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Determination of the axial mass parameter
and
the proton charge radius

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Outline

- Introduction: the axial mass problem and the proton radius puzzle
- The z expansion
- Separating form factor and nuclear effects
- Conclusions and outlook

Introduction:
The axial mass problem
and
The proton radius puzzle

Quasialstic $\nu - N$ scattering

- Quasialstic $\nu - N$ scattering: $\nu_\ell + n \rightarrow \ell^- + p$
- At the quark level: $\nu_\ell + d \rightarrow \ell^- + u$
- Process “folded” twice

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Form factor

Nucleon $\nu_\ell + n \rightarrow \ell^- + p$

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Nucleus: $\nu_\ell + \text{nucleus} \rightarrow \ell^- + \text{nucleus}'$

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- For precision need to control both *form factors* and *nuclear effects*

Quark \rightarrow Nucleon

- The interaction

$$\mathcal{L} = \frac{G_F}{\sqrt{2}} V_{ud}^* \bar{\ell} \gamma^\alpha (1 - \gamma^5) \nu \bar{u} \gamma_\alpha (1 - \gamma^5) d$$

Known current: $\bar{u} \gamma_\alpha (1 - \gamma^5) d$

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- Parametrize $\langle p(p') | \bar{u} \gamma_\alpha (1 - \gamma^5) d | n(p) \rangle$ by form factors:

F_1, F_2, F_p, F_A , functions of $q^2 = (p' - p)^2$

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- F_1, F_2 related via isospin to EM form factors: $F_{1,2} = F_{1,2}^p - F_{1,2}^n$
- F_p contribution suppressed by m_ℓ^2/m_N^2
- What do we know about $F_A(q^2)$?

Axial form factor

- Consider a small q^2 expansion of $F_A(q^2)$
 - $F_A(0) = -1.269$ known from neutron decay
 - Axial radius: $r_A \equiv \sqrt{\frac{6F'_A(0)}{F_A(0)}}$ or axial mass: $m_A \equiv \sqrt{\frac{2F_A(0)}{F'_A(0)}}$

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$$F_A = F_A(0) [1 - q^2 / (m_A^{\text{dipole}})^2]^{-2}$$

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- Warning: dipole model known to be inadequate for EM form factors!
- What can possibly go wrong?

The axial mass problem

- ν experiments before 1990: $m_A^{\text{dipole}} = 1.026 \pm 0.021 \text{ GeV}$
[Bernard, Elouadrhiri, Meissner, J. Phys. G 28, R1 (2002)]

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Nuclear effects, Form factors, or both...
- Discussion in literature focuses on nuclear effects:
use dipole model for F_A and vary nuclear effects

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use dipole model for F_A and vary nuclear effects
- What if we fix nuclear effects and vary F_A ?
- How to vary F_A ?

Proton charge radius

- Sachs electric and magnetic form factors

$$G_E^P(q^2) = F_1^P(q^2) + \frac{q^2}{4m_p^2} F_2^P(q^2) \quad G_M^P(q^2) = F_1^P(q^2) + F_2^P(q^2)$$

$$G_E^P(0) = 1$$

$$G_M^P(0) = \mu_p \approx 2.793$$

- Proton charge radius : $r_E^p \equiv \sqrt{\frac{6G_E^{p'}(0)}{G_E^p(0)}}$

Proton charge radius

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- If you think the axial mass has a problem...

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- Proton charge radius : $r_E^p \equiv \sqrt{\frac{6G_E^{p'}(0)}{G_E^p(0)}}$
- If you think the axial mass has a problem...

Wait until you meet the proton radius puzzle!

The proton radius puzzle



- Lamb shift in muonic hydrogen [Pohl et al. Nature **466**, 213 (2010)]
 $r_E^p = 0.84184(67)$ fm
more recently $r_E^p = 0.84087(39)$ fm [Antognini et al. Science **339**, 417 (2013)]
- CODATA value [Mohr et al. RMP **80**, 633 (2008)]
 $r_E^p = 0.87680(690)$ fm extracted mainly from electronic hydrogen
more recently $r_E^p = 0.87510(610)$ fm [Mohr et al. RMP **88**, 035009 (2016)]
spectroscopy alone: $r_E^p = 0.87590(770)$ fm [Mohr et al. RMP **88**, 035009 (2016)]
- **5 σ discrepancy!** This is the proton radius puzzle

The z expansion

What did the PDG 2010 say?

