

# Lattice QCD input for neutrino-nucleus interactions



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MIT

# Long-baseline neutrino experiments

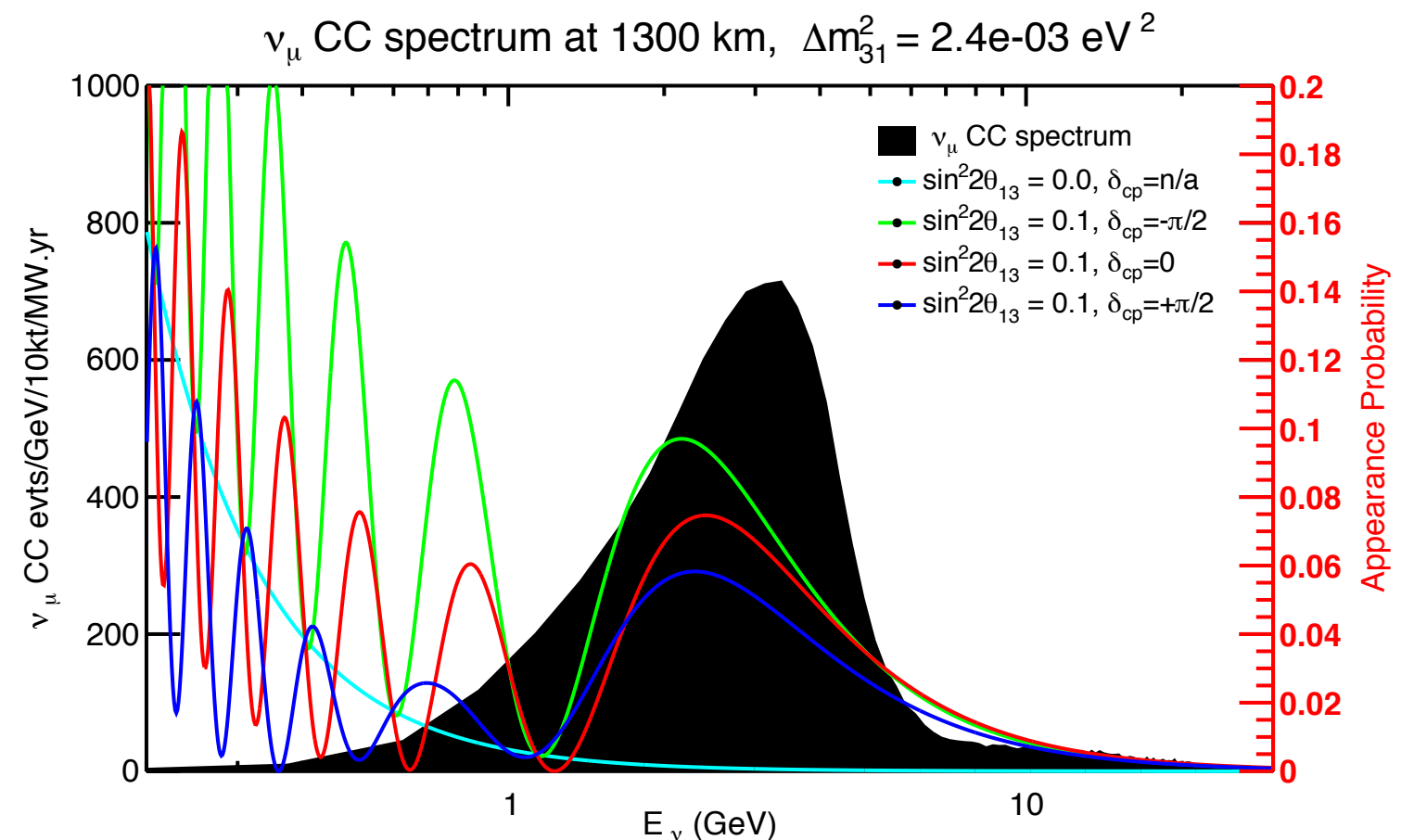
Neutrinos produced as secondary decay products of hadrons from primary reactions of protons with nuclei

➔ neutrino energy must be reconstructed event-by-event from the final state of the reaction

## DUNE

Need energy reconstruction to better than 100 MeV

Robust understanding of both nucleon and nuclear level amplitudes essential

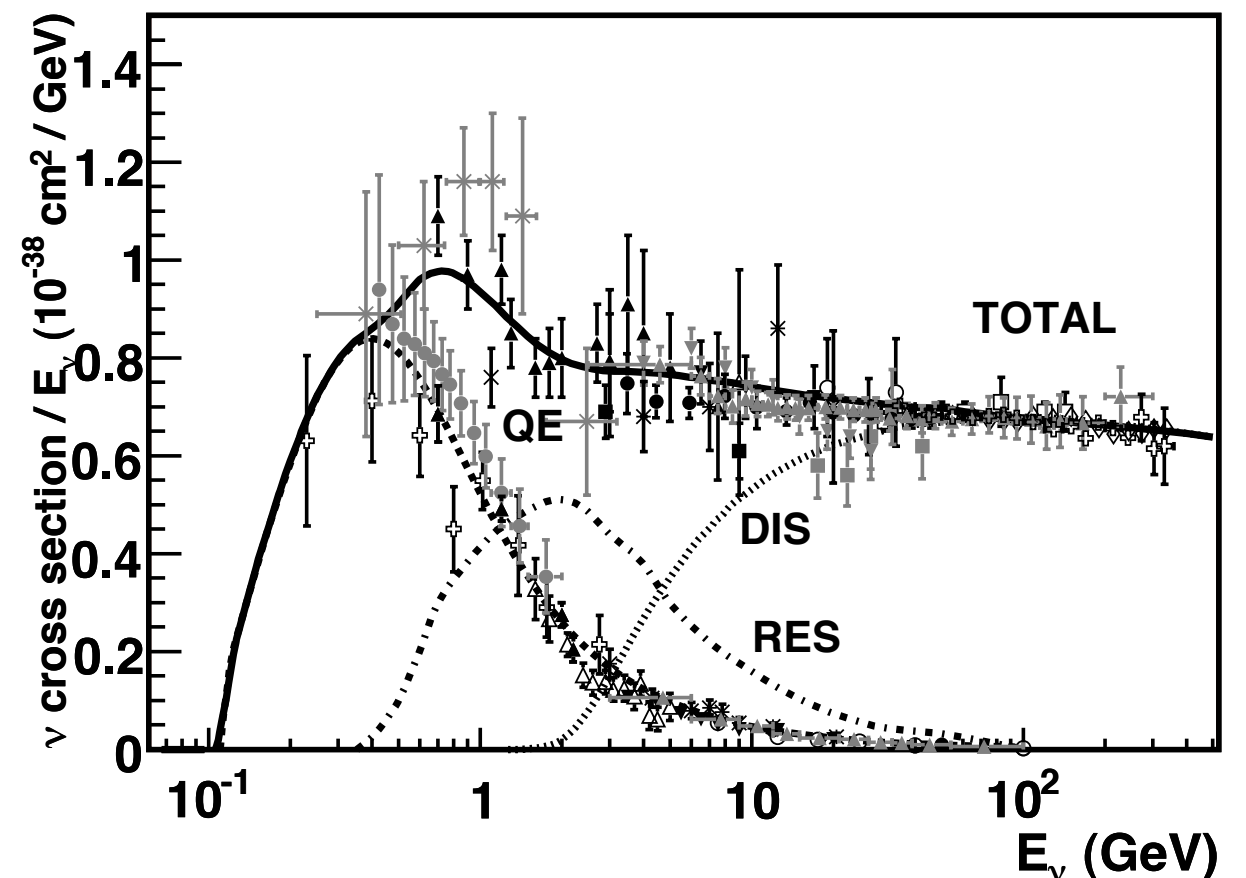


# Constraining $\nu$ -nucleus interactions

- For LBNEs neutrino energy distributions peak at 1-10 GeV
- Challenging region: several processes contribute
  - Quasielastic lepton scattering
  - Inelastic continuum / shallow-inelastic region
  - Resonances
- Lattice QCD can provide direct non-perturbative QCD predictions of nucleon and nuclear matrix elements

## Neutrino charged-current cross-section

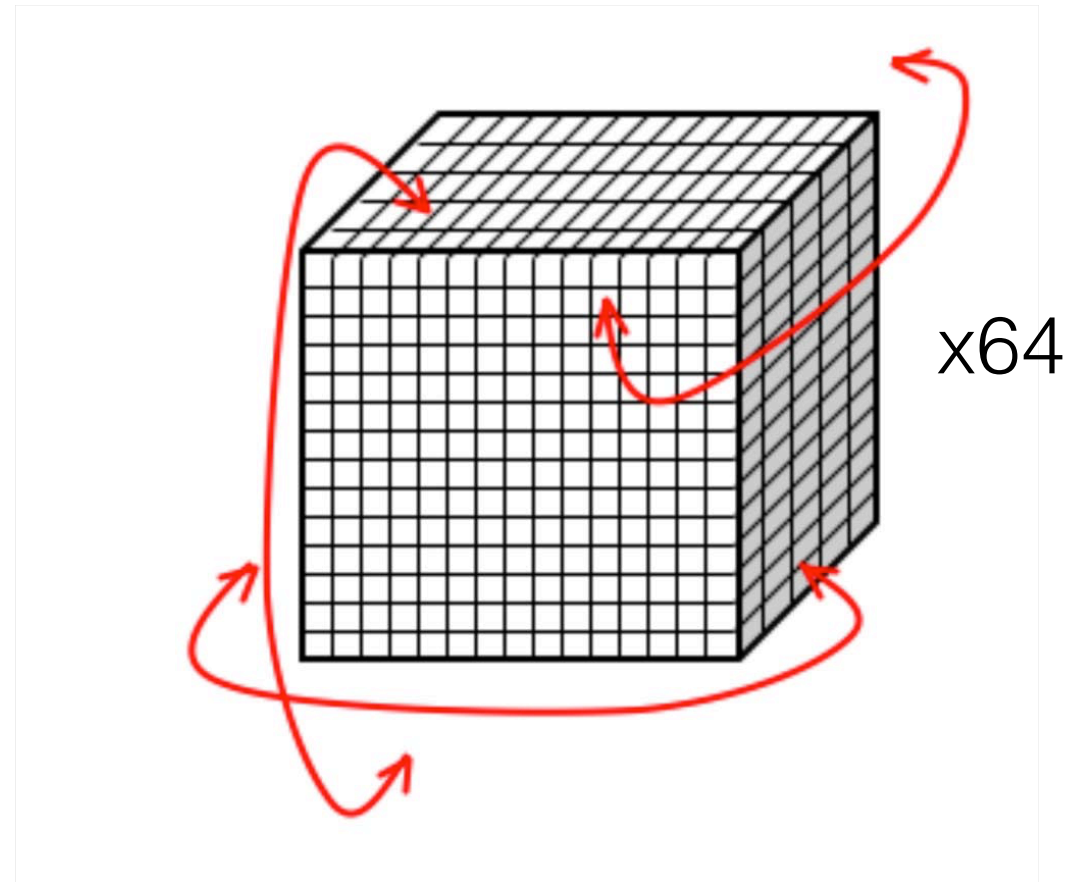
J.A. Formaggio, G.P. Zeller, Rev. Mod. Phys. 84 (2012) 1307



# Lattice QCD

## Calculate matrix elements directly from QCD

- Numerical first-principles approach
- Euclidean space-time  $t \rightarrow i\tau$ 
  - Finite lattice spacing  $a$
  - Volume  $L^3 \times T \approx 32^3 \times 64$
  - Boundary conditions
- Finite but large number of d.o.f



Approximate the QCD path integral by **Monte Carlo**

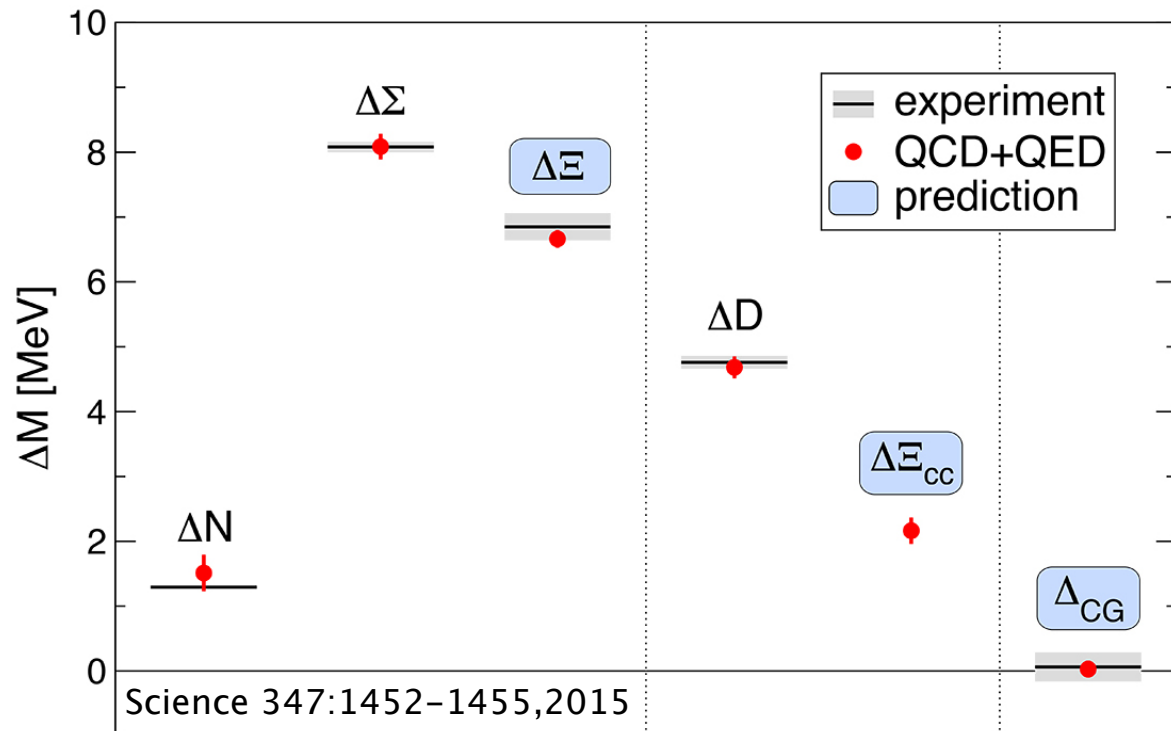
$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{O}[A, \bar{\psi}\psi] e^{-S[A, \bar{\psi}\psi]} \rightarrow \langle \mathcal{O} \rangle \simeq \frac{1}{N_{\text{conf}}} \sum_i^{N_{\text{conf}}} \mathcal{O}([U^i])$$

with field configurations  $U^i$  distributed according to  $e^{-S[U]}$

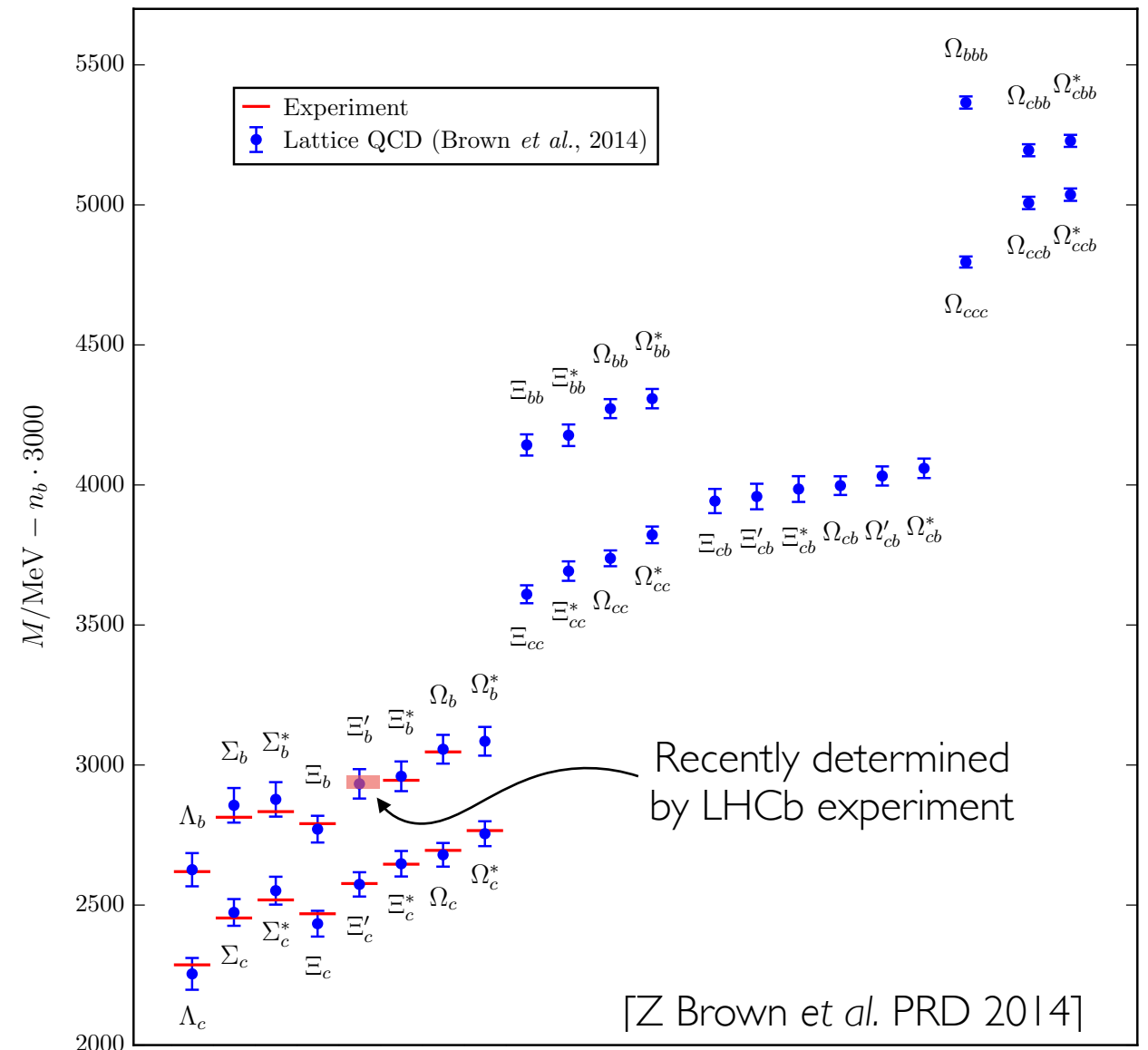


# Lattice QCD works

- Ground state hadron spectrum reproduced
- p-n mass splitting reproduced
- ...



