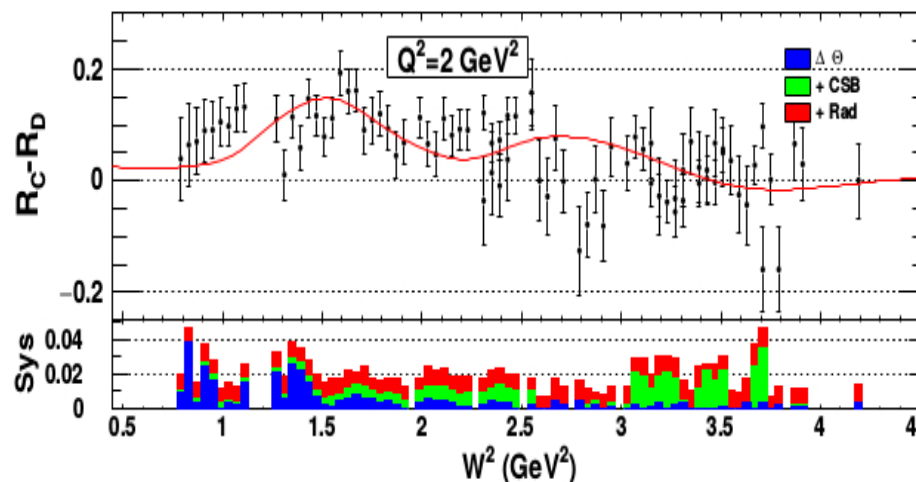
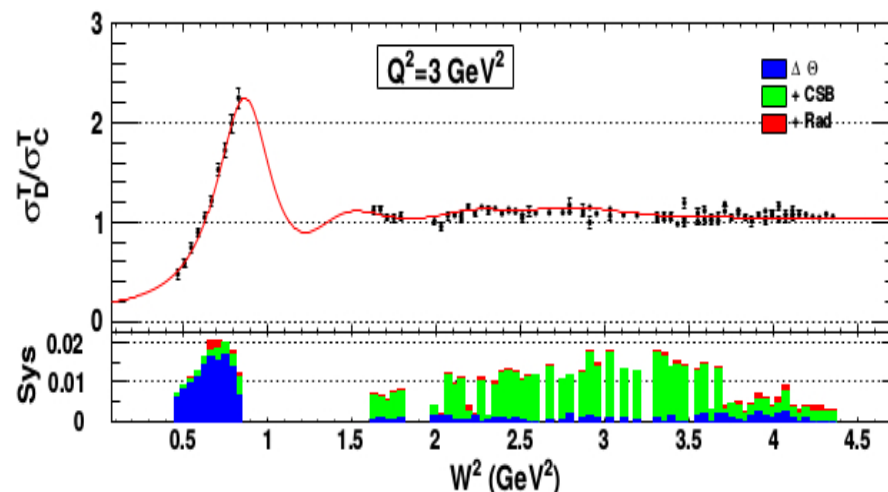
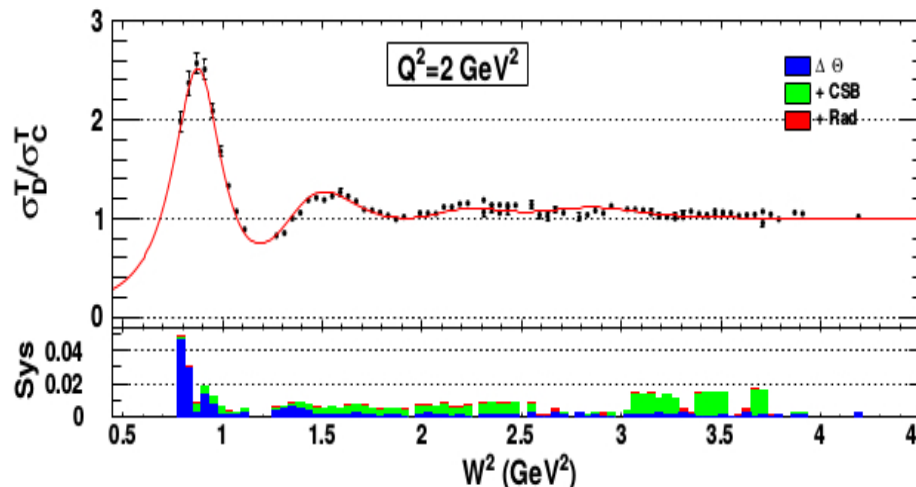


FIG. 1: Fig.1 Extracted values of  $R_D$  and  $F_{2D}$  in fine bins of  $W$  for three values of  $Q^2$  compared to the theoretical expectation for  $R_D$  from NNLO QCD, from NNLO QCD including target mass corrections, and from a fit to the world's previous measurements of  $R$  for free protons ( $R_{1998}$ ).

# $Q^2 = 2 \text{ GeV}^2$ Results for $R_A - R_d$ and $\sigma_T^d / \sigma_T^A$ $^{12}\text{C}$



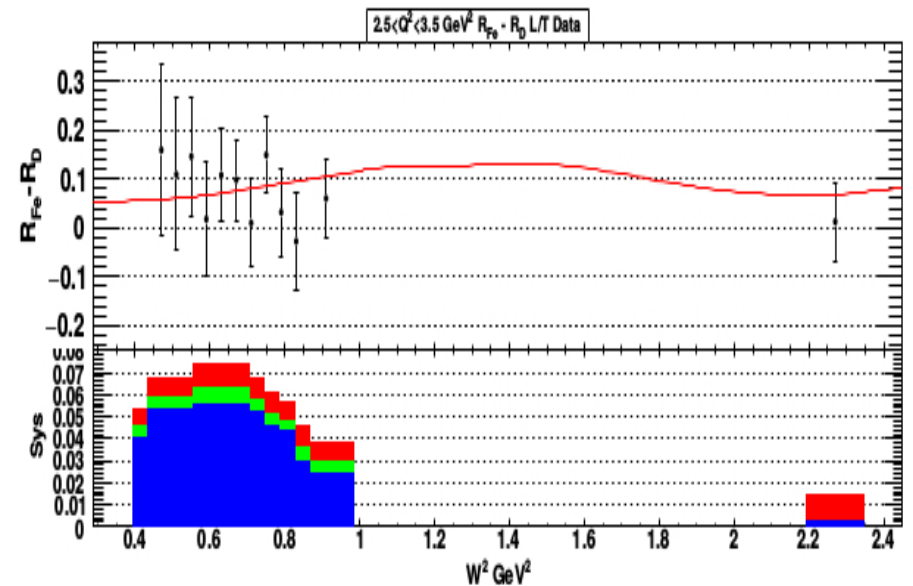
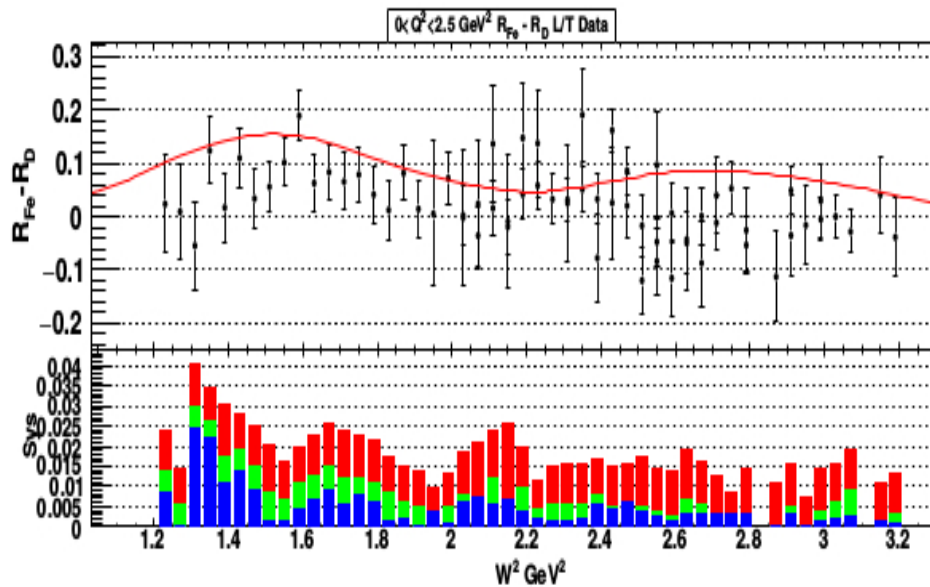
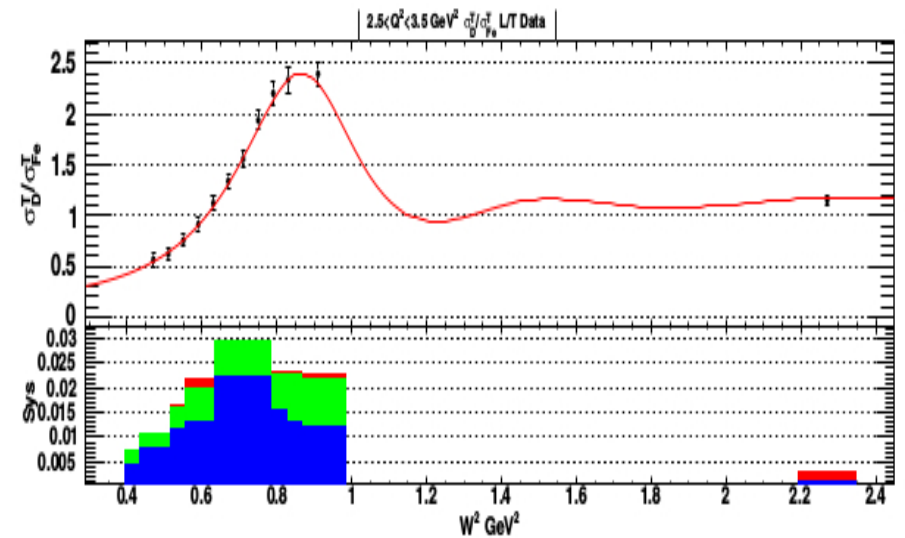
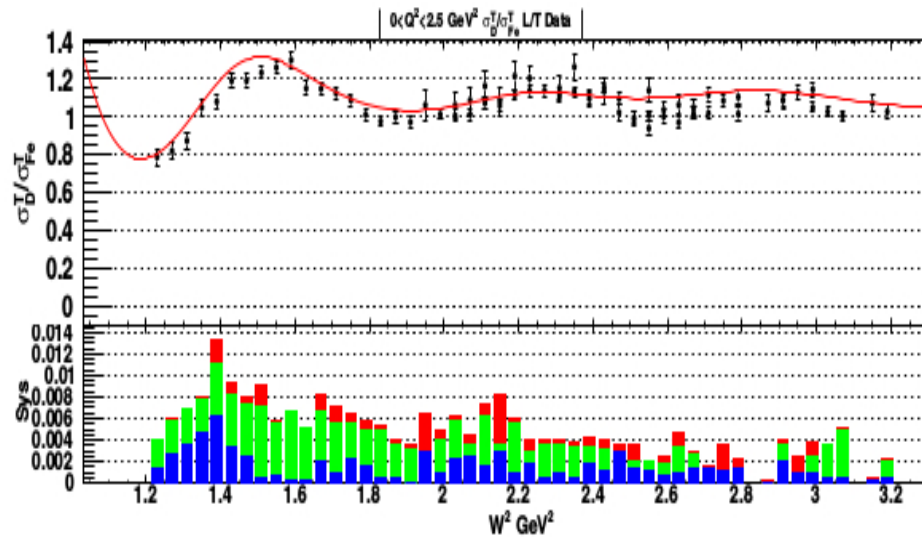
- Correlated systematics determined by shifting cross sections and repeating L/T separations
- Curve is fit to global cross sections with proton and neutron inputs