K. Nakamura et al. (Particle Data Group), J. Phys. G 37, 075021 (2010)

p CHARGE RADIUS

This is the rms charge radius, $\sqrt{\langle r^2 \rangle}$.

<u>VALUE (fm)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
0.8768 ± 0.0069	MOHR	08	RVUE 2006 CODATA value
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
0.897 ± 0.018	BLUNDEN	05	SICK 03 + 2γ correction
0.8750 ± 0.0068	MOHR	05	RVUE 2002 CODATA value
0.895 ± 0.010 ± 0.013	SICK	03	$ep \rightarrow ep$ reanalysis
0.830 ± 0.040 ± 0.040	²⁴ ESCHRICH	01	$ep \rightarrow ep$
0.883 ± 0.014	MELNIKOV	00	1S Lamb Shift in H
0.880 ± 0.015	ROSENFELDR.	00	ep + Coul. corrections
0.847 ± 0.008	MERGELL	96	ep + disp. relations

Citation: K. Nakamura et al. (Particle Data Group), JPG 37, 075021 (2010) (URL: <http://pdg.lbl.gov>)

0.877 ± 0.024	WONG	94	reanalysis of Mainz ep data
0.865 ± 0.020	MCCORD	91	$ep \rightarrow ep$
0.862 ± 0.012	SIMON	80	$ep \rightarrow ep$
0.880 ± 0.030	BORKOWSKI	74	$ep \rightarrow ep$
0.810 ± 0.020	AKIMOV	72	$ep \rightarrow ep$
0.800 ± 0.025	FREREJACQ...	66	$ep \rightarrow ep$ (CH ₂ tgt.)
0.805 ± 0.011	HAND	63	$ep \rightarrow ep$

²⁴ESCHRICH 01 actually gives $\langle r^2 \rangle = (0.69 \pm 0.06 \pm 0.06) \text{ fm}^2$.

Proton charge radius from scattering

- The PDG 2010 lists proton radii starting from 1963
- This is more than 50 years of radii extraction.
- You can find almost any value between 0.8-0.9 fm....
- Data sets have changed over the last 50 years
but even using the same data sets different people get different values
- What is the problem?

Form Factors: What we don't know

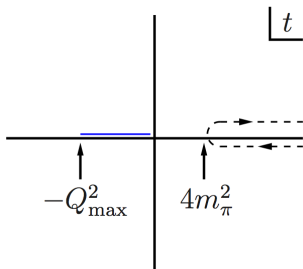
- The form factors are non-perturbative objects.
- **Nobody** knows the *exact* functional form of G_E^p and G_M^p
- They don't have to have a dipole/polynomial/spline or any other functional form
- Including such models can bias your extraction of r_E^p and r_M^p

Form Factors: What we do know

- Analytic properties of $G_E^p(t)$ and $G_M^p(t)$ are known
- They are analytic outside a cut $t \in [4m_\pi^2, \infty]$

[Federbush, Goldberger, Treiman, Phys. Rev. **112**, 642 (1958)]

- $e - p$ scattering data is in $t < 0$ region

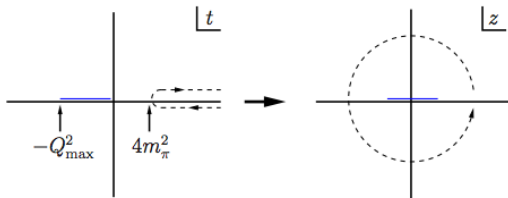


z expansion

- z expansion: map domain of analyticity onto unit circle

$$z(t, t_{\text{cut}}, t_0) = \frac{\sqrt{t_{\text{cut}} - t} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} - t} + \sqrt{t_{\text{cut}} - t_0}}$$

where $t_{\text{cut}} = 4m_\pi^2$, $z(t_0, t_{\text{cut}}, t_0) = 0$



- Expand $G_{E,M}^P$ in a Taylor series in z : $G_{E,M}^P(q^2) = \sum_{k=0}^{\infty} a_k z(q^2)^k$