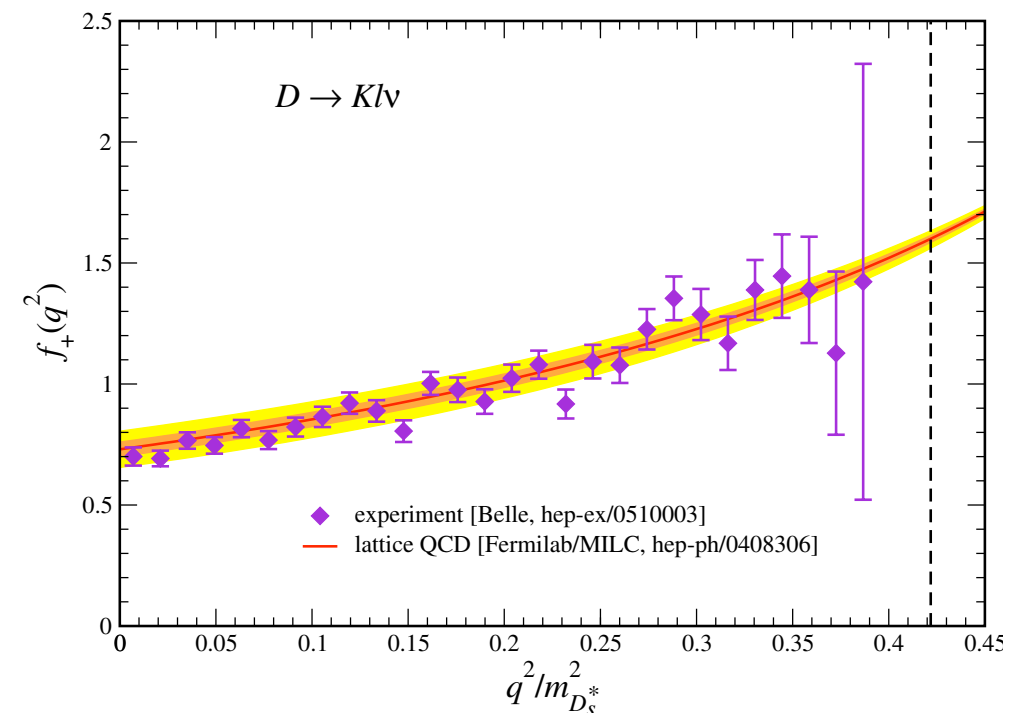
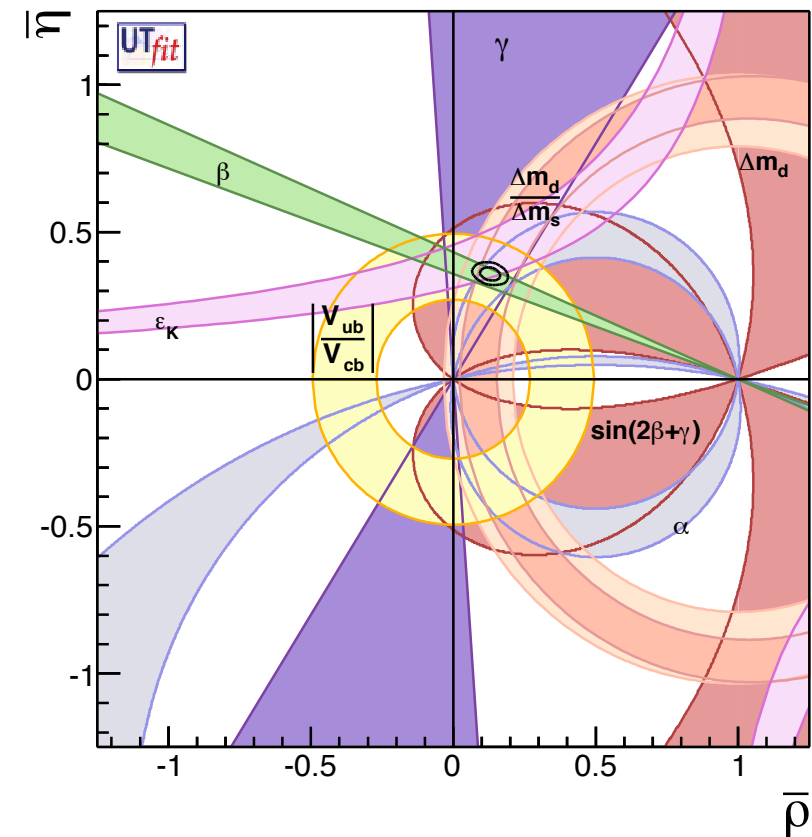
- Predictions for new states with controlled uncertainties



# Lattice QCD for flavour physics

- For simple observables LQCD is precision science
  - Combine with experiment to determine SM parameters
  - Verify CKM paradigm
- SM predictions with reliable uncertainty quantification
- I.e., LQCD has had significant impact in flavour physics

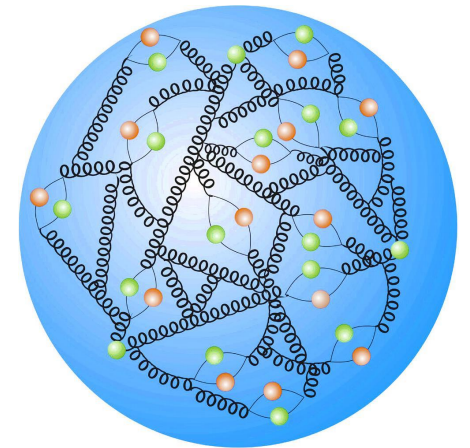
Impact on neutrino program:  
a current challenge to LQCD



# LQCD input for $\nu$ -nucleus interactions

1. Directly access QCD single-nucleon form factors without nuclear corrections

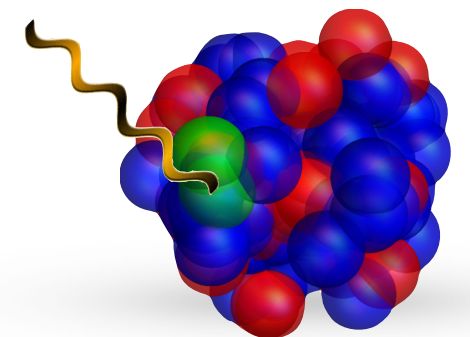
Reliable calculations with fully-controlled uncertainties



2. Calculate matrix elements in light nuclei from first principles

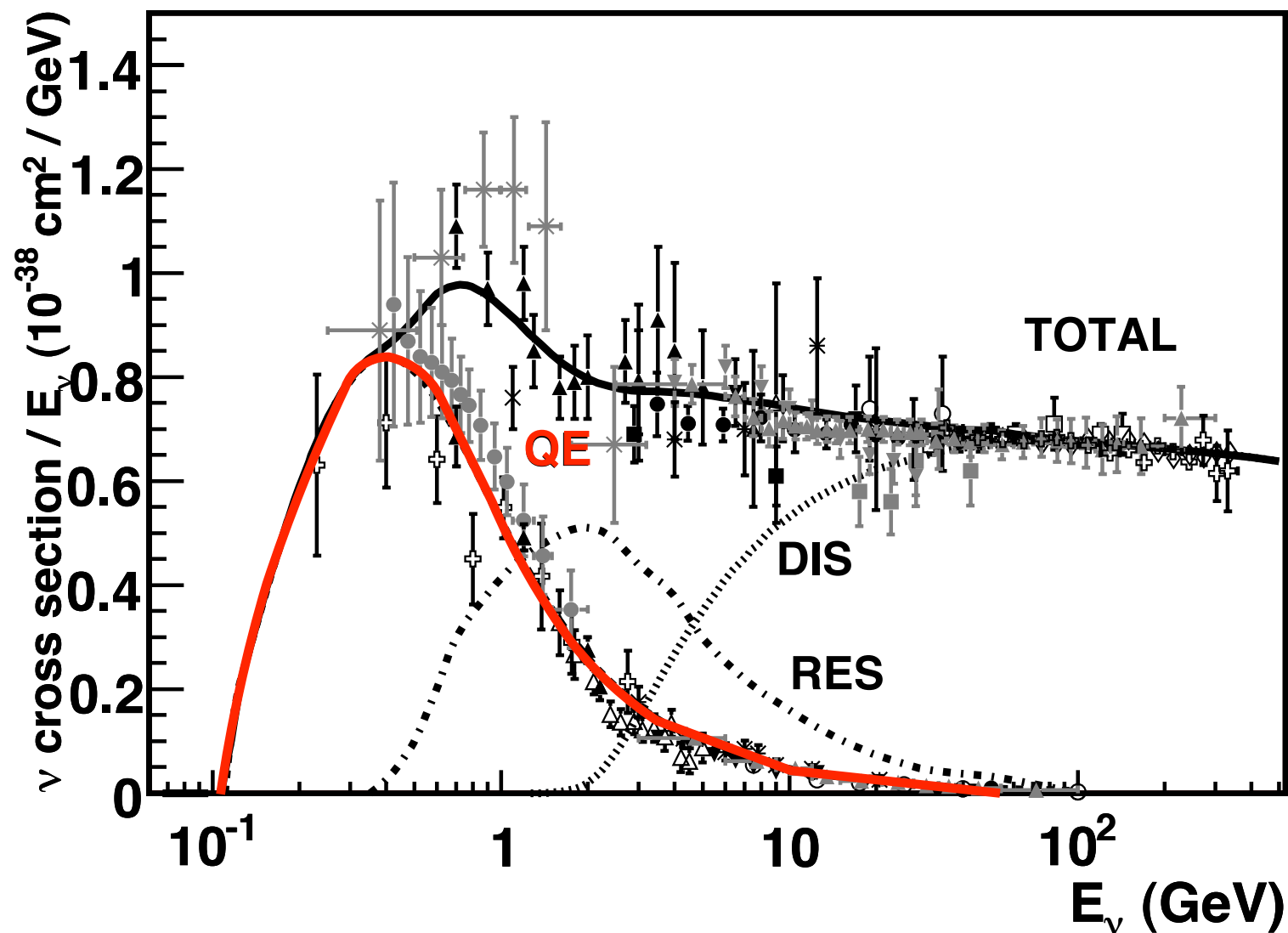
➔ EFT to reach heavy nuclear targets relevant to experiment

First calculations of axial charge of light nuclei



# Constraining $\nu$ -nucleus interactions

Neutrino charged-current  
cross-section



# Quasi-elastic scattering

- Cross-section for quasi-elastic neutrino-nucleon scattering

$$\frac{d\sigma}{dQ^2} = \frac{G_f^2 M^2 \cos^2 \theta_C}{8\pi E_\nu^2} \left[ A \mp \frac{(s-u)}{M^2} B + \frac{(s-u)^2}{M^4} C \right]$$

$$A = \frac{(m^2 + Q^2)}{M^2} [(1 + \tau) G_A^2 - (1 - \tau) F_1^2 + \tau(1 - \tau) F_2^2 + 4\tau F_1 F_2$$

$$- \frac{m^2}{4M^2} \left( (F_1 + F_2)^2 + (G_A + 2G_P)^2 - \left( \frac{Q^2}{M^2} + 4 \right) G_P^2 \right)]$$

$$B = \frac{Q^2}{M^2} G_A (F_1 + F_2)$$

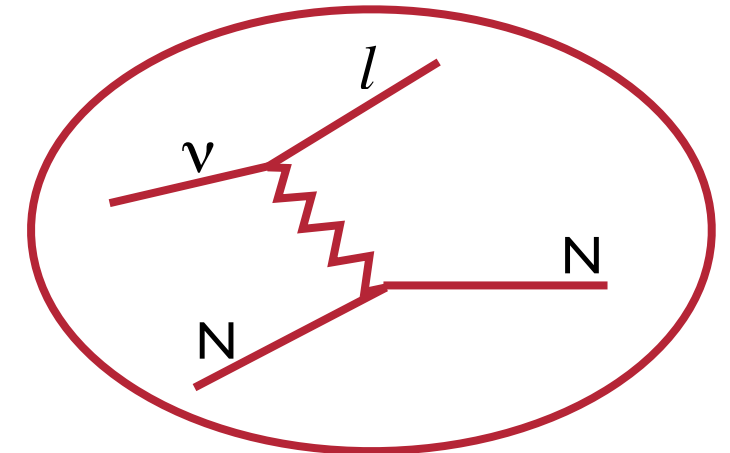
$$C = \frac{1}{4} (G_A^2 + F_1^2 + \tau F_2^2)$$

$G_A$

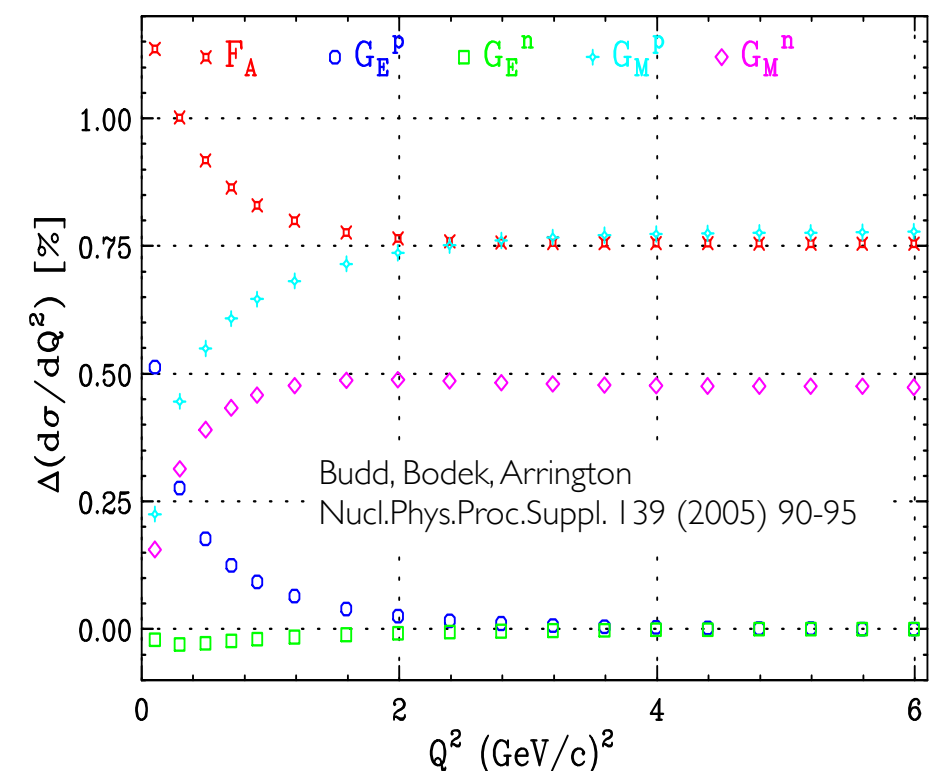
- dominant contribution
- largest uncertainty

$F_{1,2}$  Well-determined from electron scattering expts

$G_P$  can be related to  $G_A$  by pion pole dominance



QE,  $\nu_\mu$ ,  $\Delta(d\sigma/dQ^2)$  [%] for 1% Change in FF,  $M_A=1$





# Axial form factor

- Traditionally assumed to have dipole form

$$G_A(Q^2) = \frac{g_A}{(1 + Q^2 / M_A^2)^2}$$

- $g_A = 1.2671$  determined with high precision from nuclear beta decay
- axial mass  $M_A$  must be determined experimentally

