



# Model uncertainties in light of MINERvA momentum and energy transfer data

Rik Gran

University of Minnesota Duluth

For the  
MINERvA collaboration

**Saint Surrounded by  
Three Pi Mesons**

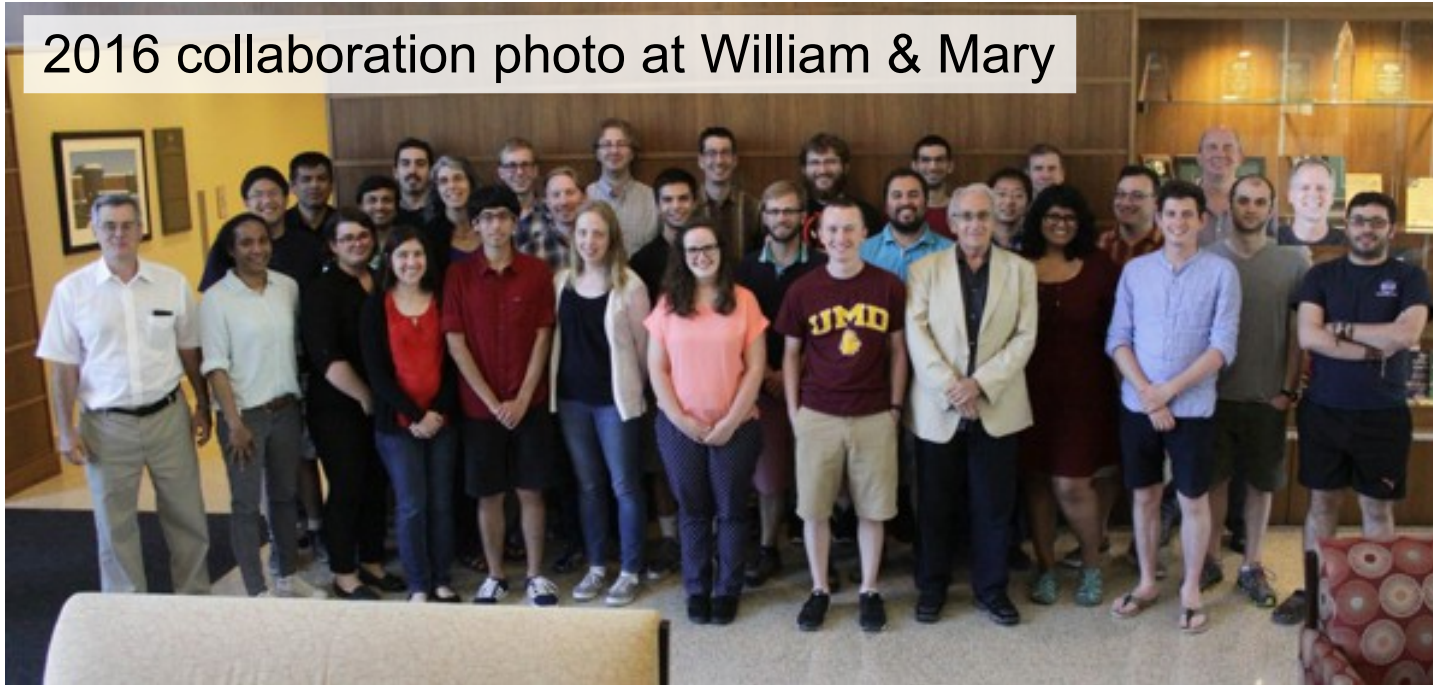
Salvador Dalí

Figueres, Spain, 1957

Talk at NuInt17, Toronto, June

# First of five key elements of MINERvA experiment

2016 collaboration photo at William & Mary



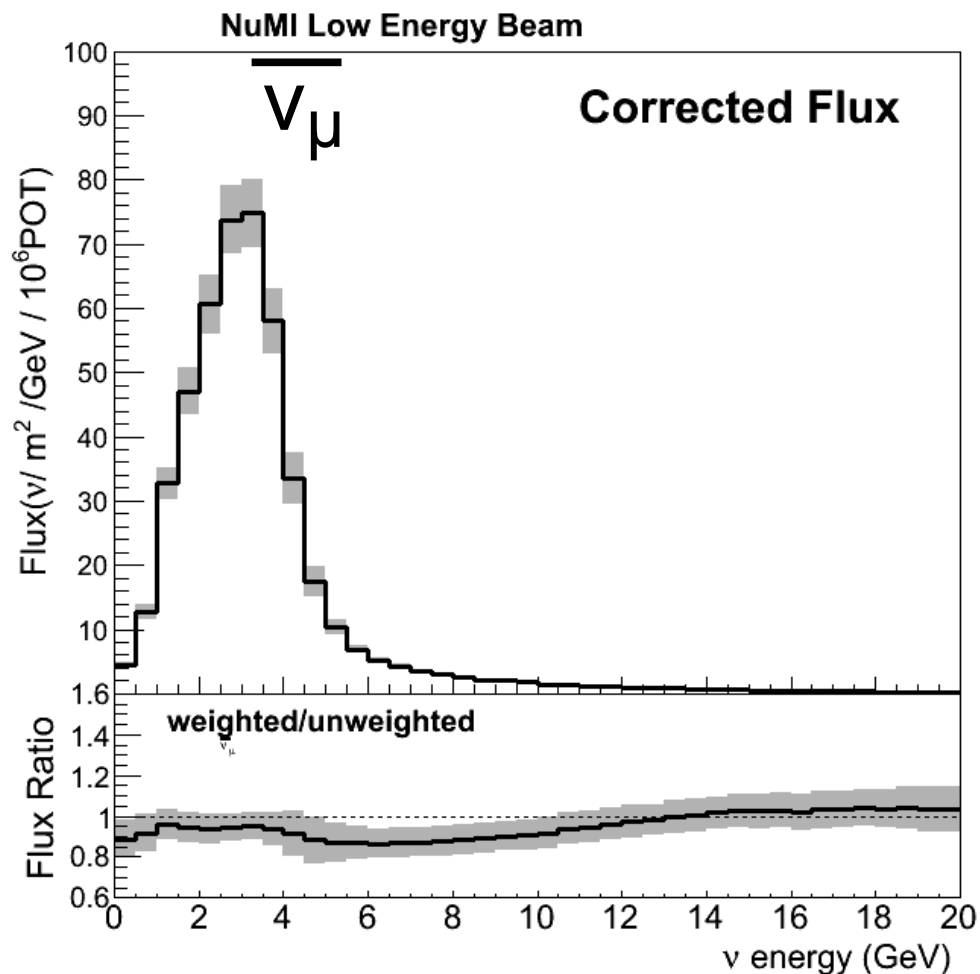
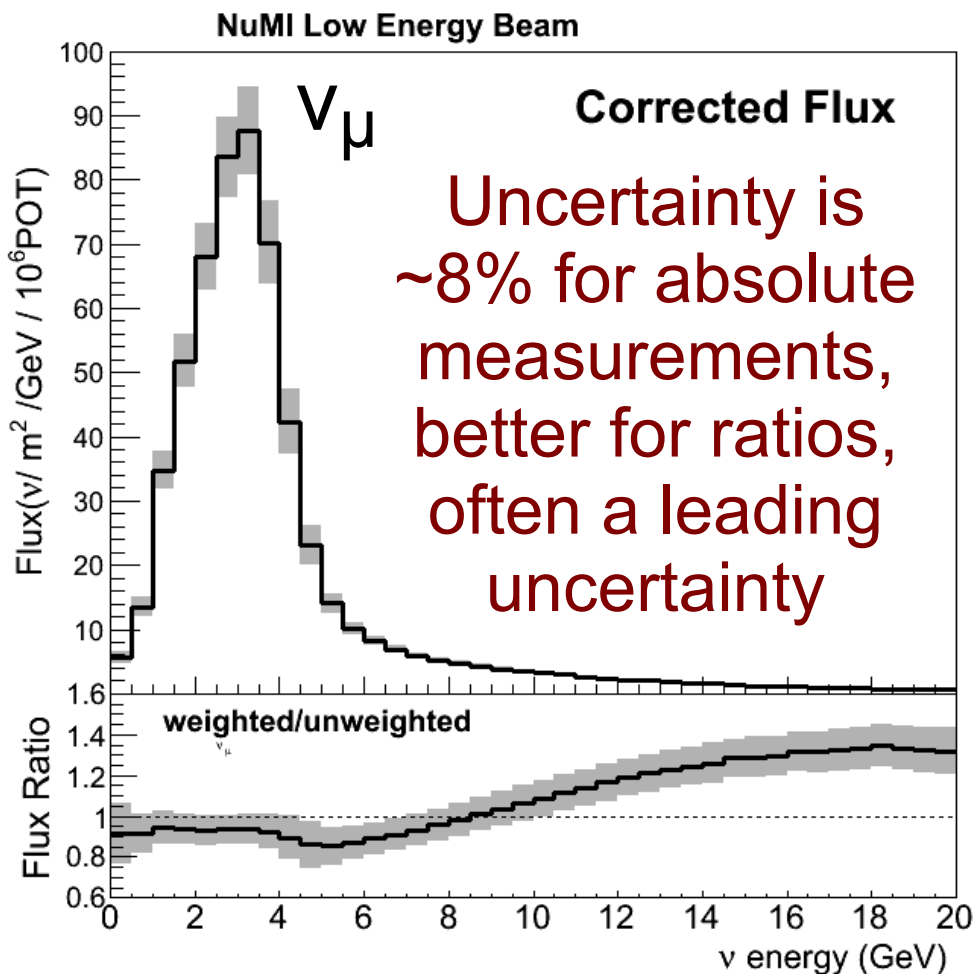
Aligarh Muslim University  
Centro Brasileiro de Pesquisas Fisicas  
Fermilab  
University of Florida  
Universite de Geneva  
Universidad de Guanajuato  
Hampton University  
Massachusetts College of Liberal Arts  
University of Minnesota at Duluth  
University of Mississippi  
Otterbein University

Universidad Nacional de Ingenieria  
Potificia Universidad Catolica del Peru  
University of Pennsylvania  
University of Pittsburgh  
University of Rochester  
Rutgers, the State University of New Jersey  
Universidad Tecnica Federico Santa Maria  
Tufts University  
College of William and Mary  
University of Wroclaw

# NuMI $<3.5 \text{ GeV}>$ beam has well characterized flux

L. Aliaga, M. Kordosky, T. Golan, [MINERvA], PRD 94 092005 (2016)

L. Aliaga ! Fermilab URA Outstanding Thesis Award 2016



Unweighted is original, ab-initio Geant4 simulation

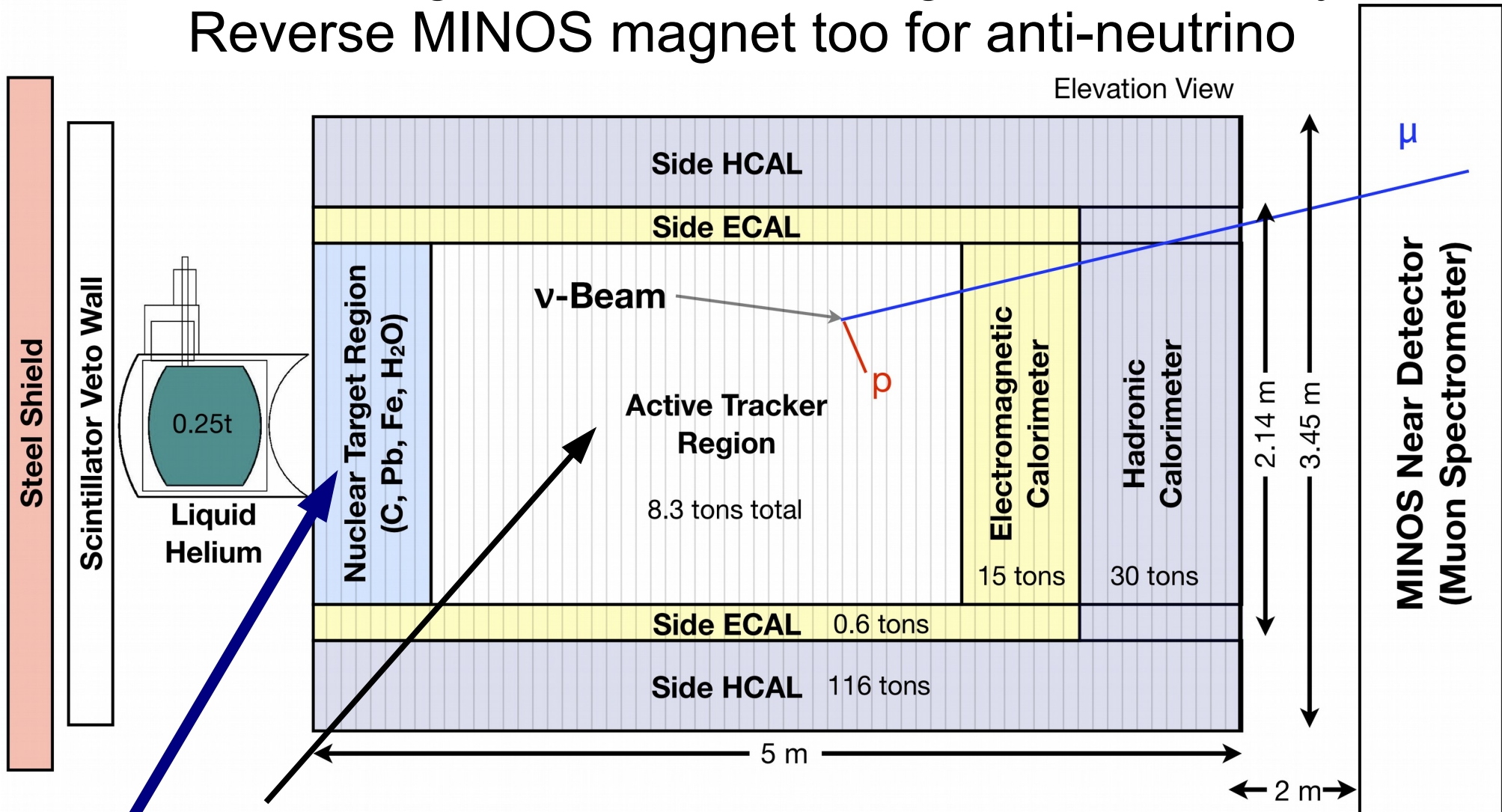
Final flux based on hadron production and beam optics constraints

L. Aliaga talk on Monday

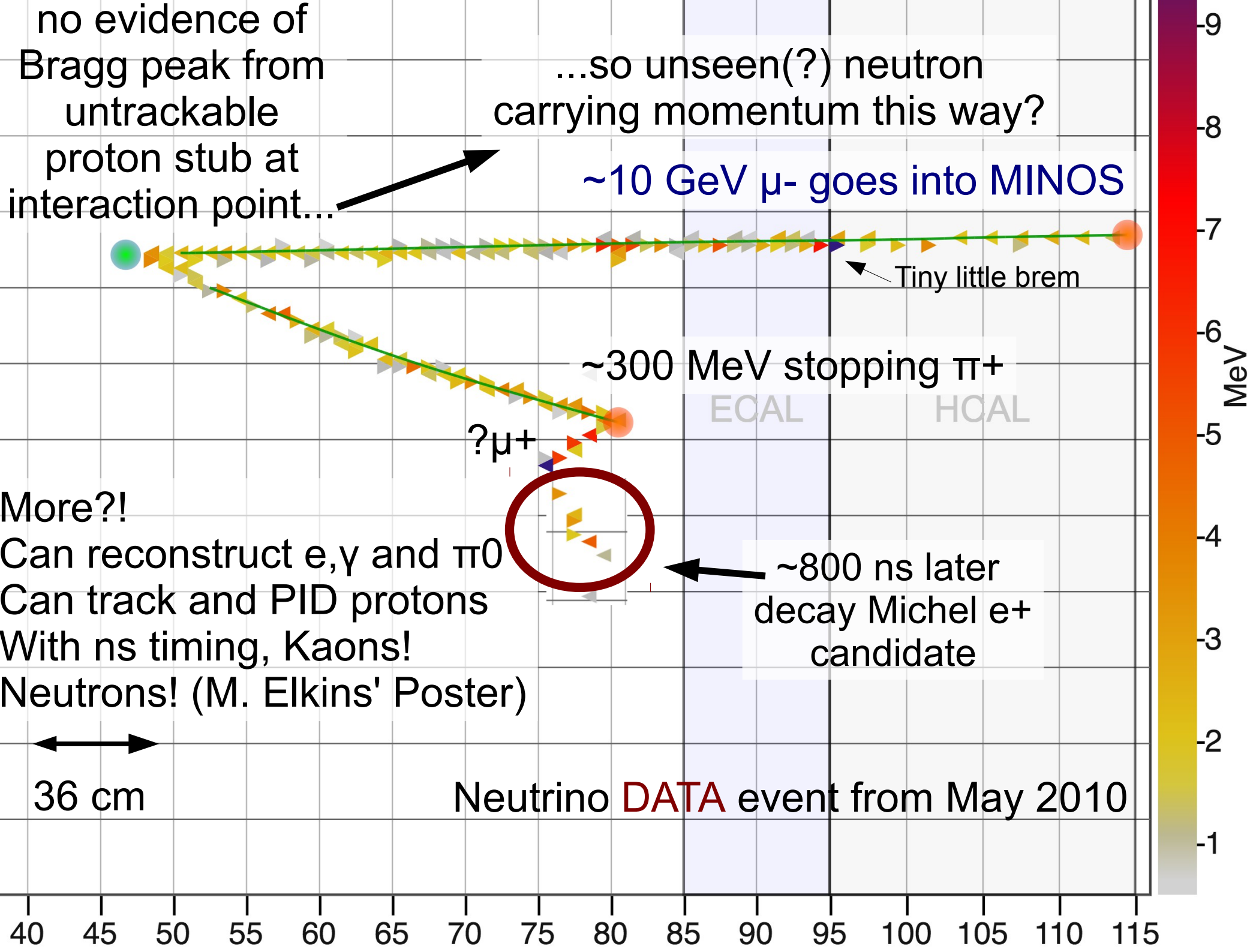
Denominator in our cross sections. (Uncertainties cancel for ratios)<sup>3</sup>

# MINERvA detector and nuclear target region

Detector is good at both tracking and calorimetry  
Reverse MINOS magnet too for anti-neutrino

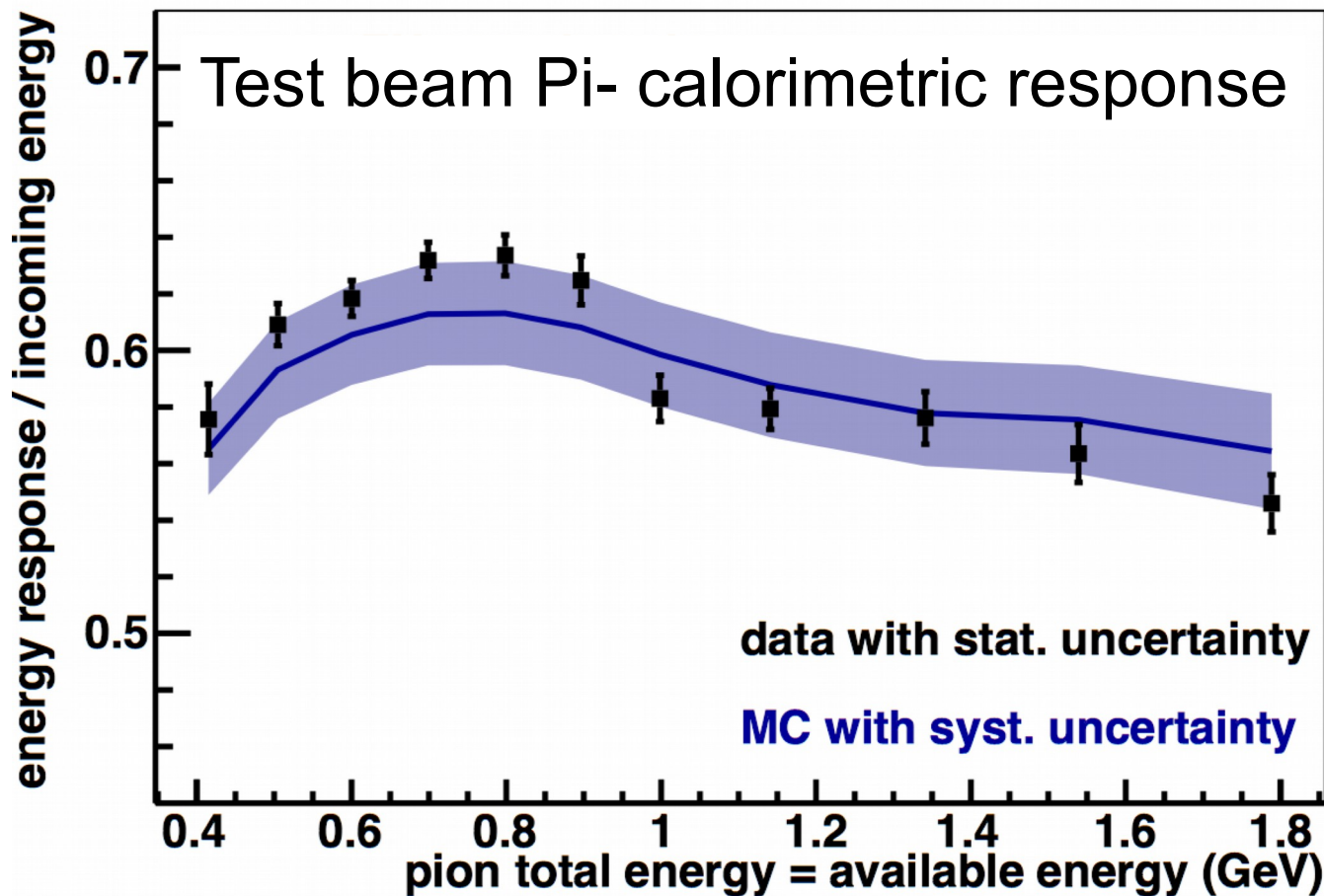


Large, fully active tracker region for some analyses  
Ratios to passive target region for A-dependent analyses  
Usually need  $\mu$  in MINOS,  $< \sim 20$  degrees,  $E_{\nu} > 2$  GeV