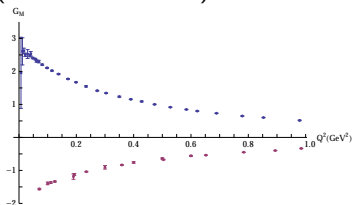
z expansion

- [Epstein, GP, Roy PRD **90**, 074027 (2014)]

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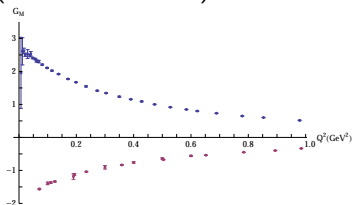
$G_M(Q^2)$ for proton (blue, above axis) and neutron (red, below axis)



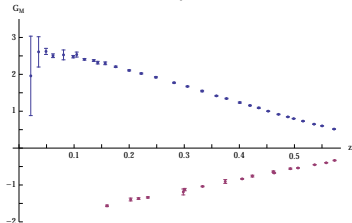
z expansion

- [Epstein, GP, Roy PRD **90**, 074027 (2014)]

$G_M(Q^2)$ for proton (blue, above axis) and neutron (red, below axis)



$G_M(z)$ for proton (blue, above axis) and neutron (red, below axis)



- See also R.J. Hill talk at FPCP 2006 [hep-ph/0606023]

z expansion for bayons

- z expansion is **the** method for **meson** form factors, e.g.
[Flavor Lattice Averaging Group, EPJ C **74**, 2890 (2014)]
- Starting in 2010 applied to **baryon** form factors
[Hill, GP PRD **82** 113005 (2010)]
- Now applied successfully to extract $r_E^p, r_M^p, r_M^n, m_A \dots$

Example 1: r_E^p in PDG 2016

Citation: C. Patrignani *et al.* (Particle Data Group), Chin. Phys. C, **40**, 100001 (2016)

p CHARGE RADIUS

This is the rms electric charge radius, $\sqrt{\langle r_E^2 \rangle}$.

<u>VALUE (fm)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
0.8751 ± 0.0061	MOHR	16	RVUE 2014 CODATA value
0.84087 ± 0.00026 ± 0.00029	ANTOGNINI	13	LASR μp -atom Lamb shift
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
0.895 ± 0.014 ± 0.014	¹ LEE	15	SPEC Just 2010 Mainz data
0.916 ± 0.024	LEE	15	SPEC World data, no Mainz
0.8775 ± 0.0051	MOHR	12	RVUE 2010 CODATA, $e p$ data
0.875 ± 0.008 ± 0.006	ZHAN	11	SPEC Recoil polarimetry
0.879 ± 0.005 ± 0.006	BERNAUER	10	SPEC $e p \rightarrow e p$ form factor
0.912 ± 0.009 ± 0.007	BORISYUK	10	reanalyzes old $e p$ data
0.871 ± 0.009 ± 0.003	HILL	10	z-expansion reanalysis
0.84184 ± 0.00036 ± 0.00056	POHL	10	LASR See ANTOGNINI 13
0.8768 ± 0.0069	MOHR	08	RVUE 2006 CODATA value
0.844 +0.008 -0.004	BELUSHKIN	07	Dispersion analysis
0.897 ± 0.018	BLUNDEN	05	SICK 03 + 2γ correction
0.8750 ± 0.0068	MOHR	05	RVUE 2002 CODATA value
0.895 ± 0.010 ± 0.013	SICK	03	$e p \rightarrow e p$ reanalysis

[Hill, GP PRD **82** 113005 (2010)]

[Lee, Arrington, Hill, PRD **92**, 013013 (2015)]

Example 2: r_M^p in PDG 2016

Citation: C. Patrignani *et al.* (Particle Data Group), *Chin. Phys. C*, **40**, 100001 (2016)

p MAGNETIC RADIUS

This is the rms magnetic radius, $\sqrt{\langle r_M^2 \rangle}$.