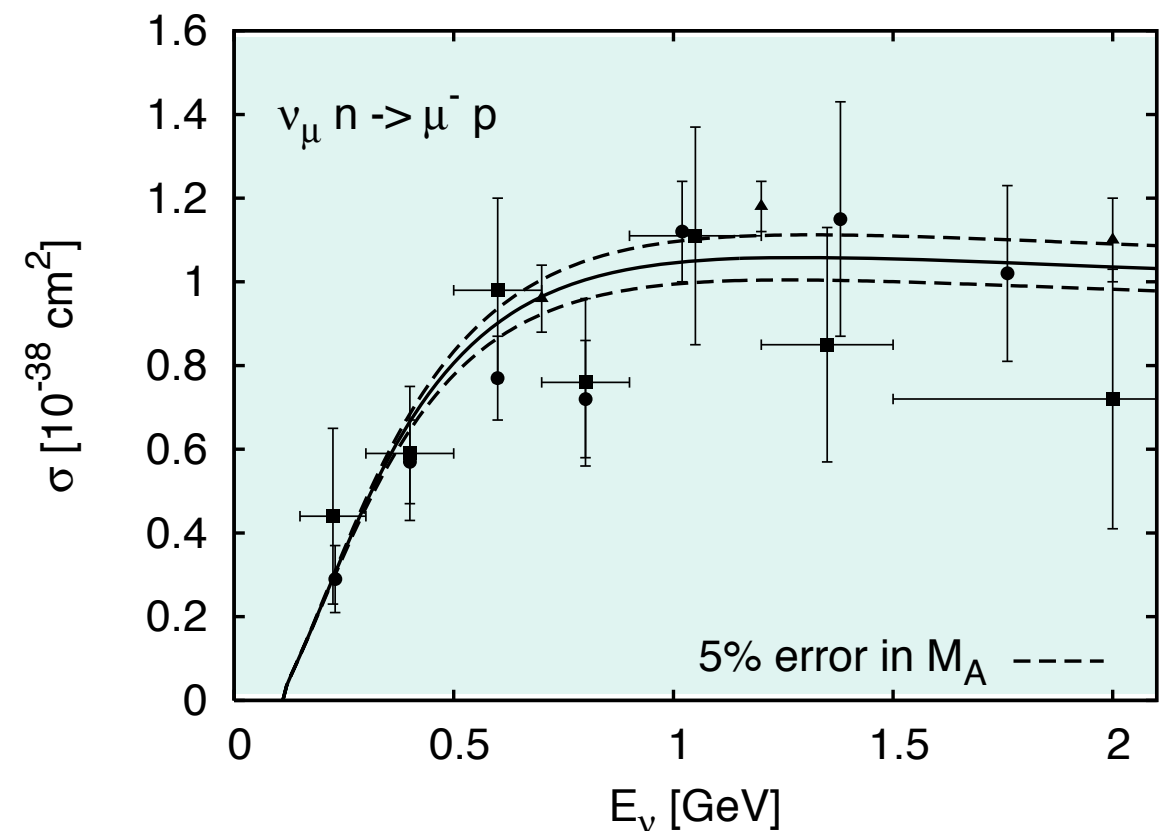
**BUT**

- Electromagnetic FFs show significant deviation from dipole parametrisation form

More general alternatives

- Model-indep z-expansion  
Bhattacharya et al, Phys.Rev. D84 (2011) 073006
- Direct LQCD results

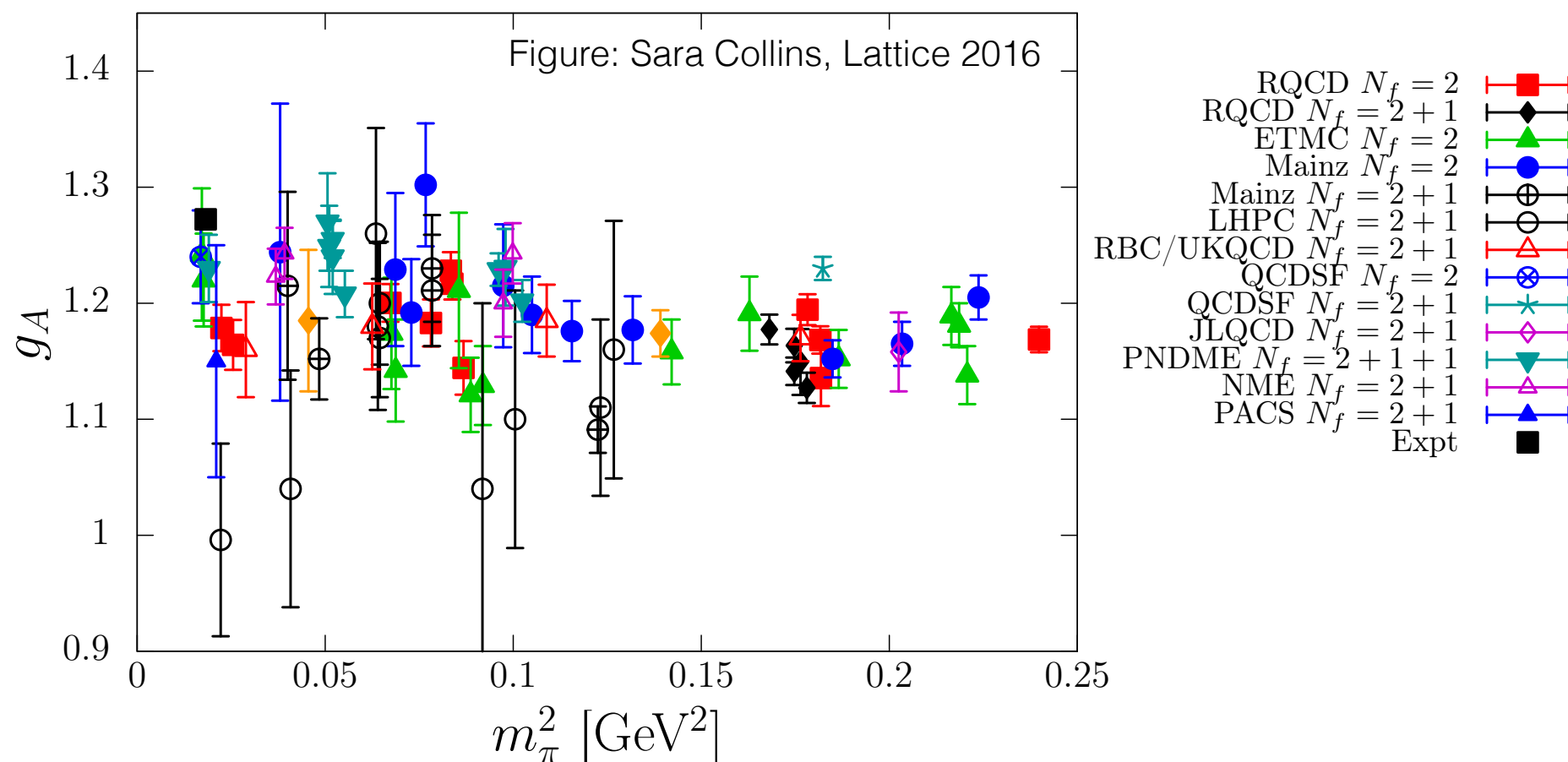
Total QE cross-section sensitive to the axial mass:



Mosel, Ann. Rev. Nucl. Part. Sci. 66, 171 (2016)

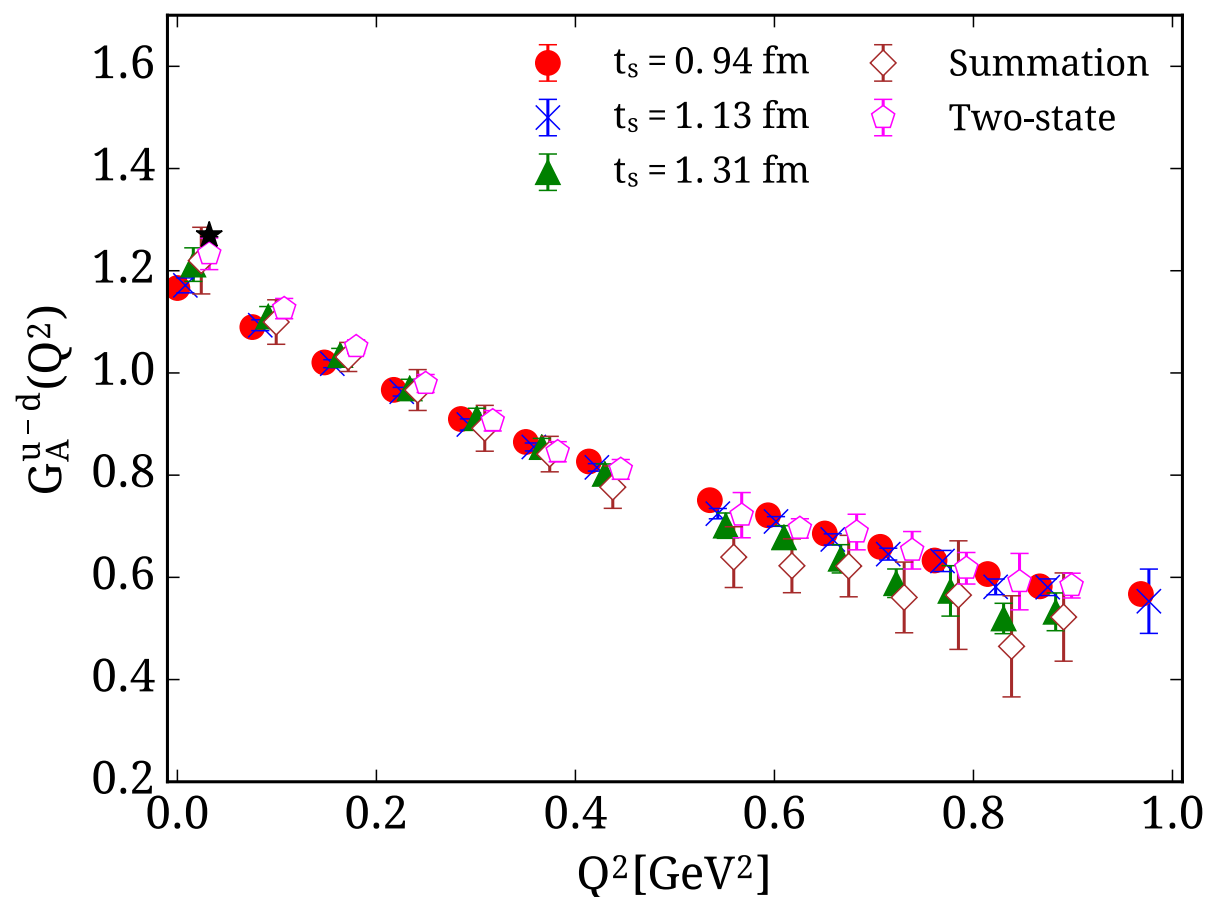
# Nucleon Axial FFs from LQCD

- $g_A = G_A(Q^2 = 0)$  is a historically difficult calculation
- Recent calculations in agreement with experiment with fully-controlled uncertainties
- $Q^2$  -dependence well-determined in LQCD — competitive with experiment
- z-parameterisations remove assumption of dipole form