# Calorimetry constraints < 2 GeV from test beam data

Constrains Geant4 and Detector calorimetric response  
4% for protons, pions < 2 GeV and 3% electrons ~ 0.5 GeV



Resolutions are also well described  
(also in-situ constraint from  $\pi^0$  invariant mass peak)

# Oscillation experiments and MINERvA q0,q3 data

Want to model the kinematics (QE, 2p2h, Delta)  
and the hadron final state (proton, pion, neutron)  
and have well justified and targeted model systematics

Goal of the whole MINERvA program (many talks and posters)  
this talk, one measurement as an example

Rodrigues, Demgen, Miltenberger, [MINERvA]  
PRL 116 071802 (2016)  
exposes all these features at once



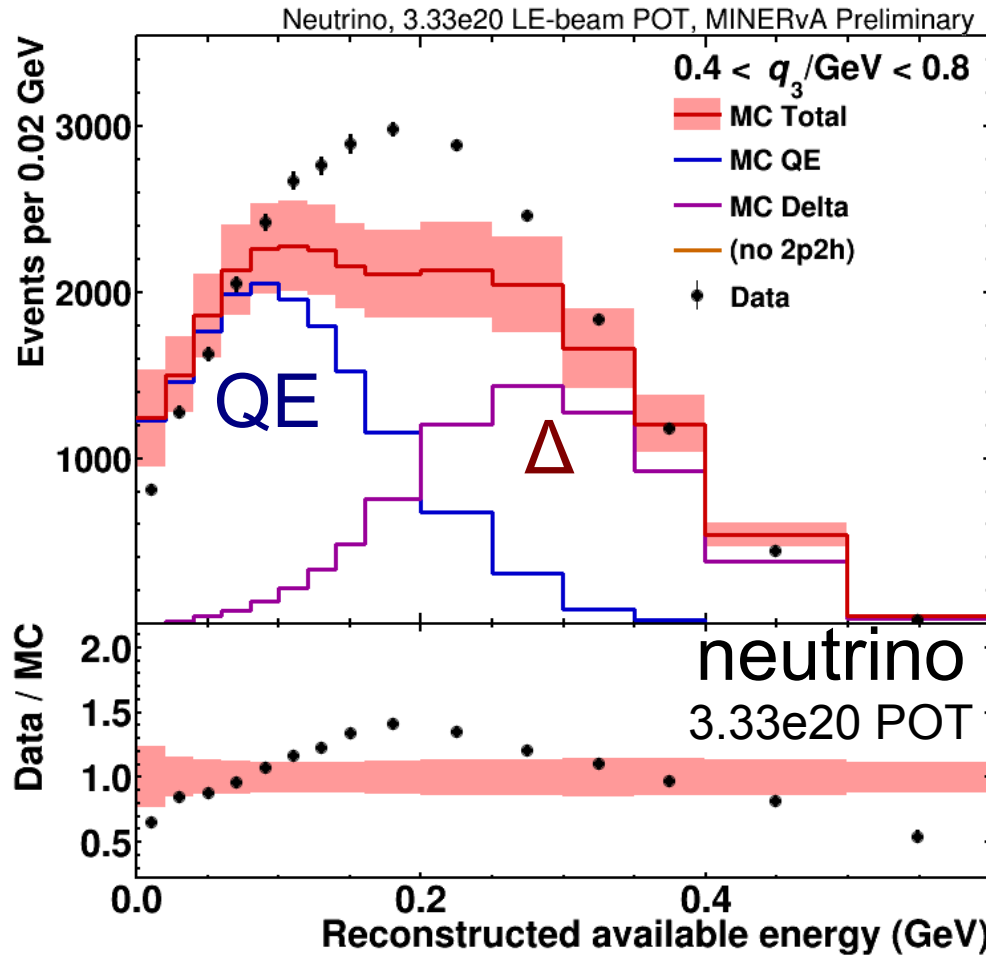
(c)

adorable  
unfolded  
kitten from  
Glen Cowan's  
Statistical Data  
Analysis book  
says...

...to do CP violation  
probably need this for both  
neutrino and anti-neutrino

# MINERvA Enu $\sim 3.5$ GeV

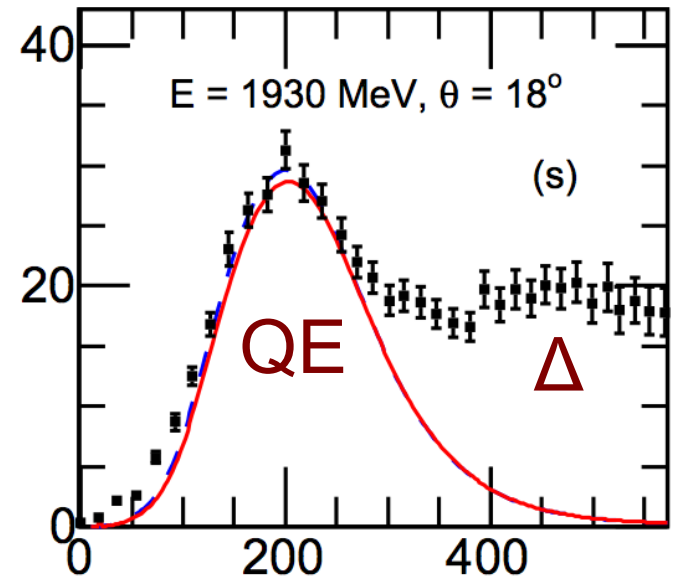
Inclusive, reco  $q \sim 600$  MeV



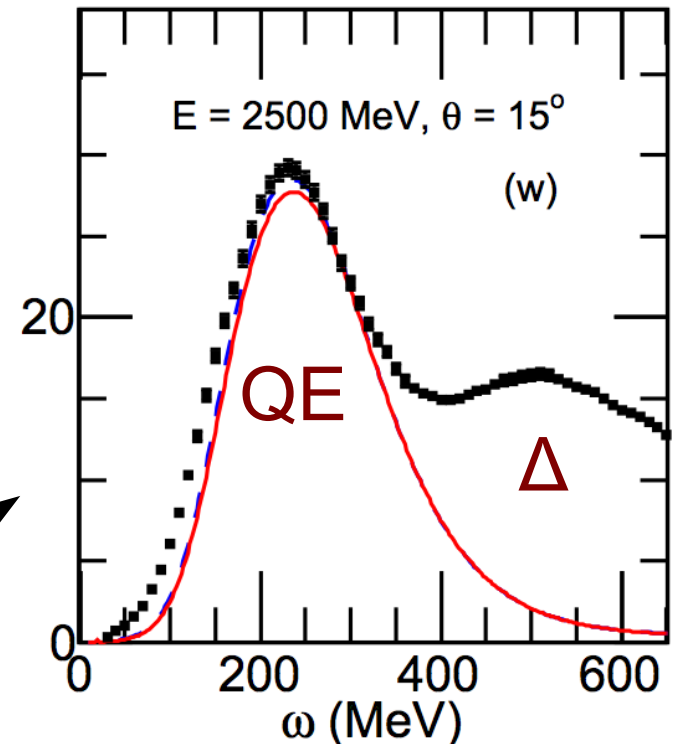
Rodrigues, Demgen, Miltenberger  
et al. [MINERvA] PRL 116 071802  
GENIE Fermi Gas, no RPA, no 2p2h

(e,e') study Pandey, Jachowicz, Van Cuyck,  
Ryckebusch, Martini, PRC 92 024606 (2015)

$q \sim 601$  [MeV/c],  $Q^2 \sim 0.331$  [(GeV/c) $^2$ ]



$q \sim 658$  [MeV/c],  $Q^2 \sim 0.391$  [(GeV/c) $^2$ ]





# Technical slide: steps to calorimetric reconstruction

We do not start knowing the energy of the neutrino, only the direction.

Measure the energy  $E_\mu$  and angle  $\theta_\mu$  of the outgoing muon.

Measure the detected energy attributed to hadrons  $E_{\text{visible}}$ .

A. turn  $E_{\text{visible}}$  into  $E_{\text{available}}$  using **detector MC**, discounts neutrons  
 $E_{\text{available}} = \text{Proton KE, } \pi^\pm \text{ KE, } \pi^0, e, \gamma \text{ energy (plus heavier particles)}$   
little neutrino model dependence (some anti-nu model dependence)

B. Use MC and correct to energy transfer  $q_0 (= E_{\text{had}} = \nu = \omega)$   
(unbiased, but correction has some dependence on neutrino model)

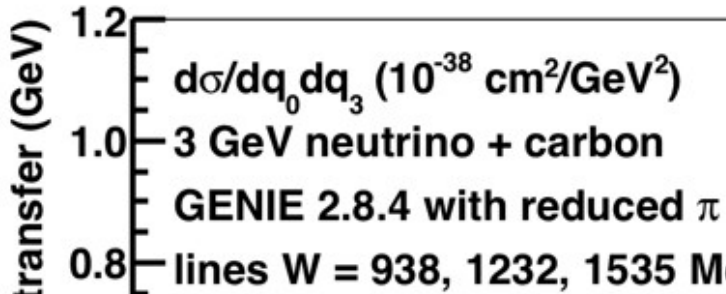
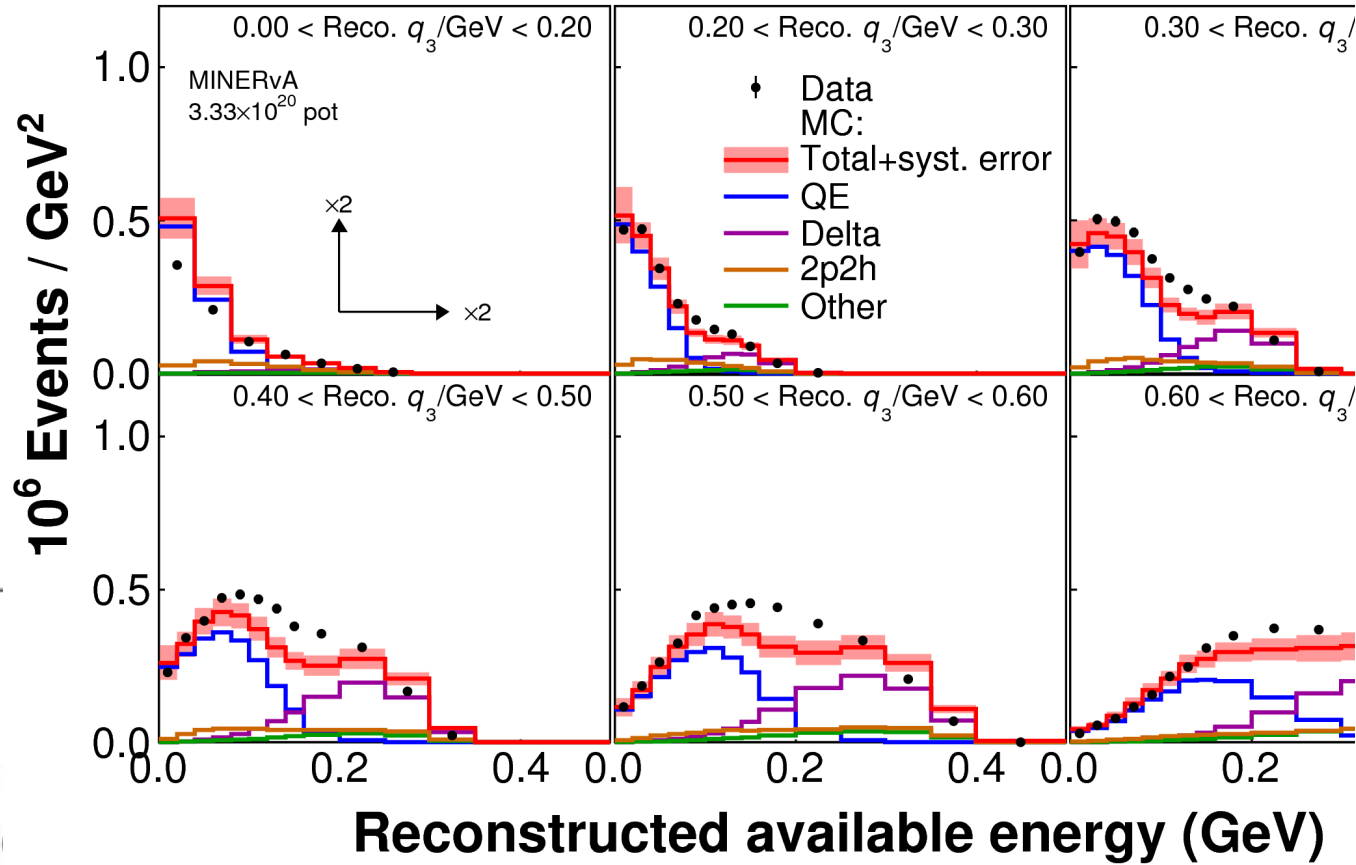
B. Estimated neutrino energy  $E_\nu = E_\mu + q_0$

C. Estimated four-momentum  $Q^2 = 2 E_\nu (E_\mu - p_\mu \cos \theta_\mu) - M_\mu^2$

D. Estimated momentum transfer  $q_3 = \text{Sqrt}(Q^2 + q_0^2)$

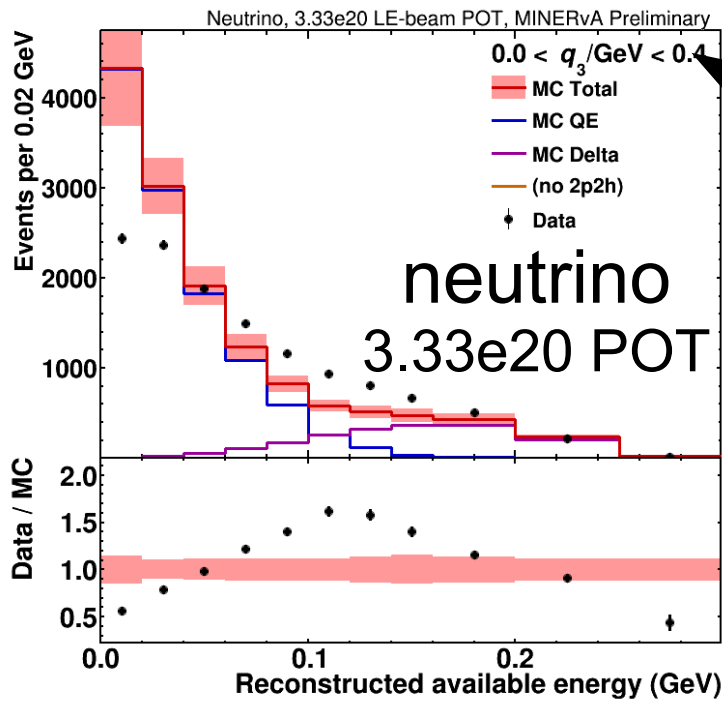
# Analysis goal: (e,e')-like detail in slices of $q_3$

but use something more observable, detector-centric, less model dependent Eavail instead of true energy transfer

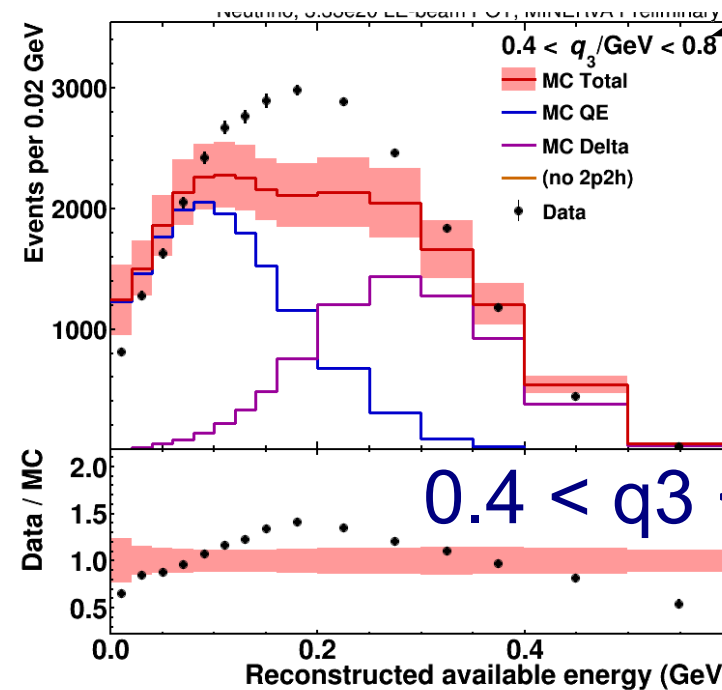
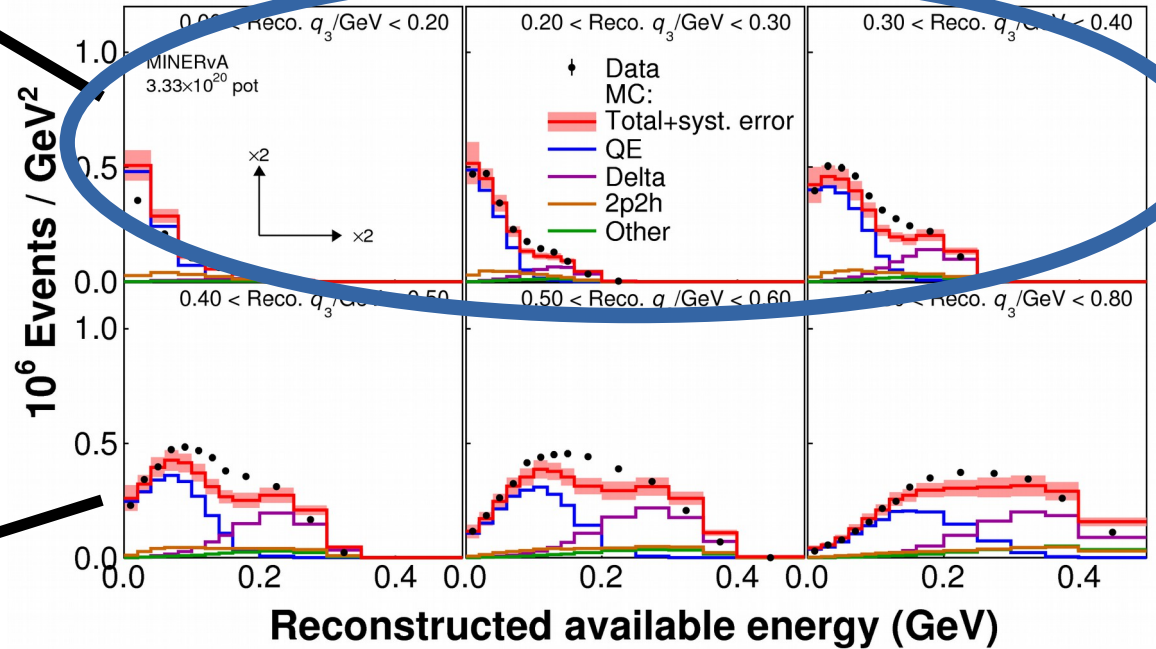


This cross section includes all GENIE nu+C processes, except 2p2h





$0.0 < q_3 < 0.4 \text{ GeV}$  no RPA, no 2p2h



$0.4 < q_3 < 0.8 \text{ GeV}$

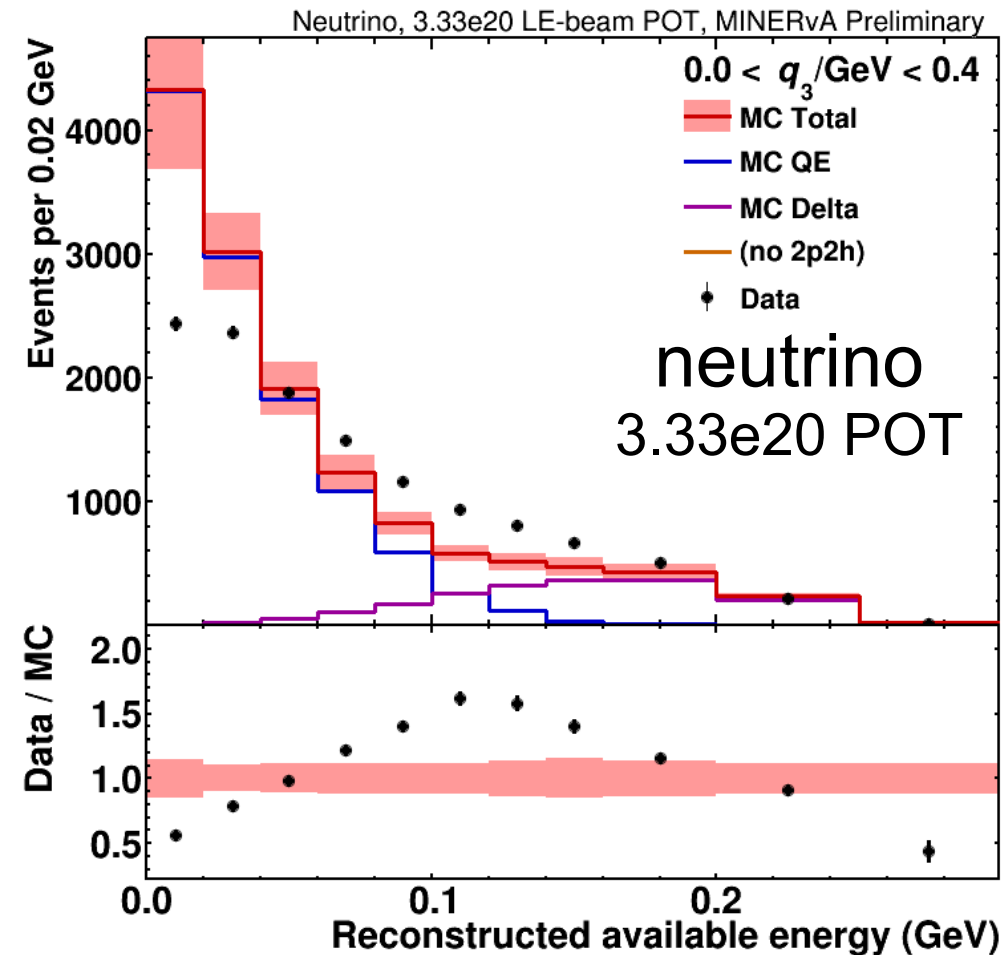
Reco data and chisquares  
(and unfolded cross sections)  
are from distributions made  
with resolution-driven six bins  
condensed into just two plots  
good for physics interpretation

Rodrigues, Demgen, Miltenberger  
et al. [MINERvA] PRL 116 071802

Can put one or two on a slide  
nice and big, flipbook models

$q_3 < 0.4$  GeV, GENIE, pion base, no RPA, no 2p2h

X2 = 407 for 21 bins



Flipbook order  
GENIE, no RPA, no 2p2h  
yes RPA, no 2p2h  
yes RPA, yes 2p2h  
yes RPA, yes “tuned” 2p2h

fun fact! stat errors will often  
be too small to see!

