Electron Neutrino Interactions in a Muon Neutrino World



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Outline

- 1. Why are Electron and Muon Neutrino Interactions Different?
- 2. Example: CC Elastic on Nucleons
- 3. Nuclear Effects
- 4. Radiative Corrections
- 5. Will Data Rescue Us?

Spoiler: Not enough work has been done.

∴ My summary will be sadly incomplete.

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Outline

1. Why are Electron and Muon Neutrino Interactions Different?



Donald J. Trump

McFarland spoils ending. Doesn't even know what he's talking about. Muon neutrinos only? SAD!





What's Wrong with this Picture?

Welcome To



Speed Measuring Warning Devices Prohibited ONTARIO

More to discover

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When is precision critical?

 Luckily, not today... statistics

NOvA

-10



Reconstructed Neutrino Energy (GeV)

-20

Normalization

Calibration

Beam

v Cross Sections

Detector Response

Total syst. error

Statistical error

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Flavor, Phase Space and Helicity



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Flavor Matters (cont'd)

 In CC scattering, mass of lepton affects available energy and momentum transfer

E_v=0.6 GeV, Muon and Electron Neutrino Difference



 Must model missing phase space

 $(\boldsymbol{q_0}, \vec{\boldsymbol{q}})$

Mass Terms in Lepton Current

 And of course the lepton current itself also has lepton mass terms in it...

$$\begin{aligned} \frac{d^{2} \sigma^{\nu}, \overline{\nu}}{d |q^{2}| d \nu} &= \frac{G^{2}}{32 \pi M E^{2}} \quad \overline{\sum} \sum m_{\mu\nu} \quad \overline{\sum} \sum W^{\mu\nu} \quad Ch. \ Llewellyn-Smith, \ Phys \\ Rept. \ 3C, \ 261-379 \ (1972). \end{aligned}$$

$$&= \frac{G^{2}}{8 M^{2} \pi E^{2}} \left[2 (m^{2} - q^{2}) W_{1}^{\nu}, \overline{\nu} + (4 EE' + q^{2} - m^{2}) W_{2}^{\nu}, \overline{\nu} - \frac{m^{2}(q^{2} - m^{2})}{M^{2}} W_{4}^{\nu}, \overline{\nu} - \frac{2 E m^{2}}{M^{2}} W_{5}^{\nu}, \overline{\nu} \pm \frac{W_{3}^{\nu}, \overline{\nu}}{M^{2}} \left(E (q^{2} + m^{2}) + E' (q^{2} - m^{2}) \right) \right]$$

... so this will similarly result in a difference

- Often difficult to probe these directly
 - Most straightforward would be comparison of polarized and unpolarized scattering or v_{μ} , v_e cross-sections. Oops.

Example: Form Factors and Charged Current Elastic Scattering on Nucleons

M. Day and KSM, Phys.Rev. D86 (2012) 053003

CC Elastic Scattering

- Straightforward to write framework *Ch. Llewellyn-Smith, Phys Rept.* for quasi-elastic scattering on nucleon ^{3C, 261–379} (1972).
- Uncertainties in form factors of nucleon lead to uncertainties in the differences of muon and electron neutrino reaction rates.
- Six allowed form factors of the nucleon that enter:
 - Two "ordinary" vector and one axial form factor
 - o Vector form factors measured in electron scattering. Axial form factor from pion leptoproduction, neutrino CCQE on D₂.
 - One pseudoscalar form factor o Predicted by PCAC and Goldberger-Treiman to be small.
 - One vector and one axial "second class" current

o Usually assumed zero because they violate charge symmetry (not a perfect symmetry, e.g., $m_n \neq m_p$) in nucleon system.