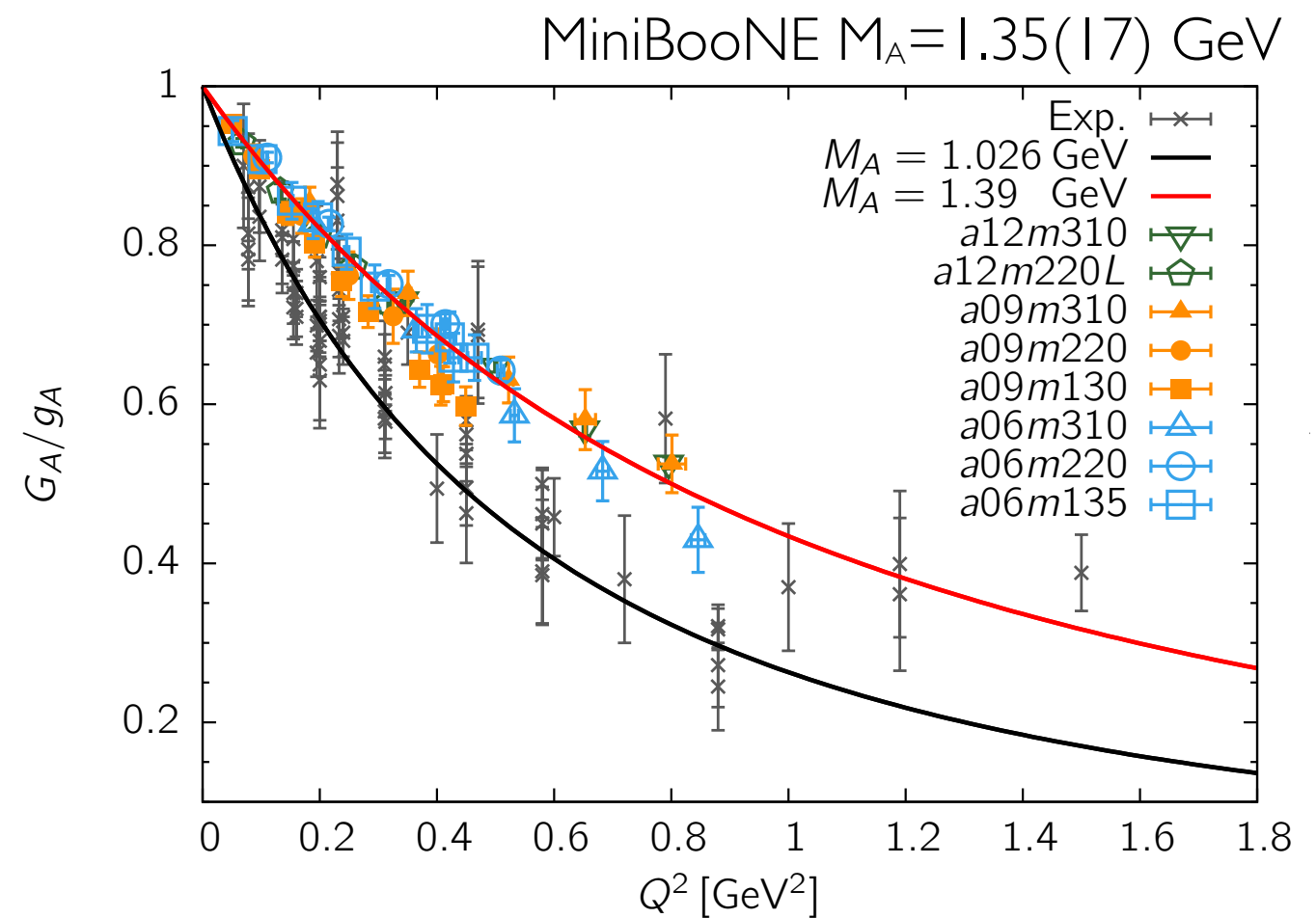


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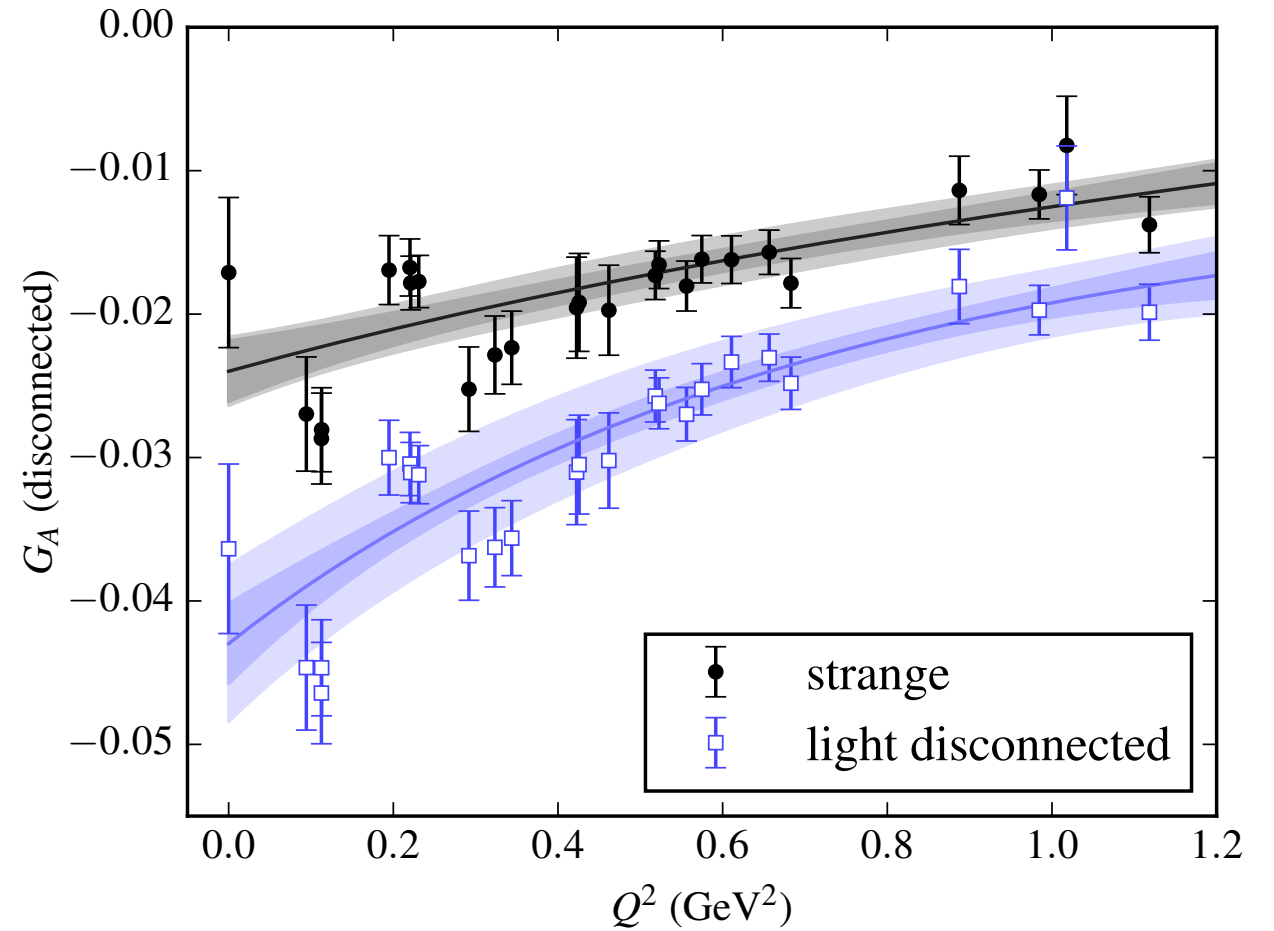
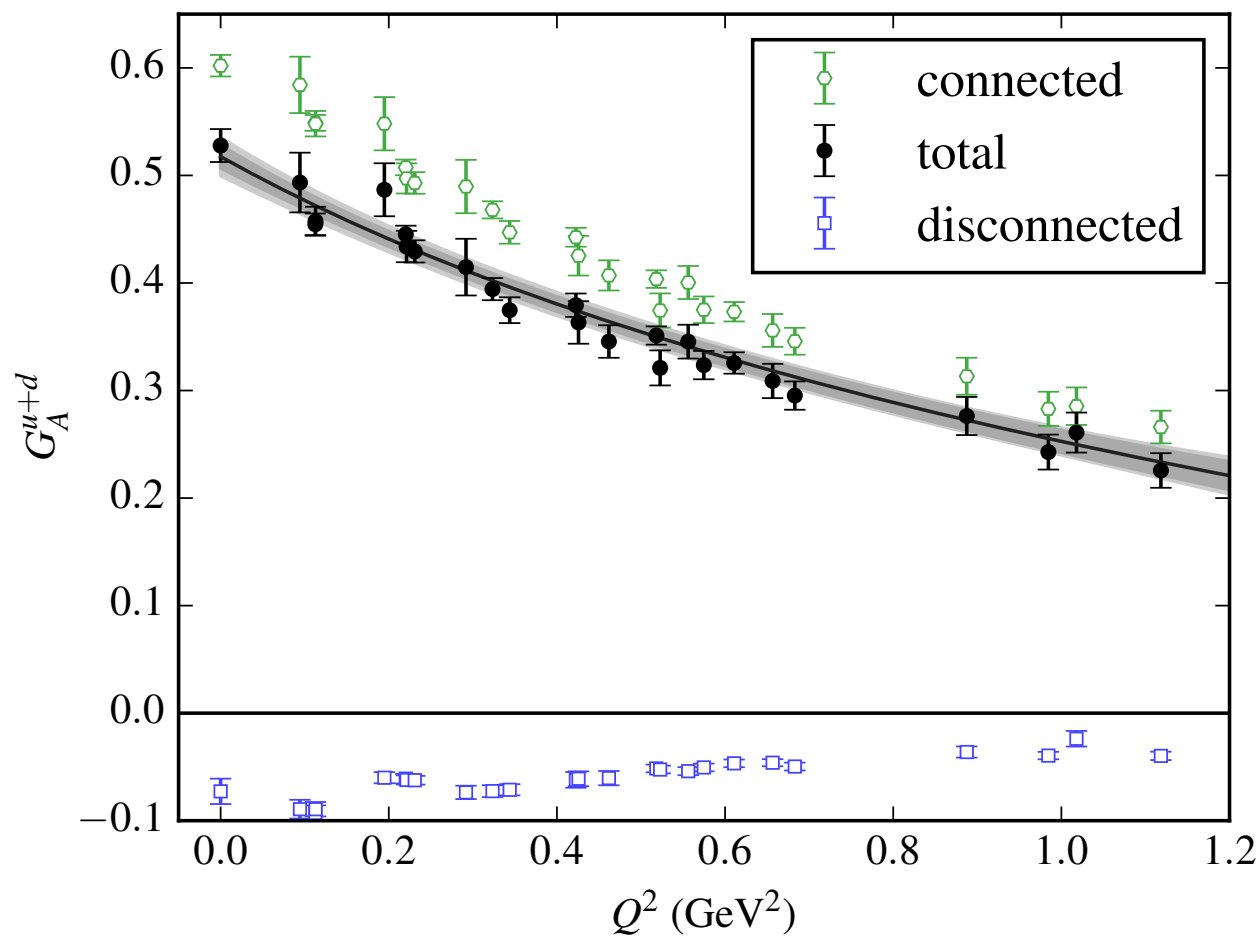
Alexandrou et al., arXiv:1705.03399



Gupta et al., arXiv:1705.06834

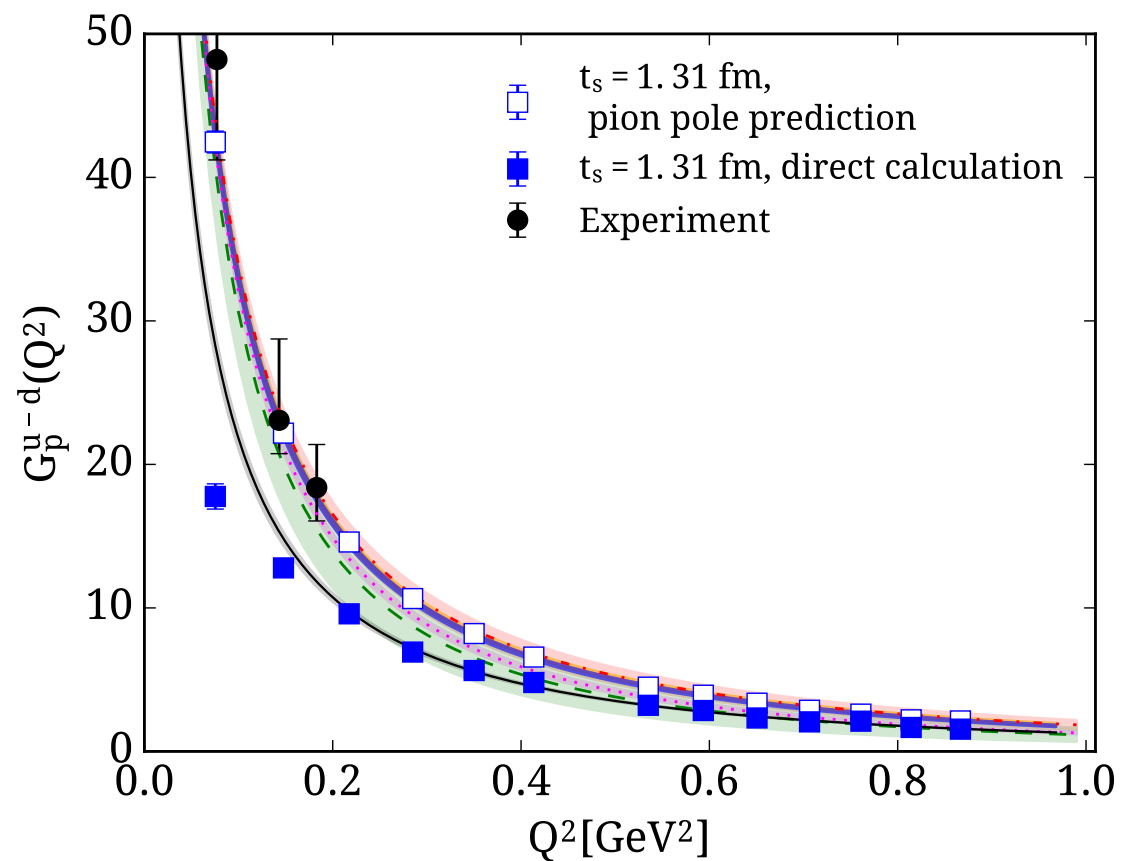
# Nucleon Axial FFs from LQCD

- Strange quark contributions determined separately and can be isolated



# Nucleon pseudoscalar FF

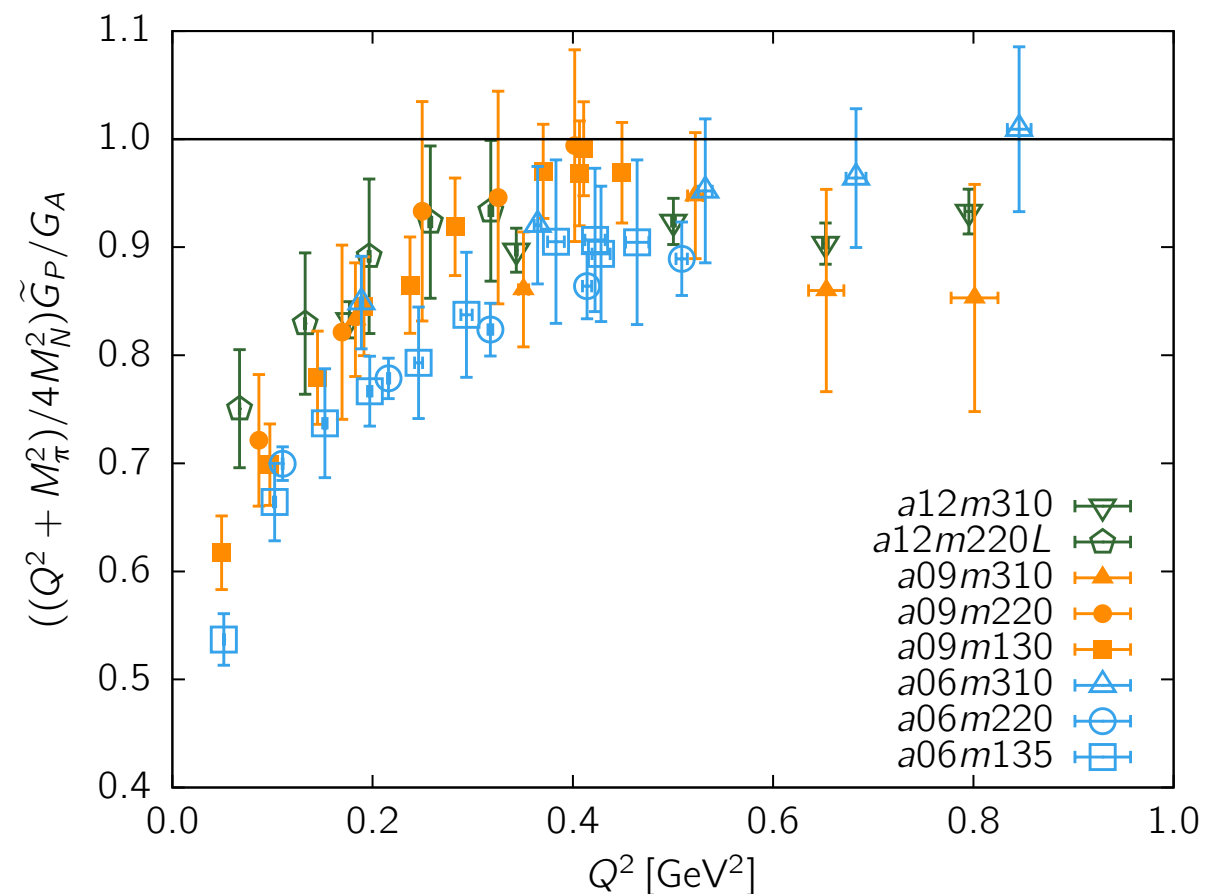
Calculations with controlled uncertainties in agreement with experiment



Alexandrou et al., arXiv:1705.03399

Deviations from pion-pole dominance ansatz at low- $Q^2$

$$\tilde{G}_P(Q^2) = G_A(Q^2) \left[ \frac{4M_N^2}{Q^2 + M_\pi^2} \right]$$



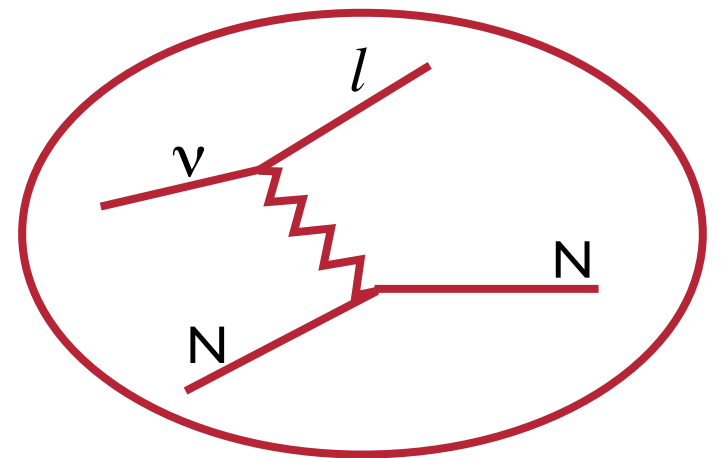
Gupta et al., arXiv:1705.06834



# Quasi-elastic scattering

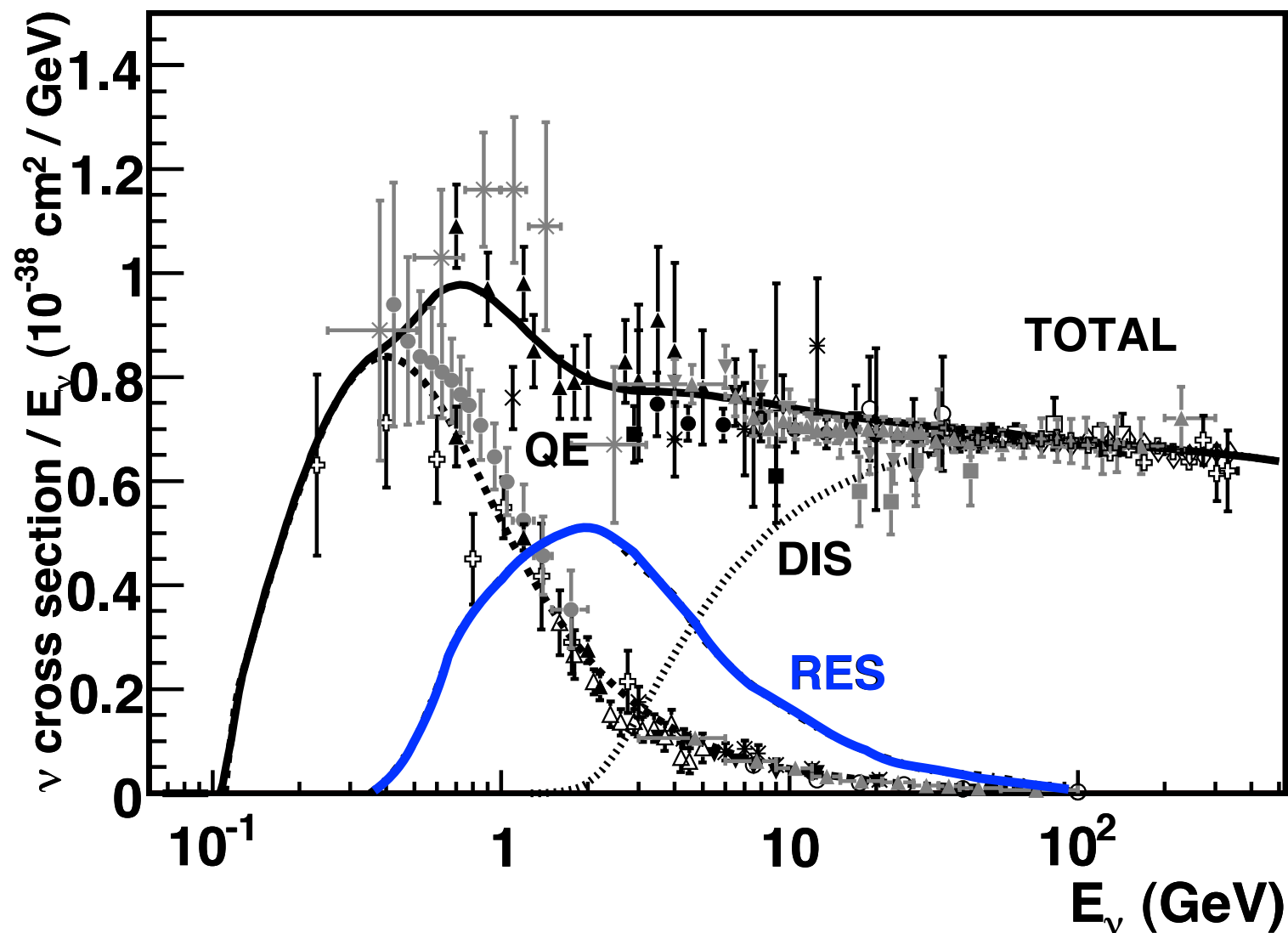
## LQCD input for the quasi-elastic scattering region:

- $Q^2$  dependence of nucleon axial form factor
  - fully-controlled uncertainties
  - competitive with experiment
  - z parameterisation removes assumption of dipole form
- Nucleon pseudo scalar form factor
  - fully-controlled uncertainties
  - competitive with experiment
  - deviations from pion-pole ansatz observed



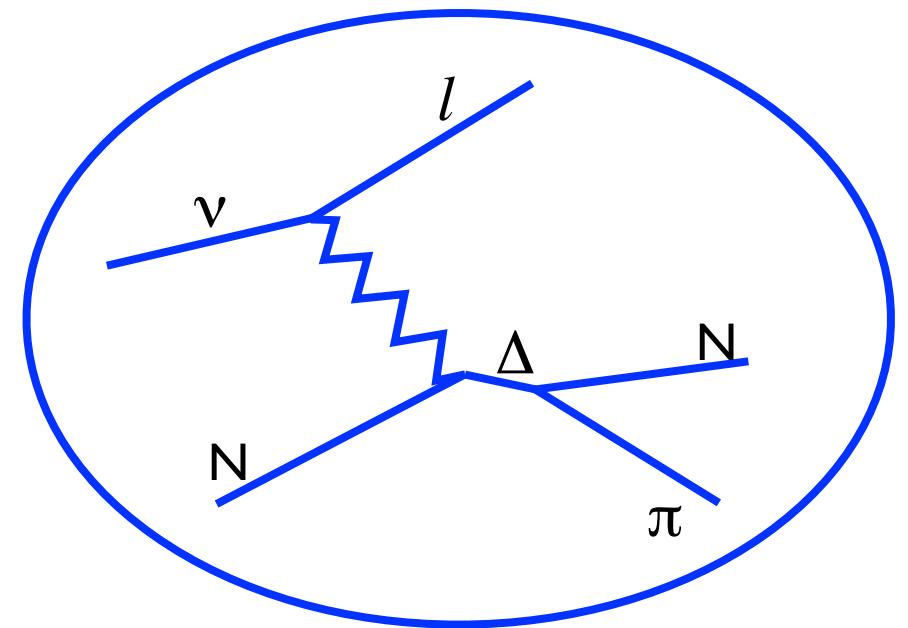
# Constraining $\nu$ -nucleus interactions

Neutrino charged-current  
cross-section



# Resonance region

- Energies above  $\sim 200$  MeV, inelastic excitations from pion production
- Dominant contribution from  $\Delta$  resonance
- $N^*$ 's also important at high  $E_\nu$
- Very difficult to access experimentally  
Constrained only from PCAC
- QCD calculations possible
- Need to account for unstable nature of resonance: extract  $N \rightarrow N\pi$  transition FFs

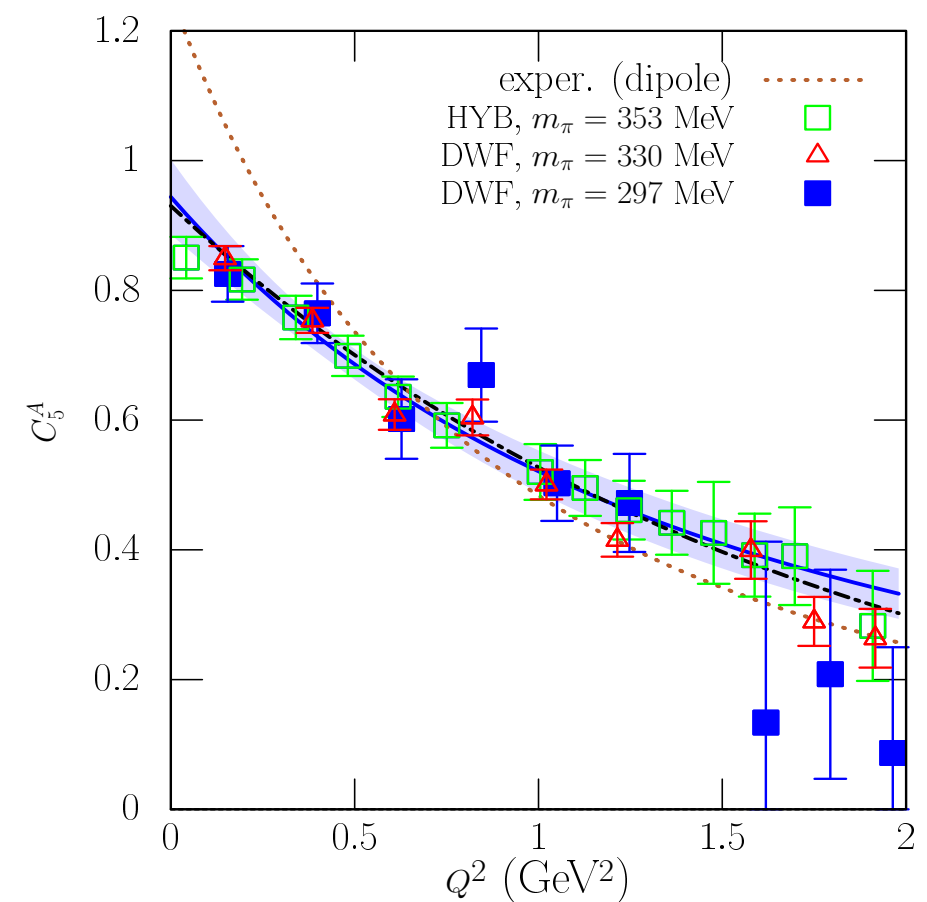
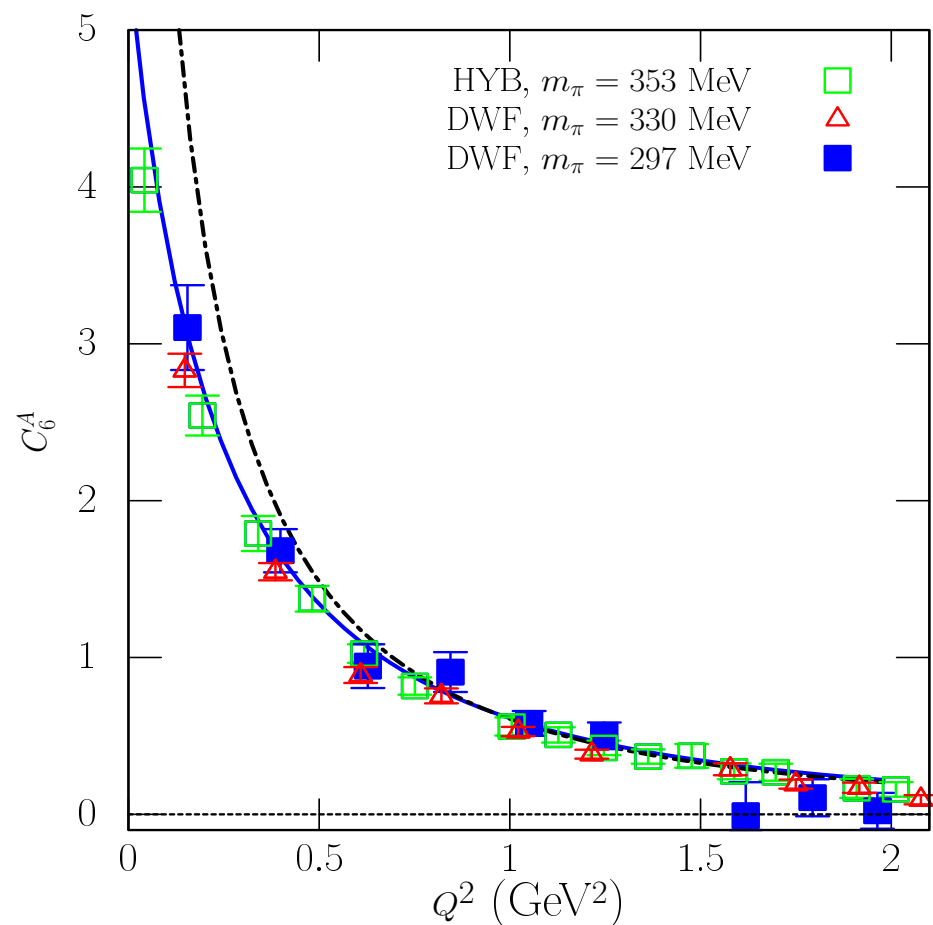


# Resonance region

Lattice QCD calculation of axial N  $\Delta$  transition form factor:

$$\langle \Delta(p', s') | A_\mu^3 | N(p, s) \rangle = i \sqrt{\frac{2}{3}} \left( \frac{m_\Delta m_N}{E_\Delta(\mathbf{p}') E_N(\mathbf{p})} \right)^{1/2} \bar{u}_{\Delta^+}^\lambda(p', s') \left[ \left( \frac{C_3^A(q^2)}{m_N} \gamma^\nu + \frac{C_4^A(q^2)}{m_N^2} p'^\nu \right) (g_{\lambda\mu} g_{\rho\nu} - g_{\lambda\rho} g_{\mu\nu}) q^\rho + C_5^A(q^2) g_{\lambda\mu} + \frac{C_6^A(q^2)}{m_N^2} q_\lambda q_\mu \right] u_P(p, s)$$

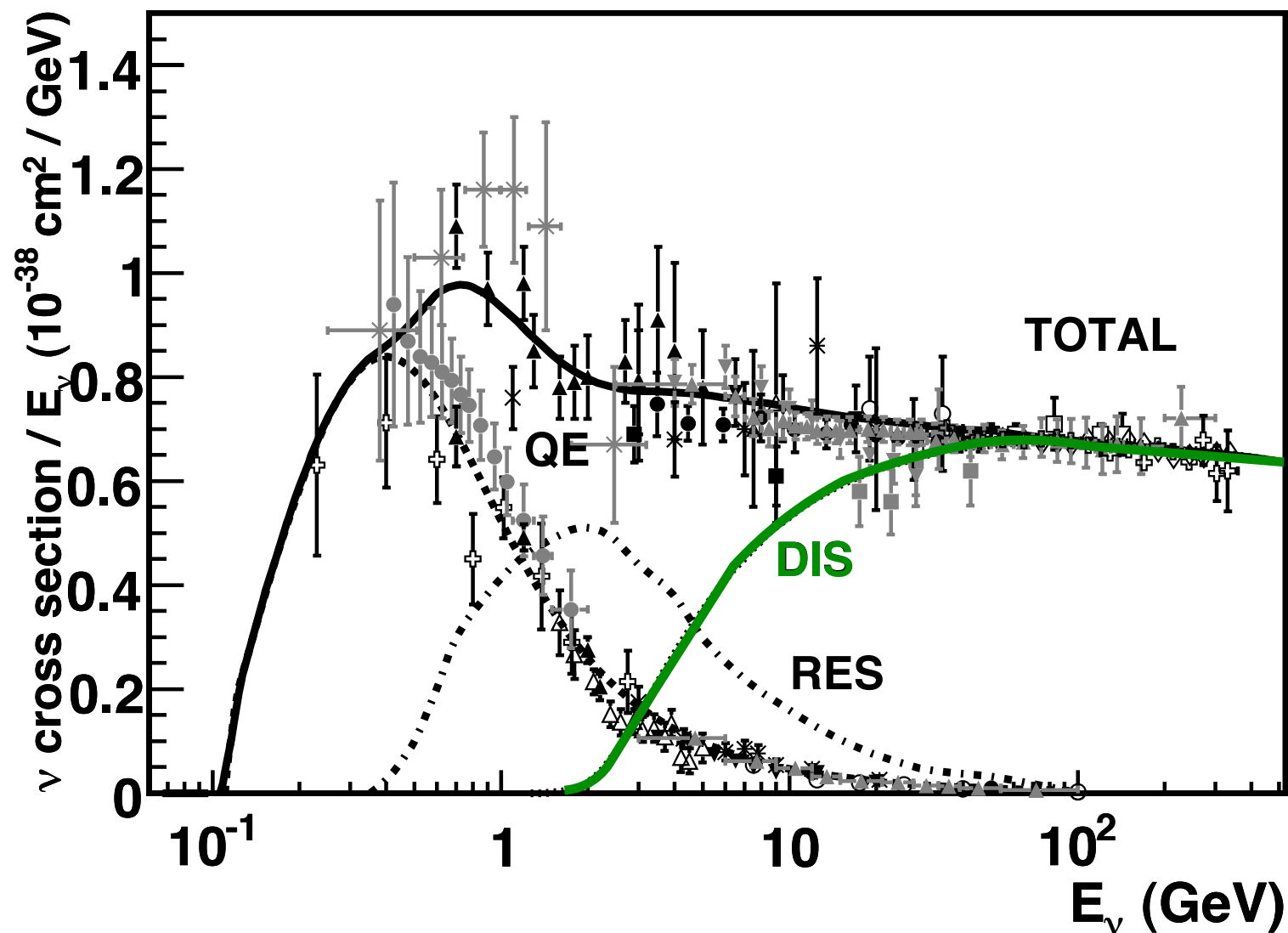
C Alexandrou et al., Phys.Rev. D83 (2011) 014501



**CAVEAT:** Complexities at physical point with unstable resonances

# Constraining $\nu$ -nucleus interactions

Neutrino charged-current  
cross-section



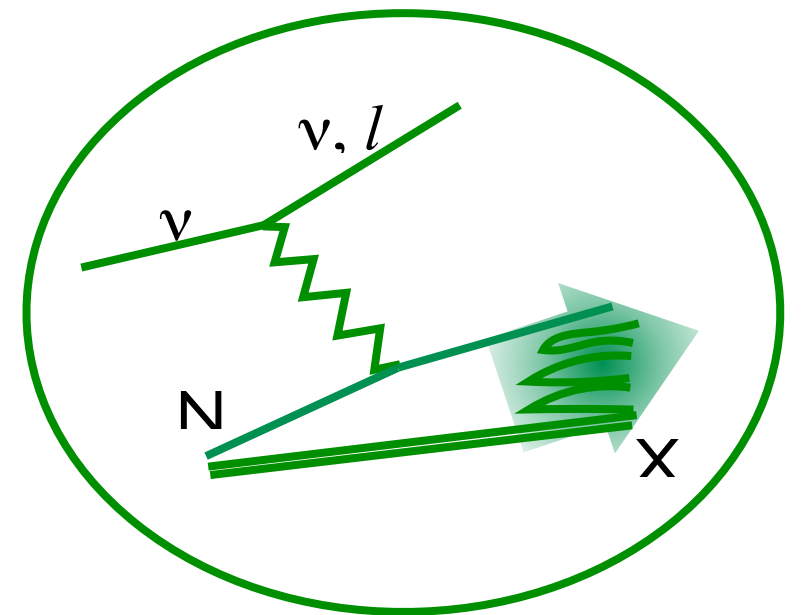


# Shallow inelastic region

- In inelastic regime, quark PDFs of the nucleon control scattering cross-section
- In shallow inelastic region, both resonances and DIS are important
- Multi-meson channels may become important
- Nuclear effects are different in  $\nu A$  vs.  $eA$  (MINER $\nu A$ )
- DIS structure functions accessible in lattice QCD
  - low moments of structure functions controlled

$$M_n = \int_{-1}^1 x^n f(x) dx, \quad n \lesssim 4$$

- x-dependence difficult but promising

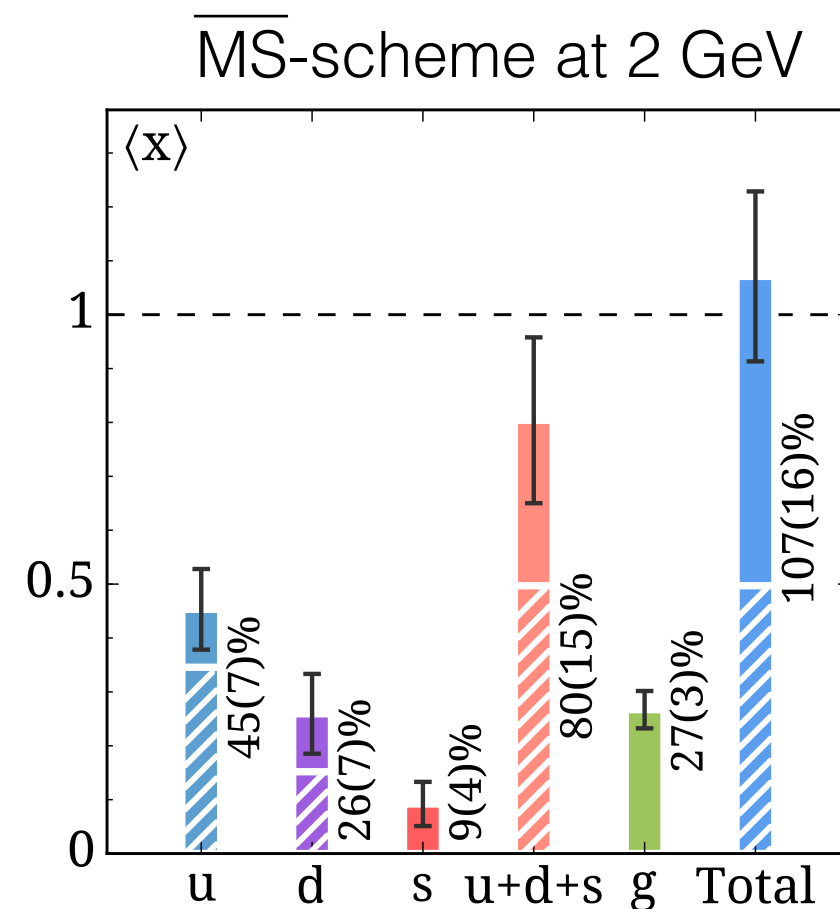


# Nucleon PDFs

- Lattice QCD typically calculates low moments of PDFs
- Can separate and isolate contributions from
  - Strangeness
  - Charge symmetry violation
  - Gluons