Chisquare with systematics is  
three  $q_3$  panels on prev. slide

What to look for:

Does the ratio look more flat? Closer to 1.0 + error band?

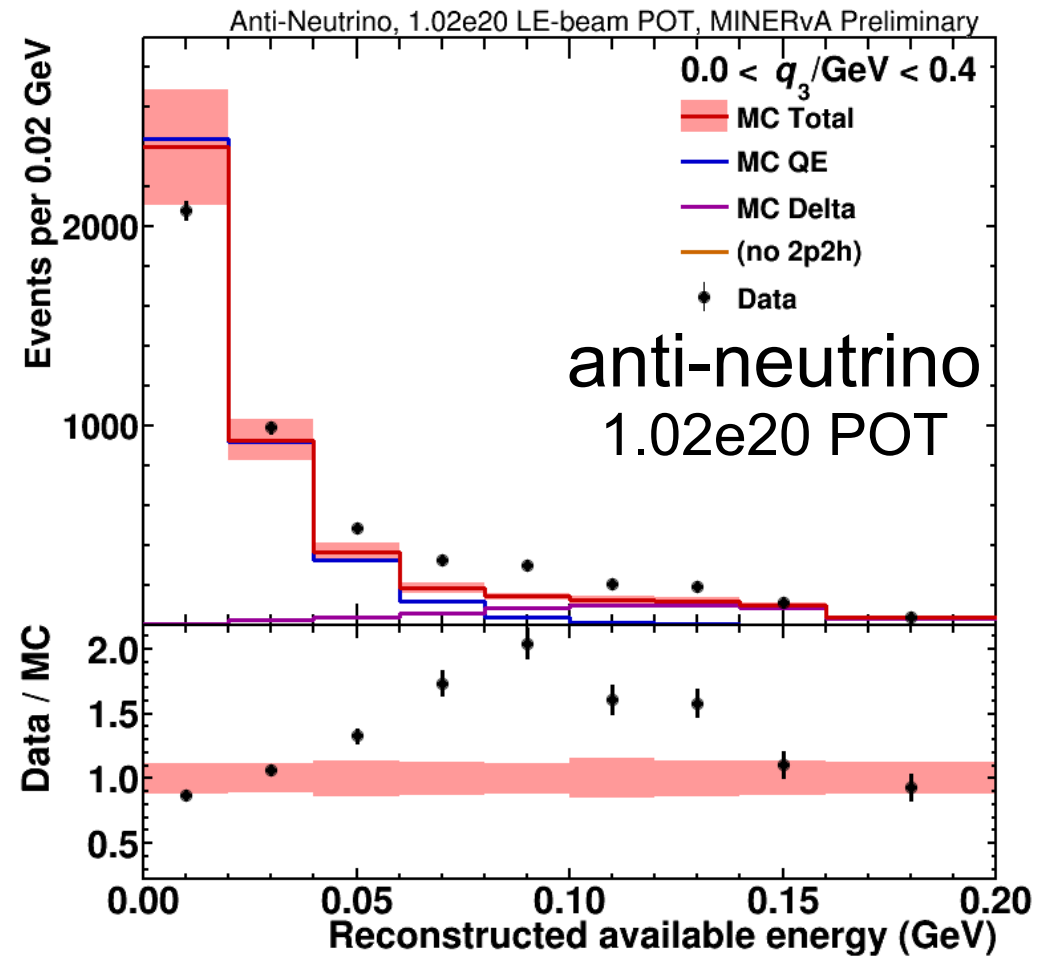
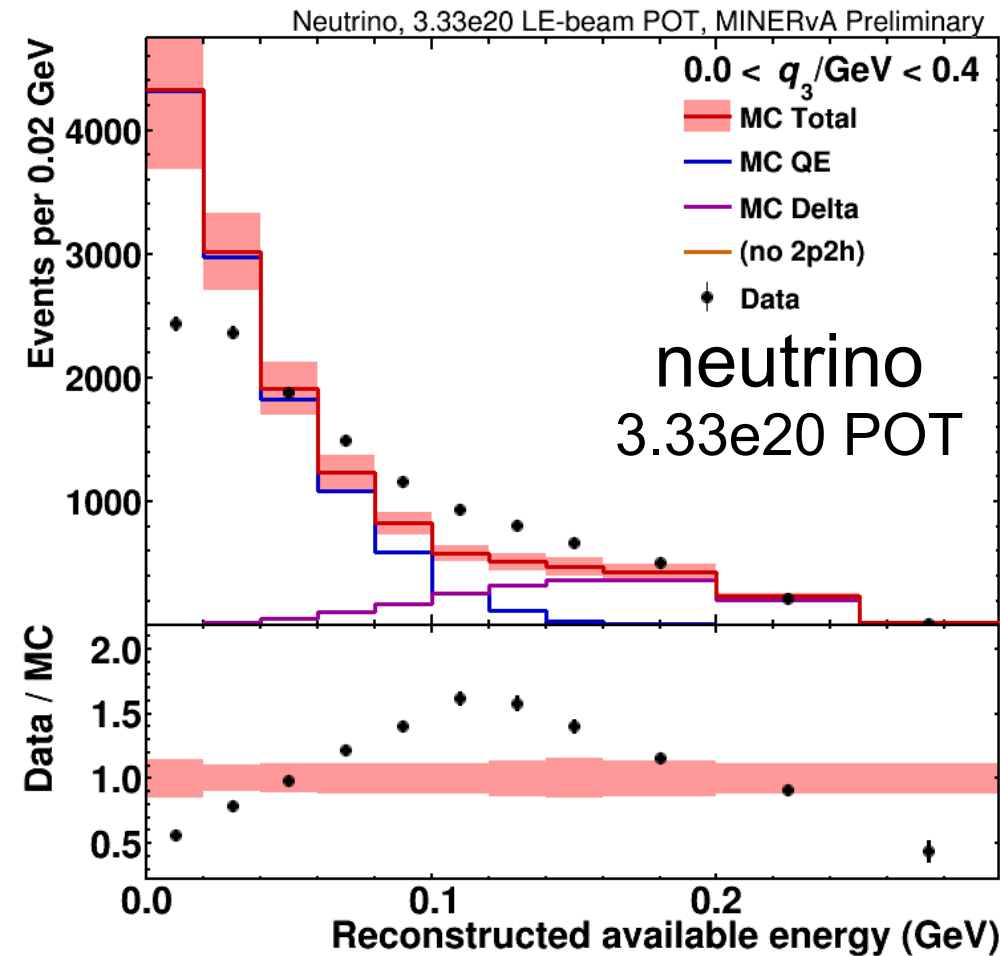
Is the chisquare better? Can a different model do better?

Did the model change affect QE, Dip, or Delta region?

# $q_3 < 0.4$ GeV, GENIE, pion base, no RPA, no 2p2h

X2 = 407 for 21 bins

X2 = 245 for 19 bins



Rodrigues, Demgen, Miltenberger  
et al. [MINERvA] PRL 116 071802

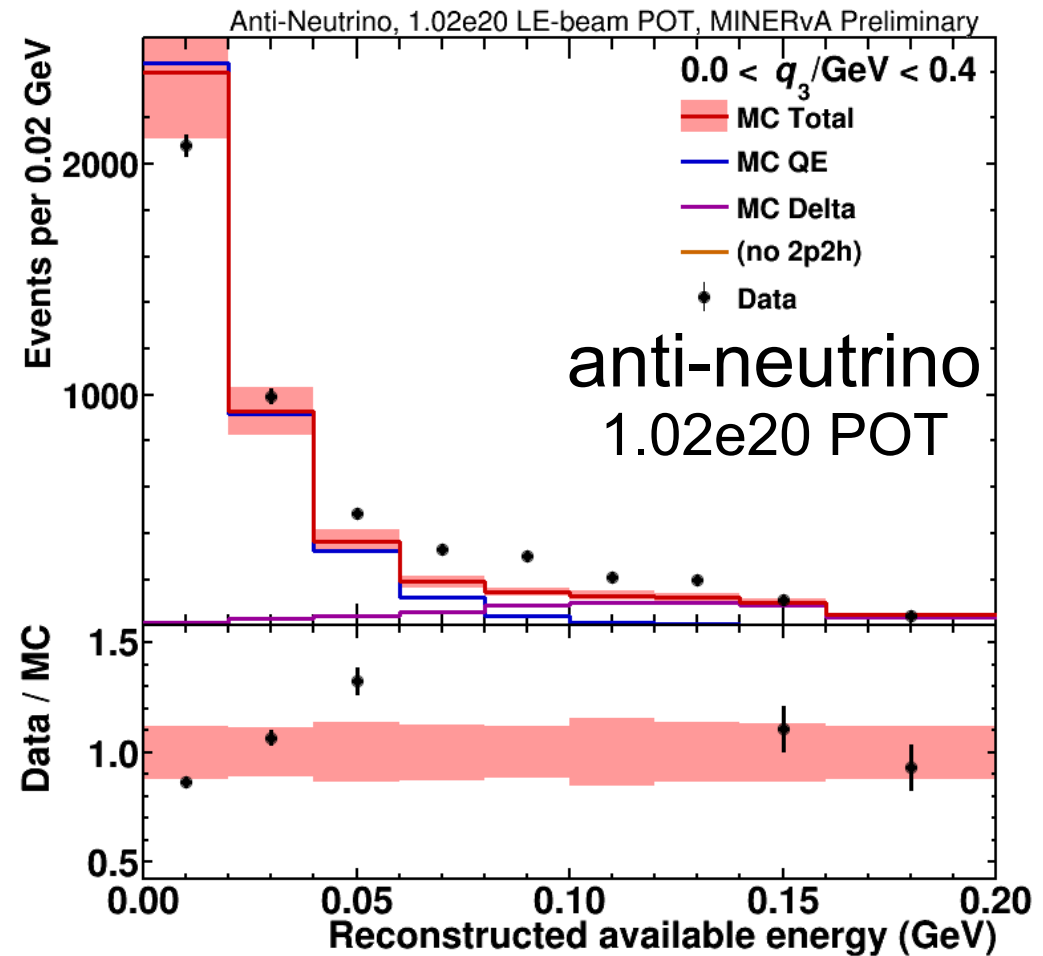
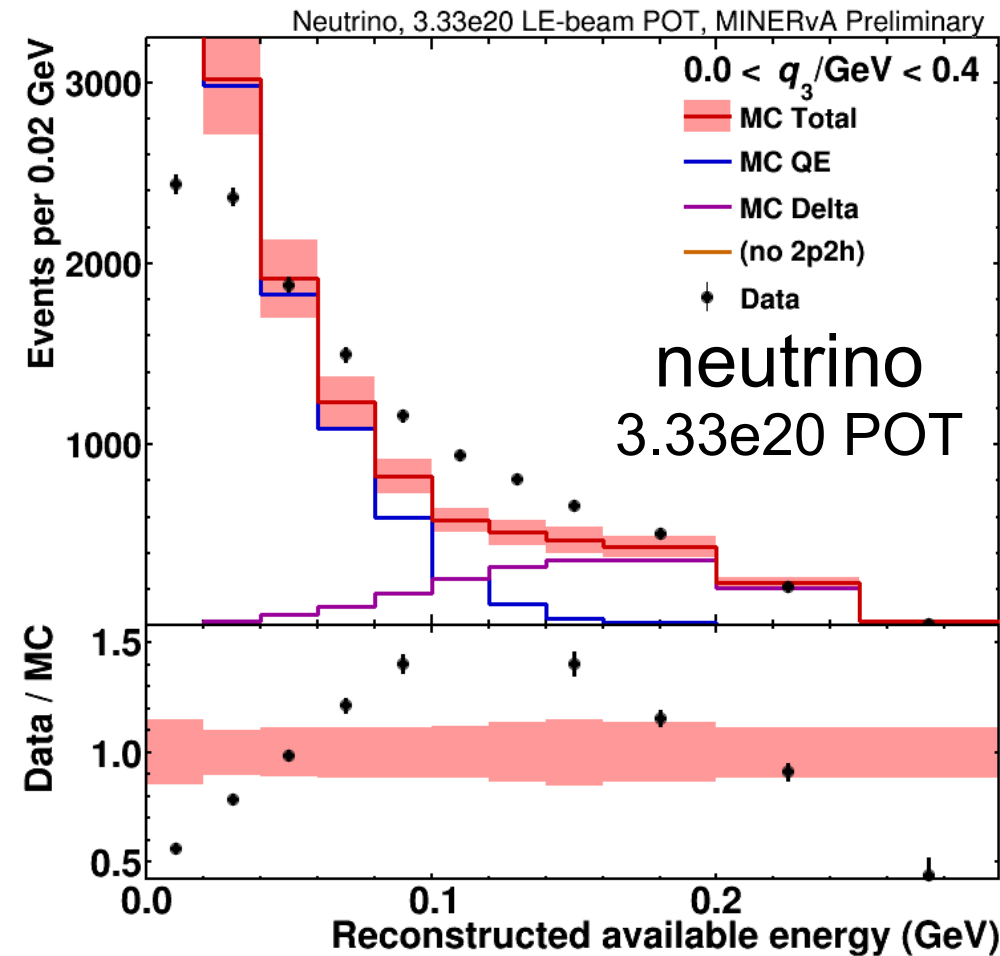
new for NuInt17  
equivalent anti-neutrino distribution  
neutrons dominate final state

Next slide is same data and model, just zoomed in to see detail

# GENIE, pion base, no RPA, no 2p2h, zoom Y axis

X2 = 407 for 21 bins

X2 = 245 for 19 bins



Rodrigues, Demgen, Miltenberger  
et al. [MINERvA] PRL 116 071802

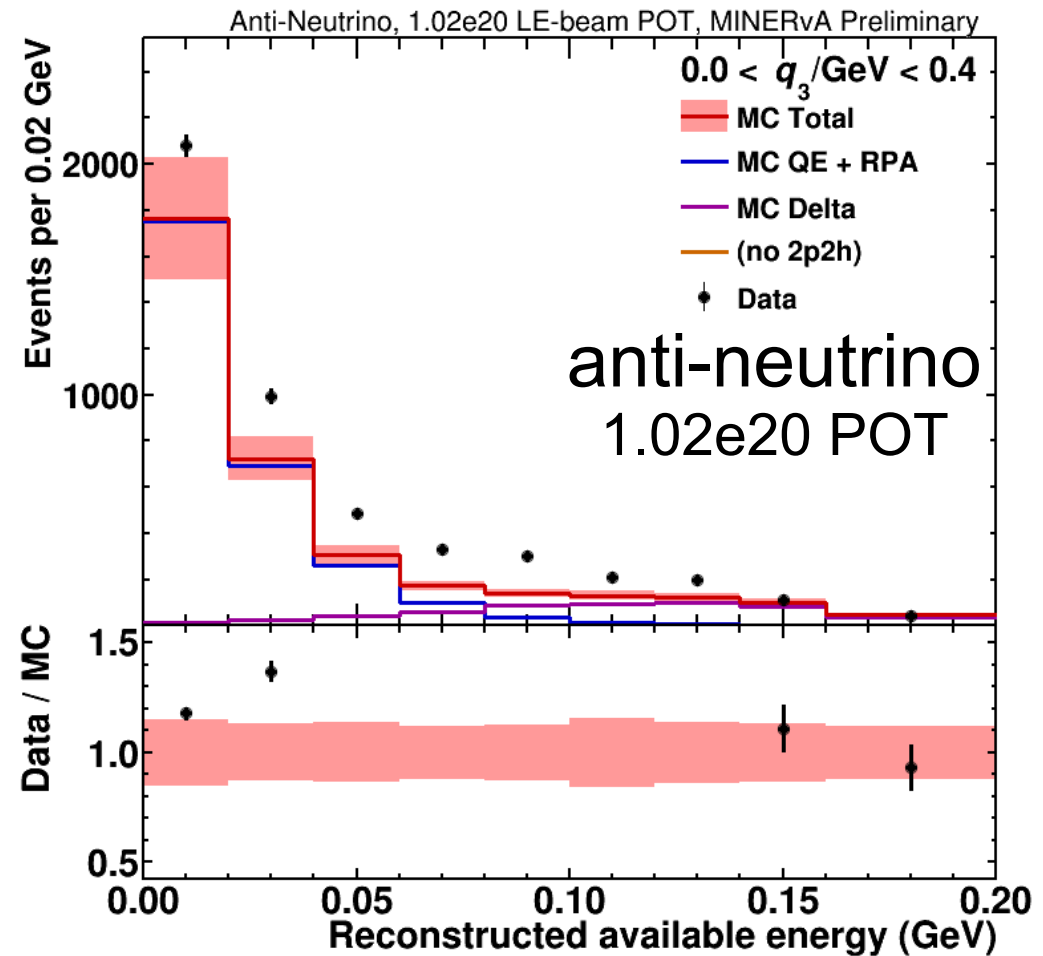
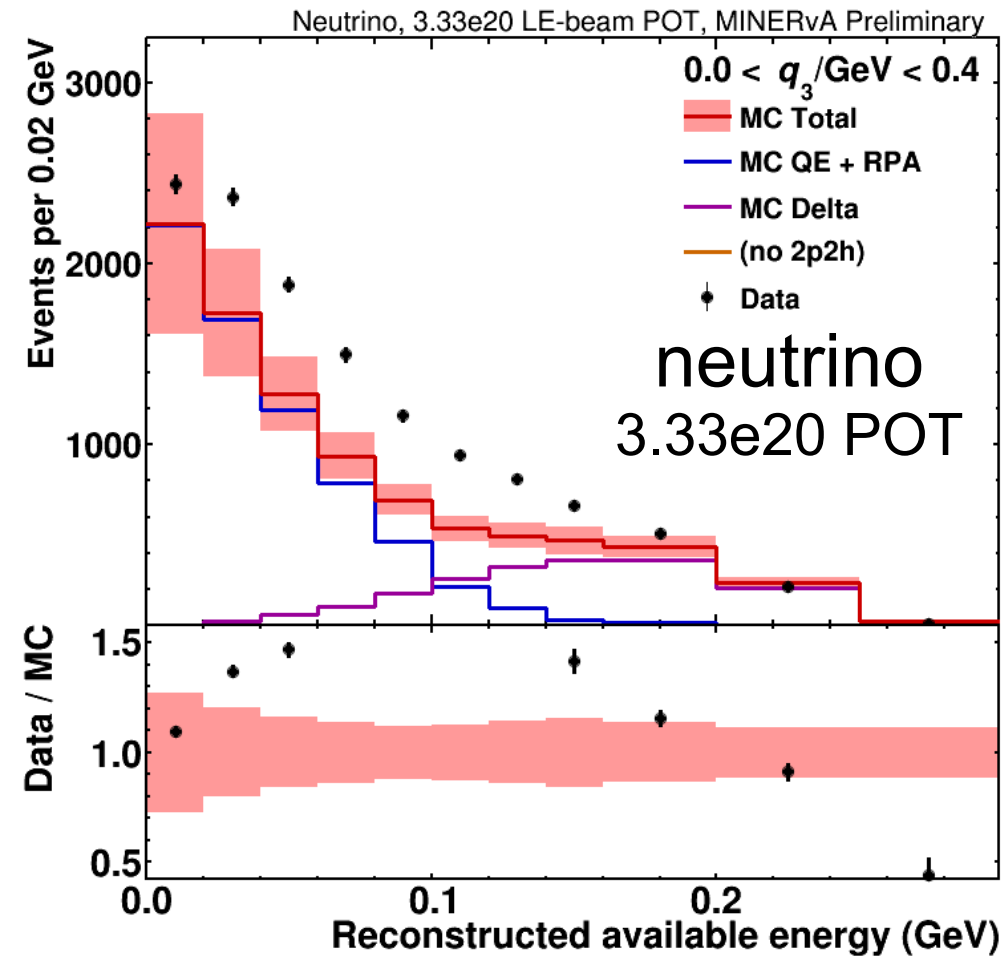
new for NuInt17  
equivalent anti-neutrino distribution

Same as the previous slide, but zoomed in.  
Budget 20 seconds each, two comments per slide,  
take questions at the end (in about four minutes).