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CC Elastic Scattering (cont'd)

• Sqeamish? $\frac{d\sigma}{dQ^2} \binom{\nu n \to l^- p}{\overline{\nu}_p \to l^+ n} = \left[A(Q^2) \mp B(Q^2) \frac{s - u}{M^2} + C(Q^2) \frac{(s - u)^2}{M^4} \right]$ Then avert your gaze... $\times \frac{M^2 G_F^2 \cos^2 \theta_c}{8\pi E_{\nu}^2 - Ch. \ Llewellyn-Smith, \ Phys \ Rept.$

 $\begin{aligned} &A(Q^2) = \frac{m^2 + Q^2}{4M^2} \left[\left(4 + \frac{Q^2}{M^2} \right) |F_A|^2 - \left(4 - \frac{Q^2}{M^2} \right) |F_V^1|^2 + \frac{Q^2}{M^2} \xi |F_V^2|^2 \left(1 - \frac{Q^2}{4M^2} \right) + \frac{4Q^2 ReF_V^{1*} \xi F_V^2}{M^2} \\ &- \frac{Q^2}{M^2} \left(4 + \frac{Q^2}{M^2} \right) |F_A^3|^2 - \frac{m^2}{M^2} \left(|F_V^1 + \xi F_V^2|^2 + |F_A + 2F_P|^2 - \left(4 + \frac{Q^2}{M^2} \right) (|F_V^3|^2 + |F_P|^2) \right) \right], \\ B(Q^2) &= \frac{Q^2}{M^2} ReF_A^* \left(F_V^1 + \xi F_V^2 \right) - \frac{m^2}{M^2} Re \left[\left(F_V^1 - \frac{Q^2}{4M^2} \xi F_V^2 \right)^* F_V^3 - \left(F_A - \frac{Q^2 F_P}{2M^2} \right)^* F_A^3 \right] \text{ and } \\ E_{v} = 0.6 \text{ GeV, Muon and Electron Neutrino Difference} \\ C(Q^2) &= \frac{1}{4} \left(|F_A|^2 + |F_V^1|^2 + \frac{Q^2}{M^2} \left| \frac{\xi F_V^2}{2} \right|^2 + \frac{Q^2}{M^2} |F_A^3|^2 \right). \end{aligned}$

- Phase space
- Two terms, including those with F_P, and F³_V, enter with a factor of m²/M². These are relevant for muon neutrinos at low energies but not for electron neutrinos.



Know Nothing Approach

- Can look at how large the possible effects of non-standard or unconstrained form factors could be, independent of theoretical prejudice.
 - Constraints on second class currents primarily from beta decay and muon capture on nuclei.
 - Pseudoscalar form factors and axial form factor measured in pion electroproduction.
 - Vector form factors from electron-nucleon elastic scattering.

Results for Neutrino Cross- Section Differences on Nucleons

- Our conclusion: most form factor uncertainties are small
- Possible effect from F_V^3 of few % at J-PARC to T2K/HK
 - Neutrino and anti-neutrino effects are opposite in sign for second class currents, so could fake a CP asymmetry.



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How might one reduce this uncertainty?

- High statistics neutrino and anti-neutrino muon neutrino CCQE on nuclei has potential to constrain second-class currents
 - Effect is distinctive in Q² and energy.
 - Only seen in muon neutrinos.
 - MINERvA, T2K, NOvA should have useful data.
 - But nuclear uncertainties will complicate this ⁽³⁾
- Could study muon and electron neutrinos together with a muon decay source, e.g., NuStorm.
- Lots of expensive ideas for solving this directly, but it may be that none will rescue us.

Speaking of working harder...

- I went through one example of nucleon level uncertainties for charged current elastic process.
- To briefly summarize, the physics in generators should (mostly) leave small uncertainties for cross section differences
 - With work to be done to experimentally constrain second class currents
- But there are other reactions to consider with different form factor uncertainties
 - Someone should analyze these!
 Especially for NOvA...

Some work on this alredy! Paschos, E.A. et al. arXiv:1209.4219; *Rafi Alam, M. et al. Phys.Rev.* D88 (2013) 077301

• And then there is the effect of the nucleus...

The Notorious Nucleus

Rapper MC Truth and unnamed hype man expressing their anger at nuclei. (Photo courtesy Chicago Tribune)

What will change in the nucleus?

- Nuclear response changes the scattering in missing phase space
- E.g., nuclear screening from W+ by nuclear polarization (RPA)
 - EM analogy in atoms



G. D. Megias, J. E. Amaro , M. B. Barbaro, J. A. Caballero T. W. Donnelly, I. Ruiz Simo, Phys.Rev. D94 (2016) no.9, 093004 M. Ericson, M.V. Garzelli, C. Giunti, M. Martini,

Phys.Rev. D93 (2016) no.7, 073008

- Kinematic boundaries will shift due to nuclear effects (binding, Fermi motion)
 - To get this right, must treat these effects carefully
 - Probably insufficiently careful in generators today.

