



## *Explorations with the In-Medium No-Core Shell Model*

**Peter Gysbers**

The Facility for Rare Isotope Beams  
at Michigan State University

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- ▶ NotreDame: M. Caprio, R. Stroberg
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## Re-implementation/Extension of work at TU Darmstadt

- ▶ R. Roth, E. Gebrerufael, K. Vobig, T. Mongelli, C. Wenz

## Funding

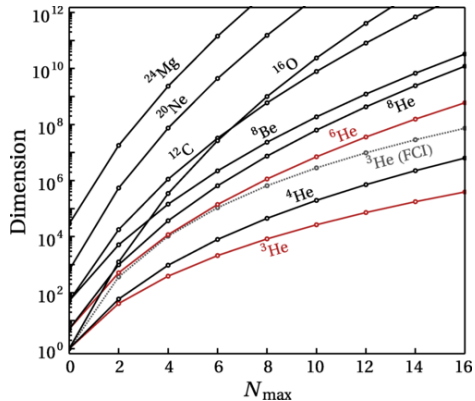
- ▶ DOE Collaboration: Nuclear Theory for New Physics (NTNP)

# Outline

- ▶ IMSRG-Improved Methods
  - ▶ In-Medium No-Core Shell Model (IMNCSM)
- ▶ Preliminary Results
- ▶ Open questions and speculations

# Motivation

- ▶ The NCSM requires an expansion over a huge basis
- ▶ Can we tune the basis (with IMSRG) to reduce the size required?



Fasano (2022)



**XYZ**  
define  
reference

\* mean field or  
**explicitly correlated**

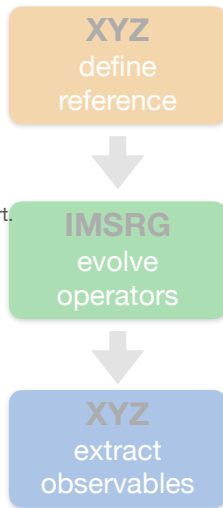


**IMSRG**  
evolve  
operators

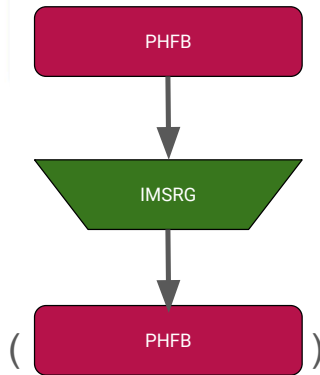


**XYZ**  
extract  
observables

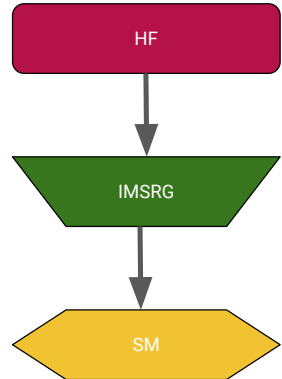
- **IMSRG for closed and open-shell nuclei: IM-HF and IM-PHFB**
  - HH, Phys. Scripta, Phys. Scripta 92, 023002 (2017)
  - HH, S. K. Bogner, T. D. Morris, A. Schwenk, and K. Tuskijama, Phys. Rept. 621, 165 (2016)
- **Valence-Space IMSRG (VS-IMSRG)**
  - S. R. Stroberg, HH, S. K. Bogner, J. D. Holt, Ann. Rev. Nucl. Part. Sci. **69**, 165
- **In-Medium No Core Shell Model (IM-NCSM)**
  - E. Gebrerufael, K. Vobig, HH, R. Roth, PRL **118**, 152503
- **In-Medium Generator Coordinate Method (IM-GCM)**
  - J. M. Yao, J. Engel, L. J. Wang, C. F. Jiao, HH PRC 98, 054311 (2018)
  - J. M. Yao et al.. PRL 124. 232501 (2020)



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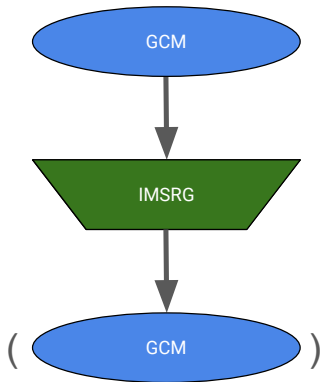


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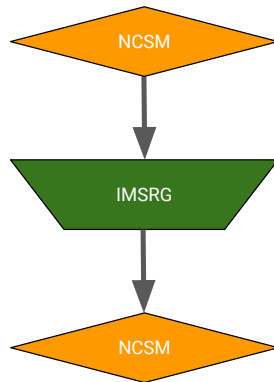


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- In-Medium No Core Shell Model (IM-NCSCM)
  - E. Gebrerufael, K. Vobig, HH, R. Roth, PRL **118**, 152503



# IMSRG-Improved Methods

- ▶ Choose/find a reference state
- ▶ Normal-Order the Hamiltonian with respect to that reference
- ▶ Evolve Hamiltonian with IMSRG (and any operators)
- ▶ De-Normal-Order back to the vacuum representation (if necessary)
- ▶ Use effective Hamiltonian in many-body method to extract observables

# Vacuum Normal-Ordering

= Standard Second Quantization

- ▶ Normal-ordered operators:  $A_j^i = a_i^\dagger a_j$ ,  $A_{kl}^{ij} = a_j^\dagger a_i^\dagger a_k a_l$ , etc,
  - ▶ with respect to the vacuum, i.e.  $\langle 0|A|0\rangle = 0$

$$\begin{aligned}H &= T_{\text{int}} + V \\&= \left(1 - \frac{1}{A}\right) T^{(1)} + \frac{1}{A} T^{(2)} + V^{(2)} + V^{(3)} \\&= \sum t_{\circ}^{\circ} A_{\circ}^{\circ} + \frac{1}{4} \sum (t_{\circ\circ}^{\circ\circ} + v_{\circ\circ}^{\circ\circ}) A_{\circ\circ}^{\circ\circ} + \frac{1}{36} \sum v_{\circ\circ\circ}^{\circ\circ\circ} A_{\circ\circ\circ}^{\circ\circ\circ}\end{aligned}$$