# GENIE, pion base, RPA, no 2p2h

X2 = 227 for 21 bins

X2 = 237 for 19 bins

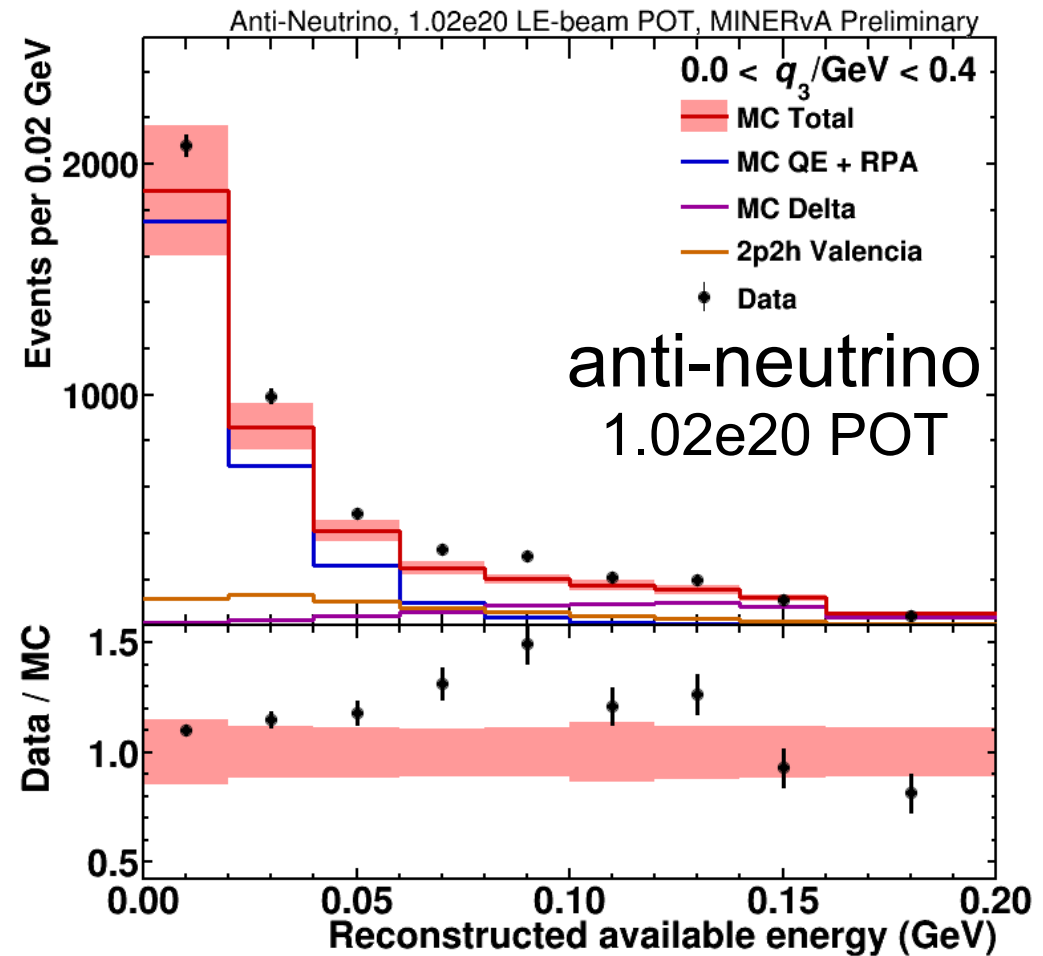
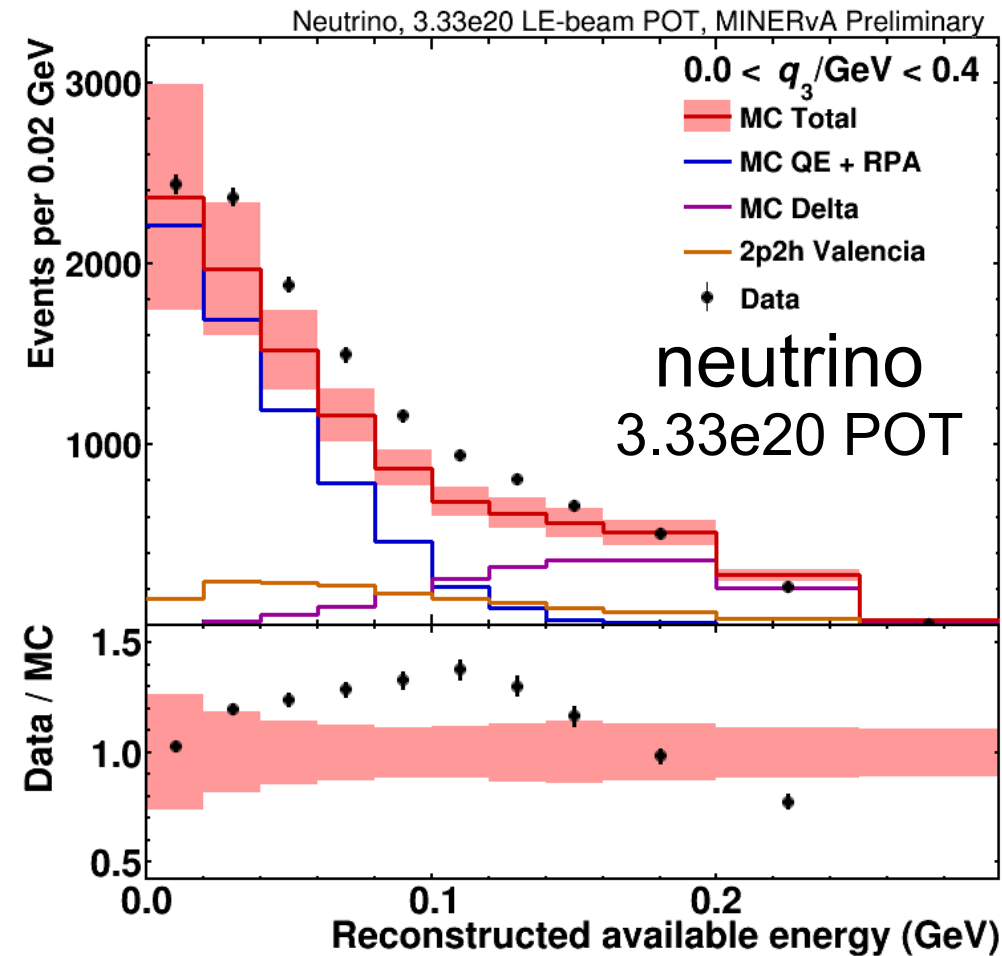


Add (updated) Valencia RPA weight and model error band  
Valverde, Amaro, Nieves PLB 638 (2006) 325 with unpub. followup by F. Sanchez  
plus **muon capture uncertainty** and implementation R. Gran, arXiv:1705.02932

# GENIE, Pion base, RPA, Valencia 2p2h

X2 = 138 for 21 bins

X2 = 84 for 19 bins



Add Valencia 2p2h, improves the dip region

Nieves, Ruiz Simo, Vicente Vacas PRC83 (2011) 045501

and R.G., Nieves, Sanchez, Vicente Vacas PRD 88 (2013) 113007

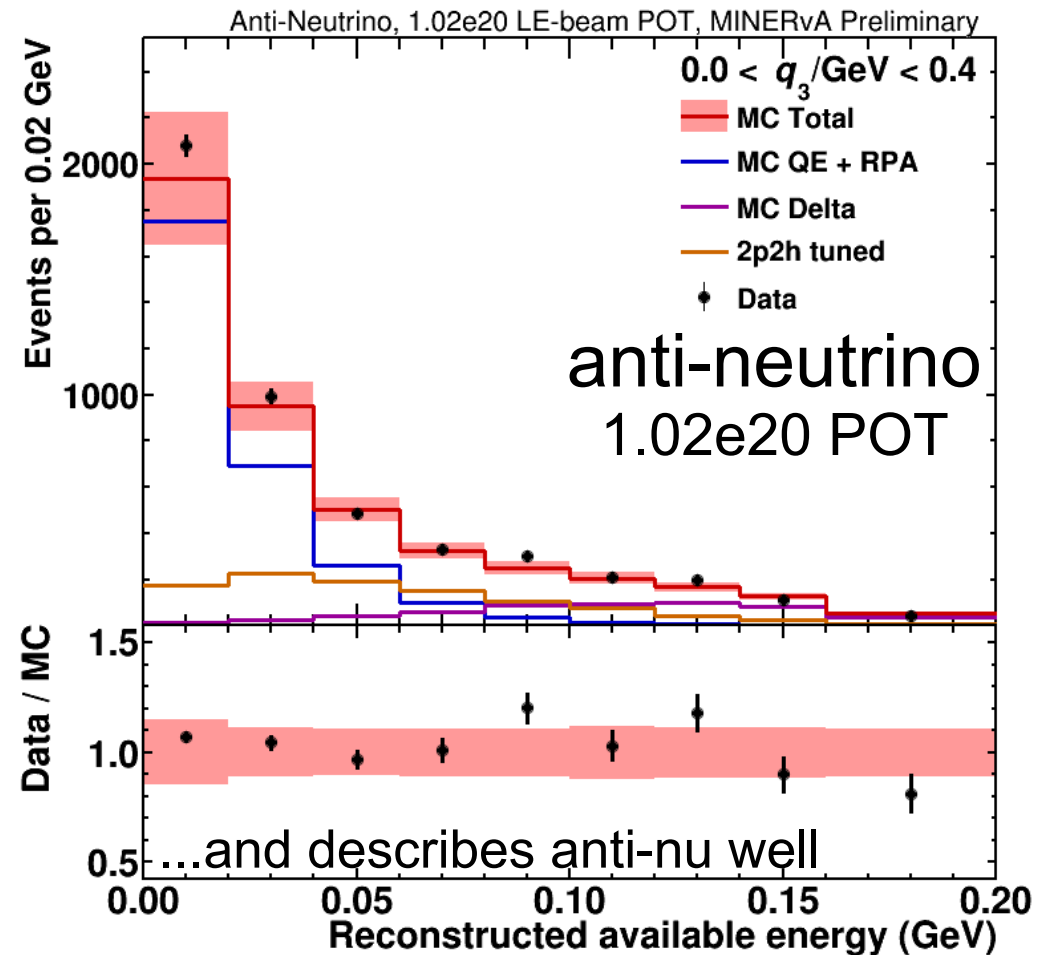
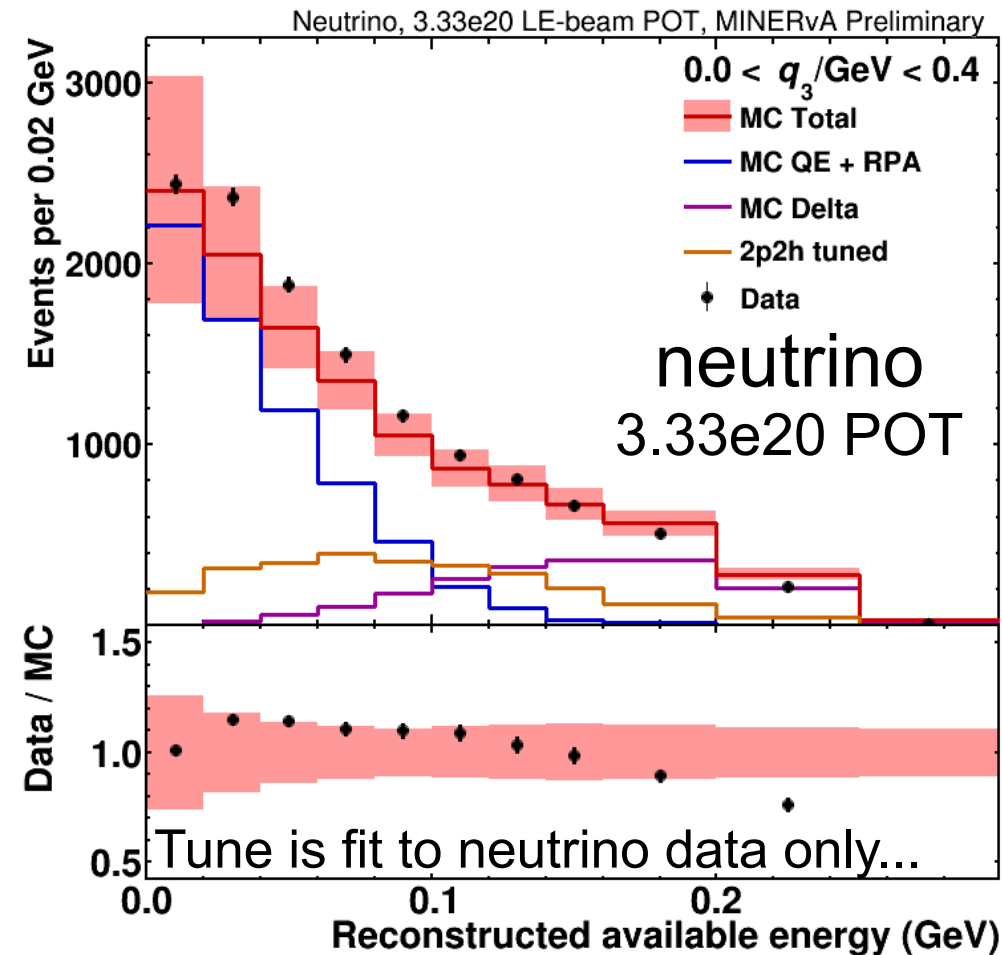
Same code as in Genie 2.12.6: J. Schwehr, R.G., D. Cherdack, arXiv:1705.02932



# GENIE, Pion base, RPA, 2017 Tuned 2p2h

X2 = 76 for 21 bins

X2 = 50 for 19 bins



New: weighting up the 2p2h events with a 2D Gaussian weight

this base tune designed to empirically “Fill in” the dip region

not whole kinematic range. Adds ~50% overall, but x2 in dip region

More on this in upcoming slides, and D. Ruterbories poster<sup>17</sup>

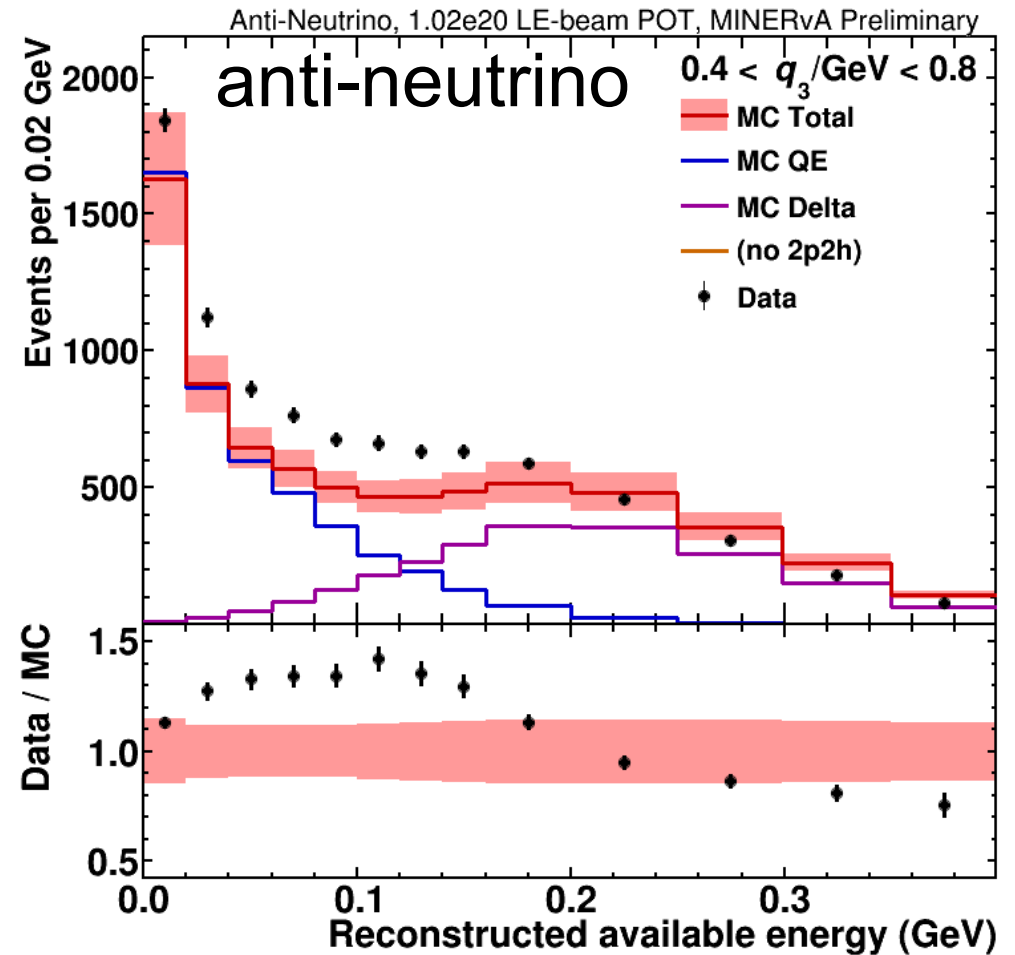
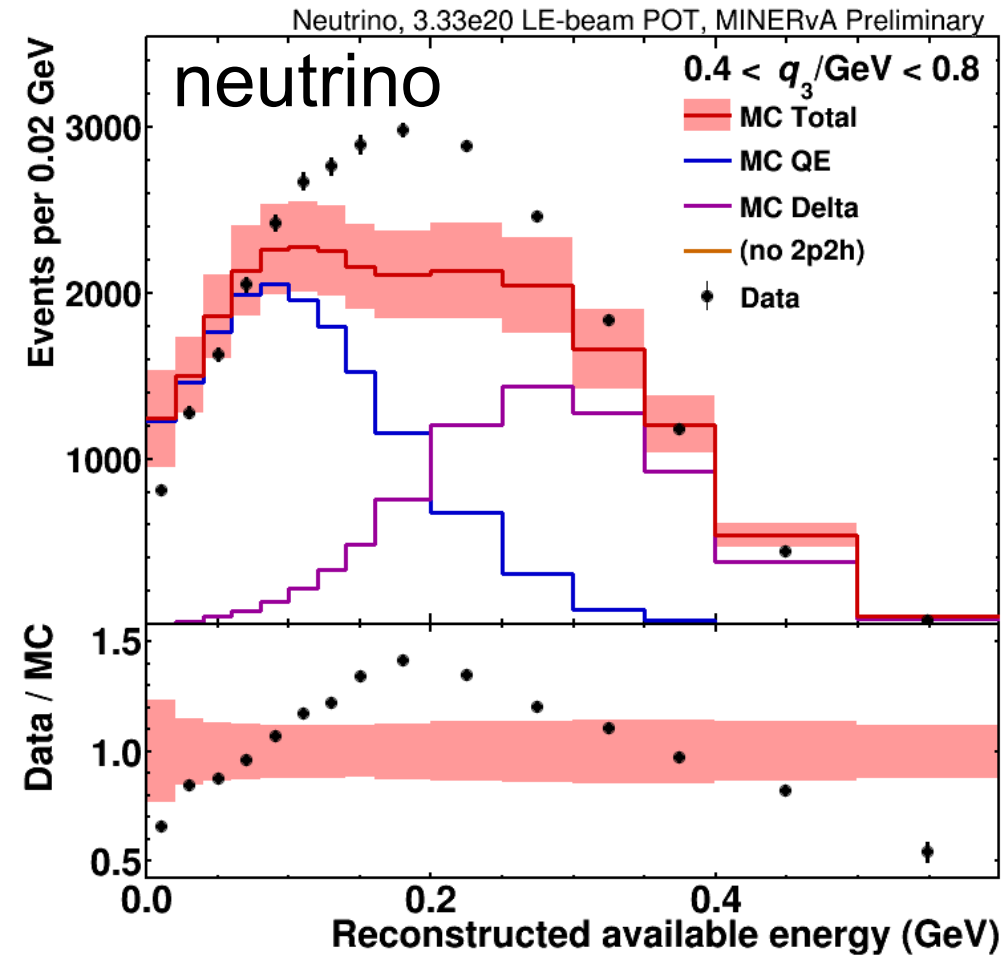
Those model elements  
described the event rate  
and the hadron spectrum  
at the 10% level  
up to the Delta peak!  
(despite radically different neutron content)

Lets do it again  
 $0.4 < \text{reco } q_3 < 0.8 \text{ GeV}$

# GENIE, pion base, no RPA, no 2p2h

X2 = 277 for 40 bins

X2 = 172 for 37 bins

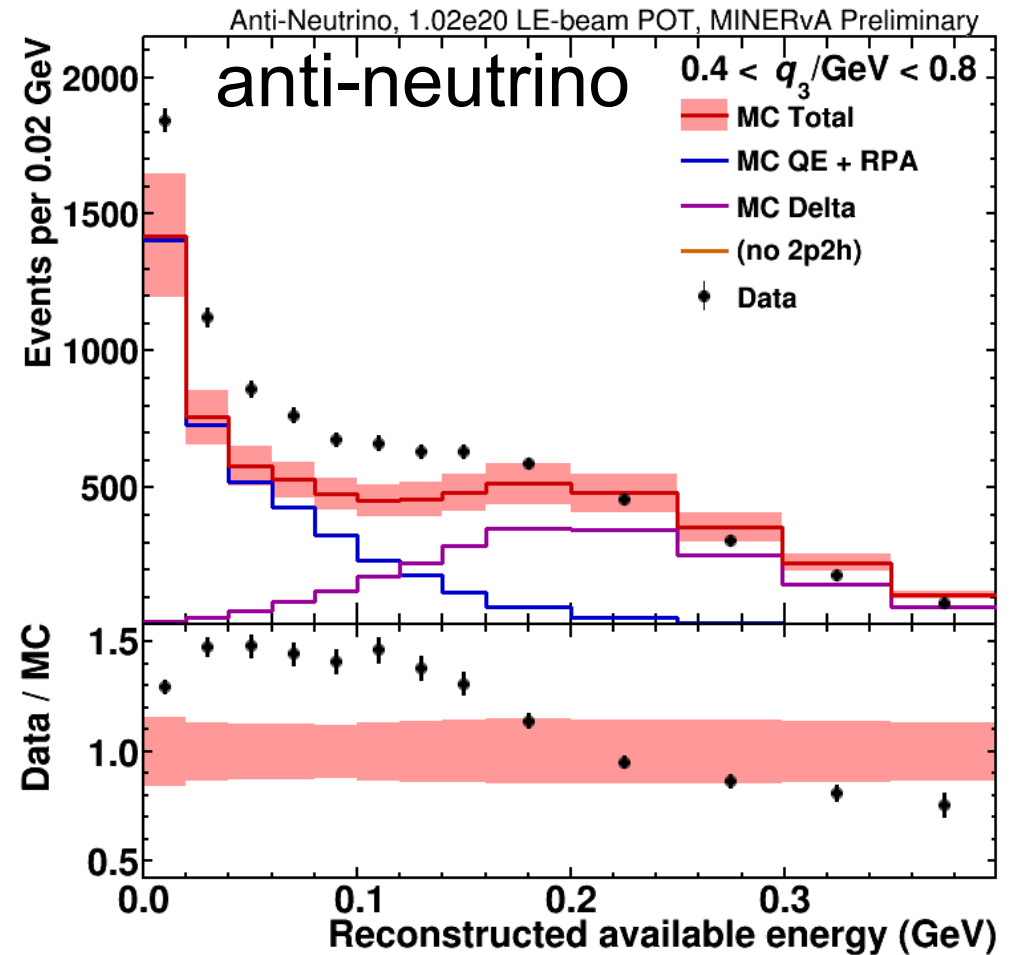
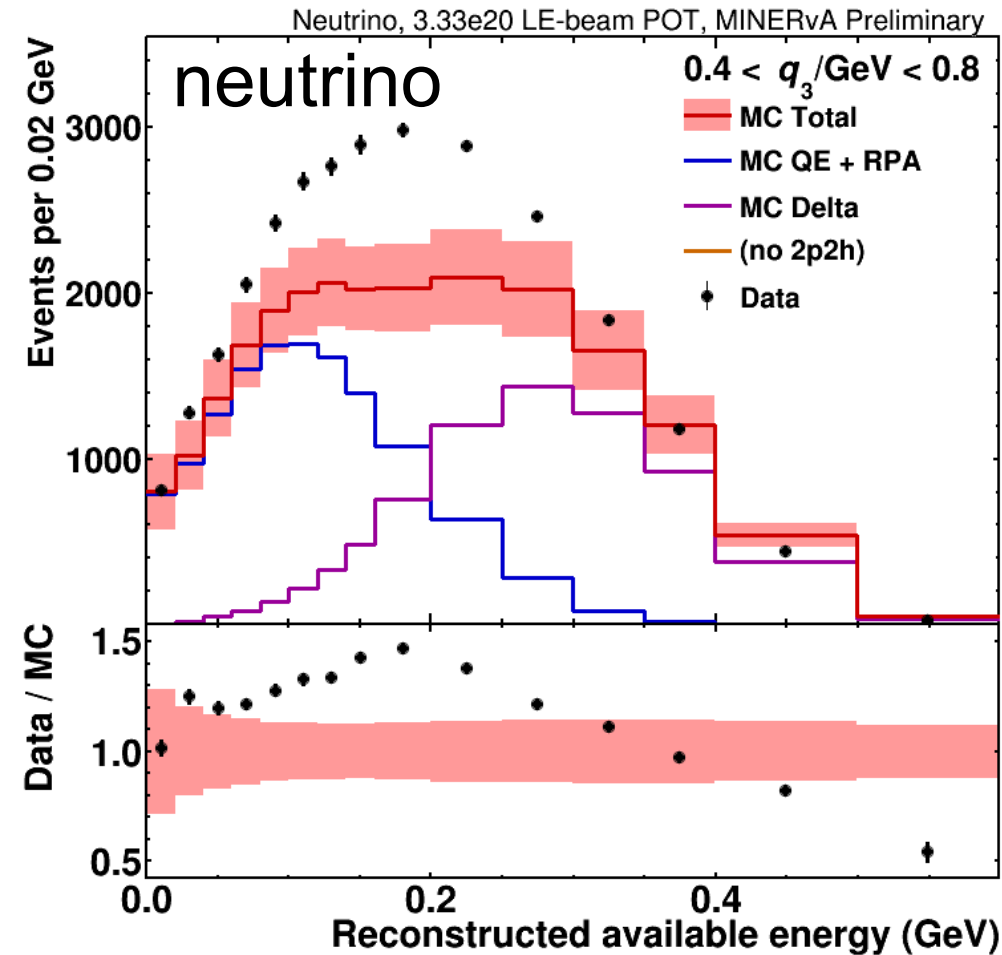