Binding and Fermi Motion

- Arie Bodek and Tejin Cai at Rochester are doing some work on this
- Arie didn't get a talk, and it's relevant for the topic
- So I offered to yield some of my time to the gentleman from Rochester... E.J. Moniz, I. Sick, R.R.
- Short summary:
 - We use electron data to infer binding and Fermi Motion.
 - It pays to be careful.
 - Otherwise, might as well use R. Perry *et al* data





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So I asked Arie to Prepare "a Slide or Two"

 After 23 years of working with Arie, you'd think I would have known what I'd get...



www.www.www.

10,, 1 = 2.5", <Pmias> = 50 MeV/c



binding energy - Summary



Using GENIE properly

Genie does not apply Coulomb corrections to final state muon. One should remove Veff (3 MeV for Carbon 19 MeV for Pb) from final state muon energy.

GENIE removes Ex from final state nucleon energy after using energy conservation with Ex=0. Need to remove Ex also from final state muon. The separation energy

The above is for using Bodek/Ritchie Fermi gas. The effective spectral function

· For C12 and O16 should use excitation energy of 30 MeV for the 2 nucleons in the

implementation has its own instruction and is a more modern approach.

For binding energy use excitation energy Ex (0 MeV for Carbon, 4.2 MeV for Oxygen, See table for others.

is handled properly by using exact masses for A and A-1 nuclei.

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Mosit $\epsilon_{B}^{P'}$ (20.8) (N) 18.0 p $\frac{e^{P'}(SM)}{2}$ 33.2 MeV 30.6 MeV formalism by using a nonestum described to a nonestum described $\epsilon_{M,i}$

 $E_i^P = M_n - c'_{cur}$

 $\epsilon'_{SM} = \epsilon_R + (k^2 + M_p^2)^{1/2} - M_p$ $\approx \epsilon_R + \frac{k^2}{2M_p}$

Both the non-relativistic energy momentum δ function used by Moniz and the relativistic energy momentum δ function used by Smith and Monin violate energy conser-vation. Smith and Monin [10] use relativistic kinomatics as fol-lows. $E_i = (k^2 + M_i^2)^{1/2} - \varepsilon_{\rm SM}^i$ and the final proton energy let $r = ((k + q)^2 + M_i^2)^{1/2}$. That energy conservation δ

 $\delta[\nu + ((k^2 + M_p^2)^{1/2} - \epsilon'_{SM}) - ((k + q)^2 + M_p^2)^{1/2}](10)$

owever, although equation 11 is relativistic, it also vi-ates energy conservation for a constant value of ϵ'_{SH} is can restore energy conservation in the Smith-Monie

 $\epsilon'_{SM} = \epsilon_R + (k^2 + M_p^2)^{1/2} - M_p$

 $E_i^P = M_p - \epsilon'_{SM}$

 $\approx \epsilon_R + \frac{k^2}{2M}$

2.1.5 The Smith-Moniz formalism

function is:

(11)

implementation has its own instruction and is a more modern approach.

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 neutron

 energy
 lovel

 18.67
 MeV

 2.75
 MeV

 27.4
 MeV
 caergy level 15.91 MeV

43.9 (1/2)+

18.67 (3/2)- 15.91 18.67 N 15.91 2.75 MeV

 19.7
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 (20.8)
 (N)
 18.0

 33.2
 MeV
 30.6

For binding energy use excitation energy Ex (0 MeV for Carbon, 4.2 MeV for

Veff (3 MeV for Carbon 19 MeV for Pb) from final state muon energy

is handled properly by using exact masses for A and A-1 nuclei.

Oxygen. See table for others.