<u>VALUE (fm)</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
$0.776 \pm 0.034 \pm 0.017$	¹ LEE	15	SPEC Just 2010 Mainz data
● ● ● We do not use the following data for averages, fits, limits, etc. ● ● ●			
0.914 ± 0.035	LEE	15	SPEC World data, no Mainz
0.87 ± 0.02	EPSTEIN	14	Using ep , en , $\pi\pi$ data
$0.867 \pm 0.009 \pm 0.018$	ZHAN	11	SPEC Recoil polarimetry
$0.777 \pm 0.013 \pm 0.010$	BERNAUER	10	SPEC $ep \rightarrow ep$ form factor
$0.876 \pm 0.010 \pm 0.016$	BORISYUK	10	Reanalyzes old $ep \rightarrow ep$ data
0.854 ± 0.005	BELUSHKIN	07	Dispersion analysis

¹ Authors also provide values for a combination of all available data.

[Epstein, GP, Roy PRD **90**, 074027 (2014)]
[Lee, Arrington, Hill, PRD **92**, 013013 (2015)]

Example 3: r_M^n in PDG 2016

Citation: C. Patrignani *et al.* (Particle Data Group), Chin. Phys. C, **40**, 100001 (2016)

n MAGNETIC RADIUS

This is the rms magnetic radius, $\sqrt{\langle r_M^2 \rangle}$.

<u>VALUE (fm)</u>	<u>DOCUMENT ID</u>	<u>COMMENT</u>
$0.864^{+0.009}_{-0.008}$ OUR AVERAGE		
0.89 ± 0.03	EPSTEIN	14 Using ep , en , $\pi\pi$ data
$0.862^{+0.009}_{-0.008}$	BELUSHKIN	07 Dispersion analysis

[Epstein, GP, Roy PRD **90**, 074027 (2014)]

Example 4: more z expansion for baryons

Partial list:

- Heavy-baryon form factors:

- [Khodjamirian, Klein, Mannel Wang, JHEP **1109**, 106 (2011)]
- [**Lattice**: Detmold, Lehner, Meinel PRD **92**, 034503 (2015)]
- $|V_{ub}|/|V_{cb}|$ using baryonic decays
[LHCb Collaboration, Nature Phys. **11**, 743 (2015)]

- Strange nucleon EM form factors:

[**Lattice**: Green et al., Phys. Rev. D **92** 031501 (2015)]

- Nucleon EM form factors

[**Lattice**: Alexandrou et al., arXiv:1706.00469]

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The bottom line

- Scattering:
 - World $e - p$ data [Lee, Arrington, Hill '15]
 $r_E^p = 0.918 \pm 0.024$ fm
 - Mainz $e - p$ data [Lee, Arrington, Hill '15]
 $r_E^p = 0.895 \pm 0.020$ fm
 - Proton, neutron and π data [Hill, GP '10]
 $r_E^p = 0.871 \pm 0.009 \pm 0.002 \pm 0.002$ fm
- Muonic hydrogen
 - [Pohl et al. Nature **466**, 213 (2010)]
 $r_E^p = 0.84184(67)$ fm
 - [Antognini et al. Science **339**, 417 (2013)]
 $r_E^p = 0.84087(39)$ fm
- Using z expansion, scattering disfavors muonic hydrogen
- Is there a problem with muonic hydrogen *theory*?

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 $r_E^p = 0.84087(39)$ fm
- Using z expansion, scattering disfavors muonic hydrogen
- Is there a problem with muonic hydrogen *theory*? Potentially yes!
[Hill, GP PRL **107** 160402 (2011)], [Hill, GP PRD **95**, 094017 (2017)]

Separating form factor and nuclear effects

z expansion

- Using the z expansion we can vary F_A
- Expand F_A in a Taylor series in z : $F_A = \sum_{k=0}^{\infty} a_k z (q^2)^k$
- $|z(q^2)| < 1$ so in practice we need only a few terms
- For the extraction to be independent of the # of parameters need to bound $|a_k|$: e.g. $|a_k| \leq 5$ and $|a_k| \leq 10$

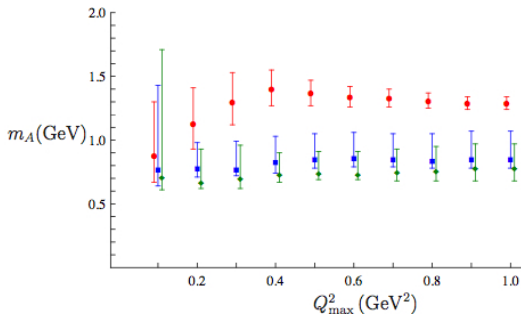
Example 1: m_A from MiniBooNE's neutrino data