## Reference Normal-Ordering

Given a reference state  $|\Psi\rangle$ :

- ▶ Compute the density matrices:  $\rho_j^i = \langle \Psi | A_j^i | \Psi \rangle$  and  $\rho_{kl}^{ij} = \langle \Psi | A_{kl}^{ij} | \Psi \rangle$ , etc
  - ▶ Define operators  $\tilde{A}$  such that  $\langle \Psi | \tilde{A} | \Psi \rangle = 0$

$$H = E + \sum f_{\circ}^{\circ} \tilde{A}_{\circ}^{\circ} + \frac{1}{4} \sum \Gamma_{\circ\circ}^{\circ\circ} \tilde{A}_{\circ\circ}^{\circ\circ} + \frac{1}{36} \sum W_{\circ\circ\circ}^{\circ\circ\circ} \tilde{A}_{\circ\circ\circ}^{\circ\circ\circ}$$

$$E = \sum t_{\circ}^{\circ} \rho_{\circ}^{\circ} + \frac{1}{4} \sum (t_{\circ\circ}^{\circ\circ} + v_{\circ\circ}^{\circ\circ}) \rho_{\circ\circ}^{\circ\circ} + \frac{1}{36} \sum v_{\circ\circ\circ}^{\circ\circ\circ} \rho_{\circ\circ\circ}^{\circ\circ\circ}$$

$$f_{\circ}^{\circ} = t_{\circ}^{\circ} + \sum (t_{\circ\circ}^{\circ\circ} + v_{\circ\circ}^{\circ\circ}) \rho_{\circ}^{\circ} + \frac{1}{4} \sum v_{\circ\circ\circ}^{\circ\circ\circ} \rho_{\circ}^{\circ}$$

$$\Gamma_{\circ\circ}^{\circ\circ} = (t_{\circ\circ}^{\circ\circ} + v_{\circ\circ}^{\circ\circ}) + \sum v_{\circ\circ\circ}^{\circ\circ\circ} \rho_{\circ}^{\circ}$$

$$W_{\circ\circ\circ}^{\circ\circ\circ} = v_{\circ\circ\circ}^{\circ\circ\circ}$$

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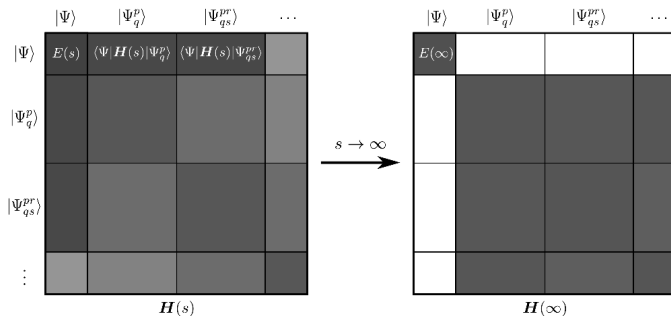
$$\Gamma_{\circ\circ}^{\circ\circ} = (t_{\circ\circ}^{\circ\circ} + v_{\circ\circ}^{\circ\circ}) + \sum v_{\circ\circ\circ}^{\circ\circ\circ} \rho_{\circ}^{\circ}$$

$$\cancel{W_{\circ\circ\circ}^{\circ\circ\circ}} = v_{\circ\circ\circ}^{\circ\circ\circ} \text{ NO2B approx.}$$

# IMSRG-Improved Methods

- ▶ Choose/find a reference state
- ▶ Normal-Order the Hamiltonian with respect to that reference
- ▶ **Evolve Hamiltonian with IMSRG (and any operators)**
- ▶ De-Normal-Order back to the vacuum representation (if necessary)
- ▶ Use effective Hamiltonian in many-body method to extract observables

# IMSRG: In-Medium Similarity Renormalization Group

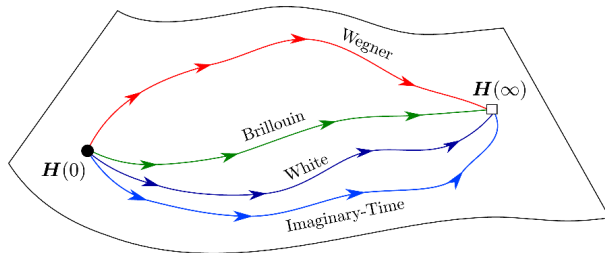


- ▶ continuous unitary transformation
- ▶ solve flow equation:  $\frac{d}{ds}H(s) = [\eta(s), H(s)]$

E. Gebrerufael (2017)

## IMSRG (cont.)

- ▶ Choose  $\eta(s)$  to decouple reference state from excitations
- ▶  $E(s) = \langle \Psi | H(s) | \Psi \rangle$  converges to an eigenstate



# IMSRG (cont.)

## Advantages

- ▶ soft scaling with  $A$  (never construct  $H(s)$  explicitly)
- ▶ 3N interaction included via the normal-ordered two-body approximation (NO2B)

## Disadvantages

- ▶ ground state only
- ▶ formulated for  $0^+$  states (only even nuclei)

## Compromises

- ▶  $\eta(s)$  not computed exactly
  - ▶ IM-SRG(2): include only up to 2-body flow equations
  - ▶ include only up to 2-body irreducible densities ( $\lambda^{(2)}$ ) in contractions

# IMSRG-Improved Methods

- ▶ Choose/find a reference state
- ▶ Normal-Order the Hamiltonian with respect to that reference
- ▶ Evolve Hamiltonian with IMSRG (and any operators)
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# De-Normal-Ordering

