

Study of rare pion decays in the PiBeta and PEN experiments: a look forward

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Goals and motivation of the PiBeta and PEN program

PiBeta: Quark-lepton universality, CKM unitarity, SM tests

PEN: Lepton universality, SM tests

Experimental method: PiBeta

Apparatus and measurement method

Detector performance and results

Experimental method: PEN

Modifications to apparatus and method

Current status of PEN

Lessons for a possible future experiment

Known and measured pion and muon decays

decay	<i>B.R.</i>	physics interest	
$\pi^+ \rightarrow \mu^+ \nu$	0.9998770 (4)	$(\pi_{\mu 2})$	
$\mu^+ \nu \gamma$	$2.00 (25) \times 10^{-4}$	$(\pi_{\mu 2 \gamma})$	
$e^+ \nu$	$1.230 (4) \times 10^{-4}$	$(\pi_{e 2})$	\Leftarrow lepton universality, beyond SM terms (T, \dots)
$e^+ \nu \gamma$	$7.39(5) \times 10^{-7}$	$(\pi_{e 2 \gamma})$	\Leftarrow BSM terms (T, \dots), form fact's: $F_A^{(\pi)}, F_V^{(\pi)}, \dots$
$\pi^0 e^+ \nu$	$1.036 (6) \times 10^{-8}$	$(\pi_{e 3})$	\Leftarrow quark-lepton universality (V_{ud}), BSM loops
$e^+ \nu e^+ e^-$	$3.2 (5) \times 10^{-9}$	$(\pi_{e 2 ee})$	
$\pi^0 \rightarrow \gamma \gamma$	0.98798 (32)		
$e^+ e^- \gamma$	$1.198 (32) \times 10^{-2}$	(Dalitz)	\Leftarrow χ anomaly, low energy chiral parameters
$e^+ e^- e^+ e^-$	$3.14 (30) \times 10^{-5}$		
$e^+ e^-$	$6.2 (5) \times 10^{-8}$		
$\mu^+ \rightarrow e^+ \nu \bar{\nu}$	~ 1.0	(Michel)	
$e^+ \nu \bar{\nu} \gamma$	0.014 (4)	(RMD)	\Leftarrow beyond SM weak interaction terms
$e^+ \nu \bar{\nu} e^+ e^-$	$3.4 (4) \times 10^{-5}$		

Summary of PiBeta and PEN goals

Goals of the **PiBeta** experiment (data runs 1999-2004):

Decay	$\mathcal{O}(\text{B.R.})$	Goal $\delta R/R$	Attendant SM limits
$\pi_{e3(\gamma)} : \pi^+ \rightarrow \pi^0 e^+ \nu_e(\gamma)$	$R_{e3(\gamma)}^\pi \sim 10^{-8}$	$\sim 5 \times 10^{-3}$	(see below)
$\pi_{e2\gamma} : \pi^+ \rightarrow e^+ \nu_e \gamma$	$R_{e2\gamma}^\pi \sim 10^{-7}$	$\leq 1 \times 10^{-2}$	$F_A^\pi, F_V^\pi, F_T^\pi; \chi^2_{\text{PT}}$ l.e.c.
RMD: $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu \gamma$	$R_{e2\gamma}^\pi \sim 10^{-3}$	$\leq 1 \times 10^{-2}$	Michel param.: $\bar{\eta}$

Goals of the **PEN** experiment (data runs 2008-2010):

Decay	$\mathcal{O}(\text{B.R.})$	Goal $\delta R/R$	Attendant SM limits
$\pi_{e2(\gamma)} : \pi^+ \rightarrow e^+ \nu_e(\gamma)$	$R_{e2(\gamma)}^\pi \sim 10^{-4}$	$\sim 5 \times 10^{-4}$	(see below)
$\pi_{e2\gamma} : \pi^+ \rightarrow e^+ \nu_e \gamma$	$R_{e2\gamma}^\pi \sim 10^{-7}$	$\sim 1 \times 10^{-2}$	improve F_V^π & limit on F_T^π
RMD: $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu \gamma$	$R_{e2\gamma}^\pi \sim 10^{-6}$	$\sim 1 \times 10^{-2}$	improve $\bar{\eta}$

π_{e3} decay rate in the SM (a pure vector $0^- \rightarrow 0^-$ decay)

$$\Gamma_{e3(\gamma)}^\pi = \Gamma_0(1 + \delta_\pi) = \frac{G_F^2 |V_{ud}|^2 \Delta^5}{30\pi^3} f(\epsilon, \Delta) \left(1 - \frac{\Delta}{2m_+}\right)^3 (1 + \delta_\pi) \quad \left[\equiv R_{e3(\gamma)}^\pi / \tau_\pi \right],$$

where

$$\Delta = m_+ - m_0 = 4.5936(5) \text{ MeV} \quad \text{and} \quad \epsilon = \left(\frac{m_e}{\Delta}\right)^2 \simeq \frac{1}{81}$$

while

$$f(\epsilon, \Delta) = \sqrt{1 - \epsilon} \left(1 - \frac{9}{2}\epsilon - 4\epsilon^2\right) + \frac{\epsilon^2}{4} \ln\left(\frac{1 - \sqrt{1 - \epsilon}}{\sqrt{\epsilon}}\right) - \frac{3}{7} \frac{\Delta^2}{(m_+ + m_0)^2} \simeq 0.941$$

and $\delta_\pi \sim 0.0335$ is the sum of radiative/loop corrections with $\sim 0.03\%$ relative uncertainty.