- Example 1: extracting m_A using the z expansion
[Bhattacharya, Hill, GP PRD **84** 073006 (2011)]
from MiniBooNE's neutrino data
[MiniBooNE Collaboration, PRD **81** 092005 (2010)]
- Mostly follow MiniBooNE's analysis: use RFG as nuclear model

Neutrino: z expansion

- Our z expansion fit to MiniBooNE data (Assuming RFG):

Red: dipole, Blue: $z, |a_k| \leq 5$, Green: $z, |a_k| \leq 10$



- Our fit using z expansion: $m_A = 0.85_{-0.07}^{+0.22} \pm 0.09$ GeV
- Our fit using dipole model: $m_A^{\text{dipole}} = 1.29 \pm 0.05$ GeV
- MiniBooNE's fit: $m_A^{\text{dipole}} = 1.35 \pm 0.17$ GeV

Example 2: m_A from MiniBooNE's antineutrino data

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[Bhattacharya, GP, Tropiano, PRD **92**, 113011 (2015)]
from MiniBooNE's antineutrino data
[MiniBooNE Collaboration, PRD **88**, 032001 (2013)]

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[Bhattacharya, GP, Tropiano, PRD **92**, 113011 (2015)]
from MiniBooNE's antineutrino data
[MiniBooNE Collaboration, PRD **88**, 032001 (2013)]
- For scattering off mineral oil C_nH_{2n+2} ($n \sim 30$):
 - $\bar{\nu}$ can scatter off protons in C *and* protons in H

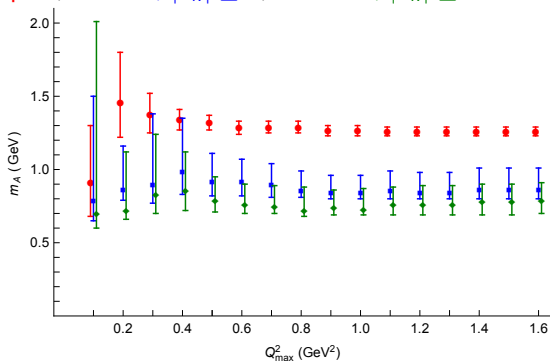
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[MiniBooNE Collaboration, PRD **88**, 032001 (2013)]
- For scattering off mineral oil C_nH_{2n+2} ($n \sim 30$):
 - $\bar{\nu}$ can scatter off protons in C *and* protons in H
- MiniBooNE used *different* m_A^{dipole} for C and H
Problematic! the axial mass is a fundamental property of the nucleon
Might *hide* nuclear effects in the axial mass
- We use the same F_A for C and H and extract *one* axial mass

Example 2: m_A from MiniBooNE's antineutrino data

- Our z expansion fit to MiniBooNE data (Assuming RFG):

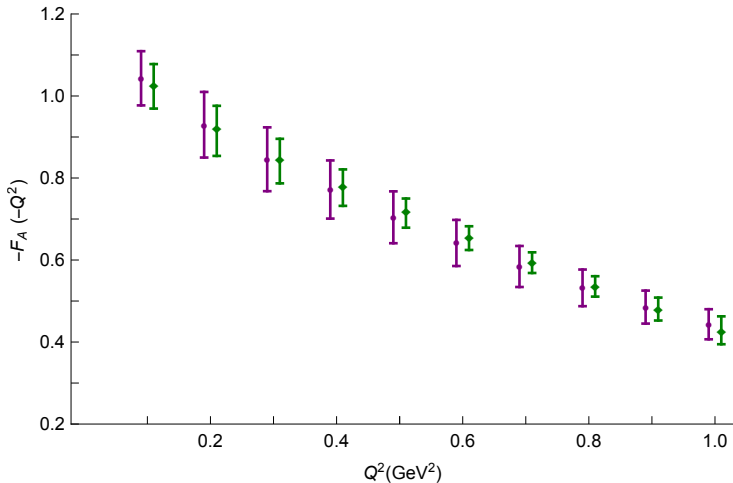
Red: dipole, Blue: z , $|a_k| \leq 5$, Green: z , $|a_k| \leq 10$