Reconstruct a Vacuum Normal-Ordered Hamiltonian

$$H(s) = h + \sum h_{\circ}^{\circ} A_{\circ}^{\circ} + \sum h_{\circ\circ}^{\circ\circ} A_{\circ\circ}^{\circ\circ}$$
$$h = E(s) - \sum f_{\circ}^{\circ}(s) \rho_{\circ}^{\circ} - \frac{1}{4} \sum \Gamma_{\circ}^{\circ}(s) (\rho_{\circ\circ}^{\circ\circ} - 4\rho_{\circ}^{\circ} \rho_{\circ}^{\circ})$$
$$h_{\circ}^{\circ} = f_{\circ}^{\circ}(s) - \sum \Gamma_{\circ\circ}^{\circ\circ}(s) \rho_{\circ}^{\circ}$$
$$h_{\circ\circ}^{\circ\circ} = \Gamma_{\circ\circ}^{\circ\circ}(s)$$



# No-Core Shell Model (NCSM)

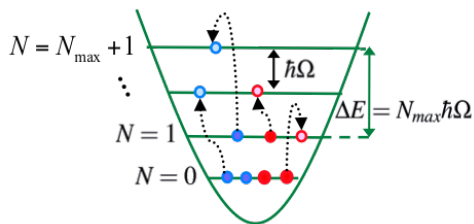
Find eigenstates of the  $A$ -body nuclear Hamiltonian:

$$H |\Psi_k\rangle = E_k |\Psi_k\rangle$$

Expand in anti-symmetrized products of harmonic oscillator single-particle states:

$$|\Psi_k\rangle = \sum_{N=0}^{N_{\max}} \sum_j c_{Nj}^k |\Phi_{Nj}\rangle$$

Converge to an exact solution as  $N_{\max} \rightarrow \infty$



# No-Core Shell Model (NCSM)

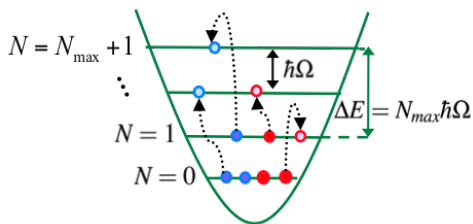
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$$|\Psi_k\rangle = \sum_{N=0}^{N_{\max}} \sum_j c_{Nj}^k |\Phi_{Nj}\rangle$$

Converge to an exact solution as  $N_{\max} \rightarrow \infty$



Disadvantage:

Basis size grows **factorially** with  $N_{\max}$  and  $A$ !

# IMNCSM: The best of both

## IMSRG

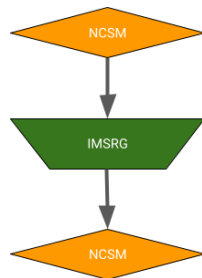
- ▶ polynomial-scaling with  $A$
- ▶ NO2B approximation
- ▶ IM-SRG(2) approximation

## NCSM

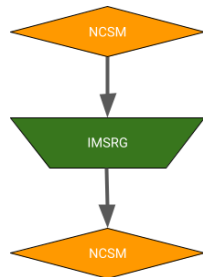
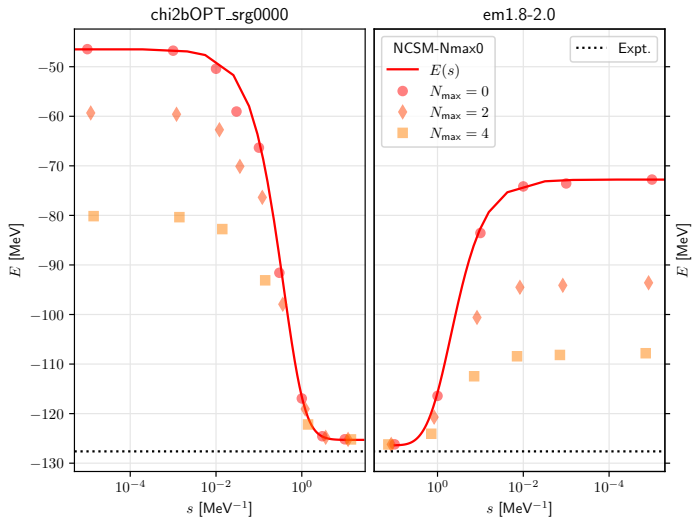
- ▶ easy access to spectroscopy
- ▶ no restrictions to even nuclei

# IMNCSM

- ▶ Calculate a reference state with NCSM in the  $N_{\max}^{\text{ref}}$  space
- ▶ Normal-Order the Hamiltonian with respect to that reference
- ▶ Evolve Hamiltonian with IMSRG (and any operators)
- ▶ De-Normal-Order back to the vacuum representation
- ▶ Use effective Hamiltonian in NCSM to extract observables

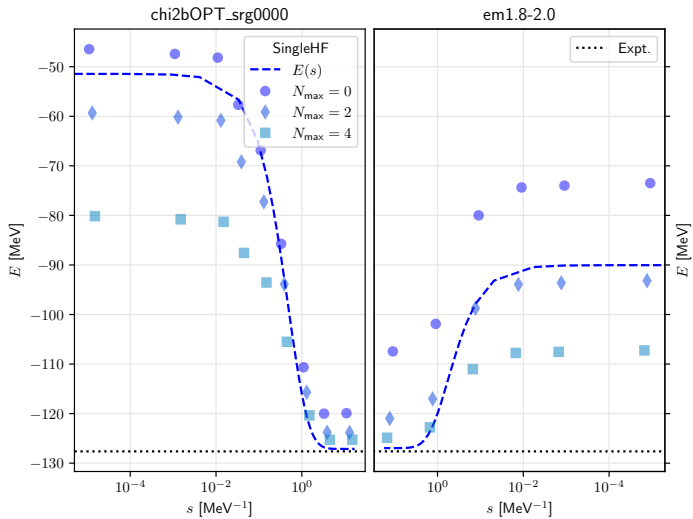
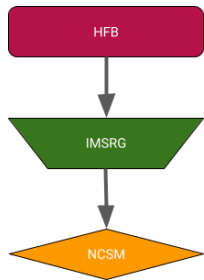