Don't need the wider y axis for this  $q_3$  range.  
The neutron final states even more obviously  
cause high population in the first anti-neutrino bin.  
discrepancies have same structure as at lower  $q_3$

# GENIE, pion base, RPA, no 2p2h

X2 = 247 for 40 bins

X2 = 131 for 37 bins

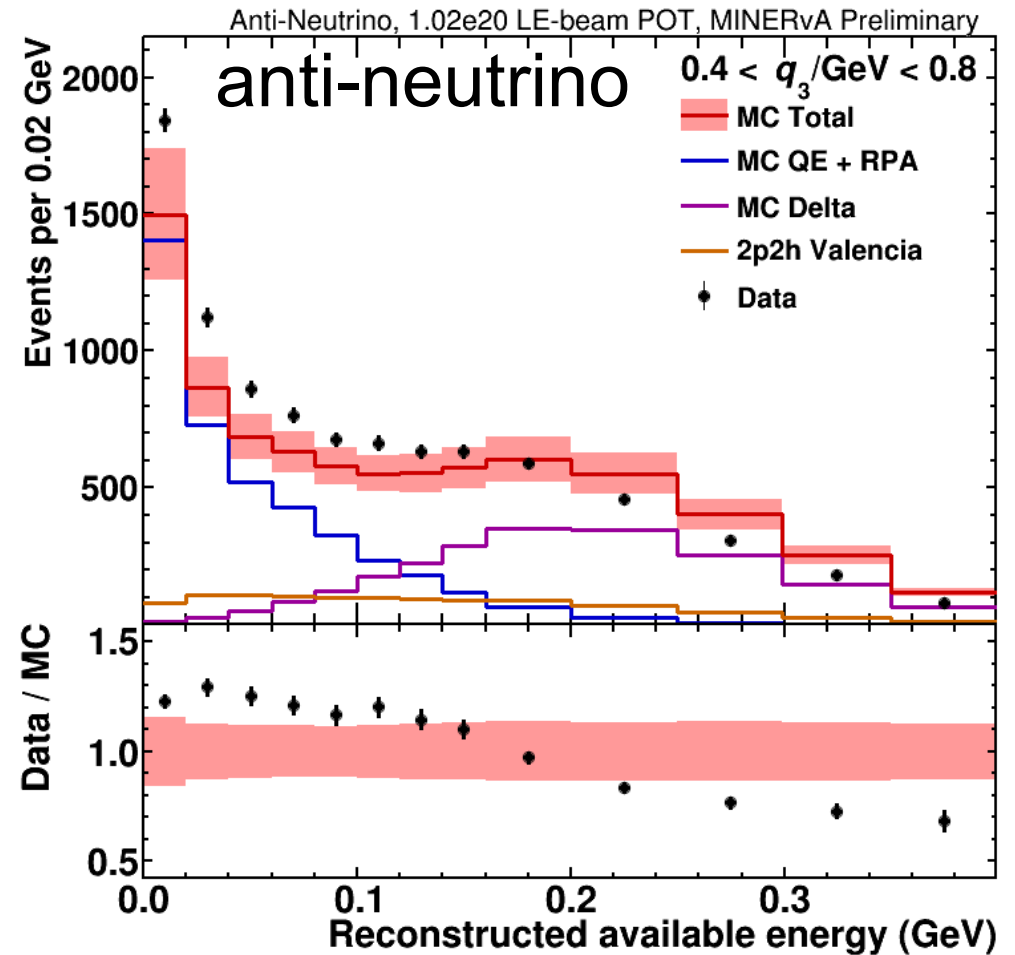
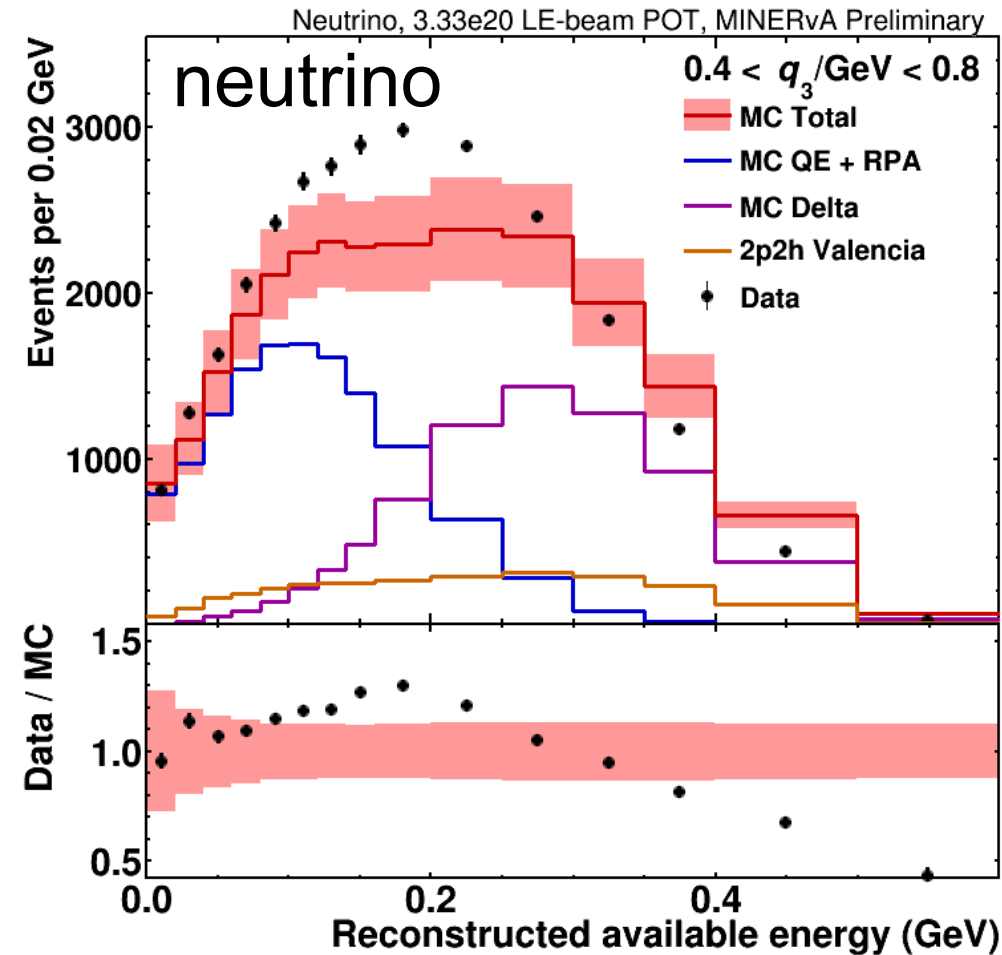


Add (updated) Valencia RPA weight and model error band  
Valverde, Amaro, Nieves PLB 638 (2006) 325 with unp. followup by F. Sanchez  
plus muon capture uncertainty and implementation R. Gran, arXiv:1705.02932

# GENIE, Pion base, RPA, Valencia 2p2h

X2 = 295 for 40 bins

X2 = 101 for 37 bins



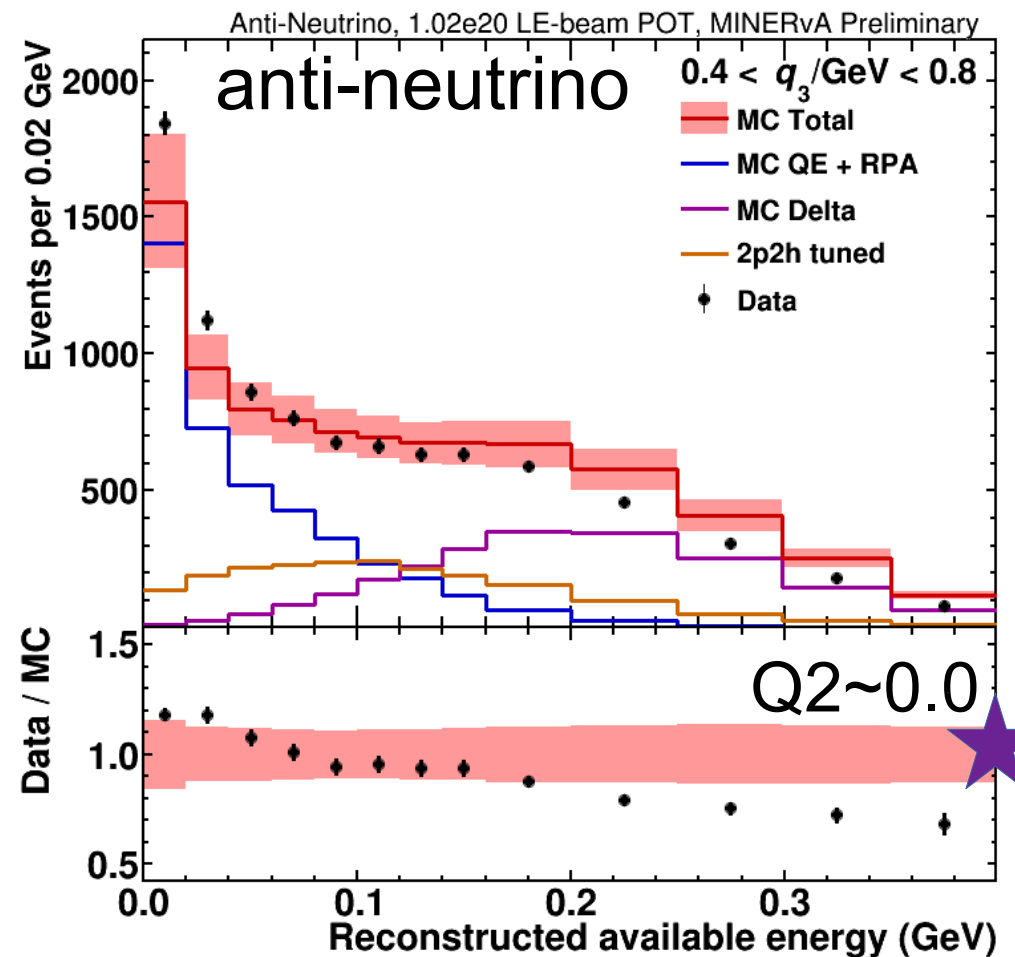
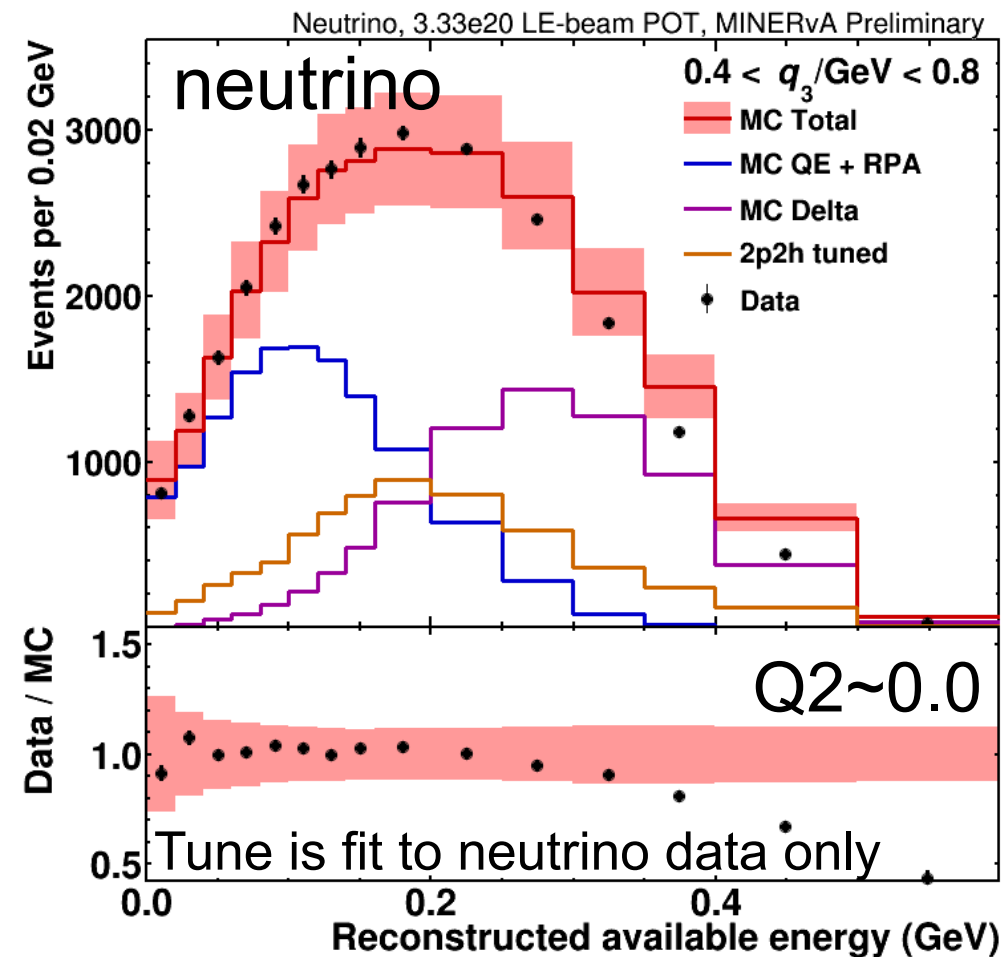
Add Valencia 2p2h, as previously published

Same code as in Genie 2.12.6 Schwehr, R.G., Cherdack, arXiv:1705.02932

# GENIE, Pion base, RPA, 2017 Tuned 2p2h

X2 = 158 for 40 bins

X2 = 86 for 37 bins



weighting up the 2p2h events with a 2D Gaussian weight

this base tune designed to empirically “Fill in” the dip region

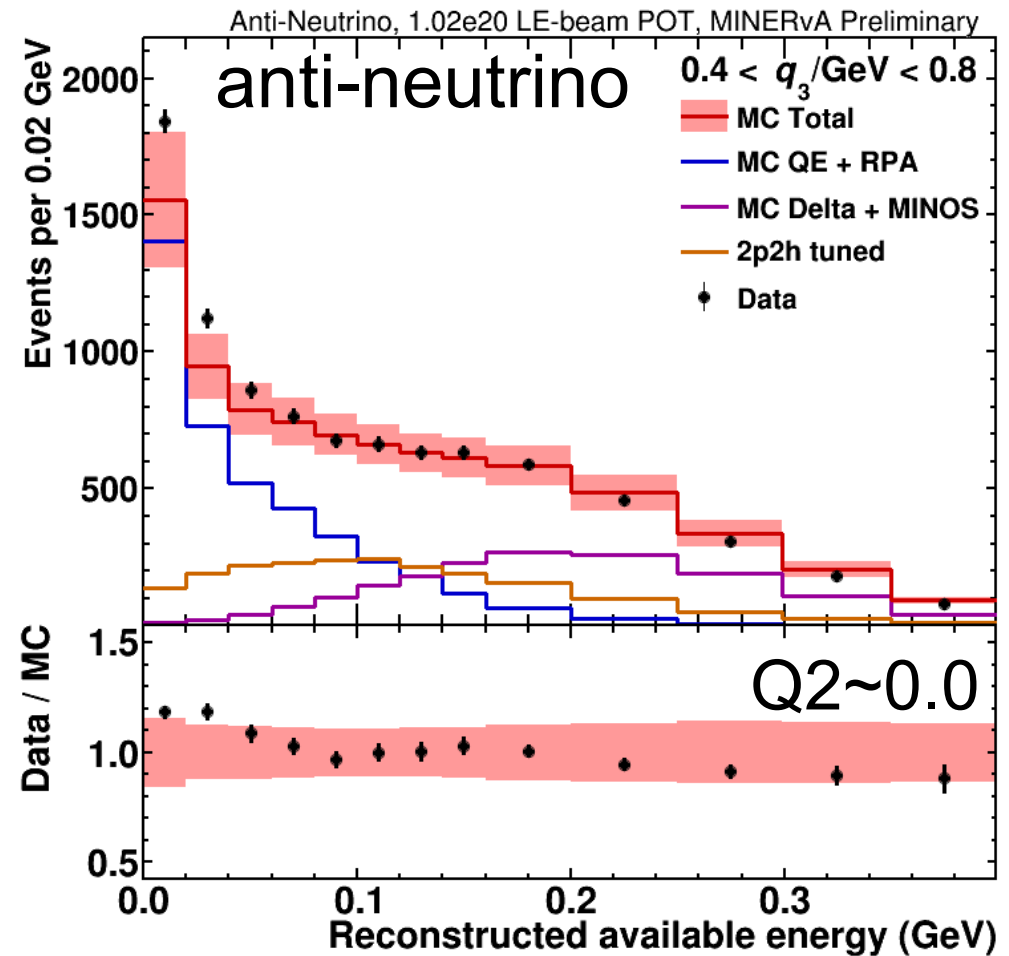
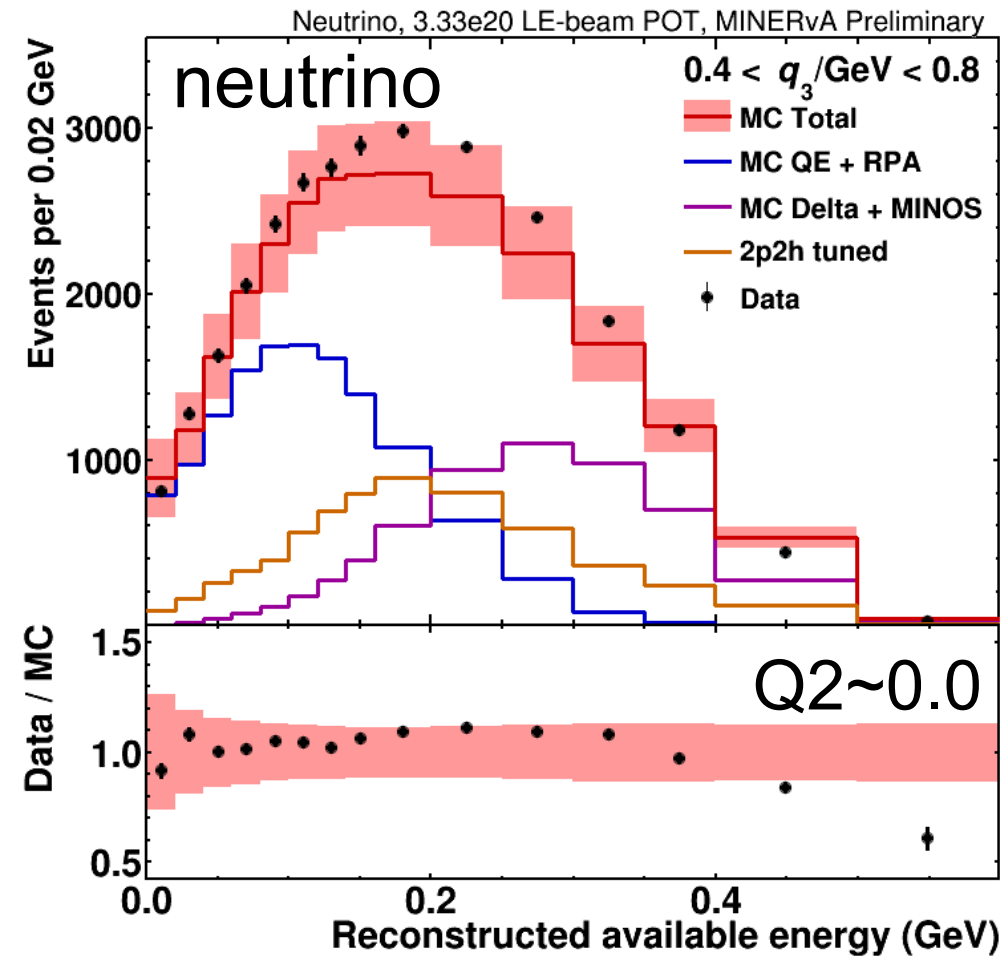
not whole kinematic range. Adds ~50% overall, but x2 in dip region

Improves left plot by construction, those parameters are applied to the anti-neutrino plot, which is also greatly improved!