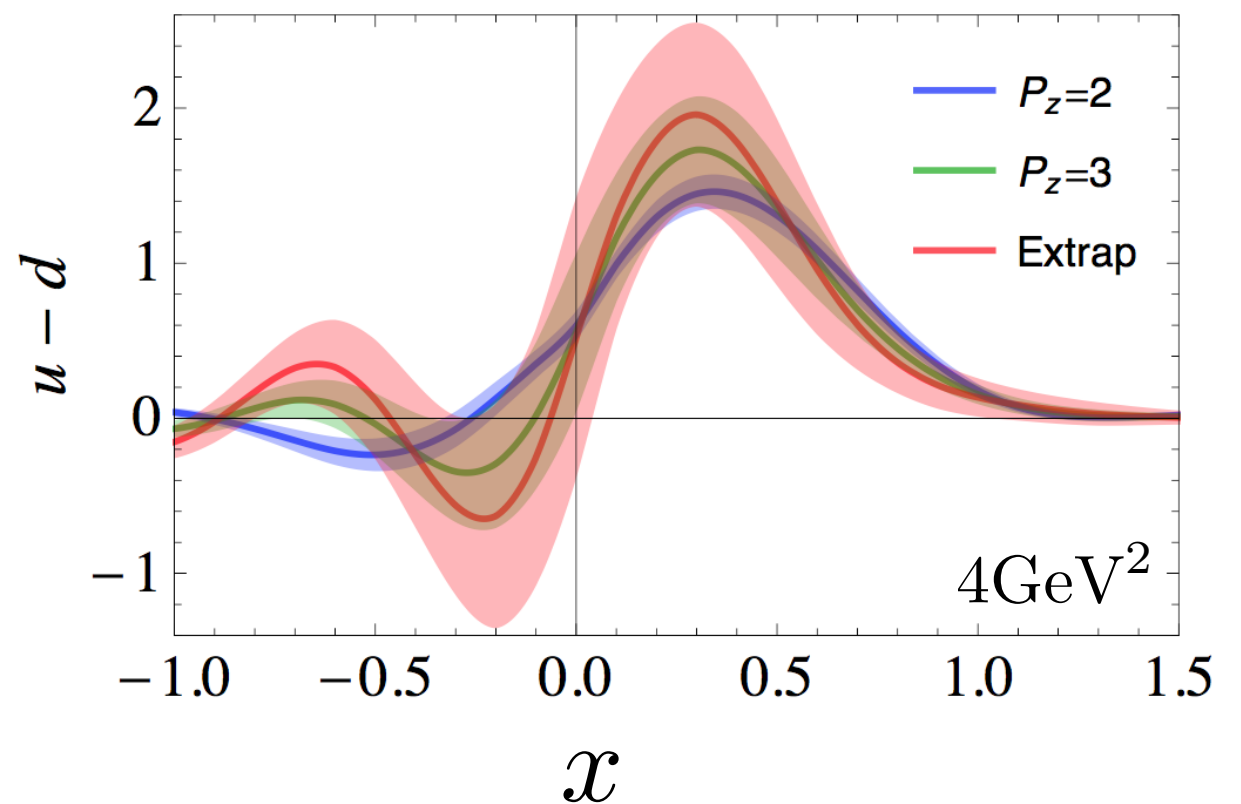
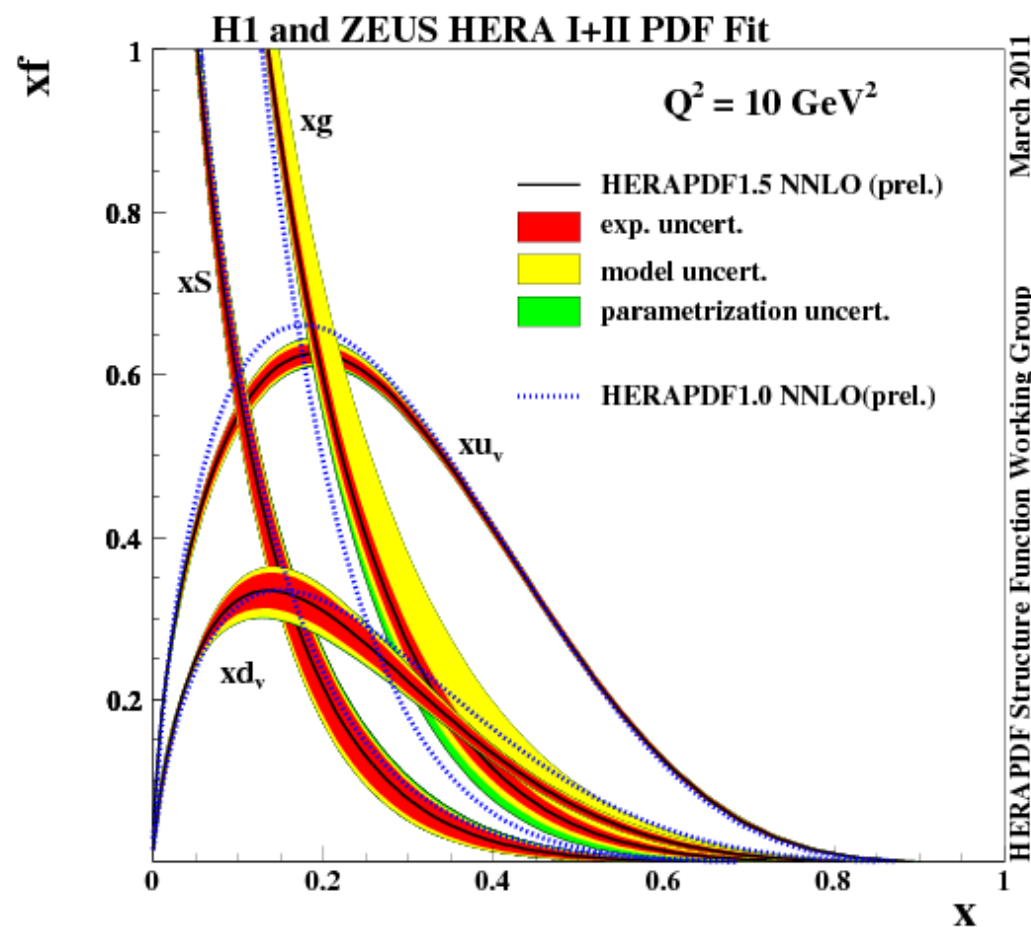
e.g., all terms of nucleon momentum decomposition calculated with controlled systematics

C. Alexandrou et al., arXiv:1706.02973



# Nucleon PDFs

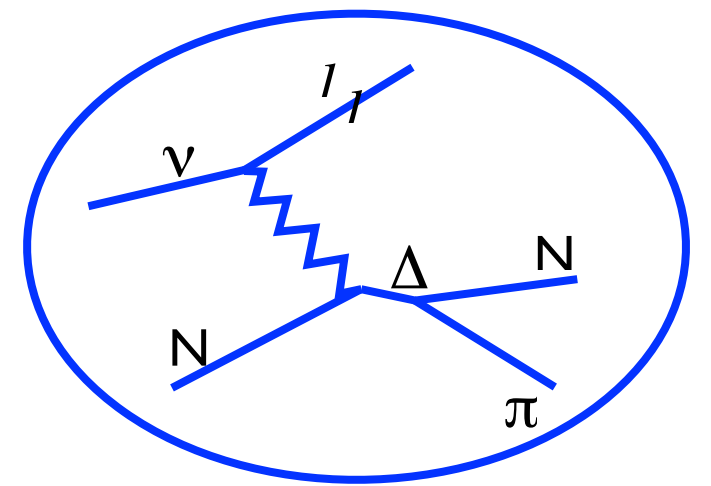
- First calculations of  $x$ -dependence of nucleon PDFs
- Rapid progress, but many systematics to be controlled
- Will not improve on experimental constraints in near future



# Resonance region

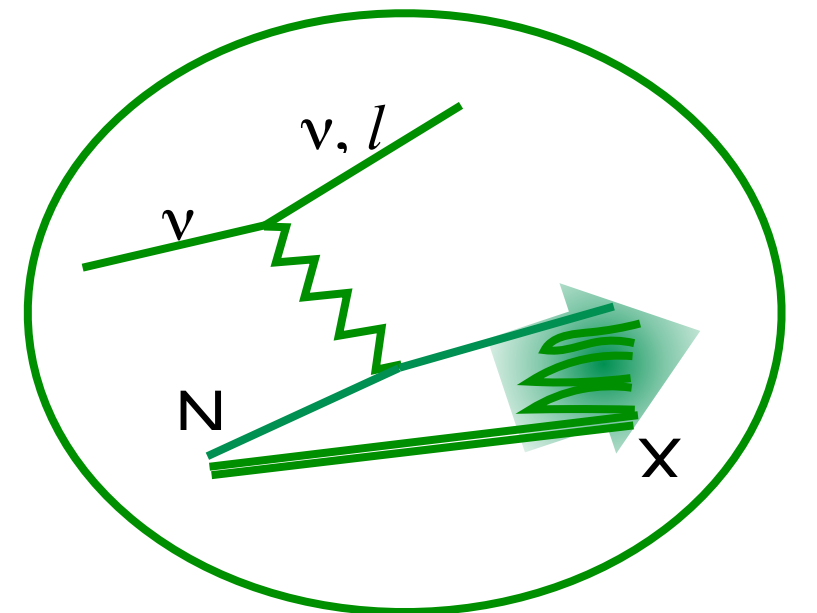
## LQCD input for the resonance region:

- First calculations of axial transition form factors
  - resonances difficult for lattice QCD
  - currently: uncontrolled systematic uncertainties, unphysical values of quark masses
  - formalism in place to move to physical case



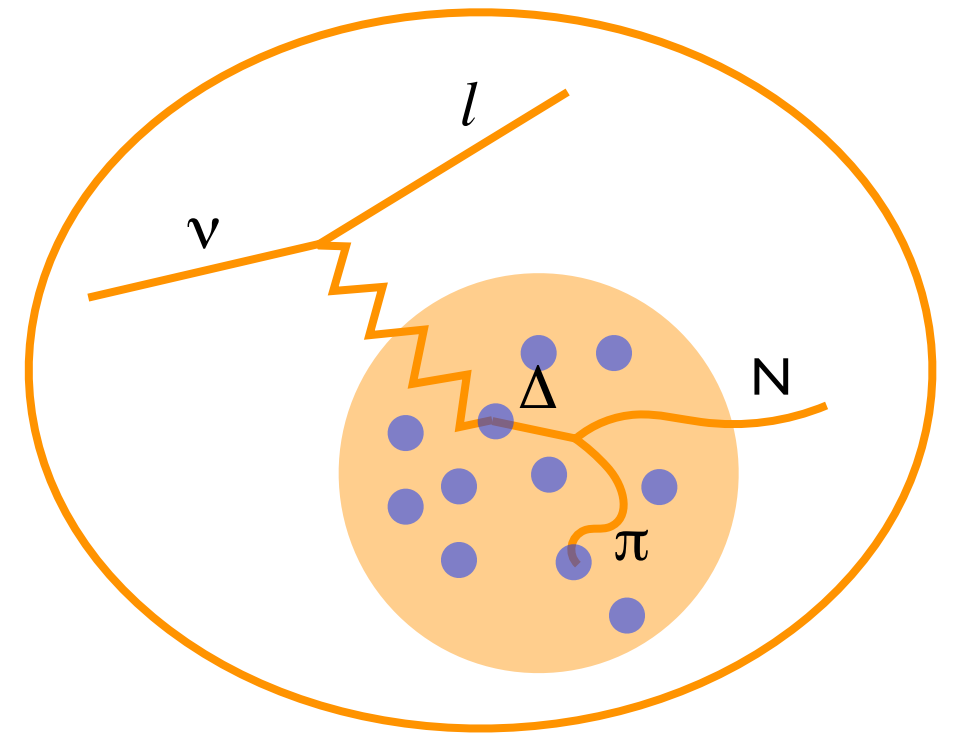
## LQCD input for the inelastic scattering region:

- Much recent progress, but challenging region for direct input to neutrino program



# Nuclear effects

- Targets are nuclei (C, Fe, Ar, Pb, H<sub>2</sub>O) so how relevant are nucleon FFs, PDFs?
  - EMC effect
  - Quenching of  $g_A$  in GT transitions
- Experimental investigations: MINER $\nu$ A



**Calculate matrix elements in light nuclei from first principles**

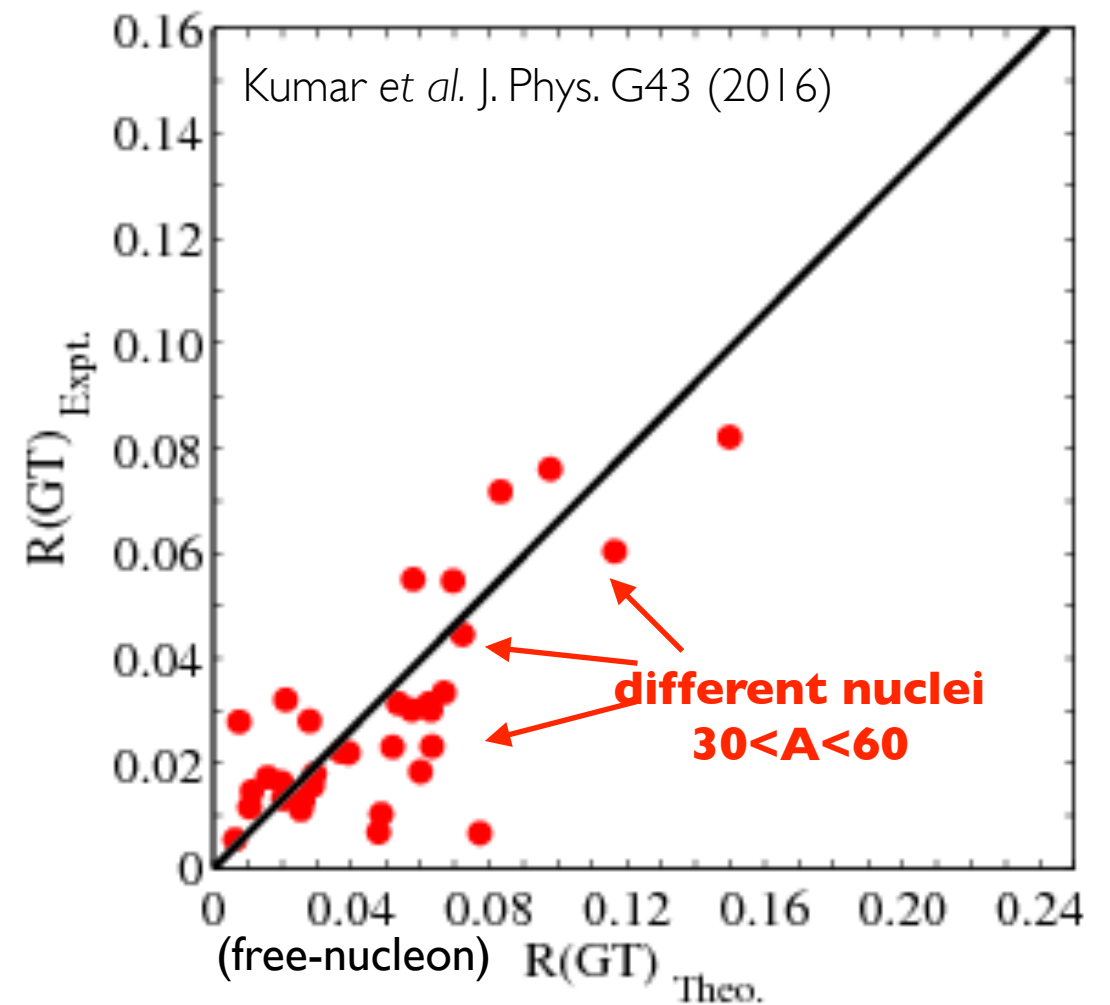
➔ EFT to reach heavy nuclear targets relevant to experiment

First calculations of axial charge of light nuclei



# Nuclear effects

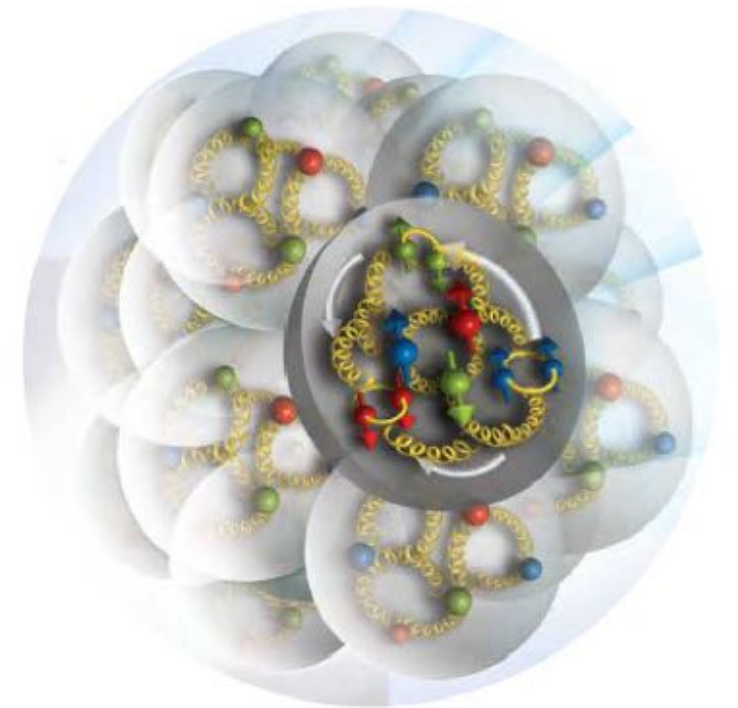
- Gamow-Teller transitions in nuclei are a stark example of problems
- Well-measured
- Best nuclear structure calculations are systematically off by 20–30%
  - Large range of nuclei ( $30 < A < 60$ ) where spectrum is well described
  - QRPA, shell-model, ...
  - Correct for it by “quenching” axial charge in nuclei ...