(M. E. Christy, T. Gautam, A. Bodek, in preparation)

- (note that QE has not been subtracted)

# $Q^2 = 2 \text{ GeV}^2$ Results for $R_A - R_d$ and $\sigma_T^d / \sigma_T^A$

$^{56}\text{Fe}$



\*\*  $^{27}\text{Al}$  and  $^{64}\text{Cu}$  coming soon

$R_C - R_d$ : Compare to Fermi motion calculation Bodek and Cai  
 (to be published 2017). Uses effective spectral function (ESF):  
 simulates Psi scaling includes SRC

2. A. Bodek, M. E. Christy and B. Coppersmith, Eur. Phys. J. C (2014) 74:3091

## High momentum components affect R In a nucleus

$$W_{\mu\nu} = -W_1(\nu, q^2) \left[ g_{\nu\mu} - \frac{q_\mu q_\nu}{q^2} \right] + \frac{W_2(\nu, q^2)}{M^2} \left[ p_\mu - \frac{M\nu}{q^2} q_\mu \right] \left[ p_\nu - \frac{M\nu}{q^2} q_\nu \right]$$

$$W_1^S(q^2, \nu) = \int [W_1(q^2, \nu_w) + \frac{k_T^2}{2M^2} W_2(q^2, \nu_w)] |\phi(k)|^2 d^3k$$

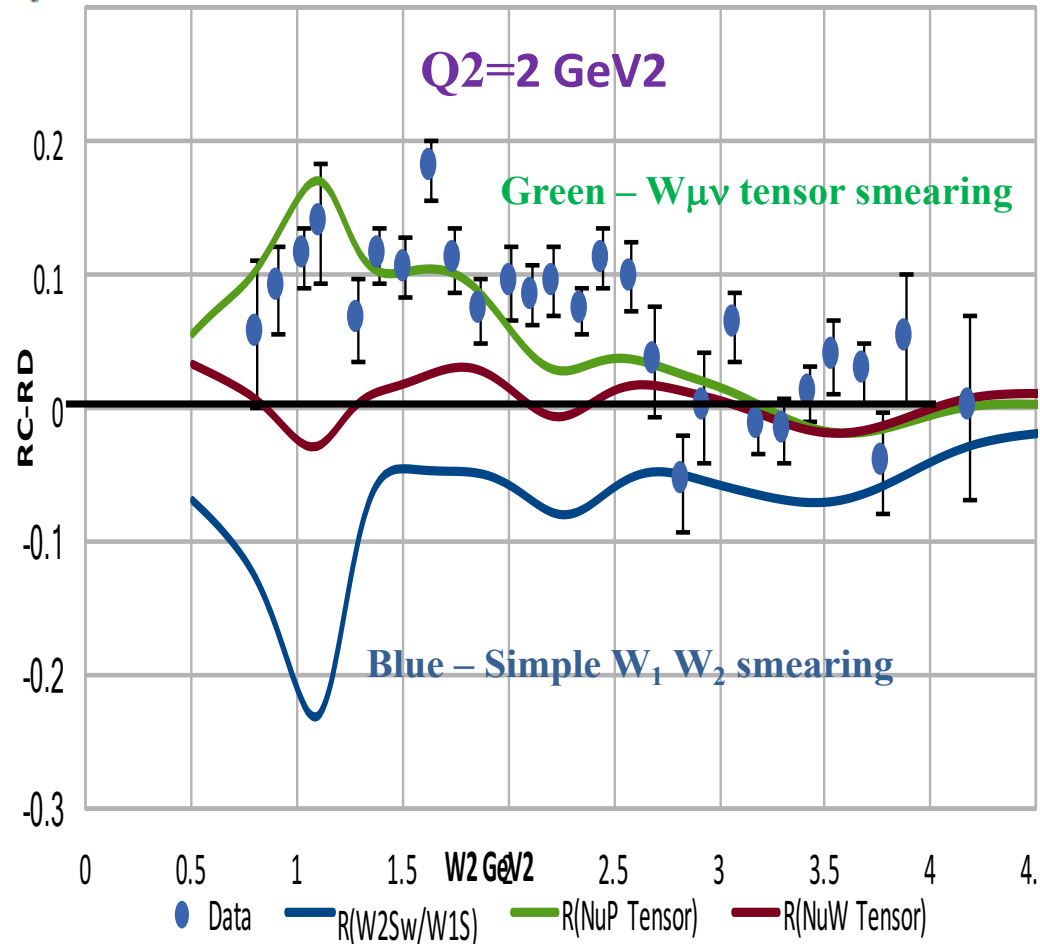
$$W_2^S(q^2, \nu) = \int W_2(q^2, \nu_w) \left[ \left( \frac{\nu'}{\nu} \right)^2 \left( 1 - \frac{k_z^2 q^2}{M\nu' |q|} \right)^2 - \frac{k_T^2}{2M^2 |q|^2} \right] |\phi(k)|^2 d^3k$$

$$W_3^S(q^2, \nu) = \int W_3(q^2, \nu_w) \left[ \frac{Ei}{M} - \frac{k_z \nu}{M|q|} \right] |\phi(k)|^2 d^3k$$