# GENIE, RPA, 2017 Tuned 2p2h, MINOS low-Q2 res

X2 = 144 for 40 bins

X2 = 59 for 19 bins



New, add low Q2 suppression (RPA-like) to all GENIE resonances  
prescription from Minos nu+Fe data PRD 91 (2015) 012005

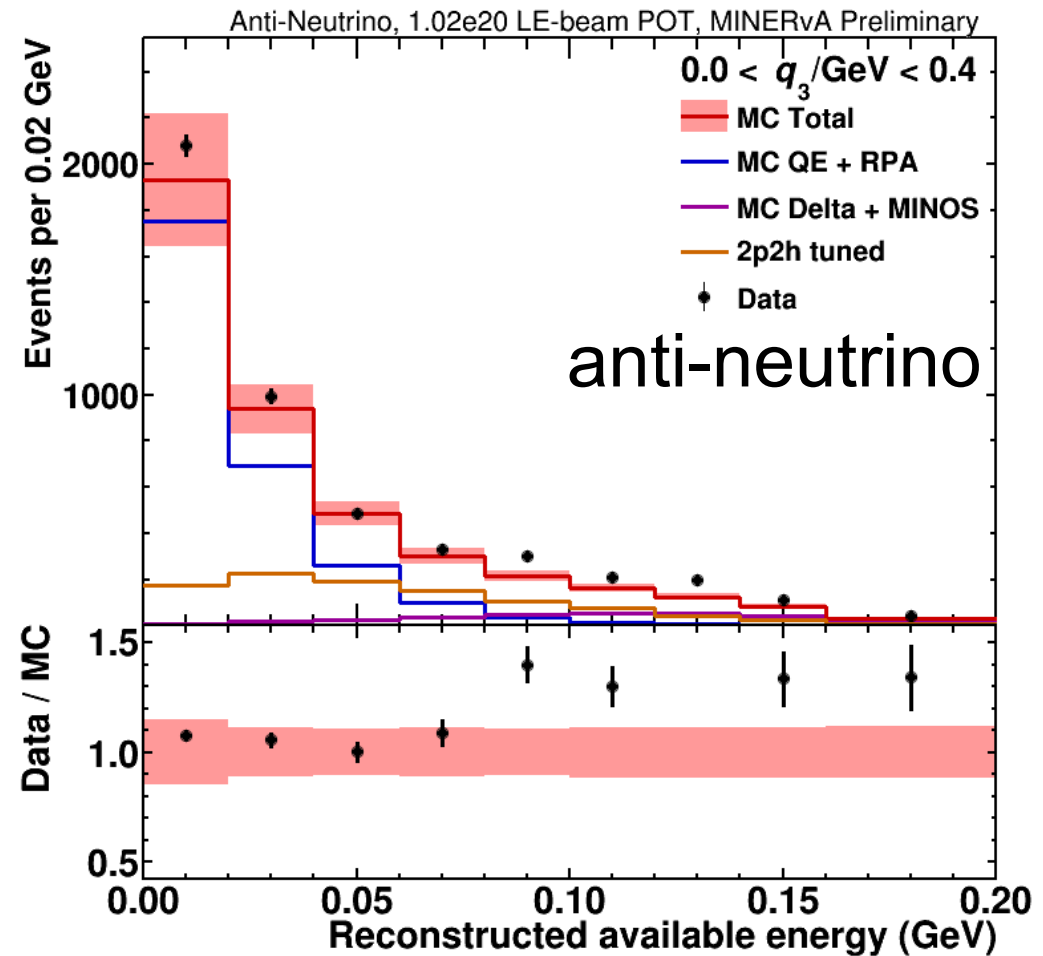
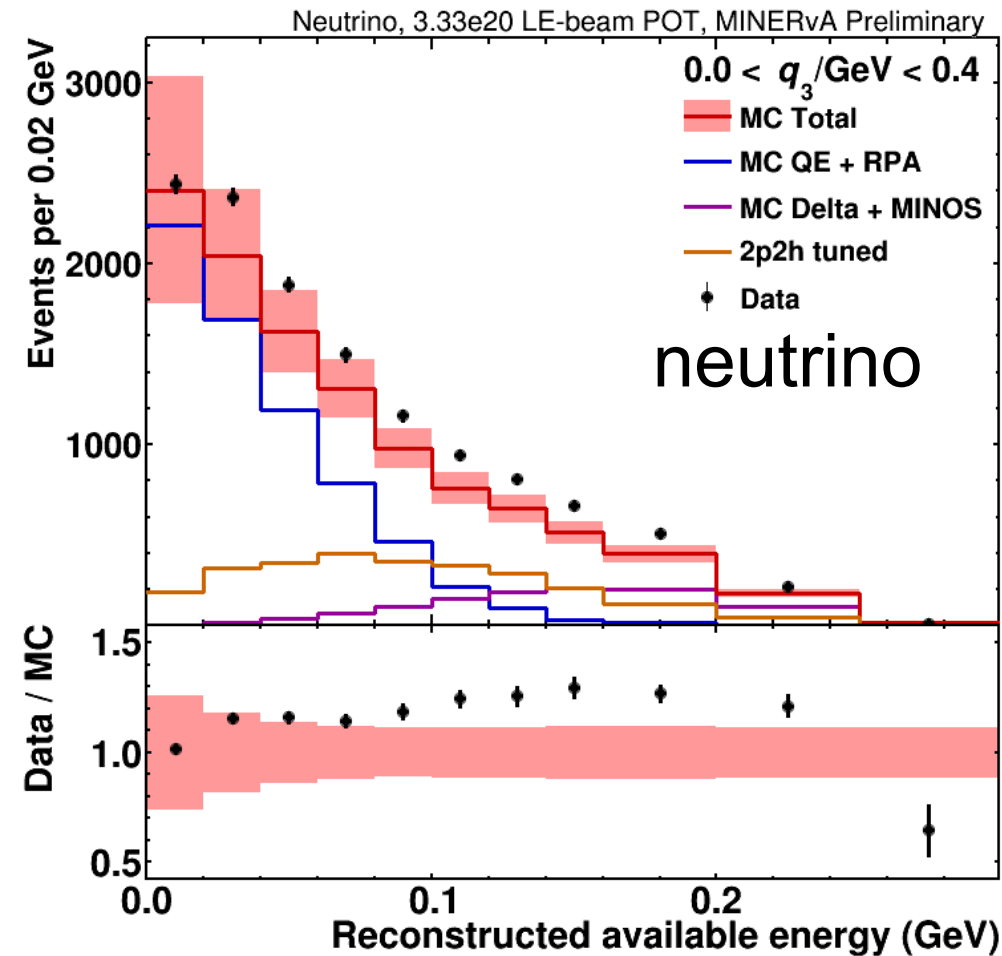
Seen also in MiniBooNE, K2K, others...

Thinking Pauli-blocking + RPA and/or SF-like effects  
but for resonances. An improvement, but...

# GENIE, RPA, 2017 Tuned 2p2h, MINOS low-Q2 res

X2 = 106 for 21 bins

X2 = 132 for 19 bins



Add low Q2 suppression (RPA-like?) to all GENIE resonances  
prescription from Minos nu+Fe sideband tune

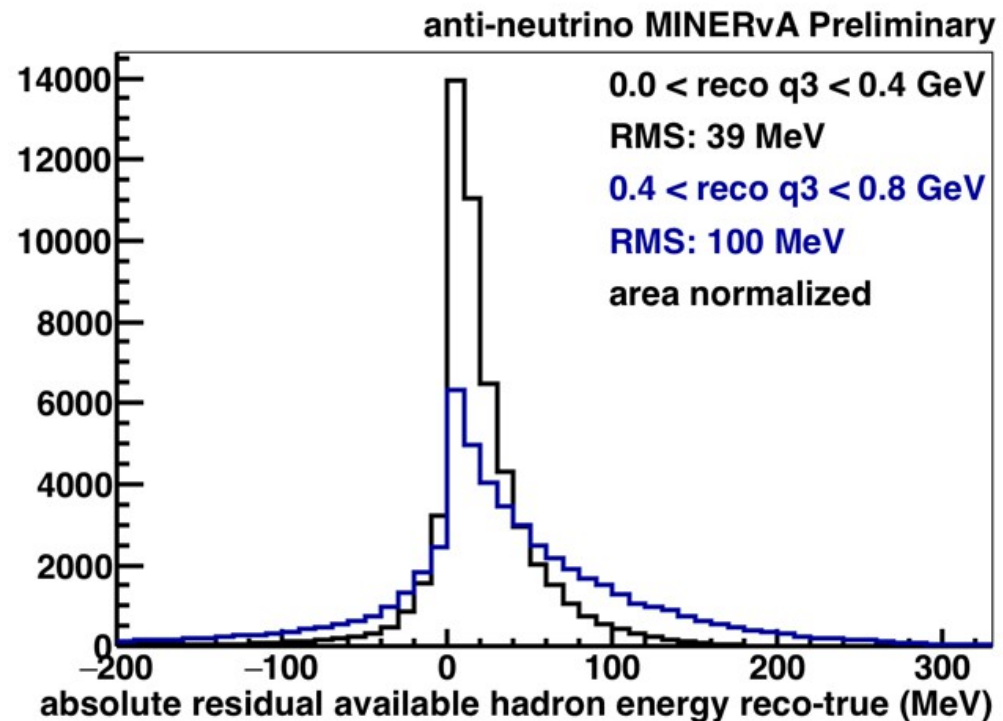
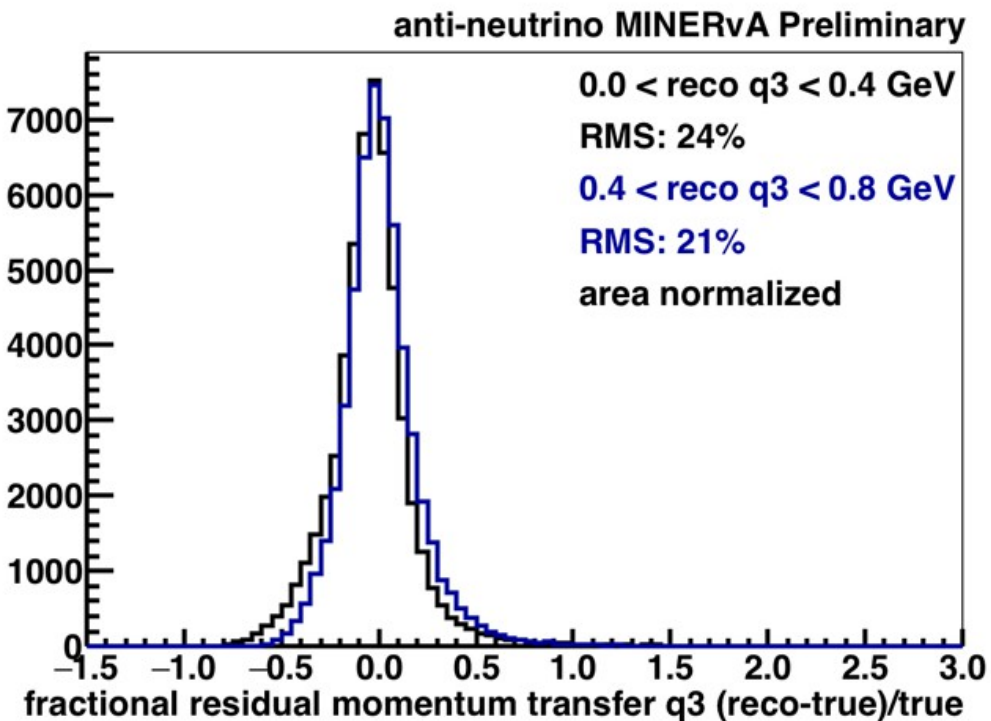
Adamson, et al. PRD 91 (2015) 012005

This  $r(Q2)$  weight from Fe apparently is not quite right for CH  
TOO MUCH, it goes to far.



# Anti-neutrino kinematics resolutions

Same information as migration or unfolding matrix, but 1D projection



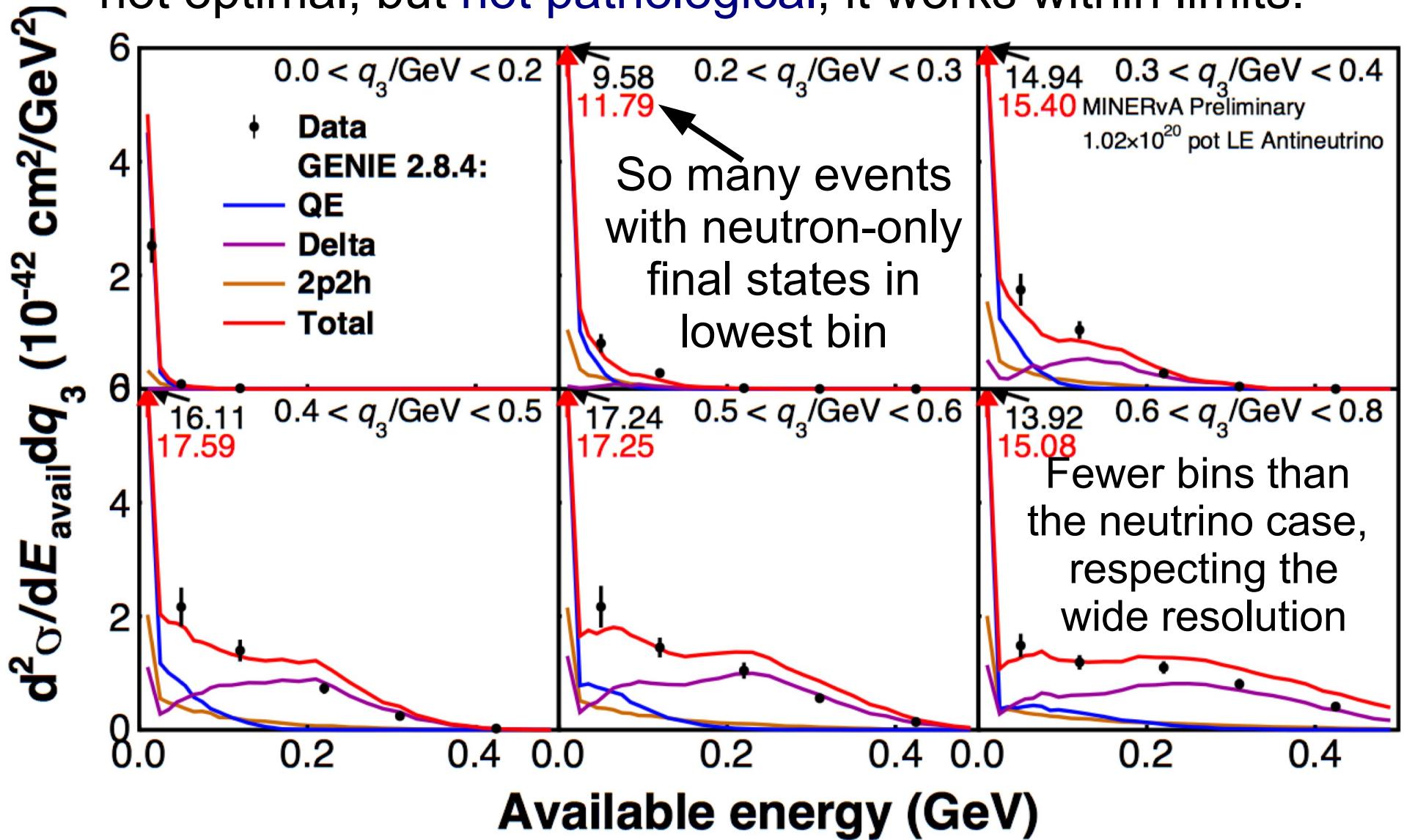
Our MC says the  $q_3$  estimator has good resolution and little bias.

$E_{\text{available}}$  = Proton KE,  $\pi^\pm$  KE,  $\pi^0$ , e,  $\gamma$  energy (plus heavier particles)  
is wide because energy deposits from neutrons are  
not very correlated with neutron energy.

Its approximately a Gaussian peaked just above 0.0  
with <half of the neutrons adding random 10s of MeV

# Unfolded anti-neutrino cross section

The resulting full unfolding matrix is not optimal for unfolding, not optimal, but **not pathological**, it works within limits.



breakdown of systematic uncertainties on next page

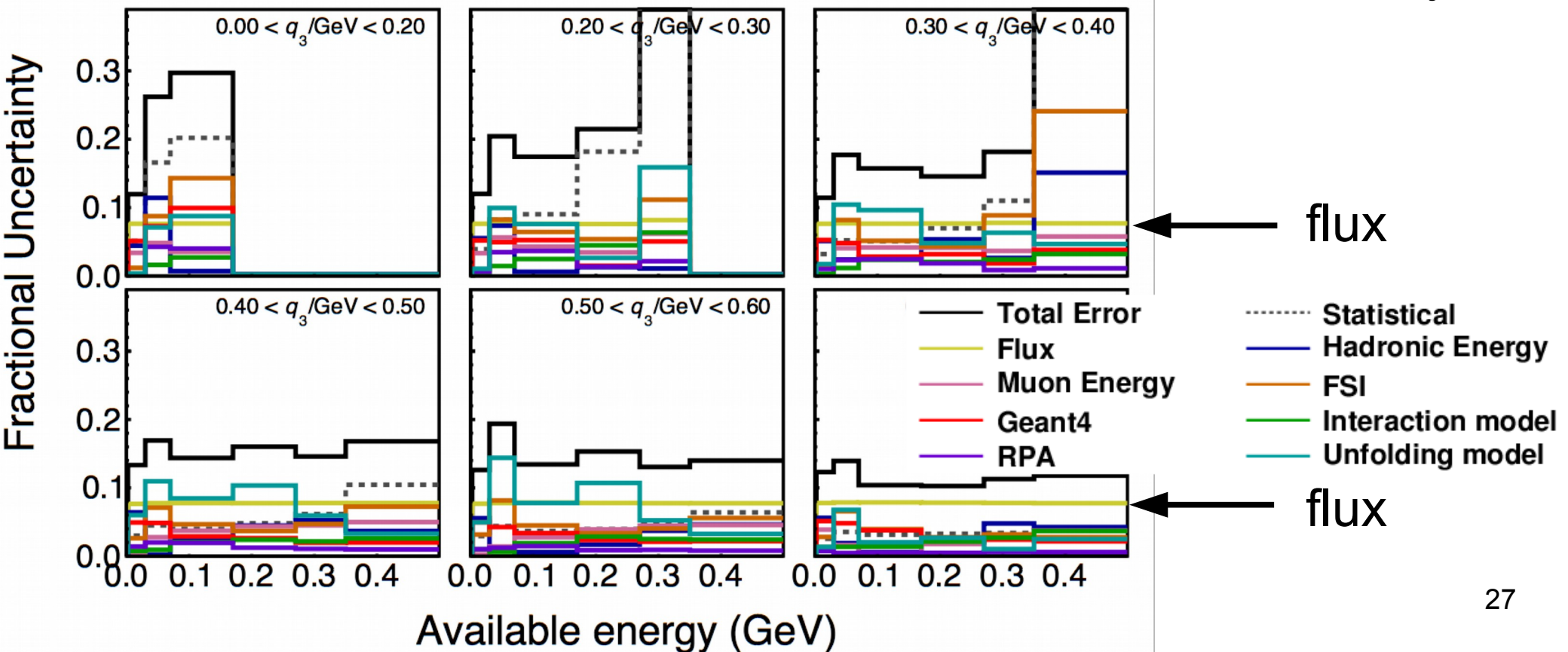
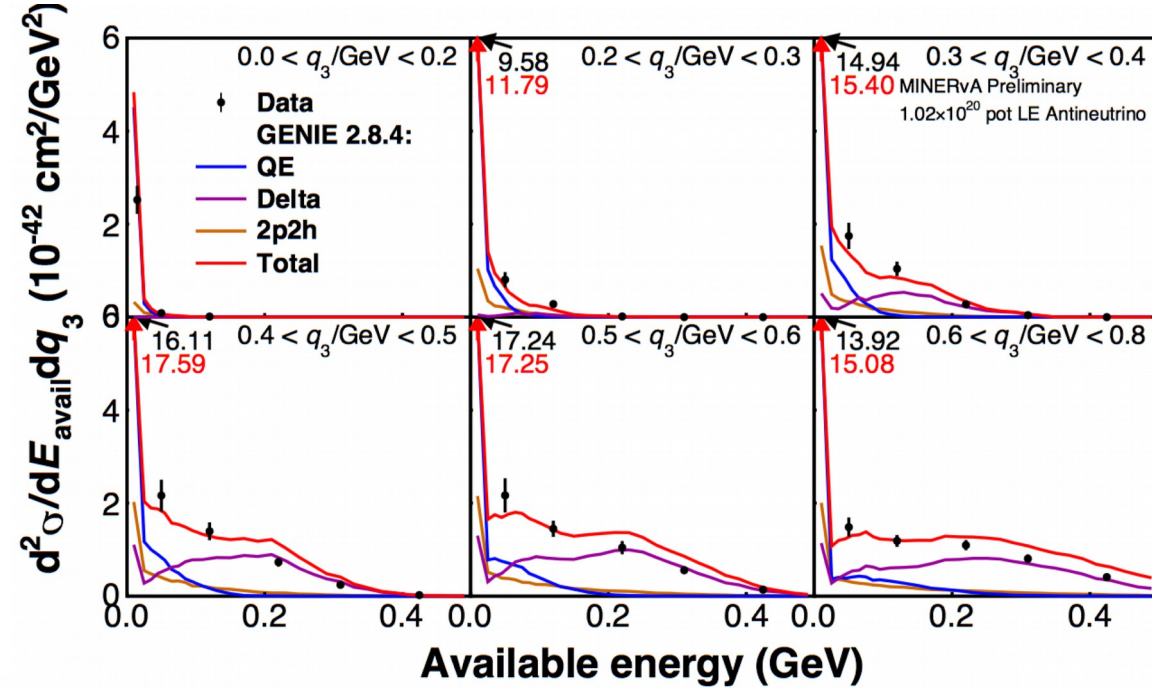
# Anti-neutrino model uncertainties