# Nuclear physics from LQCD

## Nuclei on the lattice

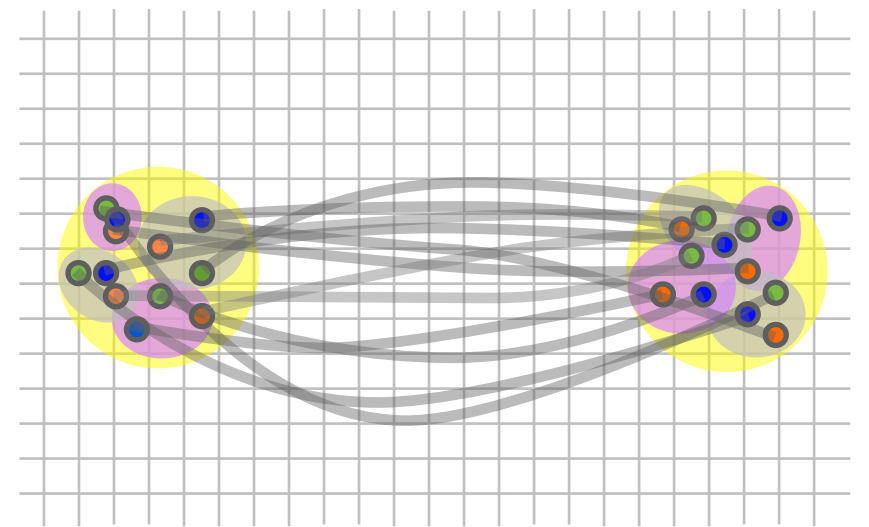
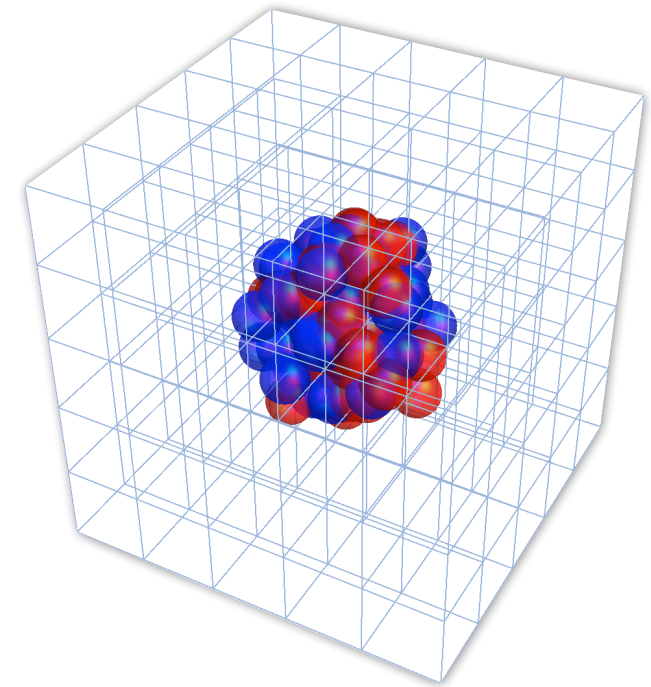
- Calculations of matrix elements of currents in light nuclei just beginning
- Deeply bound nuclei: use the same techniques as for single hadron matrix elements
- Near threshold states: need to be careful with volume effects



# Nuclear physics from LQCD

## Nuclei on the lattice

- Hard problem
  - Noise:  
Statistical uncertainty grows exponentially with number of nucleons
  - Complexity:  
Number of contractions grows factorially



# Unphysical nuclei

## NPLQCD collaboration

- QCD with unphysical quark masses

$$m_\pi \sim 800 \text{ MeV}, m_N \sim 1,600 \text{ MeV}$$

$$m_\pi \sim 450 \text{ MeV}, m_N \sim 1,200 \text{ MeV}$$

- Spectrum of light nuclei ( $A < 5$ )

[PRD **87** (2013), 034506]

- Nuclear structure: magnetic moments, polarisabilities ( $A < 5$ )

[PRL **113**, 252001 (2014), PRD 92, 114502 (2015)]

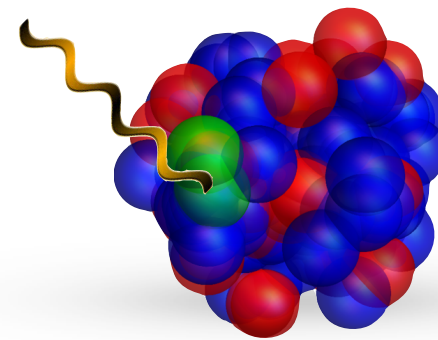
- First nuclear reaction:  $np \rightarrow d\gamma$

[PRL **115**, 132001 (2015)]

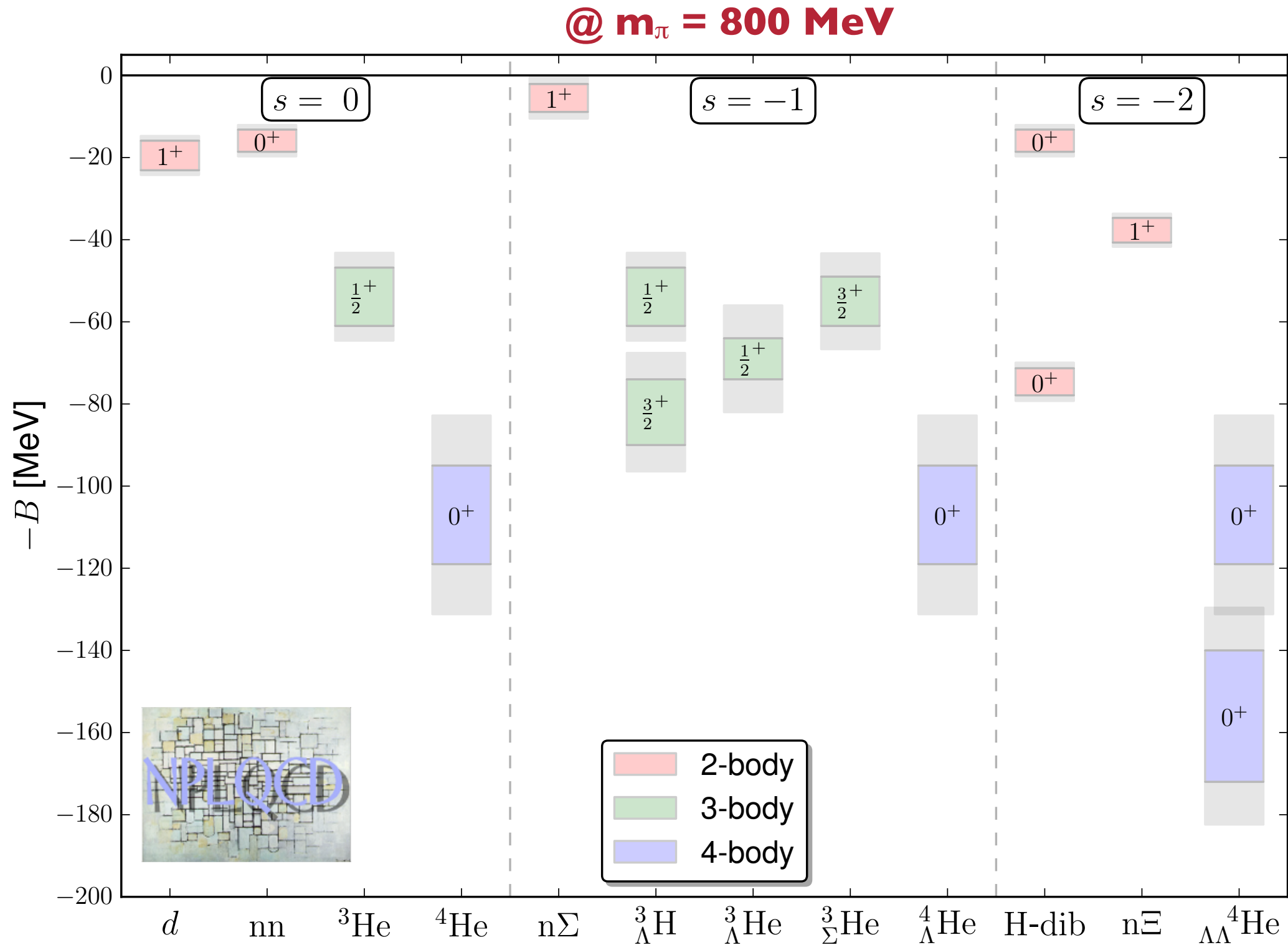
- Proton-proton fusion and tritium  $\beta$ -decay

- Double  $\beta$ -decay

$$m_\pi \sim 800 \text{ MeV}, m_N \sim 1,600 \text{ MeV}$$



# Spectrum of light nuclei



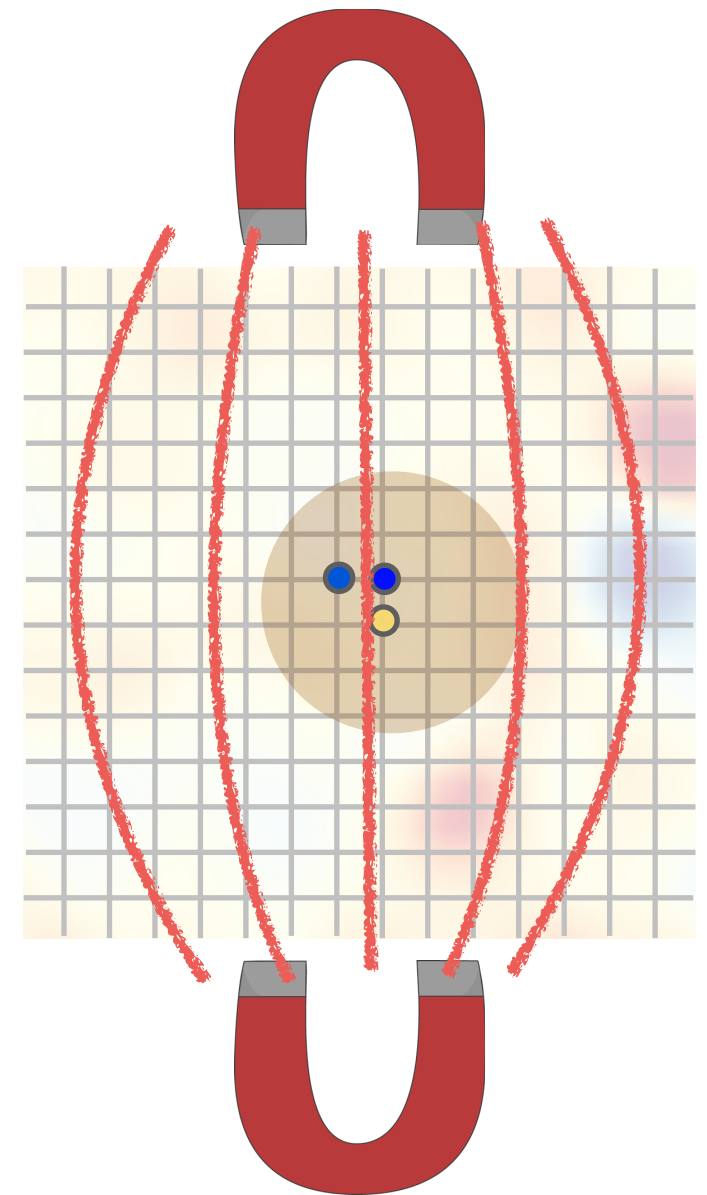
# Background field method

Hadron/nuclear energies are modified by presence of fixed/constant external fields

Example: fixed magnetic field

$$E(\vec{B}) = \sqrt{M^2 + \overbrace{(2n+1)|Qe\vec{B}|}^{\text{landau level}} - \overbrace{\vec{\mu} \cdot \vec{B}}^{\text{mag. mmt}} - 2\pi \overbrace{\beta_{M0}}^{\text{mag. polarisability}} |\vec{B}|^2}$$

- Calculations with multiple fields
  - ➔ extract coefficients of response  
e.g., magnetic moments, polarisabilities, ...
- Not restricted to simple EM fields  
Axial MEs: uniform axial background field



# Axial background field

**Example:** fixed magnetic field  $\rightarrow$  moments, polarisabilities

**Axial MEs:** fixed axial background field  $\rightarrow$  axial charges, other matrix elts.

$$C_{\lambda_u; \lambda_d}(t) = \left( \begin{array}{c} \text{Diagram 1} + \lambda \text{ Diagram 2} + \lambda^2 \text{ Diagram 3} \\ + \lambda^3 \text{ Diagram 4} \end{array} \right)$$

Linear response gives axial matrix element

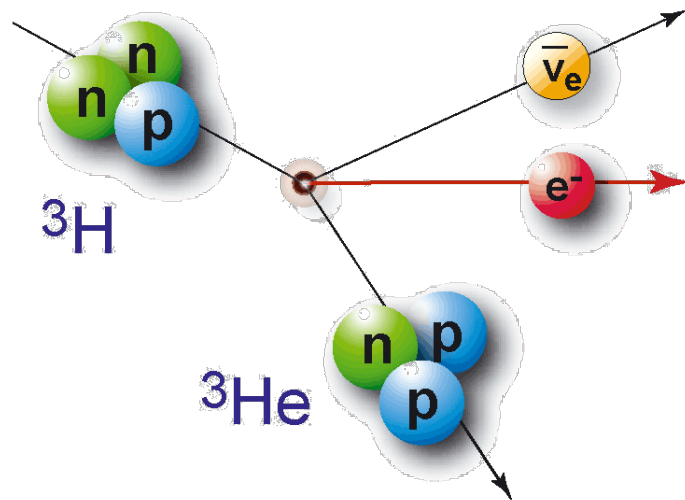
Implicit sum over current insertion times