# $^{16}\text{O}$ : Ground States



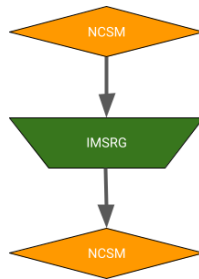
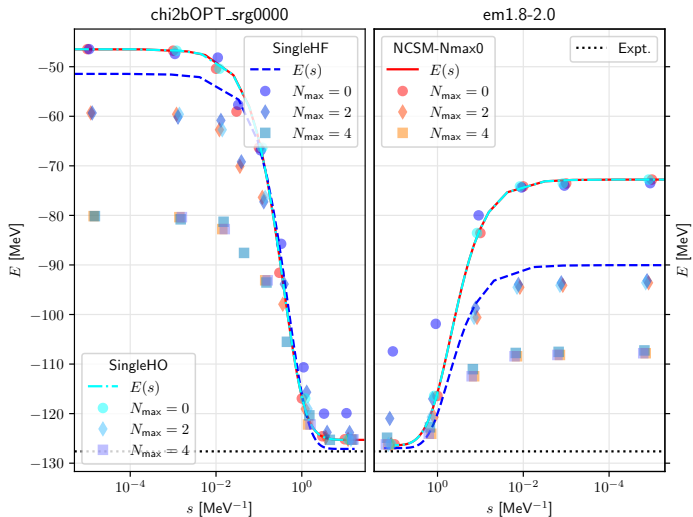
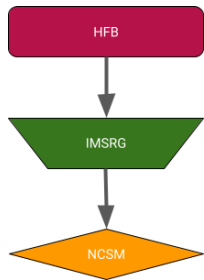
Eta: White  
 $e_{\max} = 8$   
 $\hbar\Omega = 20\text{MeV}$

# $^{16}\text{O}$ : Ground States



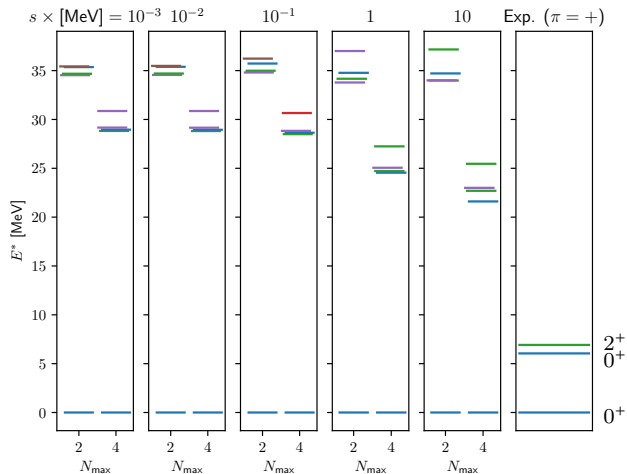
Eta: White  
 $e_{\max} = 8$   
 $\hbar\Omega = 20\text{MeV}$

# $^{16}\text{O}$ : Ground States



Eta: White  
 $e_{\max} = 8$   
 $\hbar\Omega = 20\text{MeV}$

# $^{16}\text{O}$ : Excited States (NCSM $N_{\text{max}}^{\text{ref}} = 0$ Reference)

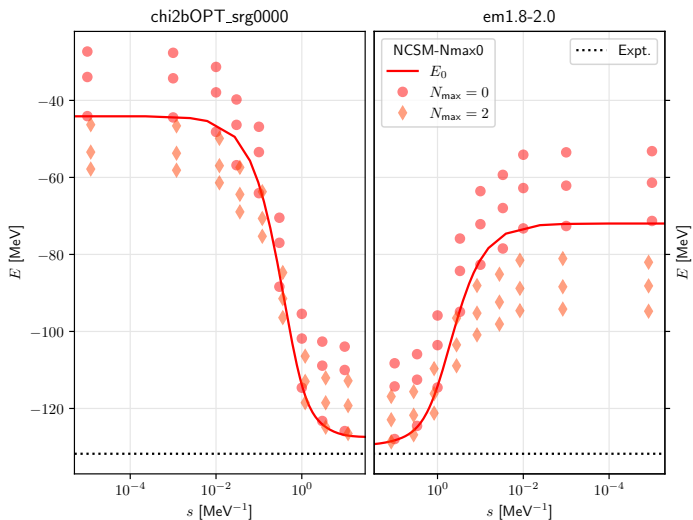


Int: em1.8-2.0  
 Eta: White  
 $e_{\text{max}} = 8$   
 $\hbar\Omega = 20\text{MeV}$