Most important

“Unfolding model”  
2p2h tune variations  
(next slide)

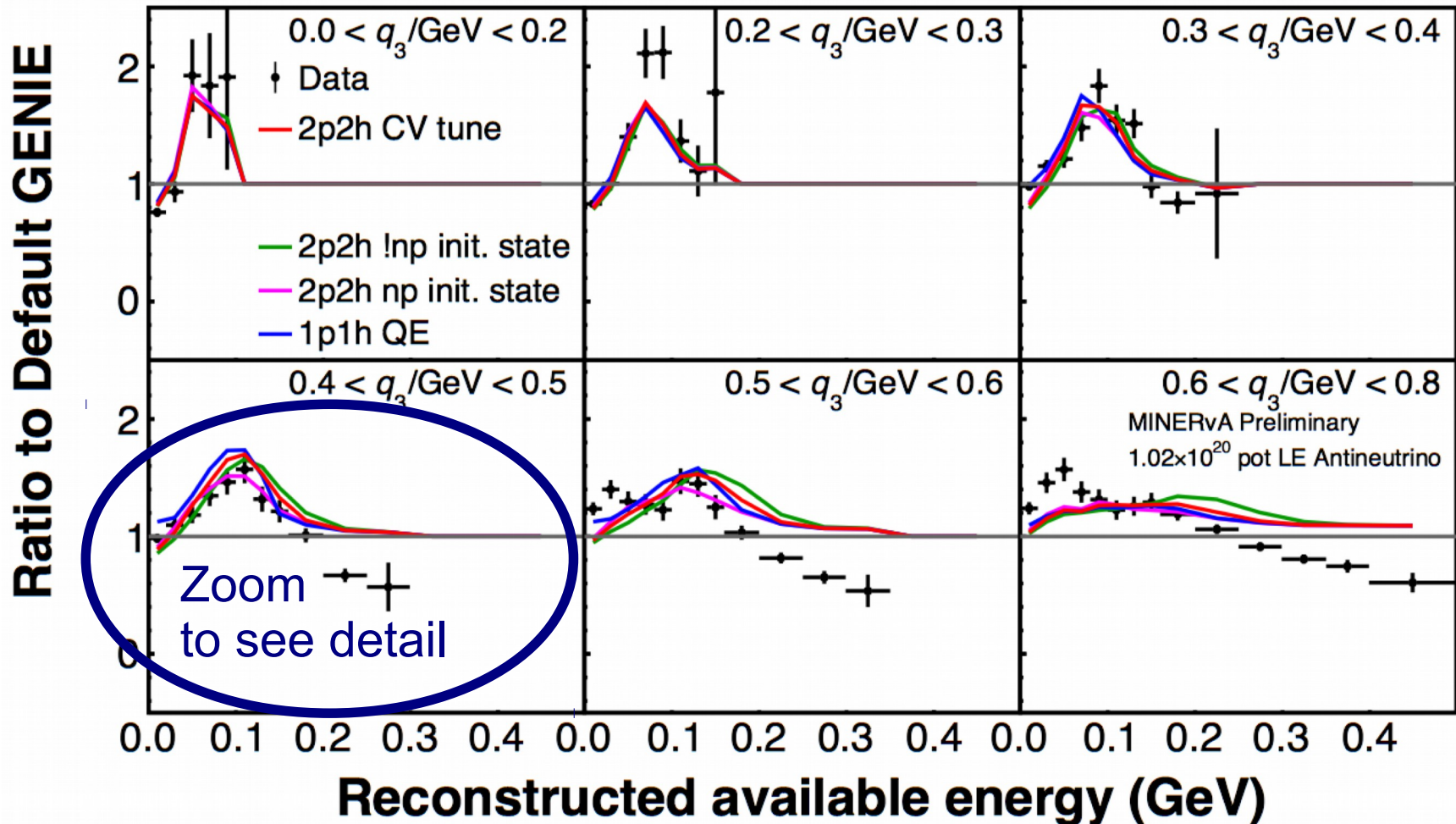
GENIE FSI knobs

then Flux uncertainty



# New uncertainty evaluations are needed to unfold

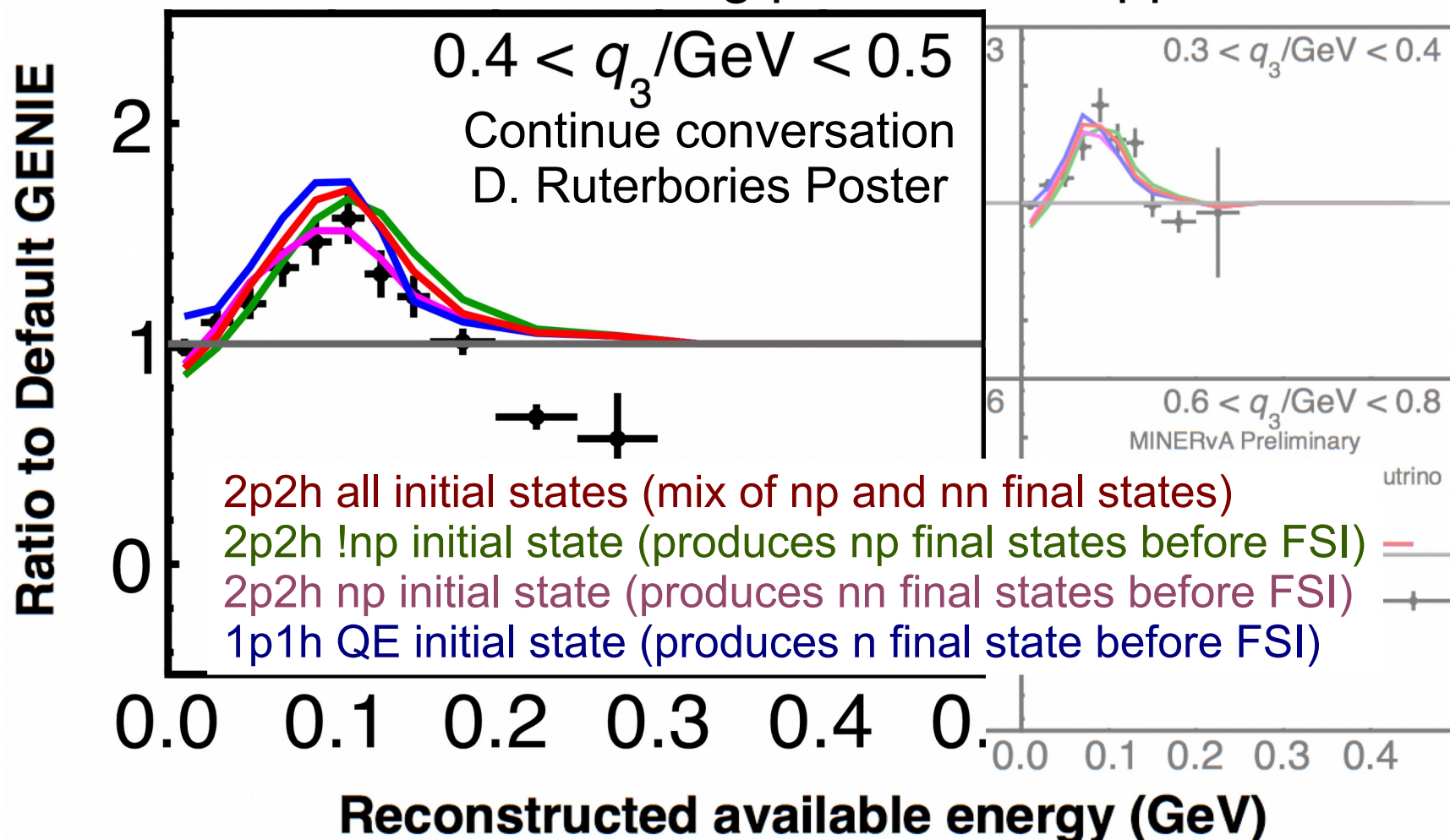
Three variations on the tuned 2p2h strength in the dip region all fit to neutrino data, resulting parameters applied to **anti-neutrino**



Unfold again with each of these MC variations, result is different!  
form that into the error band. Same with GENIE FSI knobs.  
Model dependence in this analysis, technique ok for other analysis

# New uncertainty evaluations are needed to unfold

Three variations on the tuned 2p2h strength in the dip region all fit to neutrino data, resulting parameters applied to anti-nu



Unfold again with each of these MC variations, result is different!  
form that into the error band. Same with GENIE FSI knobs.  
Model dependence in this analysis, technique ok for other analysis

# Extremely important points on these uncertainties

The Fill-in extracted from the neutrino dip region describes the anti-neutrino dip region. All variations work ok. Suggest multiple physics (or combination) could be the cause.

Compared to  $MA = 0.99^{+0.25}_{-0.15}$  ...

1. The Fill-in the dip region tunes are designed to be a better targeted expression of the model uncertainty when used with a specific RPA model uncertainty updated pion constraints from Deuterium and<sup>1</sup> axial form factor uncertainty from Z expansion and Deuterium<sup>2</sup>.

2. Like an oscillation experiment's results our cross sections are better and uncertainty bands useful if we start with better baseline models and accurate and well-designed uncertainties (and use side-band constraints and/or design in cancellations)

Conclusions: RPA+2p2h for neutrino works for anti-neutrino

This is despite radically different neutron content in the final states.

The experience leads to revised, targeted uncertainty expressions

Unfolding anti-neutrino works, despite neutron challenges

Can move from  $>50\%$  effects to consider  $10\%$  effects

MINERvA in Duluth  
(sweaters and jackets!)  
June 2014





MINERvA at William & Mary ten years apart 2006 and 2016





Backup

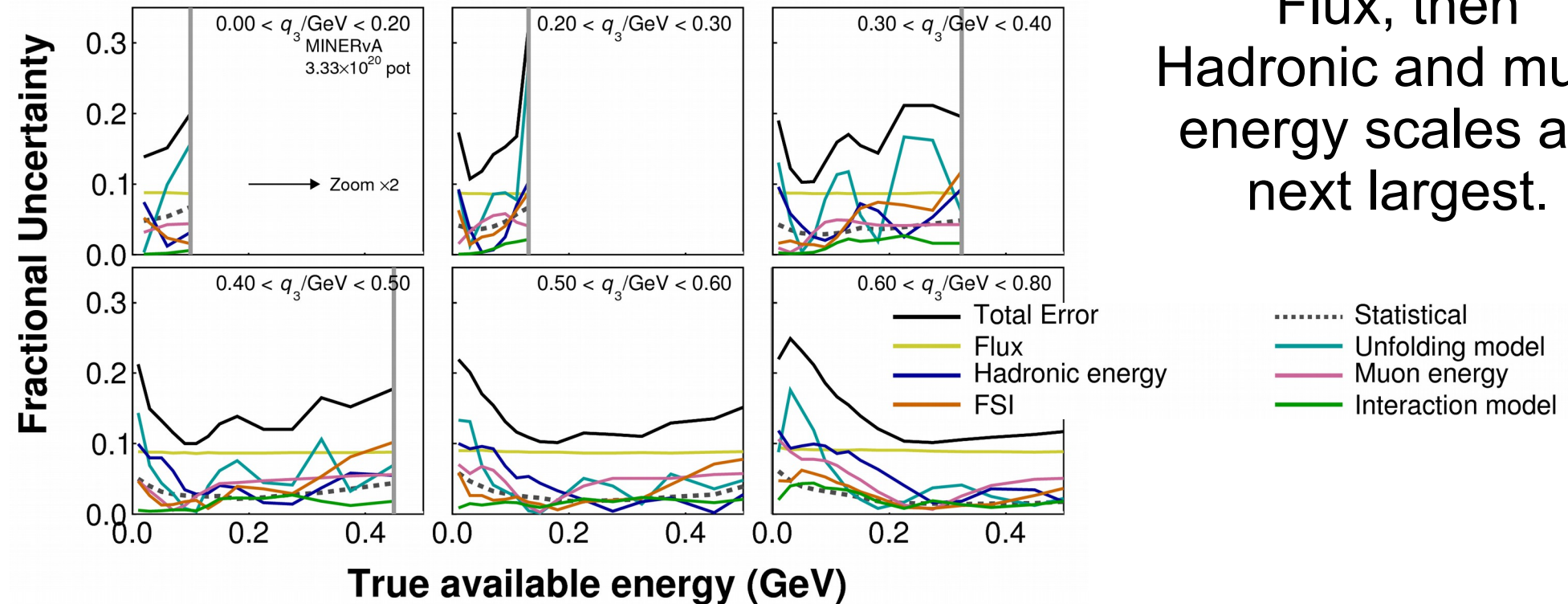
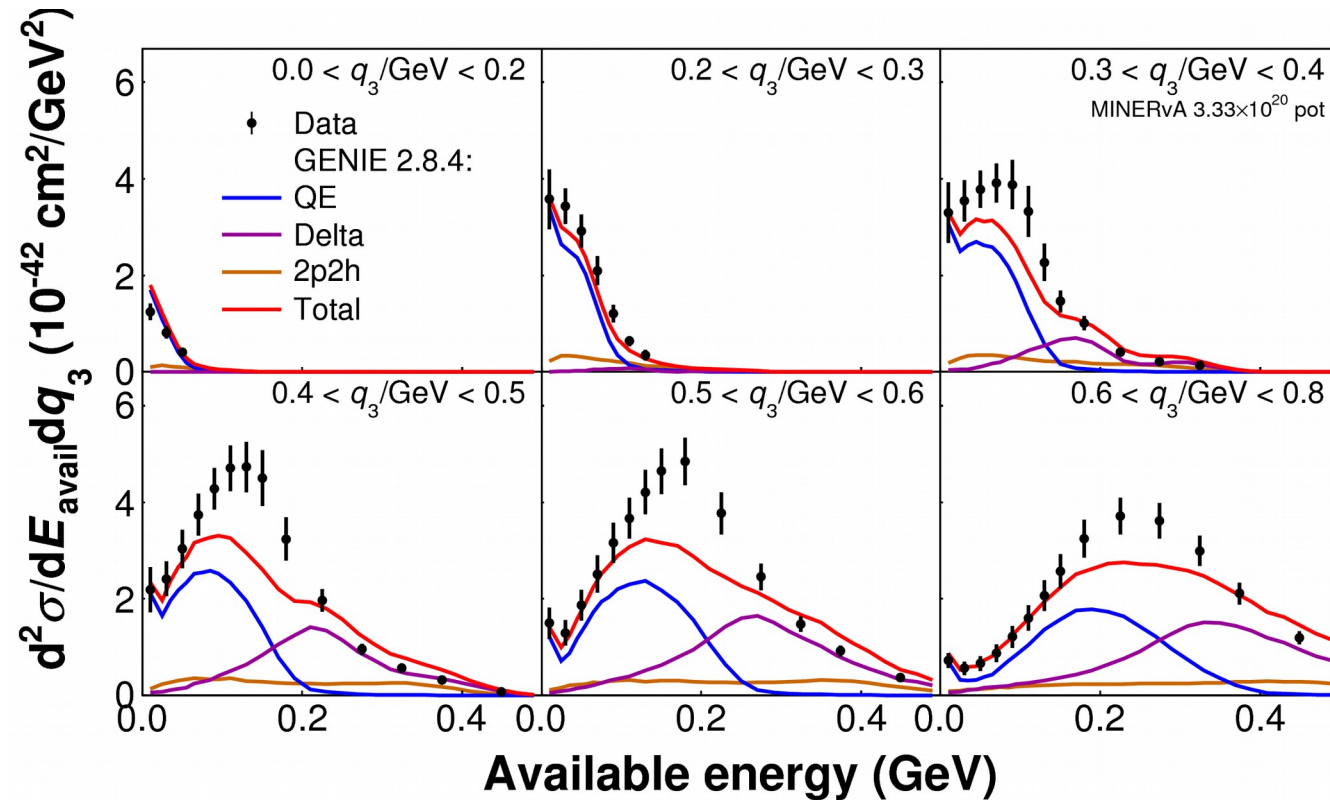
# Reco distribution with uncertainty breakdown

# 2016 neutrino result

RPA + Valencia 2p2h

Unfolding model  
uncertainty means  
turn 2p2h off  
completely  
and reextract XSec.

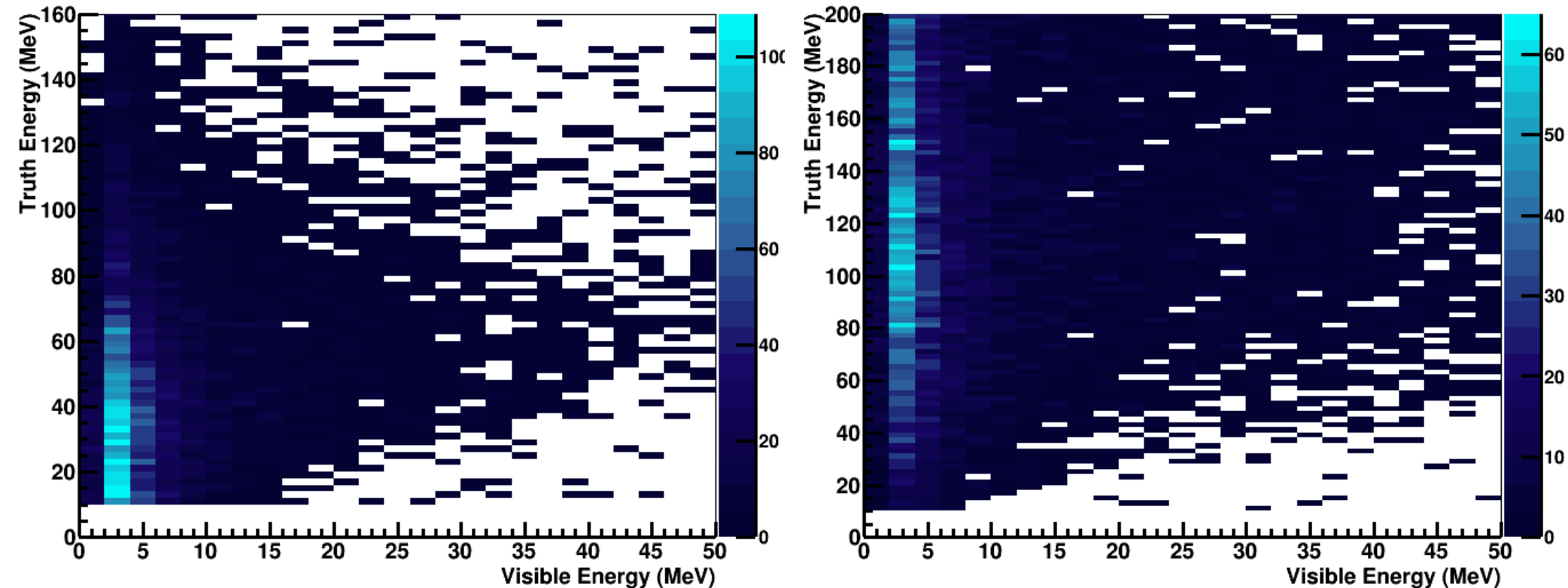
Flux, then  
Hadronic and muon  
energy scales are  
next largest.



# Neutron response is uncorrelated with neutron energy

Second most likely outcome is 2 to 10 MeV of energy per neutron

Most likely, >55% of the time, nothing reconstructed at all.