Table 5. The $\frac{1}{2}C$ shell configurations are the same trons and protons. Shown are the neutron and proto

SMer SM-SP

margy for a pro-ed with $\Delta^{T} = 16$

1S1/2 state



-3 	N V.B.	ak MeV	eV Ref [13]		13.1	0.02 1.0	•	012	23.0	9 23.0				0.00			15 31.0	between K_{F}^{F} a solution shows 1 indeed Monitz in three potential or the application of the exhibits K_{F}^{F} 1 non-relativit σ (most proba
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N.S.	extracted	GeV/c	Monia 1	0.068	0.169	0.221	(0.225)	0.235	0.251	(0.268)	0.00		0.263	0.720		0.271	0.277	extracted by are shown in tata. The fit ions, The fit ions, the fit the interaction of re-extra hows the val
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"a Slide or Two"										
	N	eutron	_ Prot	_	/	Neutro	Proton			
A	K_F^P ± 0.005	K_F^P	ϵ^p_M	$ V_{eff} $	ϵ^{P}_{CC}	K ^N _F	$\epsilon_{\mathbf{R}}^{P}$	$\Delta^{\mathbf{P}} = \mathbf{E}^{\mathbf{P}}$	$\Delta^N =$ E N	$\epsilon^{p}_{\psi'}$
Nucl.	GeV/c Moniz[1]	$\frac{\varphi}{\text{GeV/c}}$ Ref. [13]	MeV Moniz[1]	MeV Ref.[12]	corretd Moniz [1]	GeV/c Moniz [1]	corretd MeV	peak MeV	peak MeV	MeV Ref [13]
$^{2}_{1}H*$	0.088	-	-	-	-	0.088	4.3	2.2	2.2	-
${}_{3}^{6}Li$	0.169	0.165	17	1.5	16.3	0.169	15.4	13.9	15.0	15.1
$^{12}_{6}C$	0.221	0.228	25	3.1	23.6	0.221	18.0	16.8	19.1	20.0
$\binom{18}{8}O*$	(0.225)	-		3.8	-	(0.225)	17.5	16.6	20.1	-
$^{24}_{12}Mg$	0.235	0.230	32	5.5	29.4	0.235	22.0	21.4	26.2	25.0
$^{40}_{20}Ca$	0.251	0.241	28	7.4	24.6	0.251	15.4	14.9	22.2	28.0
$\binom{56}{26}Fe*$	(0.254)	0.241		8.9	-	(0.268)	16.3	16.0	16.9	23.0
^{58.7} Ni corr	(0.260) 0.257	0.245	36	8.9	31.9	0.269	21.8	22.1	22.1	30.0
89 39 COTT	(0.254) 0.243	0.245	39	12.1	33.6	0.263	25.6	25.5	29.8	-
^{118.7} Sn corr	(0.260) 0.245	0.245	42	14.2	35.0	0.270	27.0	26.9	24.0	28.0
¹⁸¹ 77a corr	(0.260) 0.242	0.245	42	18.9	33.9	0.271	26.3	26.3	27.9	_
208 Pb 82 COTT	(0.265) 0.245	0.248	44	18.9	35.2	0.277	27.2	27.1	26.5	31.0

Table 1. The second column shows the Fermi momenta for protons re-extracted by using the relationship between K_F^P and K_F from the original Moniz[1] 1971 paper. (The published values of K_F are shown in parenthesis). The 3rd column shows the Fermi momenta for protons from a ψ' analysis[13] of electron scattering data. The 4th column shows the published Moniz non-relativistic interaction energy (ϵ_M^P) for protons with no Coulomb corrections, The 5th column shows the effective potential[12] $|V_e f f|$ used to calculate the Coulomb corrections. The 6th column shows the interaction energy for protons after the application of Coulomb corrections (ϵ_{CC}^P). The 7th column shows the Fermi momenta for neutrons K_F^N extracted using the relation $K_F^N = K_F^P \frac{N^{1/3}R_P}{Z^{1/3}R_N}$. The 8th column shows the interaction energy $\epsilon_{Relativistic}^P = \epsilon_R^P$ re-extracted from the Moniz 1971 non-relativistic electron genergy) $\Delta^P = \epsilon_R^P - \frac{\langle K_T^2 \rangle}{2M_{A-1}^2}$ (where $\langle K_T^2 \rangle = \frac{K_F^2}{2}$). The 10th column shows the value of the interaction energy $\epsilon_{\psi'}^P$ extracted from a ψ' analysis (which should be similar to the values of ϵ_R^P). The results for $\binom{18}{8}O_*$) and $\binom{56}{26}Fe_*$) are based on ee'p spectral function measurements [21,22]. The value of the Fermi momentum for deuterium is the Fermi momentum for which $\langle K_T^2 \rangle$ is the same as the value obtained from more sophisticated wave functions[2]. Additional results are shown in Table 2.