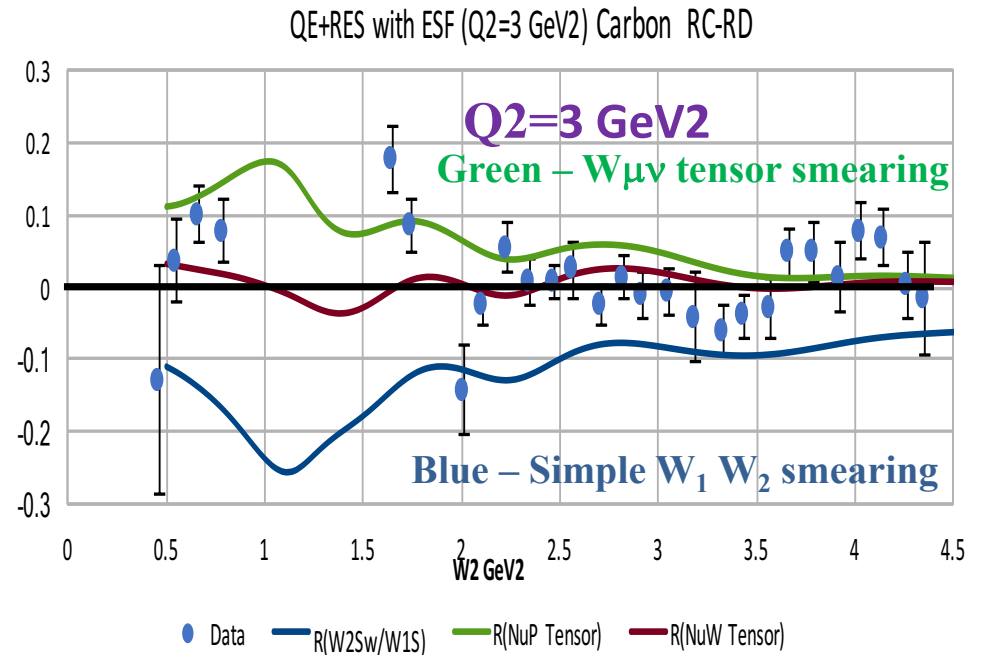
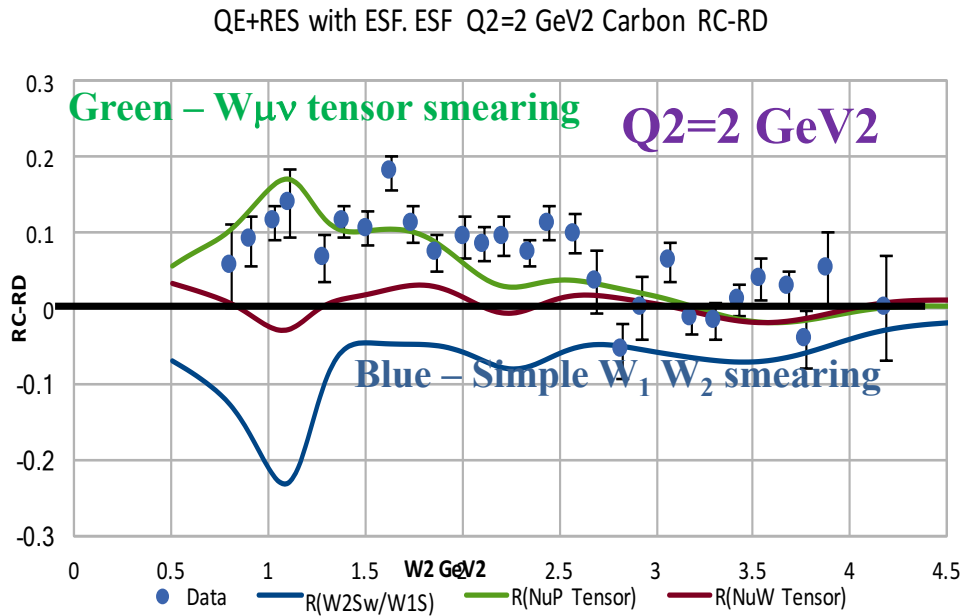
A. Bodek and J. L. Ritchie, Phys.Rev. D23, 1070 (1981).

$^{12}\text{C}$

QE+RES with ESF. ESF  $Q^2=2 \text{ GeV}^2$  Carbon RC-RD



Green –  $W_{\mu\nu}$  tensor smearing  
 purple -  $W_{\mu\nu}$  tensor smearing  
 with off-shell correction (from  $Q^2=0$ )  
 Blue – Simple  $W_1 W_2$  smearing



Green –  $W_{\mu\nu}$  tensor smearing

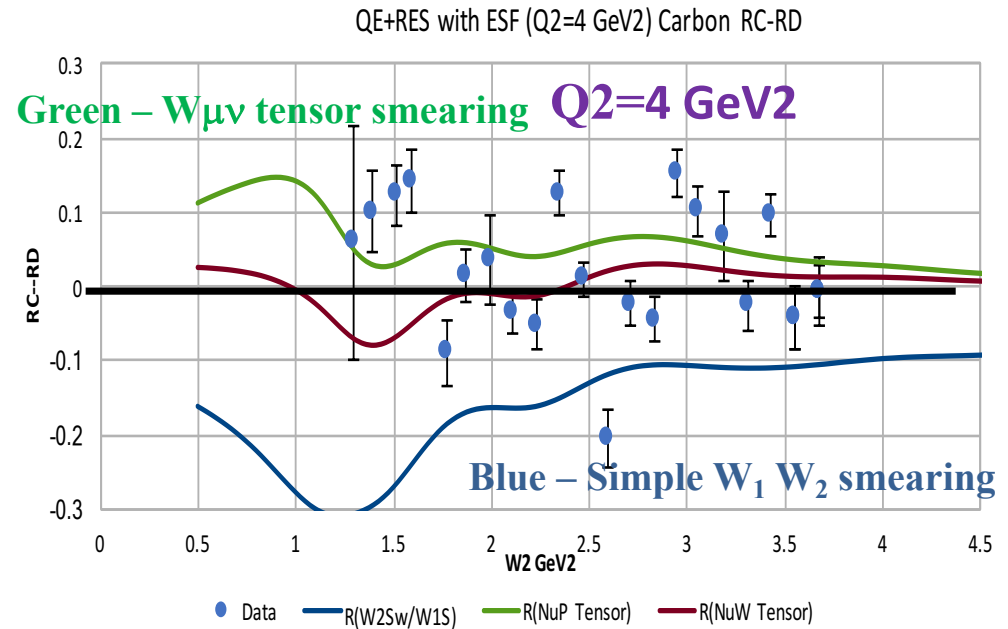
purple -  $W_{\mu\nu}$  tensor smearing  
with off-shell correction (from Q2=0)

Blue – Simple  $W_1 W_2$  smearing

Conclusion: Simple Fermi smearing  
does not work:

Low Q2 analysis –coming soon

$^{12}\text{C}$



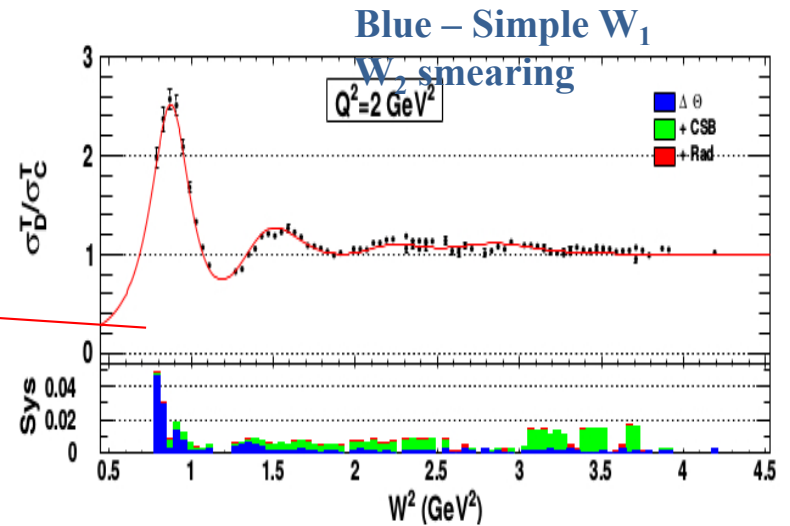
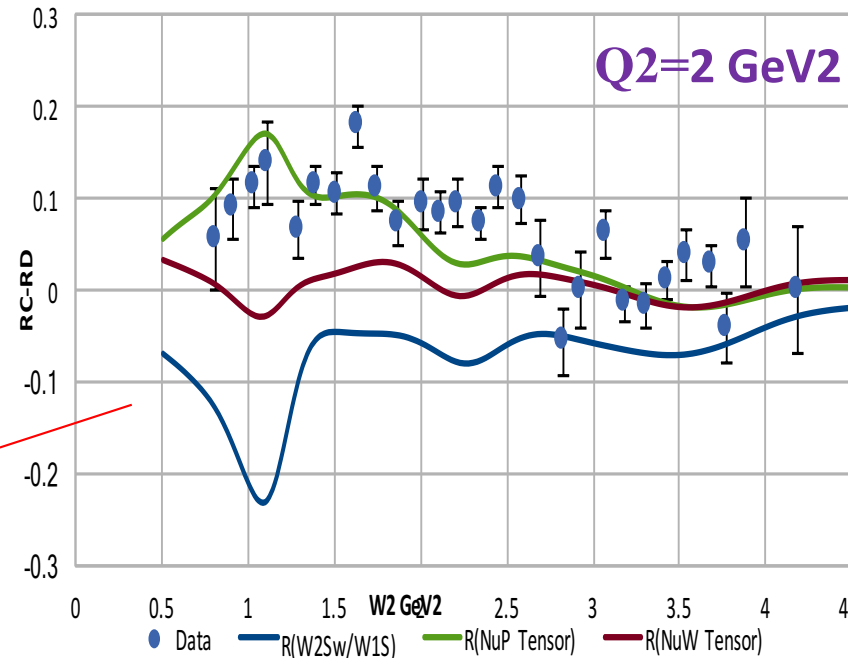