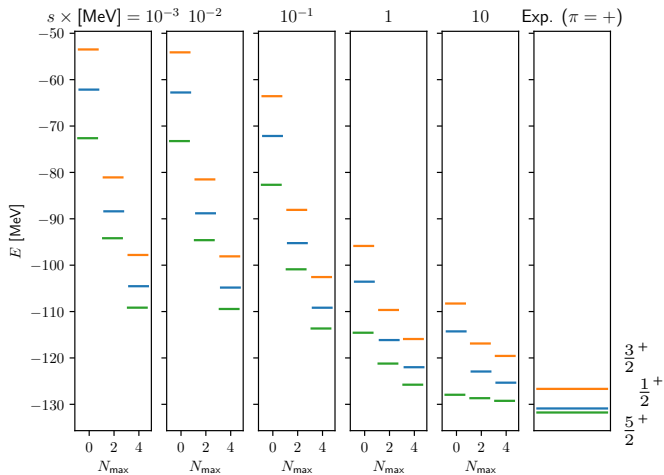


# Odd-A: $^{17}\text{O}$

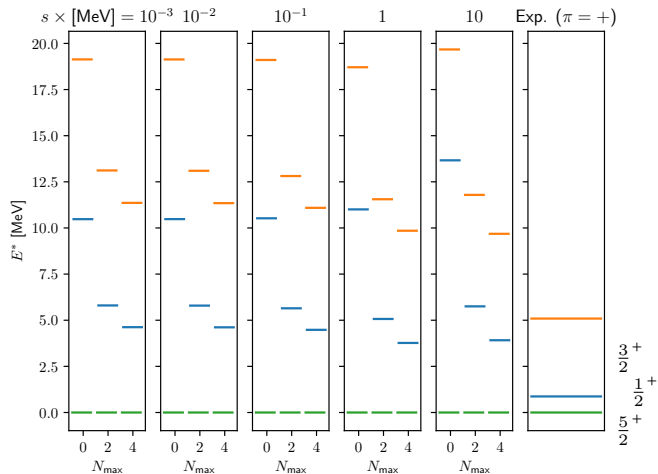
- ▶ use the scalar part of the ground state  $\frac{5}{2}^+$  densities ( $0^+$  “pseudo-state” as reference)



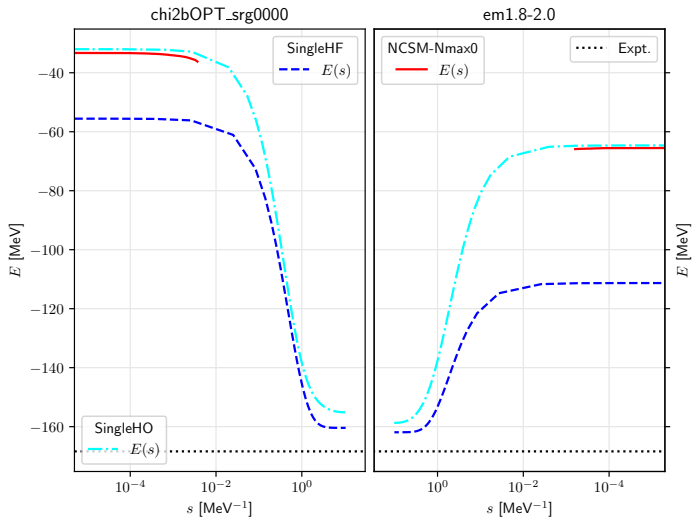
# Odd-A: $^{17}\text{O}$



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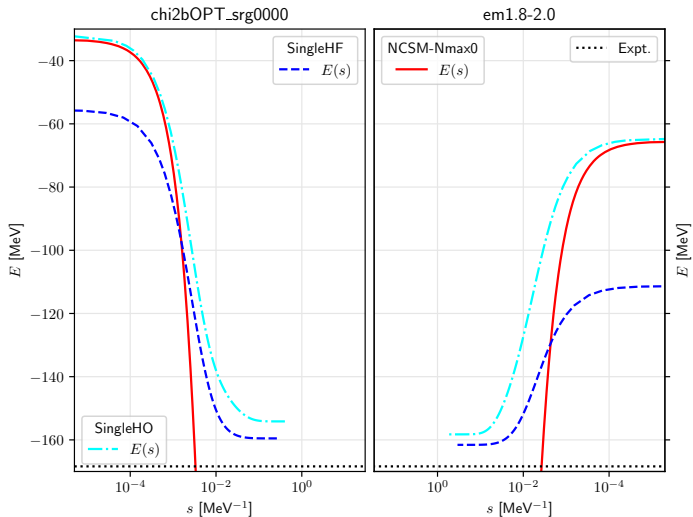


# Work in Progress: $^{24}\text{O}$



Eta: White  
 $e_{\max} = 8$   
 $\hbar\Omega = 20\text{MeV}$

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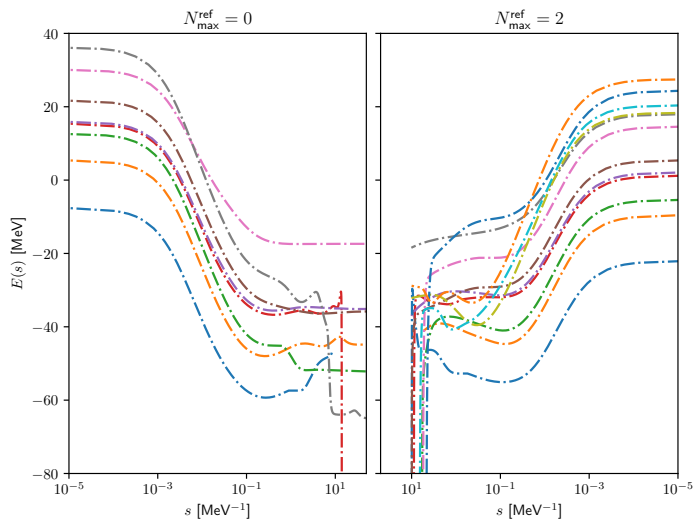