Here's a Brief Summary...

- The original analysis and many subsequent analyses of the Moniz data need corrections
 - Coulomb effects & Relativistic kinematics (averaged)
- If analyzed carefully, find consistency between shell model, (e,e'p) data, and Moniz data. Where all datasets exist, consistent w/in a few MeV
- Implementation in generators have to be careful of double counting effects like final state mass
 - We should review this in NEUT and GENIE
 - Some evidence that O(10) MeV differences seen in calculations and generators are due to mistakes here.
- Matters for v_{μ}/v_e . "This is a talk about v_{μ}/v_e ." Arlo Guthrie

Radiative Corrections in Neutrino Scattering

A story in which we find we have the tools... but not the willpower (yet!) to get results. Encourage your theory friends to help us!

> Informed by work by R. Hill, KSM, manuscript in preparation



Why might radiative corrections depend on flavor?

 Consider the leading logs from the lepton leg in neutrino charged current scattering



Lepton mass

in a large log

 $\begin{aligned} & \text{Nucl. Phys. B154 394 (1979)} \\ & \frac{d\sigma_{\text{obs}}}{dE_{\mu} \ d\Omega} = \frac{d\sigma_{\text{B}}}{dE_{\mu} \ d\Omega} + \frac{\alpha}{2\pi} \ln \frac{4E_{\mu}^{*2}}{\mu^{2}} \int_{0}^{1} dz \frac{1+z^{2}}{1-z} \\ & \times \left\{ \frac{1}{z} \frac{d\sigma_{\text{B}}}{dE_{\mu} \ d\Omega} \right|_{\dot{E}_{\mu} = E_{\mu}/z} \quad \theta(z - z_{\min}) - \frac{d\sigma_{\text{B}}}{dE_{\mu} \ d\Omega} \right\} + O\left(\frac{\alpha}{2\pi}\right), \\ & 4E_{\mu}^{*2} = 2m_{\text{p}}E_{\nu}(1 - y + xy) = [Q^{2} + 2m_{\text{p}}E_{\mu}]^{2}/2m_{\text{p}}E_{\nu}, \\ & z_{\min} = \frac{E_{\mu}}{E_{\nu}} \left(1 + \frac{E_{\nu}}{m_{\text{p}}}(1 - \cos\theta_{\text{lab}}) \right), \end{aligned}$

deRújula, Petronzio, Savoy-Navarro,

 So the effects of radiative corrections will certainly depend on neutrino flavor

What has been done?

- Deep Inelastic Scattering, $\nu q \rightarrow \nu / \ell q'$
 - Outdated calculations using quark mass regularization
 - Modern calculations
 in unrealistic observables
 - Modern calculations in realistic observables!

D.Yu. Bardin, V.A. Dokuchaeva Sov.J.Nucl.Phys. 39 (1984) 563, Yad.Fiz. 39 (1984) 888-894

K.P.O. Diener, S. Dittmaier, W. Hollik, Phys.Rev. D69 (2004) 073005 A.B. Arbuzov, D.Yu. Bardin, L.V. Kalinovskaya, JHEP 0506 (2005) 078

K.-P.O. Diener , S. Dittmaier, W. Hollik, Phys.Rev. D72 (2005) 093002

- Neutrino-Electron Elastic Scattering
 - Modern calculations for observables for both accelerator and solar energies.
 S. Sarantakos, A. Sirlin, W.J. Marciano, Nucl.Phys. B217 (1983) 84-116
 John N. Bahcall, Marc Kamionkowski, Alberto Sirlin, Phys.Rev. D51 (1995) 6146-6158
 M. Passera, Phys.Rev. D64 (2001) 113002
- So tools exist for the calculations

What do we need to study?

- Inclusive scattering rate?
 - KNL theorem suggests lepton mass effects from lepton leg should be small
 - Box diagrams? T2K guesses effect might be ~2%, but there is no guidance from calculation.