## RC-RD

**Table 3.** Sources of systematic error in the predicted inelastic contribution to the total cross section on iron (for  $W > 1.8\text{GeV}$ ). The change (positive or negative) in the neutrino, antineutrino and the  $\sigma_\nu/\sigma_\nu$  ratio that originate from a plus one standard deviation change in the ratio of transverse to longitudinal structure functions (R), the fraction of antiquarks ( $f_{\bar{q}}$ ), the axial quark-antiquark sea, and the overall normalization of the structure functions (N).

source	change (error)	change in $\sigma_\nu$	change in $\sigma_\nu$	change in $\sigma_\nu/\sigma_\nu$
R	-0.05	+1.0%	+2.0%	+1%
$f_{\bar{q}}$	+5%	-0.7%	+1.4%	+2.1%
$K^{axial} - K^{vector}$	+ 50%	+1.3%	+1.9%	+1.2%
N	+3%	+3%	+3%	0
Total		$\pm 3.4\%$	$\pm 4.3\%$	$\pm 2.5\%$

QE+RES with ESF. ESF Q2=2 GeV2 Carbon RC-RD



# Future Plans

1. Publish higher  $Q^2$  Phase II data. ( $Q^2 = 2, 3, 4 \text{ GeV}^2$ )
2. Study separation of QE and inelastic on  $R_A - R_d$
3. Finalize low  $Q^2$  Phase I data ( $Q^2: 0.2 \text{ to } 2.5$ ) near end of 2017.
  - adds additional  $\varepsilon$  points at  $2 < Q^2 < 3$
  - ⇒ reduced systematics in higher  $Q^2$  data
  - allows study of  $Q^2$  dependence for  $R_A - R_d$
  - Look for evidence of Miller's nuclear pions
4. Precise measurement of absolute  $W1$  and  $W2$  and ratio to Deuterium.

# Extra Slides



## Experimental Investigation of the Structure Functions of Bound Nucleons

V. Mamyán,<sup>27</sup> I. Albayrak,<sup>11</sup> A. Ahmidouch,<sup>22</sup> J. Arrington,<sup>1</sup> A. Asaturyan,<sup>31</sup> A. Bodek,<sup>24</sup> P. Bosted,<sup>29</sup> R. Bradford,<sup>1,24</sup> E. Brash,<sup>3</sup> A. Bruell,<sup>5</sup> C. Butuceanu,<sup>23</sup> M. E. Christy,<sup>11</sup> S. J. Coleman,<sup>29</sup> M. Commisso,<sup>27</sup> S. H. Connell,<sup>9</sup> M. M. Dalton,<sup>27</sup> S. Danagoulian,<sup>22</sup> A. Daniel,<sup>12</sup> D. B. Day,<sup>27</sup> S. Dhamija,<sup>7</sup> J. Dunne,<sup>18</sup> D. Dutta,<sup>18</sup> R. Ent,<sup>8</sup> D. Gaskell,<sup>8</sup> A. Gasparian,<sup>22</sup> R. Gran,<sup>17</sup> T. Horn,<sup>8</sup> Liting Huang,<sup>11</sup> G. M. Huber,<sup>23</sup> C. Jayalath,<sup>11</sup> M. Johnson,<sup>1,21</sup> M. K. Jones,<sup>8</sup> N. Kalantarians,<sup>12</sup> A. Liyanage,<sup>11</sup> C. E. Keppel,<sup>11</sup> E. Kinney,<sup>4</sup> Y. Li,<sup>11</sup> S. Malace,<sup>6</sup> S. Manly,<sup>24</sup> P. Markowitz,<sup>7</sup> J. Maxwell,<sup>27</sup> N. N. Mbianda,<sup>9</sup> K. S. McFarland,<sup>24</sup> M. Meziane,<sup>29</sup> Z. E. Meziani,<sup>26</sup> G. B. Mills,<sup>15</sup> H. Mkrtchyan,<sup>31</sup> A. Mkrtchyan,<sup>31</sup> J. Mulholland,<sup>27</sup> J. Nelson,<sup>29</sup> G. Niculescu,<sup>10</sup> I. Niculescu,<sup>10</sup> L. Pentchev,<sup>29</sup> A. Puckett,<sup>16,15</sup> V. Punjabi,<sup>20</sup> I. A. Qattan,<sup>13</sup> P. E. Reimer,<sup>1</sup> J. Reinhold,<sup>7</sup> V. M. Rodriguez,<sup>12</sup> O. Rondon-Aramayo,<sup>27</sup> M. Sakuda,<sup>14</sup> W. K. Sakumoto,<sup>24</sup> E. Segbefia,<sup>11</sup> T. Seva,<sup>32</sup> I. Sick,<sup>2</sup> K. Slifer,<sup>19</sup> G. R. Smith,<sup>8</sup> J. Steinman,<sup>24</sup> P. Solvignon,<sup>1</sup> V. Tadevosyan,<sup>31</sup> S. Tajima,<sup>27</sup> V. Tvaskis,<sup>30</sup> G. R. Smith,<sup>8</sup> W. F. Vulcan,<sup>8</sup> T. Walton,<sup>11</sup> F. R. Wesselmann,<sup>20</sup> S. A. Wood,<sup>8</sup> and Zhihong Ye<sup>11</sup>

(The JUPITER Collaboration Jlab E02-109 E04-001 E06-009)

*Are  $F_2$ ,  $F_1$  and  $F_L$  (or  $R$ ) modified differently by the nuclear medium?*

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*S. Malace, Jefferson Lab,*

*V. Mamyán,, University of Virginia,*

*I. Albayrak and M. E. Christy Hampton University*

## Experimental Investigation of the Structure Functions of Bound Nucleons

V. Mamyan,<sup>27</sup> I. Albayrak,<sup>11</sup> A. Ahmidouch,<sup>22</sup> J. Arrington,<sup>1</sup> A. Asaturyan,<sup>31</sup> A. Bodek,<sup>24</sup> P. Bosted,<sup>29</sup> R. Bradford,<sup>1,24</sup> E. Brash,<sup>3</sup> A. Bruell,<sup>5</sup> C. Butuceanu,<sup>23</sup> M. E. Christy,<sup>11</sup> S. J. Coleman,<sup>29</sup> M. Commisso,<sup>27</sup> S. H. Connell,<sup>9</sup> M. M. Dalton,<sup>27</sup> S. Danagoulian,<sup>22</sup> A. Daniel,<sup>12</sup> D. B. Day,<sup>27</sup> S. Dhamija,<sup>7</sup> J. Dunne,<sup>18</sup> D. Dutta,<sup>18</sup> R. Ent,<sup>8</sup> D. Gaskell,<sup>8</sup> A. Gasparian,<sup>22</sup> R. Gran,<sup>17</sup> T. Horn,<sup>8</sup> Liting Huang,<sup>11</sup> G. M. Huber,<sup>23</sup> C. Jayalath,<sup>11</sup> M. Johnson,<sup>1,21</sup> M. K. Jones,<sup>8</sup> N. Kalantarians,<sup>12</sup> A. Liyanage,<sup>11</sup> C. E. Keppel,<sup>11</sup> E. Kinney,<sup>4</sup> Y. Li,<sup>11</sup> S. Malace,<sup>6</sup> S. Manly,<sup>24</sup> P. Markowitz,<sup>7</sup> J. Maxwell,<sup>27</sup> N. N. Mbianda,<sup>9</sup> K. S. McFarland,<sup>24</sup> M. Meziane,<sup>29</sup> Z. E. Meziani,<sup>26</sup> G. B. Mills,<sup>15</sup> H. Mkrtchyan,<sup>31</sup> A. Mkrtchyan,<sup>31</sup> J. Mulholland,<sup>27</sup> J. Nelson,<sup>29</sup> G. Niculescu,<sup>10</sup> I. Niculescu,<sup>10</sup> L. Pentchev,<sup>29</sup> A. Puckett,<sup>16,15</sup> V. Punjabi,<sup>20</sup> I. A. Qattan,<sup>13</sup> P. E. Reimer,<sup>1</sup> J. Reinhold,<sup>7</sup> V. M Rodriguez,<sup>12</sup> O. Rondon-Aramayo,<sup>27</sup> M. Sakuda,<sup>14</sup> W. K. Sakumoto,<sup>24</sup> E. Segbefia,<sup>11</sup> T. Seva,<sup>32</sup> I. Sick,<sup>2</sup> K. Slifer,<sup>19</sup> G. R. Smith,<sup>8</sup> J. Steinman,<sup>24</sup> P. Solvignon,<sup>1</sup> V. Tadevosyan,<sup>31</sup> S. Tajima,<sup>27</sup> V. Tvaskis,<sup>30</sup> G. R. Smith,<sup>8</sup> W. F. Vulcan,<sup>8</sup> T. Walton,<sup>11</sup> F. R. Wesselmann,<sup>20</sup> S. A. Wood,<sup>8</sup> and Zhihong Ye<sup>11</sup>