Second order piece: being used for calculations of double-beta decay



# Tritium $\beta$ -decay

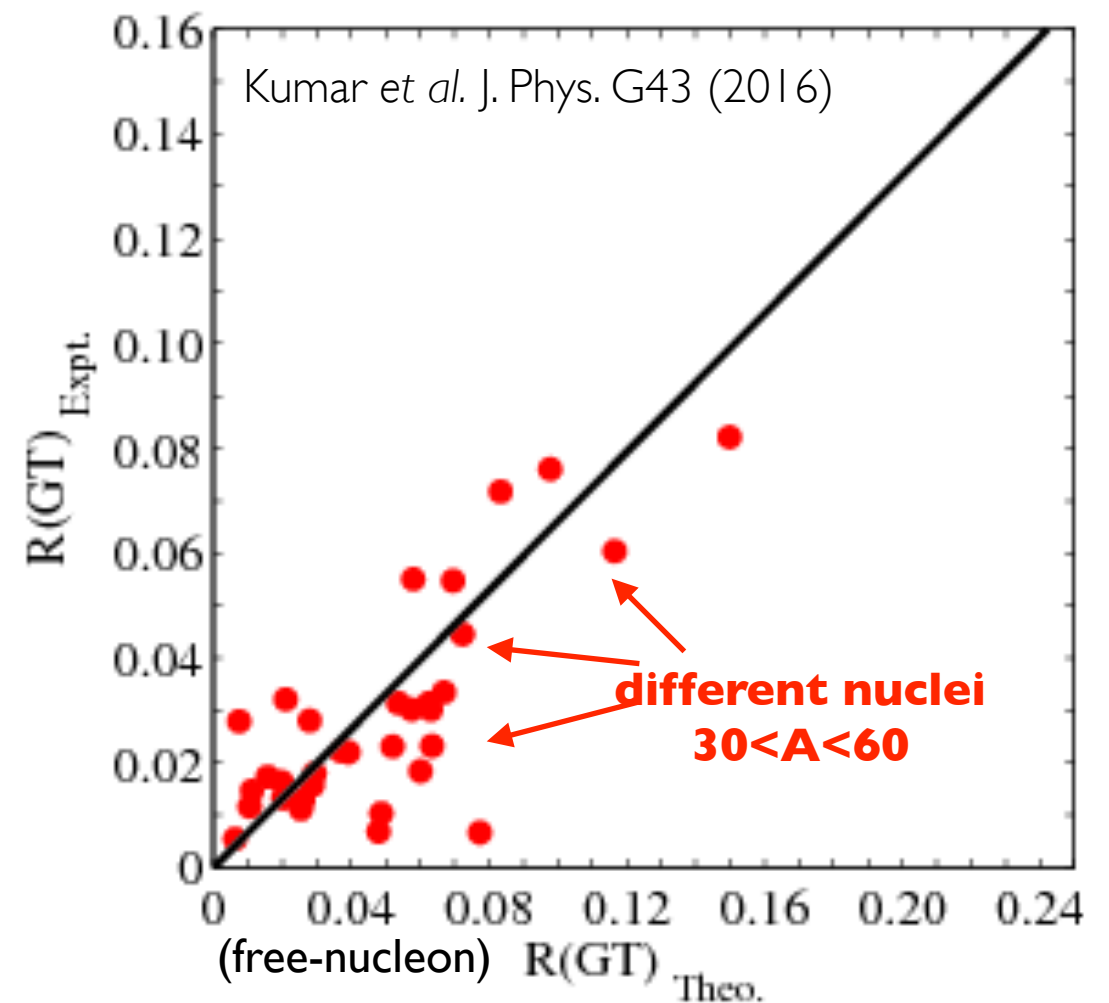
- Simplest semileptonic weak decay of a nuclear system



We calculate

$$g_A \langle \mathbf{GT} \rangle = \langle {}^3\text{He} | \bar{q} \gamma_{\mathbf{k}} \gamma_5 \tau^- q | {}^3\text{H} \rangle$$

- Gamow-Teller (axial current) contribution to decays of nuclei not well-known from theory
- Understand multi-body contributions to  $\langle \mathbf{GT} \rangle$   $\rightarrow$  better predictions for decay rates of larger nuclei





# Tritium $\beta$ -decay

$$\frac{(1 + \delta_R) f_V}{K/G_V^2} t_{1/2} = \frac{1}{\langle \mathbf{F} \rangle^2 + f_A/f_V g_A^2 \langle \mathbf{GT} \rangle^2}$$

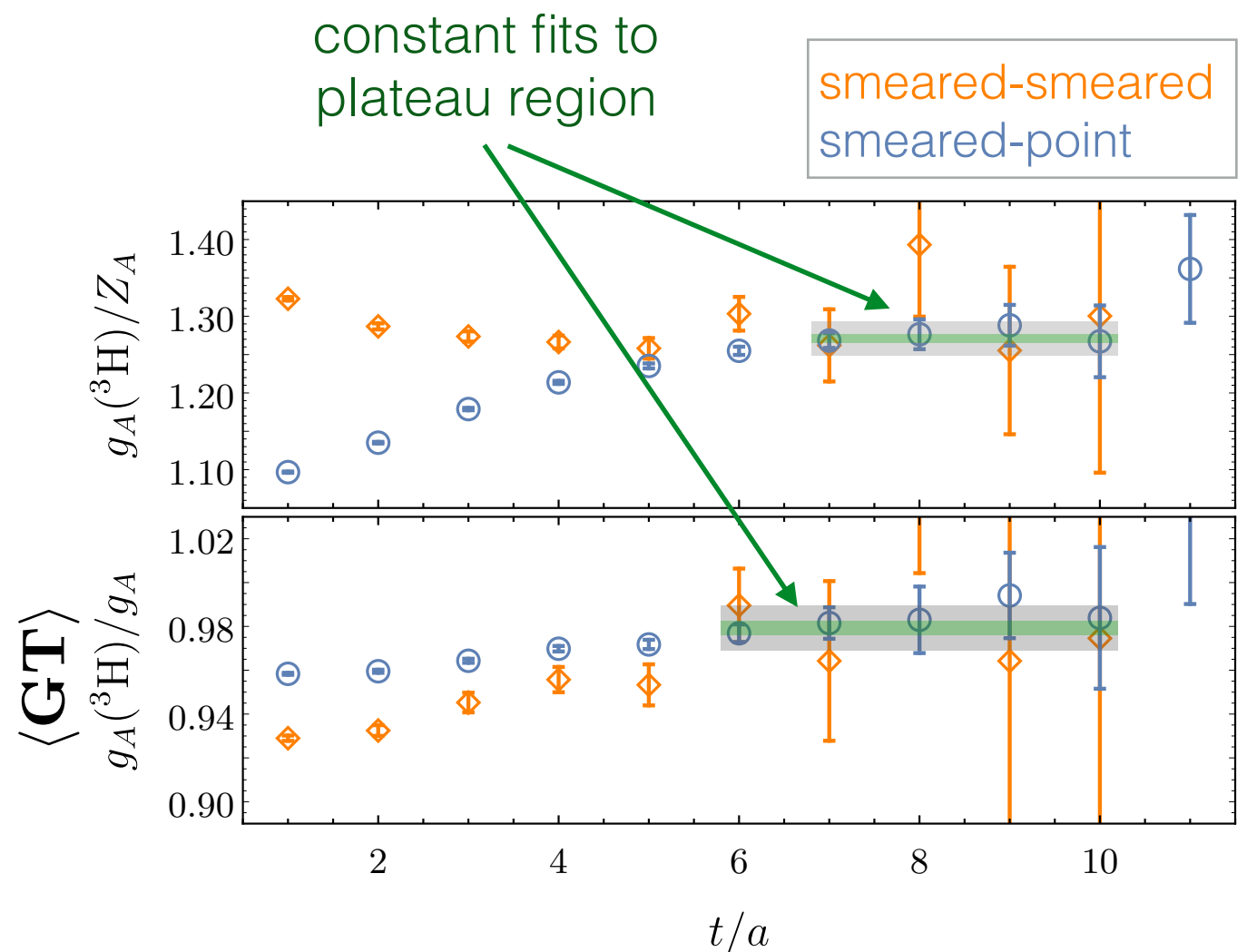
known from theory or expt.

Labels in the equation:  
 -  $(1 + \delta_R) f_V / (K/G_V^2)$ : known from theory or expt.  
 -  $t_{1/2}$ : half-life  
 -  $\langle \mathbf{F} \rangle^2$ : vector ME  
 -  $1$ : constant  
 -  $f_A/f_V g_A^2 \langle \mathbf{GT} \rangle^2$ : axial ME

- Form ratios of compound correlators to cancel leading time-dependence:

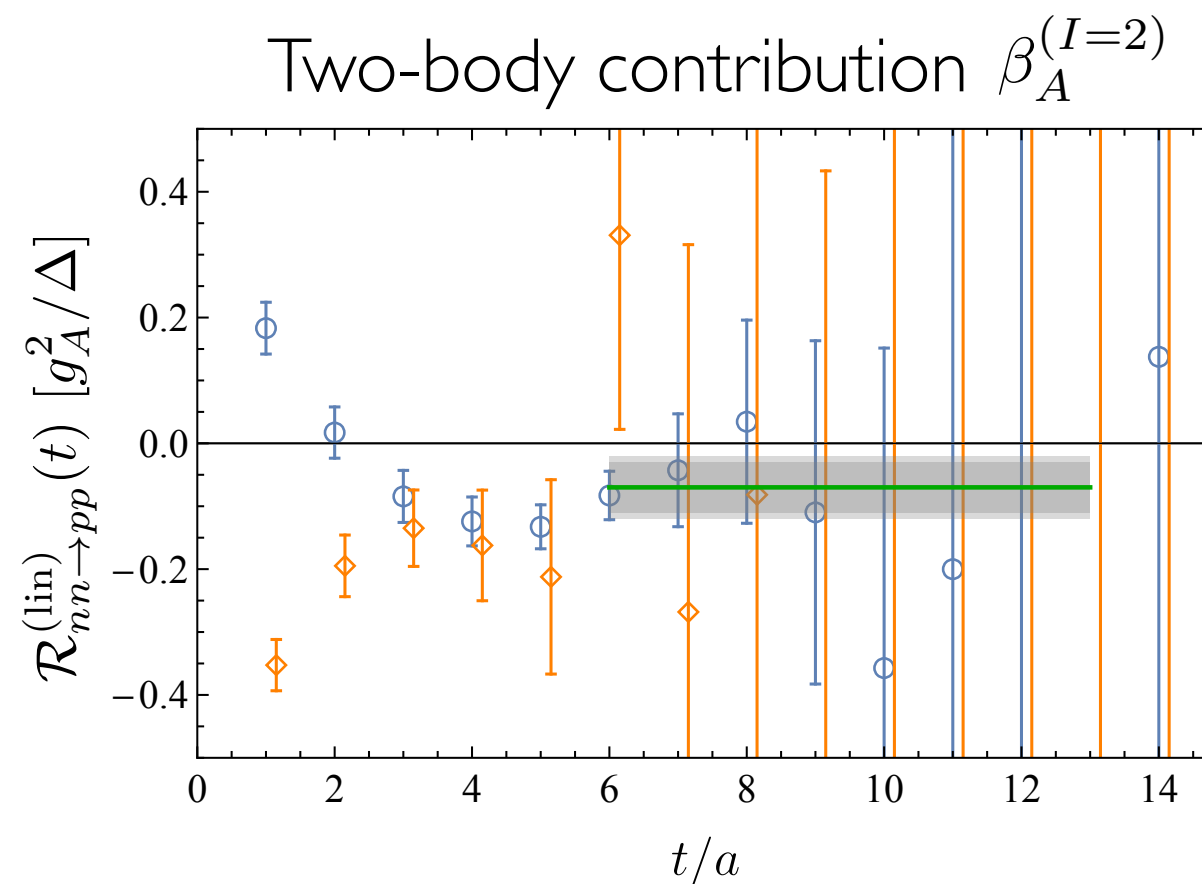
$$\frac{\overline{R}_{3\text{H}}(t)}{\overline{R}_p(t)} \xrightarrow{t \rightarrow \infty} \frac{g_A(^3\text{H})}{g_A} = \langle \mathbf{GT} \rangle$$

- Ground state ME revealed through “effective ME plot”



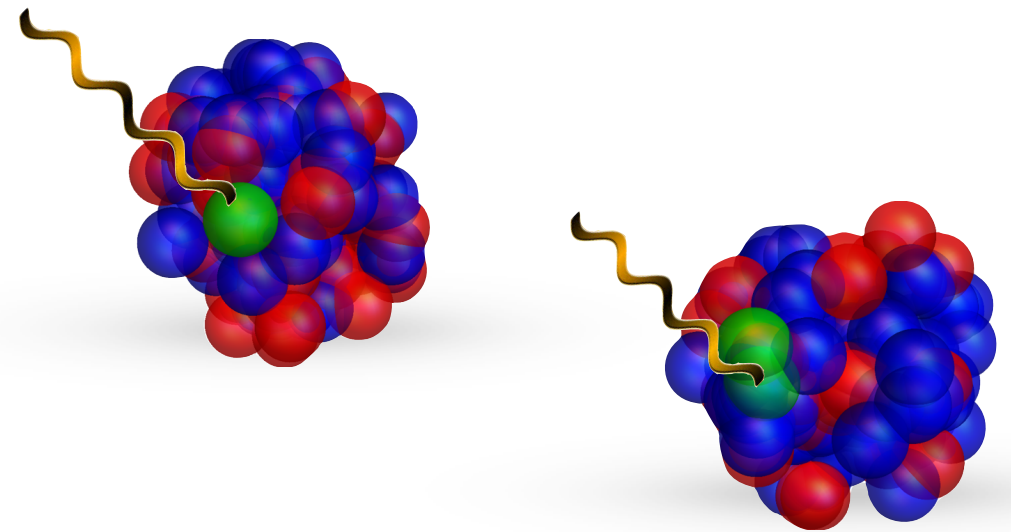
# Tritium $\beta$ -decay

- Take combinations to pull out isotensor axial polarisability (two body piece)
- Two-body contribution resolved from zero



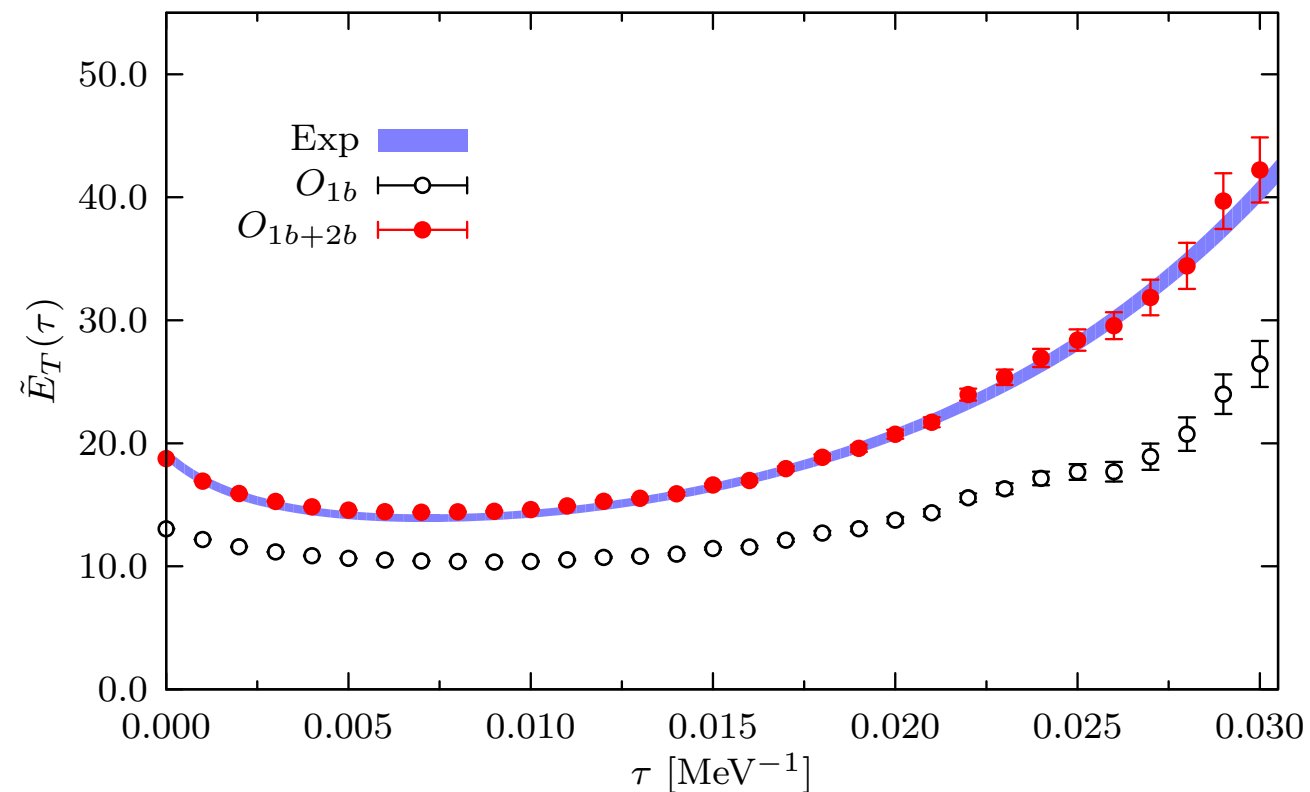
# Larger nuclei

- What about larger (phenomenologically-relevant) nuclei?
- Nuclear effective field theory:
  - 1-body currents are dominant
  - 2-body currents are sub-leading *but non-negligible*
- Determine one body contributions from single nucleon
- Determine few-body contributions from  $A=2,3,4\dots$
- Match EFT and many body methods to LQCD to make predictions for larger nuclei



# Two-body effects

- EM transverse response function shows important two-body effects:  $^{12}\text{C}$  at  $q = 570 \text{ MeV}$



Lovato et al., Phys. Rev. C 91, 062501 (2015)

- Expect to be similarly important for axial

# Conclusion

- Lattice efforts have potential to impact  $\nu$  energy determinations
- Precise determinations with controlled percent-level uncertainties within  $\sim 5$  years
  - Axial and pseudoscalar FFs determined with momenta less than a few GeV
  - Large momentum FFs ( $> \text{GeV}$ ) difficult. Novel ideas exist, need testing
- Early results with promising applications
  - Transition FFs  
Formalism exists but developments still necessary for higher states above  $N\pi\pi$  inelastic threshold
  - Application of EFT using 2-, 3- body matrix elements to constrain nuclear effects