- Radiation of real photons
 - As in the diagram above, effect will be different for muon and electron neutrinos
 - Comments follow about relevance of this process.

Does Lepton Mass affect an "Inclusive" Cross Section?

 By inclusive cross-sections, I mean the exclusive low energy processes that dominate at T2K, NOvA, DUNE energies

W

n

nucleus

- E.g., quasielastic scattering
- I recognize the horrors implicit in the "Feynman diagram" on the right
 - And pion production is only worse to contemplate.



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Real Photons & Reconstruction

- Consider two cases
 - Collinear(ish) radiation with lepton
 - Other
- If radiation is collinear, what does it do?
 - It can disrupt lepton energy reconstruction
 - Different for electrons (adds to electron energy) and muons (reconstruction by range vs total ionization)
 - Most frighteningly, it can make muons look like they are electrons (electromagnetic shower of photon)
 o Remember that muons are common and electrons are rare in these experiments!

μ

Muon+photon fakes Electron?

 Increased fuzziness of electron ring at bottom compared to muon at top

- If the collinear photon has a signfiicant fraction of the muon energy, it will appear as an electron
 - Roughly requires photon energy to be 40% of muon energy for a significant probability in Super-K
 - Rare, very bad, event

PID:No Cuts 0.5 0.5 $T_{\gamma} + T_{\mu} = 500 MeV$ 0 50 100 150 200 250 300 350 400 450 500 Photon Energy (MeV)



SK MC

More Reconstruction Woes

- Consider two cases
 - Collinear(ish) radiation with lepton
 - Other
- If radiation is not collinear, what does it do?
 - If detector is just summing final state energy (DUNE, NOvA) it probably of little consequence
 - But at T2K and Hyper-K, it is very bad

 o Reconstruction will often infer the presence of a
 π⁰ → γγ with a missed photon, and it will remove
 the event from the quasielastic (oscillation) sample
- Oh and we can't measure this. $\pi^0 \rightarrow \gamma \gamma$ bkgnd.

μ

Radiative Corrections Summary

- Virtual corrections to the total cross-sections are a real concern. Not known. Guess is "small"
- We also need calculations of differential crosssections for reactions with photons
 - In particular, total energy in energetic photons that are collinear, and energy and angles of energetic noncollinear photons
- Energetic?
 Collinear?
 Depends
 on detector

	Scintillator	Water	LArgon
	(NOvA)	(T2K)	(DUNE)
Collinear with lepton?	<7°	<12°	<15°
Photon energy	30 (100 for	25	10 (100+
threshold (MeV)	PID)		for PID)

Measurements of Electron Neutrino Interactions

Sisyphus never had it so hard...





Another tough way to make a living...

- Accelerator experiments can try to measure v_e scattering directly
- But large backgrounds from the factor of ~100 more ν_μ in the beam, and different electron reconstruction systematics than μ
- All the modern experiments are trying this
- Editorial thought: NOvA has the combination of statistics, detector, that *may* be most favorable
- But we are currently far from required precision

Recent Results

- Recent measurements of inclusive v_e scattering (T2K) and v_e CCQE (MINERvA)
 - Data is not sensitive to smaller than ~20% differences



T2K Collaboration, Phys.Rev.Lett. 113 (2014) 241803 and Phys.Rev. D91 (2015) 112010 MINERvA Collaboration (Wolcott, J. et al.) Phys.Rev.Lett. 116 (2016), 081802

See also presentations by S. King, J, Paley for updates from T2K and NOvA

Summary and Conclusions

Summary and Conclusions

- Today, differences between v_{μ} and v_{e} are either controlled, or small enough that we are "safe"
- This happy accident of imprecise data will not persist forever. We hope not, anyway!
- Need work (now!) on inelastic processes, nuclear models and radiative corrections

Summary and Conclusions

- Today, differences between v_{μ} and v_{e} are either controlled, or small enough that we are "safe"
- This happy accident of imprecise data will not persist forever. We hope not, anyway!
- Need work (now!) on inelastic processes, nuclear models and radiative corrections
- I know of now definitive show stoppers, but we are not out of the woods yet.