(The JUPITER Collaboration Jlab E02-109 E04-001 E06-009)

<sup>1</sup>Argonne National Laboratory, Argonne, IL 60439, USA

<sup>2</sup>University of Basel, CH-4056 Basel, Switzerland

<sup>3</sup>Christopher Newport University, Newport News, VA 23606, USA

<sup>4</sup>University of Colorado, Boulder, CO, USA

<sup>5</sup>DFG, German Research Foundation, Germany

<sup>6</sup>Duke University, Dept. of Physics, Box 90305 Durham, NC 27708

<sup>7</sup>Florida International University, Miami, FL 33199, USA

<sup>8</sup>Thomas Jefferson National Accelerator Facility, Newport News, VA 23606, USA

<sup>9</sup>University of Johannesburg, Auckland Park 2006, Johannesburg, South Africa

<sup>10</sup>James Madison University, Harrisonburg, VA 22801, USA

<sup>11</sup>Hampton University, Hampton, VA 23668, USA

<sup>12</sup>University of Houston, Houston, TX 77004, USA

<sup>13</sup>Khalifa University of Science, Technology and Research, Sharjah 573, UAE

<sup>14</sup>High Energy Accelerator Research Organization (KEK), Tsukuba, Ibaraki 305-0801, Japan

<sup>15</sup>Los Alamos National Laboratory Los Alamos NM 87545, USA

<sup>16</sup>Massachusetts Institute of Technology, Cambridge, MA 02139, USA

<sup>17</sup>Department of Physics, University of Minnesota-Duluth Duluth MN 55812 USA

<sup>18</sup>Mississippi State University, Mississippi State, MS 39762, USA

<sup>19</sup>University of New Hampshire, Durham, NH 03824, USA

<sup>20</sup>Norfolk State University, Norfolk VA 23504 USA

<sup>21</sup>Northwestern University, Evanston, IL 60208, USA

<sup>22</sup>North Carolina A&T State University, Greensboro NC, 27411, USA

<sup>23</sup>University of Regina, Regina, Saskatchewan, S4S 0A2, Canada

<sup>24</sup>Department of Physics and Astronomy, University of Rochester, Rochester, NY 14627, USA

<sup>25</sup>University of Southern California, Los Angeles, CA 90033, USA

<sup>26</sup>Department of Physics, Temple University, Philadelphia, PA 19122, USA

<sup>27</sup>University of Virginia, Charlottesville, VA 22904, USA

<sup>28</sup>Vrije Universiteit, Amsterdam, Netherlands

<sup>29</sup>Department of Physics, College of William & Mary, Williamsburg, VA 23187, USA

<sup>30</sup>University of Winnipeg, Winnipeg, Manitoba, Canada R3B 2E9

<sup>31</sup>Yerevan Physics Institute, Yerevan, Armenia

<sup>32</sup>University of Zagreb, Zagreb, Croatia

Focus of this talk will be the 6 GeV program in JLab Hall C to separate all unpolarized structure functions:

$$\mathbf{F}_1, \mathbf{F}_2, \mathbf{F}_L$$

Kinematic coverage:  $0.3 < Q^2 < 4$

$W^2 < 4$  (Resonance Region, RR)

Targets: **proton, deuteron,  $^{12}\text{C}$ ,  $^{27}\text{Al}$ ,  $^{56}\text{Fe}$ ,  $^{64}\text{Cu}$**

**Electron scattering**

$$\frac{d^2\sigma}{d\Omega dE'}(E_0, E', \theta) = \frac{4\alpha^2 E'^2}{Q^4} \cos^2(\theta/2)$$

$$\times [\mathcal{F}_2(x, Q^2)/\nu + 2 \tan^2(\theta/2) \mathcal{F}_1(x, Q^2)/M],$$

$$\frac{d^2\sigma}{d\Omega dE'} = \Gamma [\sigma_T(x, Q^2) + \epsilon \sigma_L(x, Q^2)],$$

where

$$\Gamma = \frac{\alpha K E'}{4\pi^2 Q^2 E_0} \left( \frac{2}{1 - \epsilon} \right)$$

$$K = \frac{Q^2(1 - x)}{2Mx} = \frac{2M\nu - Q^2}{2M}$$

$$\epsilon = \left[ 1 + 2 \left( 1 + \frac{Q^2}{4M^2 x^2} \right) \tan^2 \frac{\theta}{2} \right]^{-1}.$$

$$\epsilon = \frac{1 - y - Q^2/(4E_0^2)}{1 - y + y^2/2 + Q^2/(4E_0^2)},$$

which in the limit of  $Q^2 \ll E_0^2$  is approximately

$$\epsilon = \frac{2(1 - y)}{2(1 - y) + y^2}.$$

$$\mathcal{R}(x, Q^2) = \frac{\sigma_L}{\sigma_T} = \frac{\mathcal{F}_2}{2x\mathcal{F}_1} \left( 1 + \frac{4M^2 x^2}{Q^2} \right) - 1 = \frac{\mathcal{F}_L}{2x\mathcal{F}_1}.$$

## Electron scattering continued

$$\mathcal{R}(x, Q^2) = \frac{\sigma_L}{\sigma_T} = \frac{\mathcal{F}_2}{2x\mathcal{F}_1} \left(1 + \frac{4M^2x^2}{Q^2}\right) - 1 = \frac{\mathcal{F}_L}{2x\mathcal{F}_1}$$

$$\mathcal{F}_1 = \frac{MK}{4\pi^2\alpha} \sigma_T$$

$$\mathcal{F}_2 = \frac{\nu K (\sigma_L + \sigma_T)}{4\pi^2\alpha \left(1 + \frac{Q^2}{4M^2x^2}\right)}$$

$$\mathcal{F}_L(x, Q^2) = \mathcal{F}_2 \left(1 + \frac{4M^2x^2}{Q^2}\right) - 2x\mathcal{F}_1,$$

or

$$2x\mathcal{F}_1 = \mathcal{F}_2 \left(1 + \frac{4M^2x^2}{Q^2}\right) - \mathcal{F}_L(x, Q^2).$$

In addition,  $2x\mathcal{F}_1$  is given by

$$2x\mathcal{F}_1(x, Q^2) = \mathcal{F}_2(x, Q^2) \frac{1 + 4M^2x^2/Q^2}{1 + \mathcal{R}(x, Q^2)},$$

or equivalently

$$W_1(x, Q^2) = W_2(x, Q^2) \times \frac{1 + \nu^2/Q^2}{1 + \mathcal{R}(x, Q^2)}.$$

## Neutrino Vector quark-hadron duality

ing expressions:  $\mathcal{F}_2 = 2x[\bar{q}(x, Q^2) + q(x, Q^2)]$  and  $x\mathcal{F}_3 = 2x[q(x, Q^2) - \bar{q}(x, Q^2)]$ . We define  $Q = \int_0^1 2x[q(x, Q^2)]dx$

$$\begin{aligned} \frac{d^2\sigma^{\nu(\bar{\nu})}}{dxdy} &= \frac{G_F^2 ME}{\pi} \\ &\times \left( \left[ 1 - y \left( 1 + \frac{Mx}{2E} \right) + \frac{y^2}{2} \frac{1 + Q^2/\nu^2}{1 + \mathcal{R}(x, Q^2)} \right] \mathcal{F}_2 \right. \\ &\left. \pm \left[ y - \frac{y^2}{2} \right] x\mathcal{F}_3 \right). \end{aligned} \quad (48)$$

or

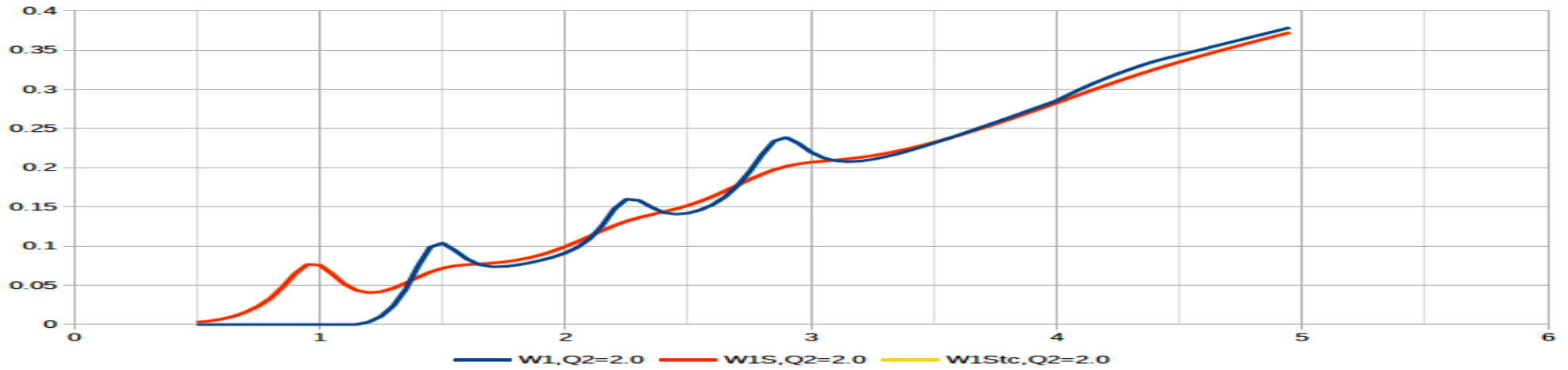
$$\begin{aligned} \frac{d^2\sigma^\nu}{dxdy} &= \frac{G_F^2 ME}{\pi} \left( Q(x, Q^2) + (1-y)^2 \bar{Q}(x, Q^2) \right. \\ &- \frac{y^2}{2} \frac{\mathcal{R}(x, Q^2)}{1 + \mathcal{R}(x, Q^2)} (Q + \bar{Q}) \\ &\left. + \left[ -\frac{Mxy}{2E} + \frac{Mxy/E}{1 + \mathcal{R}(x, Q^2)} \right] (Q + \bar{Q}) \right). \end{aligned} \quad (49)$$