- Our fit using z expansion: $m_A = 0.84^{+0.12}_{-0.04} \pm 0.11$ GeV
- Our fit using dipole model: $m_A^{\text{dipole}} = 1.27^{+0.03}_{-0.04}$ GeV
- Neutrino data z expansion: $m_A = 0.85^{+0.22}_{-0.07} \pm 0.09$ GeV
- Neutrino data dipole model: $m_A^{\text{dipole}} = 1.29 \pm 0.05$ GeV

Comparing F_A from ν and $\bar{\nu}$ data

- We can also extract F_A directly from MiniBooNE data neutrino data (purple circles) and antineutrino data (green diamonds).



z expansion and possible nuclear effects

- ν data using the z expansion: $m_A = 0.85_{-0.07}^{+0.22} \pm 0.09$ GeV
- $\bar{\nu}$ data using the z expansion: $m_A = 0.84_{-0.04}^{+0.12} \pm 0.11$ GeV
- ν data using dipole model: $m_A^{\text{dipole}} = 1.29 \pm 0.05$ GeV
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- The error on m_A not symmetric \Rightarrow fit allows larger m_A
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- Crude qualitative check: multiply $d\sigma_c$ by a constant factor
 - factor > 1 : error more symmetric, factor < 1 : asymmetry grows
 - both cases little change in m_A^{dipole}
- Hint of a problem with nuclear model?
Combine z expansion and correlated Fermi gas (CFG) nuclear model
[Bhattacharya, Cohen, Hen, GP, Piasetzky, Tockstein, Wieske
(in progress)]

Example 3: Deuterium target data

- Even more recently:

z-expansion analysis of deuterium target data

[Meyer, Betancourt, Gran, Hill PRD **93** 113015 (2016)]

- They extract $r_A = \sqrt{12}/m_A$ and find $r_A^2 = 0.46(22) \text{ fm}^2$ or $r_A = 0.68_{-0.19}^{+0.15} \text{ fm}$

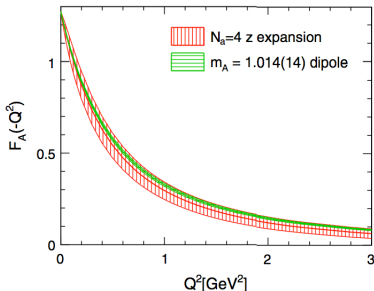
- Consistent with MiniBooNE neutrino and antineutrino data, e.g.

$$r_A = 0.81_{-0.10}^{+0.05} \pm 0.14 \text{ fm}$$

[Bhattacharya, GP, Tropiano, PRD **92**, 113011 (2015)]

Example 3: Deuterium target data

- z-expansion analysis of deuterium target data
[Meyer, Betancourt, Gran, Hill PRD **93** 113015 (2016)]
- They also extract the axial form factor



- $F_A = \sum_k a_k z(q^2)^k$
[a_1, a_2, a_3, a_4] = [2.30(0.13), -0.6(1.0), -3.8(2.5), 2.3(2.7)]

Example 4: Lattice QCD

- Lattice QCD calculation of F_A using the z expansion is underway
[Meyer, Hill, Kronfeld, Li, Simone, arXiv:1610.04593]

Conclusions

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- The future neutrino program requires *precision*
- Need better control of form factors, in particular F_A
- Axial mass problem: different m_A^{dipole} for different experiments
- Using z expansion: *consistent* values for axial mass:
 - MiniBooNE ν data using the z expansion: $m_A = 0.85_{-0.07}^{+0.22} \pm 0.09$ GeV [Bhattacharya, Hill, GP PRD **84** 073006 (2011)]
 - MiniBooNE $\bar{\nu}$ data using the z expansion: $m_A = 0.84_{-0.04}^{+0.12} \pm 0.11$ GeV [Bhattacharya, GP, Tropiano PRD **92** 113011 (2015)]
 - Deuterium target data using the z expansion: $r_A^2 = 0.46(22)$ fm² [Meyer, Betancourt, Gran, Hill PRD **93** 113015 (2016)]

Conclusions

- Next step: combine z expansion and CFG nuclear model
[Bhattacharya, Cohen, Hen, GP, Piasetzky, Tockstein, Wieske
(*in progress*)]
- The z expansion allows to control form factor effects
separately from nuclear effects
- I invite the community to use the z expansion
with a variety of nuclear models