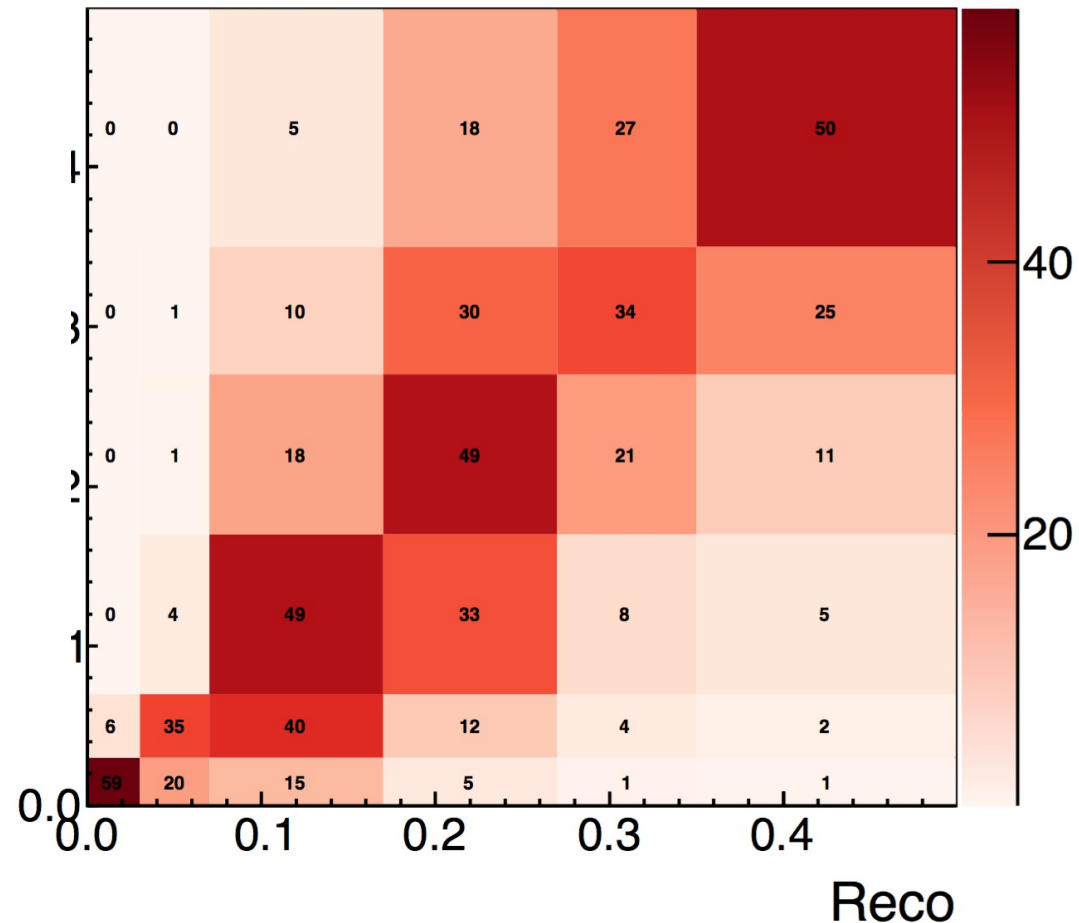
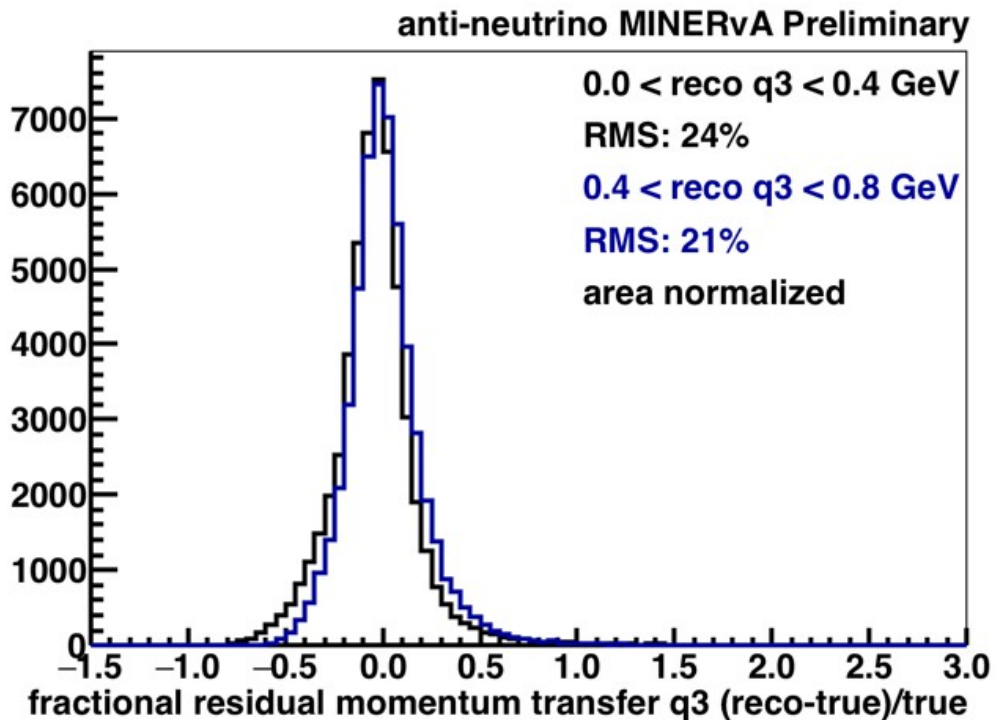
The extreme is below 10 MeV, where our MC thinks neutrons are essentially invisible.

See also M. Elkins Poster

# Resolutions and migration matrix for anti-neutrino

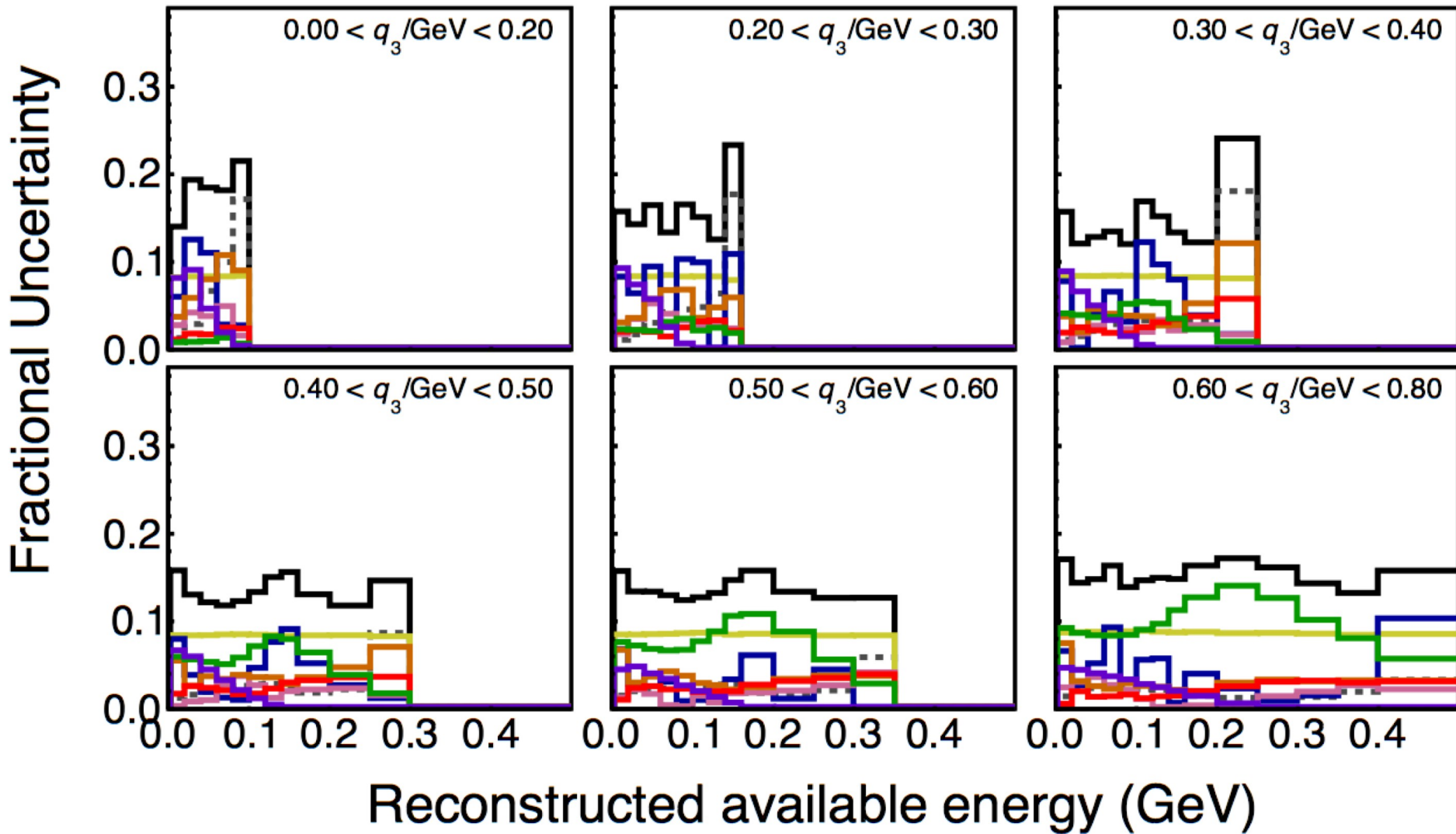
three momentum transfer  
resolution

Available energy migration matrix



Evaluate model dependence by changing model  
seeing few percent shifts in the migration matrix  
propagating that to the resulting cross section

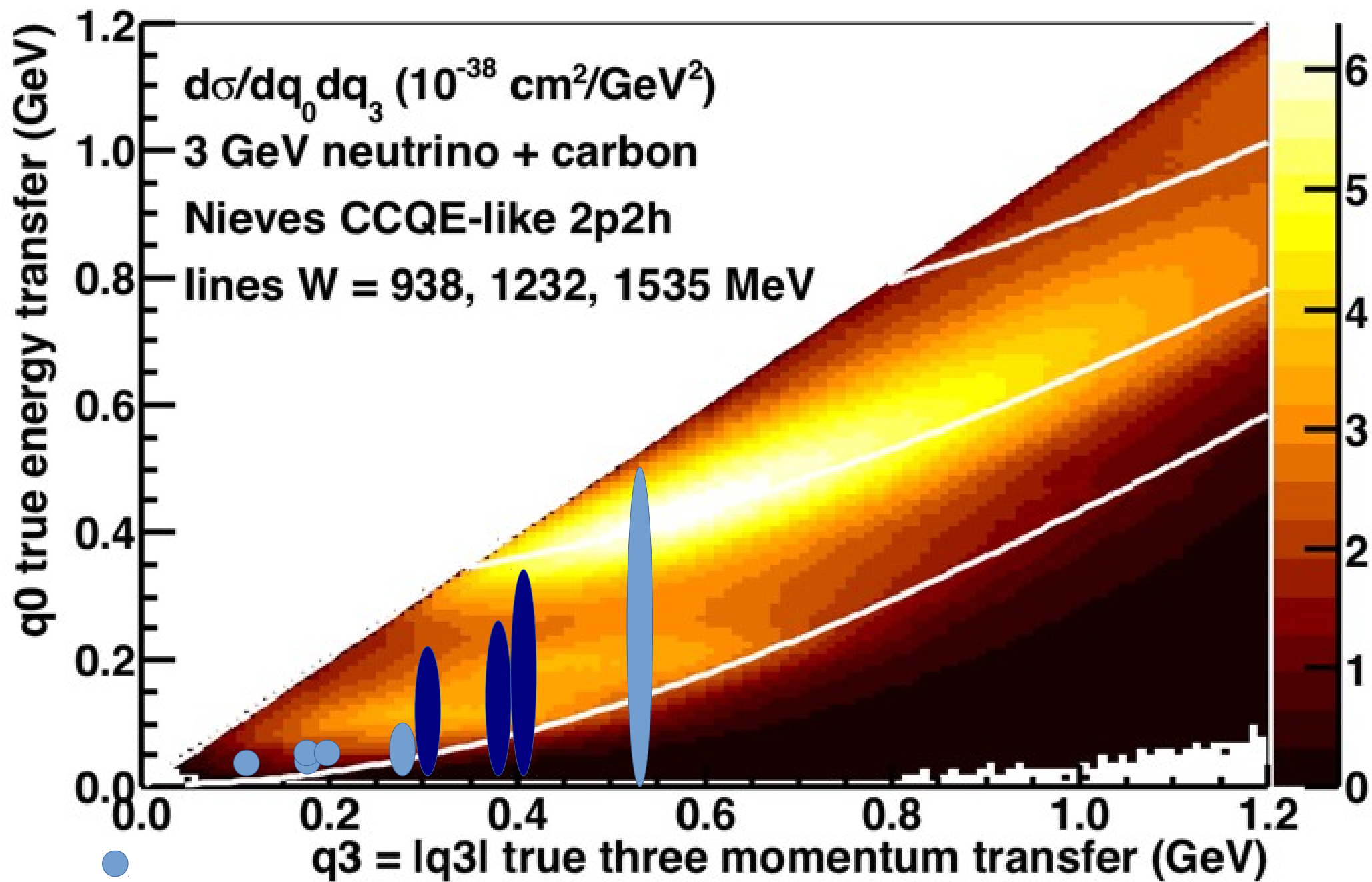
# Systematic errors on the reconstructed distribution



- Total Error
- Flux
- Muon Energy
- Geant4
- RPA
- ..... Statistical
- Hadronic Energy
- FSI
- Interaction model
- resMA is large

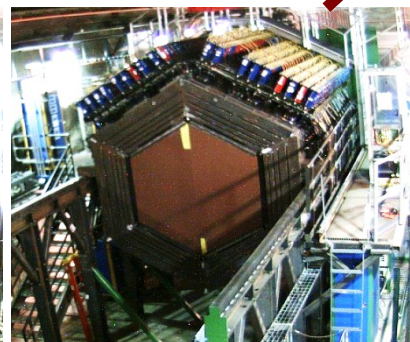
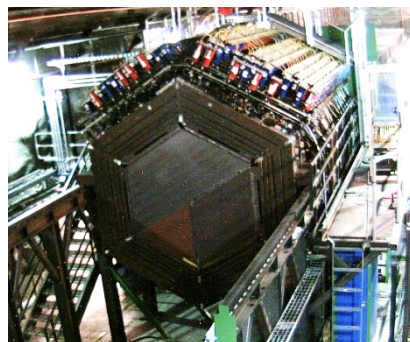
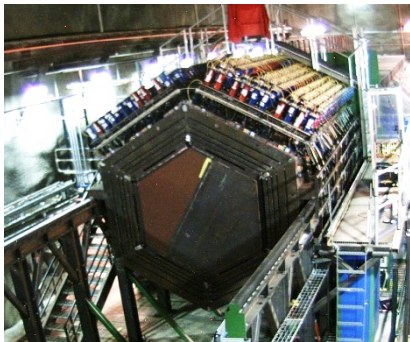
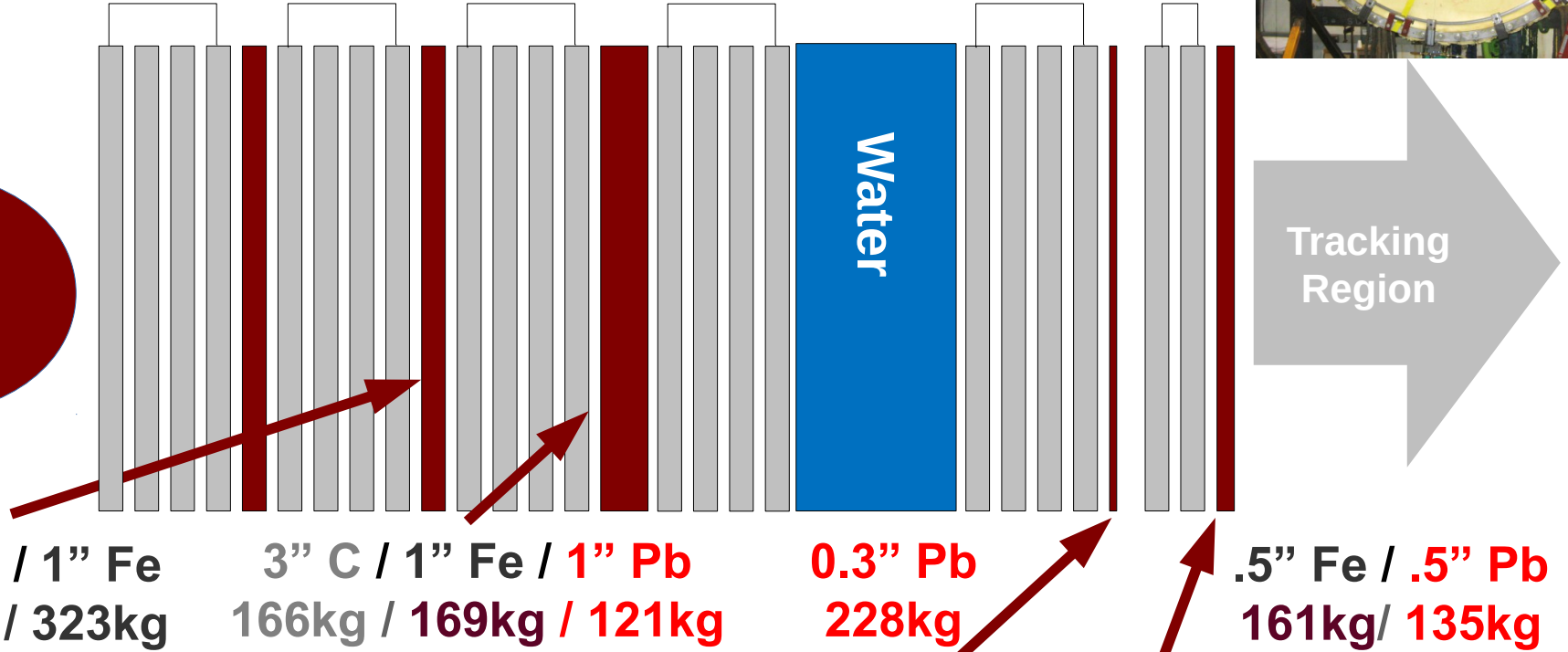
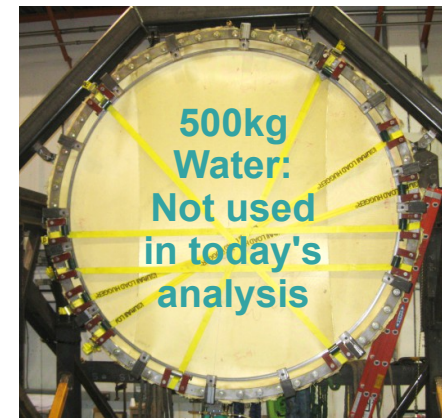
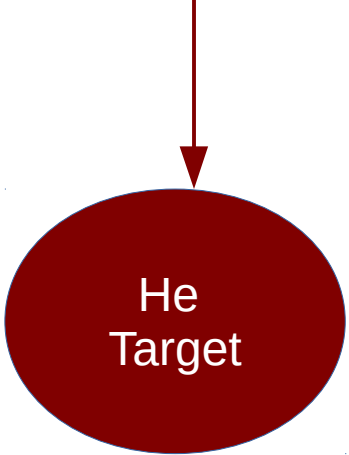
No error assigned  
to models being tested:  
2p2h tune variations  
MINOS low-Q2 resonance

Trash slides





# Passive targets region

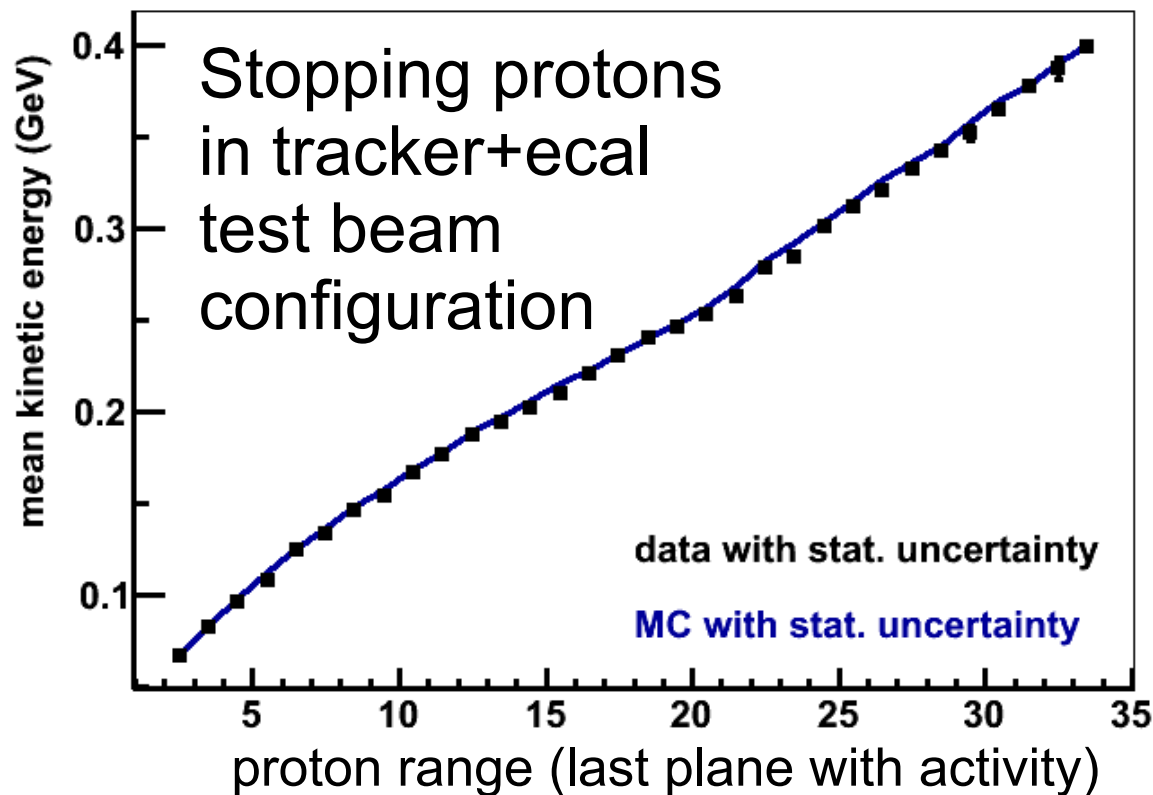


These lower four are included in the result presented today

# Calorimetry constraints < 2 GeV from test beam data

Constrains Geant4 and Detector calorimetric response  
4% for protons, pions < 2 GeV and 3% electrons ~ 0.5 GeV  
Resolutions are well described  
(also in-situ constraint from  $\pi^0$  invariant mass peak)

test beam proton energy vs. Range



Data (stat err too small to see)  
MC prediction (not a fit)  
Stopping proton range  
reproduced by MC  
off by only 1.3%

Also Birks' Law parameter  
scintillator response  
determined from the  
Bragg peak at end of  
these stopping protons

Mass model (g/cm<sup>2</sup>) corrected 2% after destructive test

# take-home message for neutrino oscillation enthusiast

Starting with a global Fermi gas  
adding the RPA effect  
adding the Valencia 2p2h model  
augmenting it with additional cross section strength  
in the dip region...

describes the neutrino data at the 10% level (not perfect)  
and simultaneously describes anti-neutrino data well  
up to the Delta.

This is true despite going from neutron-poor to  
neutron-rich final states affecting the  
energy in the anti-nu final hadronic system.

# take-home message for neutrino interaction enthusiast

This way of describing the inclusive event rate has the same kinematic expression as  $(e,e')$  data and the same basic interpretation despite the challenges with neutrino beams and reconstructing a hadron system.

describes the neutrino data at the 10% level and simultaneously describes anti-neutrino data well

But at the moment no immediate, unique solution to improve this distribution still further.

Many models y'all will show this week have 5 to 10% effects for these samples.

Will discuss a synthetic example, 2p2h tune, in a few slides.

no evidence of  
Bragg peak from  
untrackable  
proton stub at  
interaction point...

...so unseen(?) neutron  
carrying momentum this way?

~10 GeV  $\mu^-$  goes into MINOS

Tiny little brem

~300 MeV stopping  $\pi^+$

More?!

Can reconstruct  $e, \gamma$  and  $\pi^0$

Can track and PID protons

With ns timing, Kaons!

Neutrons! (see M. Elkins' Poster)

?  $\mu^+$

~800 ns  
later decay  
Michel  $e^+$   
candidate



36 cm

Neutrino DATA event from May 2010

25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115



(a)

An adorable kitten  
was photographed  
which was then smeared  
and then unfolded  
then printed in a book  
then scanned to jpg  
and displayed on a screen.



(b)



(c)

adorable  
unfolded  
kitten from  
Glen Cowan's  
Statistical Data  
Analysis book  
says...