and

$$\begin{aligned} \frac{d^2\sigma^{\bar{\nu}}}{dxdy} &= \frac{G_F^2 ME}{\pi} \left( \bar{Q}(x, Q^2) + (1-y)^2 Q(x, Q^2) \right. \\ &- \frac{y^2}{2} \frac{\mathcal{R}(x, Q^2)}{1 + \mathcal{R}(x, Q^2)} (Q + \bar{Q}) \\ &\left. + \left[ -\frac{Mxy}{2E} + \frac{Mxy/E}{1 + \mathcal{R}(x, Q^2)} \right] (Q + \bar{Q}) \right). \end{aligned} \quad (50)$$

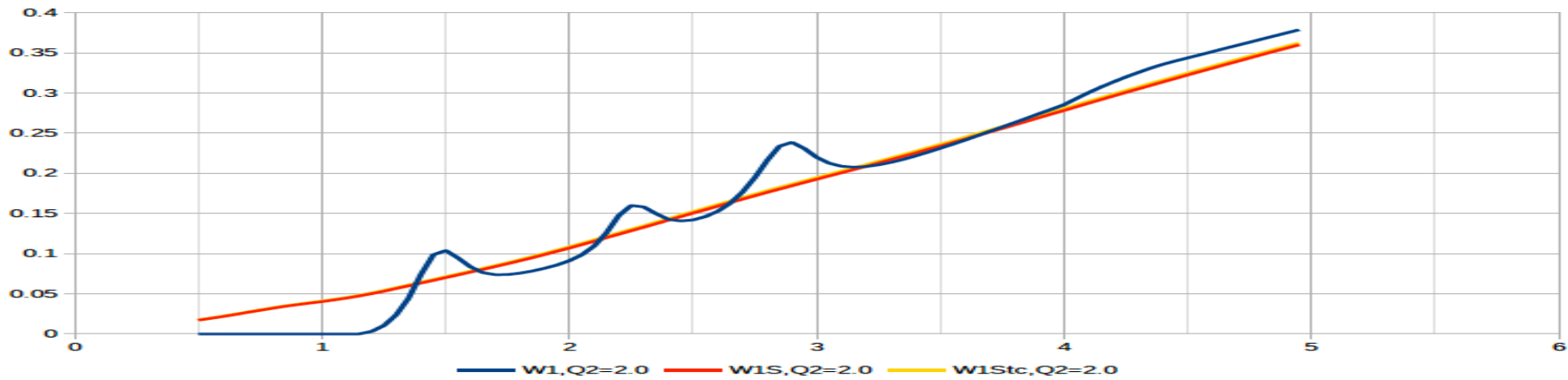
# QE\_ESF:D

RedLDeuterium W1  
Q2=2 GeV2



# QE\_ESF:C12

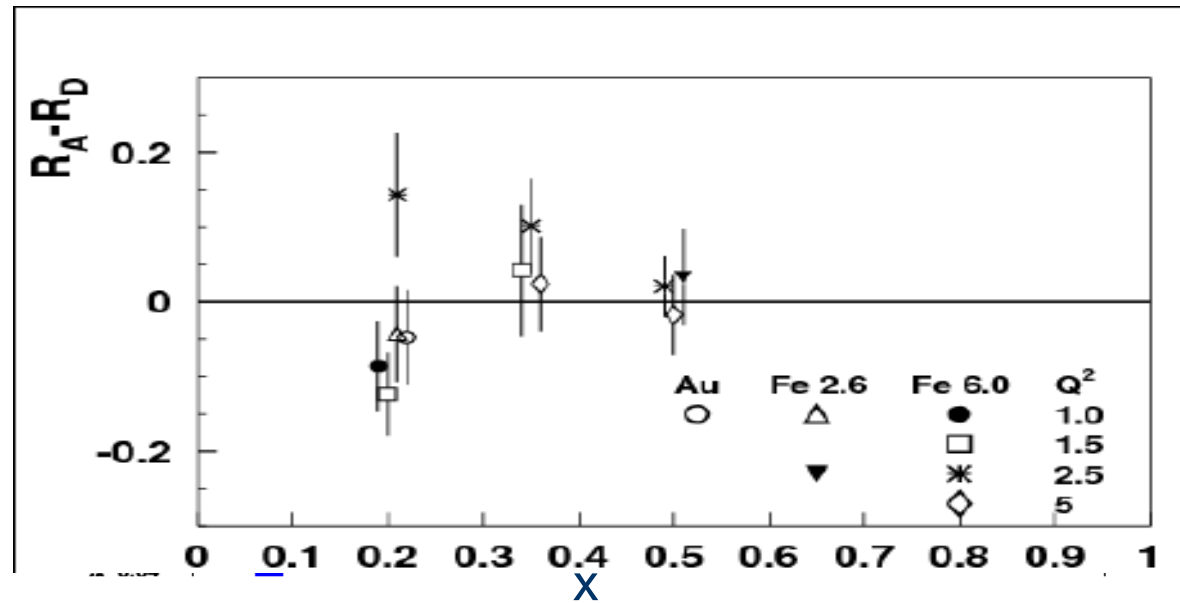
Red: Carbon W1  
Q2=2 GeV2





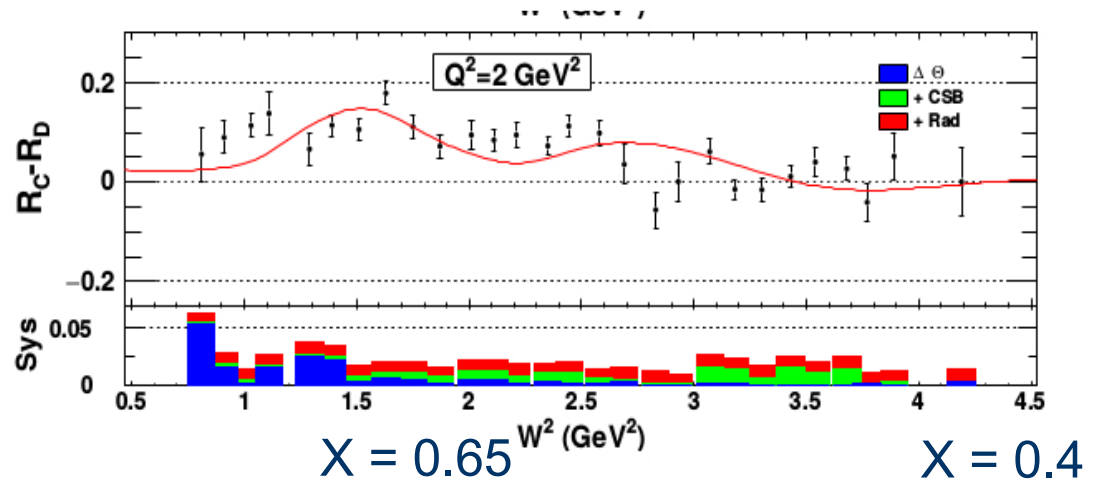
New Hall C data provides  
 1<sup>st</sup> determination of  $R_A - R_D$   
 In resonance region

- Large # of data points  
 Comparable to E140
- Improved extractions  
 at  $Q^2 < 3$  in future  
 Including phase I data



### Future studies:

- Duality in separated SFs  
 and EMC effect
- Moments of nuclar SFs



Deep inelastic neutrino total cross section are relatively well known. The following are systematic errors in the high energy DIS total cross sections for  $W > 1.8 \text{ GeV}$  (Bodek-Yang)

Integrating over  $y$ , The cross sections for neutrino (anti-neutrino) can then be approximately expressed in terms of (on average) the fraction antiquarks  $f_{\bar{Q}} = \bar{Q}/(Q + \bar{Q})$  in the nucleon, and (on average) the ratio of longitudinal to transverse cross sections  $\mathcal{R}$  as follows:

$$\frac{d\sigma(\nu N)}{dx} \approx \frac{G_F^2 M E}{\pi} (Q + \bar{Q}) \left[ (1 - f_{\bar{Q}}) + \frac{1}{3} f_{\bar{Q}} - \frac{1}{6} \mathcal{R} \right], \quad (51)$$

and

$$\frac{d\sigma(\bar{\nu} N)}{dx} \approx \frac{G_F^2 M E}{\pi} (Q + \bar{Q}) \left[ \frac{1}{3} (1 - f_{\bar{Q}}) + f_{\bar{Q}} - \frac{1}{6} \mathcal{R} \right]. \quad (52)$$

With  $\langle \mathcal{R} \rangle = 0.2$  and  $\langle f_{\bar{Q}} \rangle = 0.1725$ , we obtain  $\langle \sigma_{\nu} / \sigma_{\bar{\nu}} \rangle = 0.487$ , which is the world's experimental average value in the 30-50 GeV energy range. The above expressions are used to estimate the systematic error in the cross section originating from uncertainties in  $\mathcal{R}$  and  $f_{\bar{q}}$  (as shown in Table 3).