Eta: ImTime  
 $e_{\max} = 8$   
 $\hbar\Omega = 20\text{MeV}$

## Work in Progress: $^{24}\text{O}$

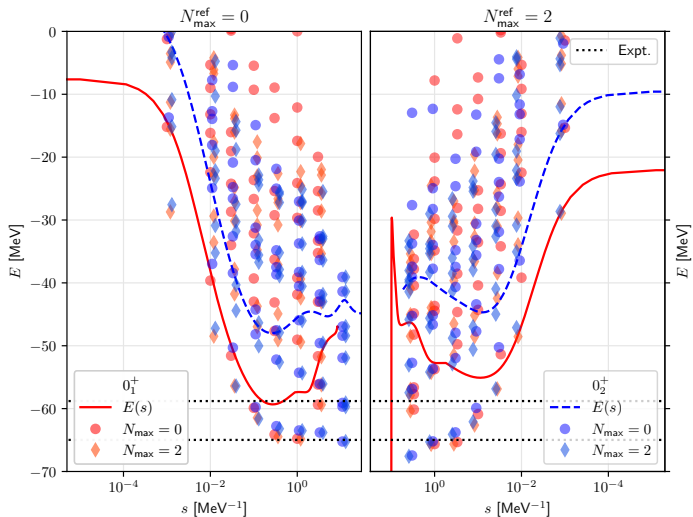
- ▶ Stalling or blow-up in the flow for some references
  - ▶ Can we catch and treat level crossings that switch the sign of  $\eta$ ?
  - ▶ Can we optimize the ODE solver? (Adaptively relax/tighten constraints)
- ▶ Does missing  $\lambda^{(3)}$  introduce instability into flow equations?
  - ▶ Can we reconstruct/approximate it from  $\lambda^{(1)}$  and  $\lambda^{(2)}$ ?

# $^{10}\text{Be}$ : IMSRG Flows from $N_{\text{max}}^{\text{ref}} = 0$ $0^+$ References



Int: chi2bOPT  
Eta: lmTime  
 $e_{\text{max}} = 8$   
 $\hbar\Omega = 20\text{MeV}$

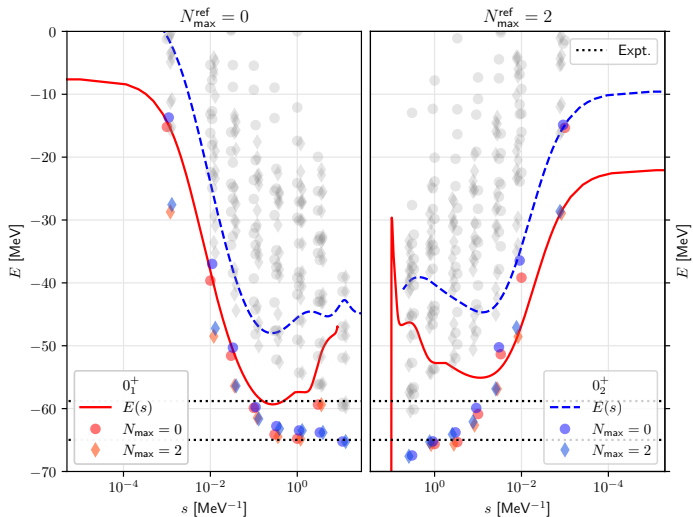
# $^{10}\text{Be}$ : $0^+$ States from $N_{\text{max}}^{\text{ref}} = 0$ $0^+$ References



Int: chi2bOPT  
 Eta: ImTime  
 $e_{\text{max}} = 8$   
 $\hbar\Omega = 20\text{MeV}$

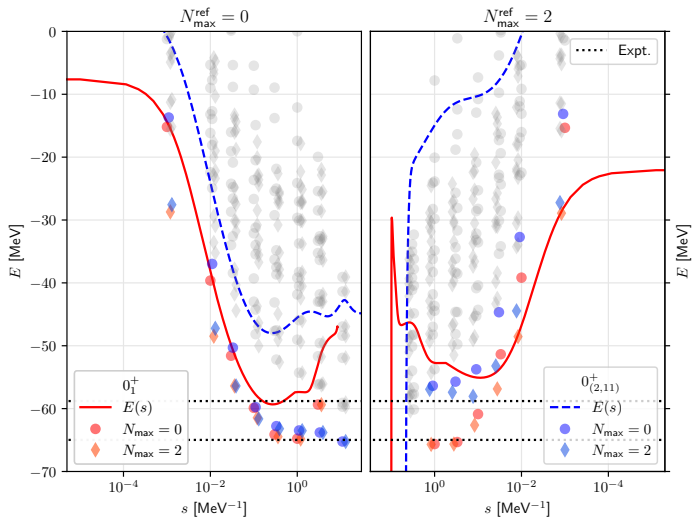


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Int: chi2bOPT  
 Eta: ImTime  
 $e_{\text{max}} = 8$   
 $\hbar\Omega = 20\text{MeV}$

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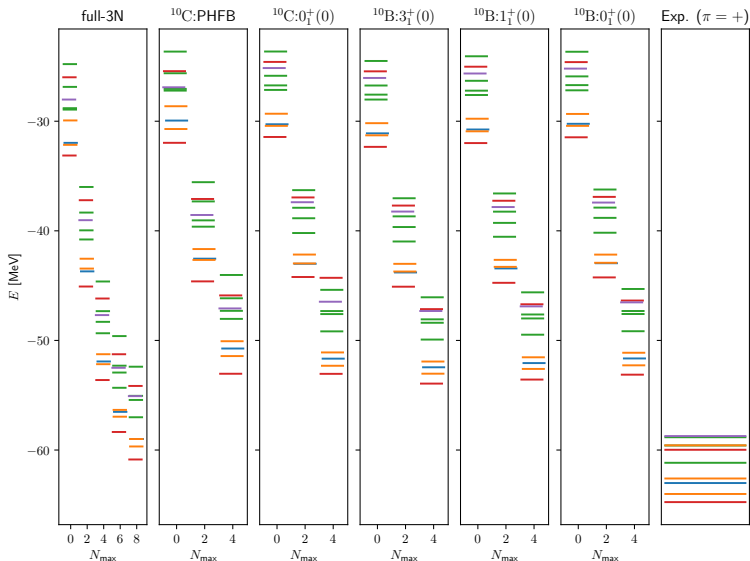
Int: chi2bOPT  
 Eta: lmTime  
 $e_{\text{max}} = 8$   
 $\hbar\Omega = 20\text{MeV}$