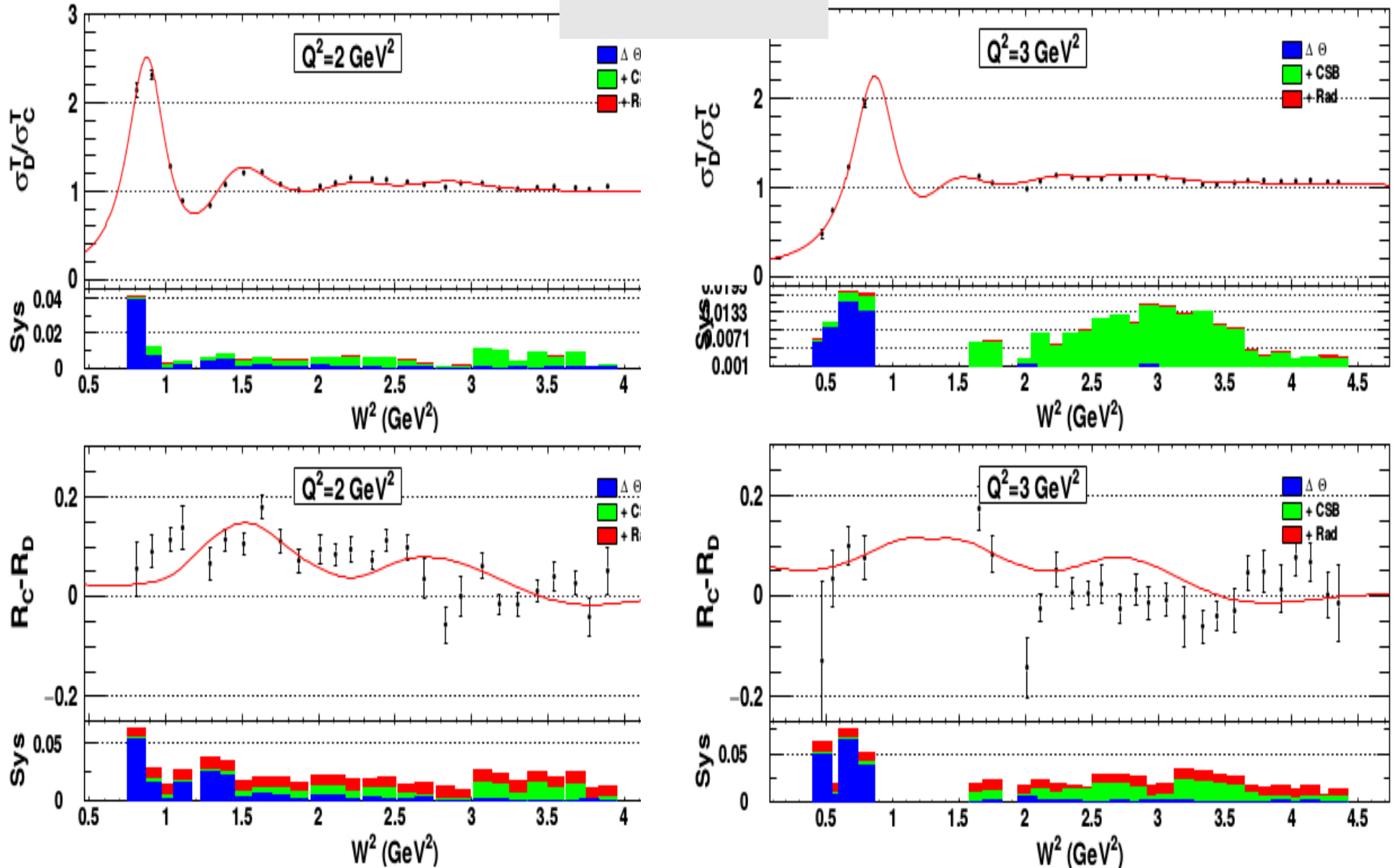
***We want to achieve similar precision in the resonance region (RR) on H, D and nuclear targets, and also low Q inelastic,***

**Table 3.** Sources of systematic error in the predicted inelastic contribution to the total cross section on iron (for  $W > 1.8 \text{ GeV}$ ). The change (positive or negative) in the neutrino, antineutrino and the  $\sigma_{\nu} / \sigma_{\bar{\nu}}$  ratio that originate from a plus one standard deviation change in the ratio of transverse to longitudinal structure functions (R), the fraction of antiquarks ( $f_{\bar{q}}$ ), the axial quark-antiquark sea, and the overall normalization of the structure functions (N).

source	change (error)	change in $\sigma_{\nu}$	change in $\sigma_{\bar{\nu}}$	change in $\sigma_{\nu} / \sigma_{\bar{\nu}}$
R	-0.05	+1.0%	+2.0%	+1%
$f_{\bar{q}}$	+5%	-0.7%	+1.4%	+2.1%
$K^{\text{axial}} - K^{\text{vector}}$	+50%	+1.3%	+1.9%	+1.2%
N	+3%	+3%	+3%	0
Total		$\pm 3.4\%$	$\pm 4.3\%$	$\pm 2.5\%$

# $Q^2 = 2 \text{ GeV}^2$ Results for $R_A - R_d$ and $\sigma_T^d / \sigma_T^A$ $^{12}\text{C}$

Rebinned





# Fermi motion and off-shell corrections to nucleons bound in nuclei

Arie Bodek and Tejin Cai  
University of Rochester

A. Bodek, M. E. Christy and B. Coppersmith, Eur. Phys. J. C (2014) 74:3091

**Effective spectral function**

A. Bodek and J. L. Ritchie, Phys.Rev. D23, 1070 (1981).

**Fermi-Motion in Nuclei**

W. B. Atwood and G. B. West, Phys.Rev. D7m 773 (1973);  
G. B. West, Ann. Phys. (NY), 656 (1972).

**Fermi-Motion in Deuteron**

A. Bodek, Phys. Rev. D8 (1973) 2331; A. Bodek et al., Phys. Rev. D 20, 1471 (1979).

- **off-shell corrections**

# Impulse approximation

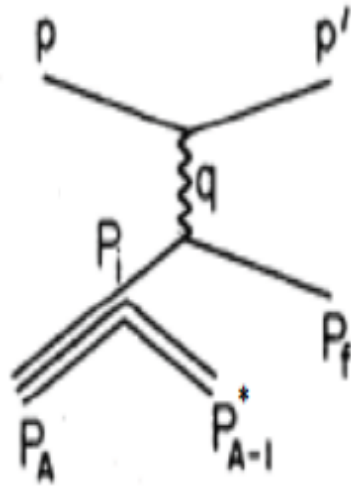


Fig. 1. 1p1h process: Scattering from an off-shell bound proton of momentum  $P_i = \mathbf{k}$  in a nucleus of mass  $A$ . The on-shell recoil excited  $[A - 1]^*$  spectator nucleus has a momentum  $P_{A-1}^* = P_s = -\mathbf{k}$ . The off-shell energy of the interacting nucleon is  $E_i = M_A - \sqrt{(M_{A-1}^*)^2 + k^2} = M_A - \sqrt{(M_{A-1} + E_x)^2 + k^2}$ , where  $E_x$  is the excitation energy of the  $[A - 1]^*$  spectator nucleus.