# Super-Allowed Beta-Decays ( $0^+ \rightarrow 0^+$ )

## Motivation:

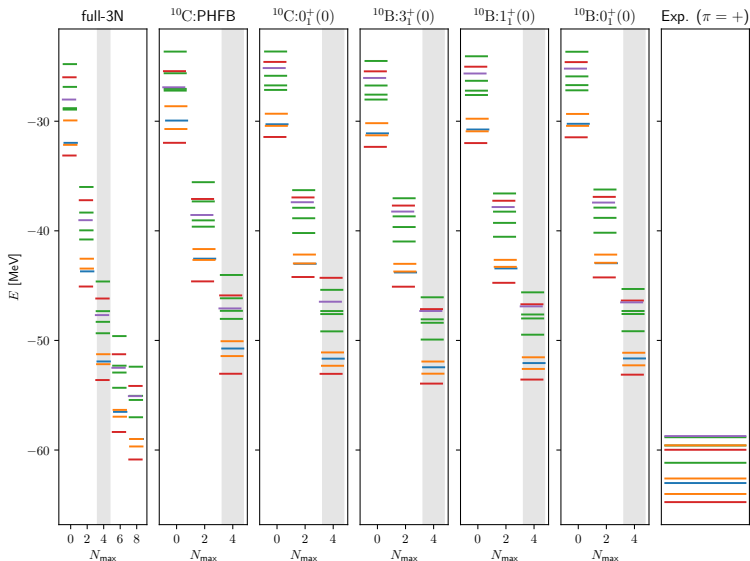
- ▶ enable extraction of  $V_{ud}$  from Fermi transition matrix elements
  - ▶ talk by M. Gennari (Tues)
- ▶ corrections depend on the full spectrum (intermediate states)
- ▶ most candidates at masses beyond the reach of NCSM ( $^{22}\text{Mg}$ ,  $^{26}\text{Al}$ , etc)

# $^{10}\text{B}$ : NCSM with NO2B interactions

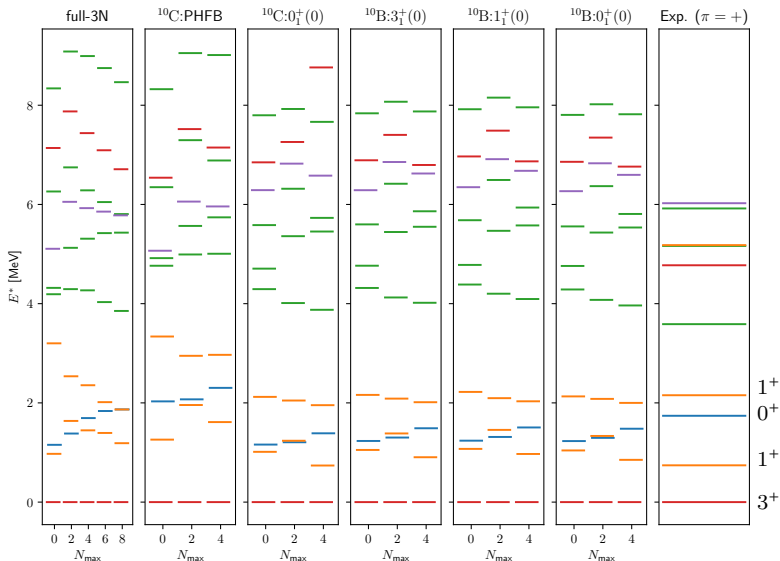


Int: n4lo500-3NlnIE7  
 $e_{\text{max}} = 6$   
 $\hbar\Omega = 18\text{MeV}$

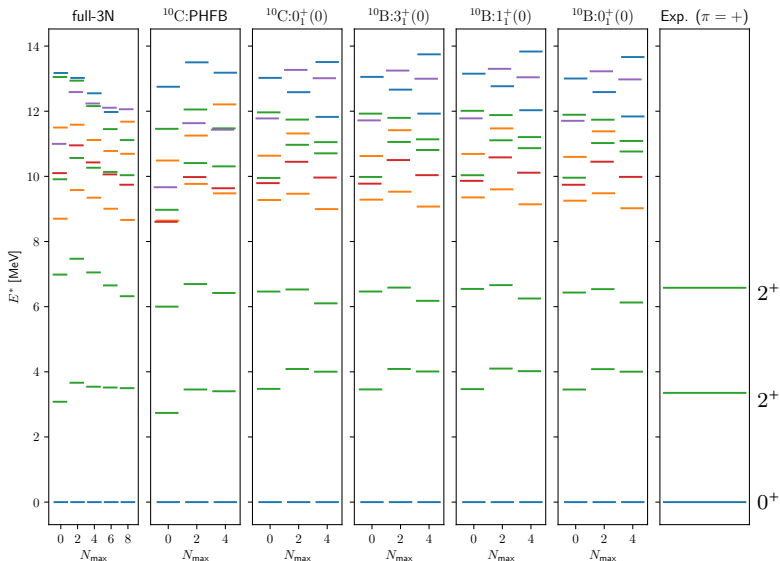
# $^{10}\text{B}$ : NCSM with NO2B interactions



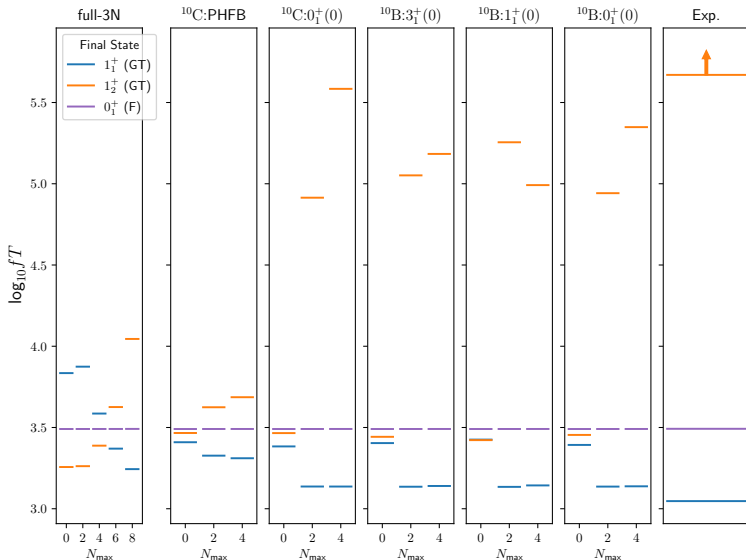
# $^{10}\text{B}$ : NCSM with NO2B interactions



# $^{10}\text{C}$ : NCSM with NO2B interactions



# $^{10}\text{C}(0^+) \rightarrow ^{10}\text{B}$ with NO2B interactions



Int: n4lo500-3NlnIE7  
 $e_{\max} = 6$   
 $\hbar\Omega = 18\text{MeV}$





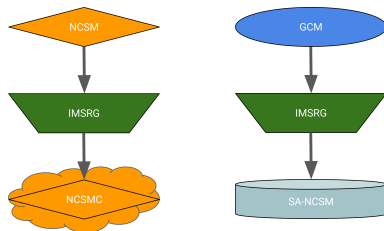
- ▶ Normal-ordering alone seems to improve mixing of  $1^+$  states
  - ▶ with some computational cost savings
- ▶ But IM-SRG flow stalls - requires treating of level crossings and/or  $\lambda^{(3)}$  reconstruction

# Open Questions

- ▶ What determines the evolution stopping criteria?
  - ▶ plateau in  $E(s)$ ?
- ▶ Can we achieve convergence with respect to variation of reference state?
  - ▶ increase  $e_{\text{Max}}$ ? optimize  $\hbar\Omega$ ?
- ▶ Instability and stalling during the flow
  - ▶ Can we catch and treat level crossings?
- ▶ Can we target experimentally known states by choosing the right reference states?
  - ▶ select the right low- $N_{\text{max}}$  precursor state or invent a density with a particular symmetry

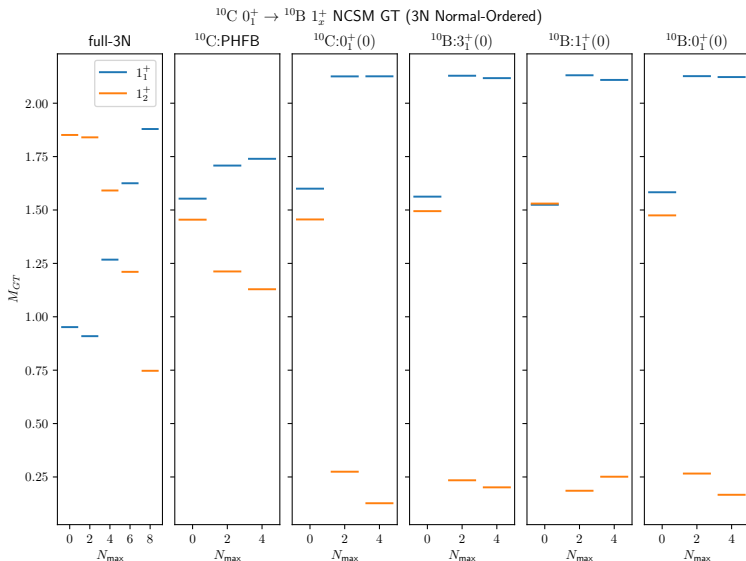
## Conclusion: A lot more to explore...

- ▶ IMSRG is a convergence accelerator!
- ▶ Development in progress to improve NCSM calculations
  - ▶ apply to open-shell nuclei, excited states, transition operators
  - ▶ e.g. push the mass range for super-allowed decays
- ▶ Try different IM-improved combinations
  - ▶ target intruder states or particular symmetries
  - ▶ IM-NCSMC
  - ▶ IM-GCM-SA-NCSM
- ▶ Uncertainty quantification
  - ▶ reference state dependence
  - ▶ interaction dependence
  - ▶ IMSRG generators and evolution parameter



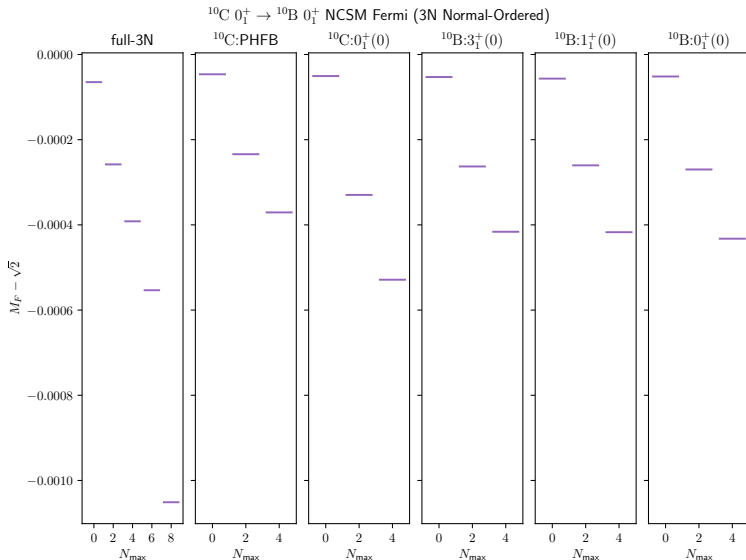
## Backup Slides

# $^{10}\text{C} \rightarrow ^{10}\text{B}$ with NO2B interactions



Int: n4lo500-3NnlE7  
 $e_{\max} = 6$   
 $\hbar\Omega = 18\text{MeV}$

# $^{10}\text{C} \rightarrow ^{10}\text{B}$ with NO2B interactions



Int: n4lo500-3NinIE7  
 $e_{\max} = 6$   
 $\hbar\Omega = 18\text{MeV}$