$$P_i = (E_i, \mathbf{k}) = (M - \epsilon, \mathbf{k})$$

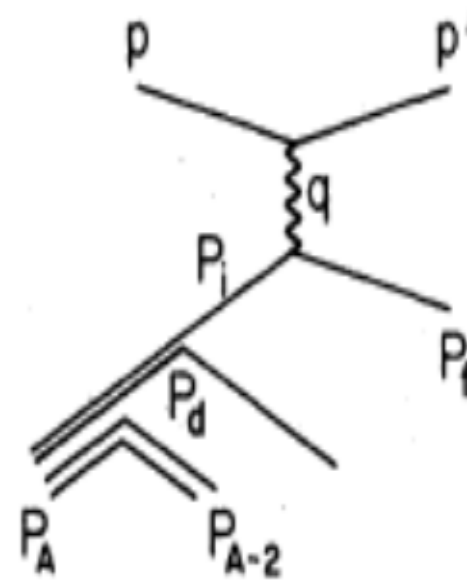


Fig. 2. 2p2h process: Scattering from an off-shell bound neutron of momentum  $P_i = -\mathbf{k}$  from two nucleon short range correlations (quasi-deuteron). There is an on-shell spectator  $(A - 2)^*$  on-shell nucleus and an on-shell spectator recoil nucleon with momentum  $P_s = \mathbf{k}$ . The energy of the interacting off-shell nucleus is  $E_i^P(SRC) = M_D - \sqrt{M_N + k^2} - \Delta_{SRC}^{N+P}$

The hadronic tensor for a target of mass M is given by:

$$W_{\mu\nu} = -\mathcal{W}_1(\nu, q^2) \left[ g_{\nu\mu} - \frac{q_\mu q_\nu}{q^2} \right] + \frac{\mathcal{W}_2(\nu, q^2)}{M^2} \left[ p_\mu - \frac{M\nu}{q^2} q_\mu \right] \left[ p_\nu - \frac{M\nu}{q^2} q_\nu \right] \quad (16)$$

Where  $g_{\mu\mu} = (-1, -1, -1, +1)$ .

We use the Atwood-West[2] Fermi smearing formalism for electron scattering on deuterium which has been extended to neutrino scattering on nuclear targets by Bodek and Ritchie[3]. We starts with the following relation between the hadronic tensor for a nucleus and the hadron tensor of bound nucleons.

$$W_{\mu\nu}^A(q^2, \nu) = Z W_{\mu\nu}^{p-S}(q^2, \nu) + N W_{\mu\nu}^{n-S}(q^2, \nu) \quad (17)$$

$$W_{\mu\nu}^S(q^2, \nu) = \int W_{\mu\nu}(q^2, \nu_w) |\phi(k)|^2 d^3k$$

Equating the xx (11) components of the smeared tensor we get the following expression for the smeared structure function  $\mathcal{W}_1$ .

$$\mathcal{W}_1^S(q^2, \nu) = \int [\mathcal{W}_1(q^2, \nu_w) + \frac{k_T^2}{2M^2} \mathcal{W}_2(q^2, \nu_w)] |\phi(k)|^2 d^3k \quad (18)$$

Where,  $k_T$  is the transverse momentum of the nucleon with respect to the virtual photon ( $|\mathbf{q}| = q_z = q_3$ ) direction.

Equating the 33 (zz) component and also using equation 18 we get the following expression for the smeared structure function  $\mathcal{W}_2$ .

$$\mathcal{W}_2^S(q^2, \nu) = \int \mathcal{W}_2(q^2, \nu_w) \left[ \left( \frac{\nu'}{\nu} \right)^2 \left( 1 - \frac{k_z^2 q^2}{M\nu'|\mathbf{q}|} \right)^2 - \frac{k_T^2}{2M^2} \frac{q^2}{|\mathbf{q}|^2} \right] |\phi(k)|^2 d^3k \quad (19)$$

large  $\rightarrow$

Small  $\rightarrow$

For neutrino scattering one can derive[3] the following expression for  $\mathcal{W}_3$ .

$$\mathcal{W}_3^S(q^2, \nu) = \int \mathcal{W}_3(q^2, \nu_w) \left[ \frac{Ei}{M} - \frac{k_z \nu}{M|\mathbf{q}|} \right] |\phi(k)|^2 d^3k$$

Identifying the bound structure functions as functions of  $(Q^2, W')$  or equivalently  $(Q^2, \nu_w)$  ensures energy conservation. However, gauge conservation is violated. One can restore gauge conservation by adding off-shell corrections to the structure functions, but there is no unique way of doing it. The above expressions yield a non-zero value of  $R_{nucleus} = \sigma_L / \sigma_T$  at  $Q^2 = 0$ . Bodek (1973) showed introducing an off-shell correction to  $W_2$  (e.g. replacing  $\nu'$  with  $\nu_w$  the above equations) results in  $R_{nucleus} = 0$  at  $Q^2 = 0$ .

We investigate three cases: (1) No tensor corrections, (2) With tensor corrections using  $\nu'$ , and (3) Applying off-shell corrections by replacing  $\nu'$  with  $\nu_w$  in the smearing equations.

$$\mathcal{W}_1^S(q^2, \nu) = \int [\mathcal{W}_1(q^2, \nu_w) + \frac{k_T^2}{2M^2} \mathcal{W}_2(q^2, \nu_w)] |\phi(k)|^2 d^3k$$

Our studies indicate:

- (1) The tensor corrections are essential at all  $Q^2$ .
- (2) The off-shell corrections are large at low  $Q^2$ , and are smaller at higher  $Q^2$ .

Here,  $P_i = (E_i, \mathbf{k}) = (M - \epsilon, \mathbf{k})$

$$\begin{aligned} P_i^2 &= E_i^2 - \mathbf{k}^2 \\ W'^2 &= P_i^2 + 2P_i \cdot q - Q^2 \\ \nu' &= P_i \cdot q / M = (E_i \nu - |\mathbf{q}| k_3) / M \\ \nu' &= \frac{W'^2 - P_i^2 + Q^2}{2M} \\ \nu_w &= \frac{W'^2 - M^2 + Q^2}{2M} \\ \nu_w &= \nu' + \frac{P_i^2 - M^2}{2M} \end{aligned} \tag{20}$$

$$\begin{aligned} \mathcal{W}_2^S(q^2, \nu) &= \int \mathcal{W}_2(q^2, \nu_w) \left[ \left( \frac{\nu'}{\nu} \right)^2 \left( 1 - \frac{k_z^2 q^2}{M \nu' |\mathbf{q}|} \right)^2 \right. \\ &\quad \left. - \frac{k_T^2}{2M^2} \frac{q^2}{|\mathbf{q}|^2} \right] |\phi(k)|^2 d^3k \end{aligned}$$

## Relations of structure functions to QCD and quark distributions: F2 is fundamental (not 2xF1)

$$\mathcal{F}_{2,LO}^{e/\mu}(x, Q^2) = \sum_i e_i^2 [xq_i(x, Q^2) + x\bar{q}_i(x, Q^2)] .$$

**Only F2 (not F1) is related to the sum of quark and antiquark distributions.** At high Q2 we use the variable x. At lower Q2, we include the target mass scaling variables

$$\xi_{TM} = \frac{Q^2}{M\nu[1 + \sqrt{1 + Q^2/\nu^2}]},$$

For neutrino structure functions, Quark-Hadron duality ( when integrated over all  $\nu$ ) is EXACT for the Adler sum rule down to  $Q^2=0$  only for the Structure Function F2.

## F2 is the quark PDF distribution

In quark language the Adler sum rule is number of u quarks minus the number of d quarks in the nucleon is 1. (2 up and 1 down). It uses F2.

The Adler sum rules are derived from current algebra and are therefore valid at all values of  $Q^2$ . The equations below are for *strangeness conserving*(sc) processes.

$$|F_V(Q^2)|^2 + \int_{\nu_0}^{\infty} \mathcal{W}_{2n-sc}^{\nu-vector}(\nu, Q^2) d\nu - \int_{\nu_0}^{\infty} \mathcal{W}_{2p-sc}^{\nu-vector}(\nu, Q^2) d\nu = 1$$

Where the limits of the integrals are from pion threshold  $\nu_0$  where  $W = M_\pi + M_P$  to  $\nu = \infty$ . At  $Q^2 = 0$ , the inelastic part of  $\mathcal{W}_2^{\nu-vector}$  goes to zero, and the sum rule is saturated by the quasielastic contribution  $|F_V(Q^2)|^2$ .

## FL includes:

- The effects of gluon radiation (QCD) which dominate at high  $Q^2$ .
- Target mass effects (+ quark transverse momentum) which dominate at high  $x$  and intermediate  $Q^2$  (Jlab energy range).
- Higher twist effects which dominate near  $Q^2=0$ .
- **In QCD  $2xF_1$  is derived from  $F_2$  by the subtraction of FL.**

$$2xF_1 = F_2 \left( 1 + \frac{4M^2x^2}{Q^2} \right) - F_L(x, Q^2).$$

$$\mathcal{R}(x, Q^2) = \frac{\sigma_L}{\sigma_T} = \frac{F_2}{2xF_1} \left( 1 + \frac{4M^2x^2}{Q^2} \right) - 1 = \frac{F_L}{2xF_1}$$

For a complete understanding of the origin of the nuclear effects in electron scattering, we need to study nuclear effects in all three structure functions  $F_2$ ,  $R$  and  $F_1$  (and also in  $F_3$  if we include neutrino scattering).