This is the **theoretically cleanest** way to determine CKM V_{ud} , which leads to several interesting SM tests, but is at the same time **experimentally the hardest!**

[Physics reach of precise measurements of $R_{e3(\gamma)}^{\pi\text{-exp}}$ and $R_{e2(\gamma)}^{\pi\text{-exp}}$ has been discussed by Hoferichter, Bryman, and Cirigliano in talks at this meeting.]

Prior to 2004, Γ_{e3}^π and R_{e3}^π measured with about 4% precision.

π_{e2} decay: SM calculations, lepton universality

- ▶ Early evidence for $V - A$ nature of weak interaction.

$$R_{e/\mu}^{\pi} = \frac{\Gamma(\pi \rightarrow e\bar{\nu}(\gamma))}{\Gamma(\pi \rightarrow \mu\bar{\nu}(\gamma))} = \frac{g_e^2}{g_{\mu}^2} \frac{m_e^2}{m_{\mu}^2} \frac{(1 - m_e^2/m_{\mu}^2)^2}{(1 - m_{\mu}^2/m_{\pi}^2)^2} (1 + \delta R_{e/\mu})$$

- ▶ Modern SM calculations: $R_{e/\mu}^{\pi} = \frac{\Gamma(\pi \rightarrow e\bar{\nu}(\gamma))}{\Gamma(\pi \rightarrow \mu\bar{\nu}(\gamma))_{\text{CALC}}} =$
 - $1.2352(5) \times 10^{-4}$ Marciano and Sirlin, [PRL **71** (1993) 3629]
 - $1.2354(2) \times 10^{-4}$ Finkemeier, [PL B **387** (1996) 391]
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$\sim 2.5 \times 10^{-5}$

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- ▶ Strong SM **helicity suppression** amplifies sensitivity to PS terms (“door” for New Physics) by factor $2m_{\pi}^2/[m_e(m_u + m_d)] \approx 11,000$.

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π_{e2} decay: SM calculations, lepton universality

- ▶ Early evidence for $V - A$ nature of weak interaction.

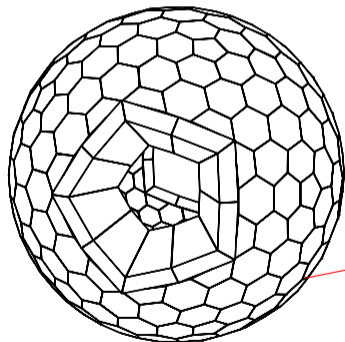
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- ▶ Experimental world average is $23\times$ less accurate than SM calculations! $[1.2327(23) \times 10^{-4}]$

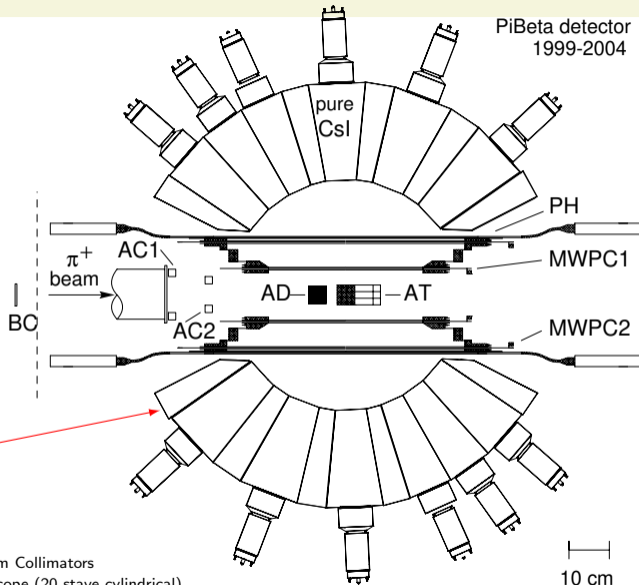
The PiBeta apparatus

- π E1 beam at PSI
- stopped π^+ beam
- 9-elem. active tgt
- 240-elem. $12X_0$ spherical pure-CsI calo.
- tightly controlled temp/humidity/gains
- central tracking
- beam tracking
- fast-digitized wf's



BC: Beam Counter
AD: Active Degradator
AT: Active Target

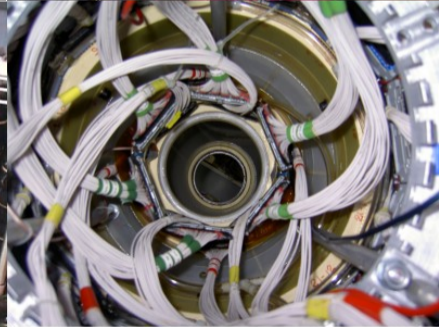
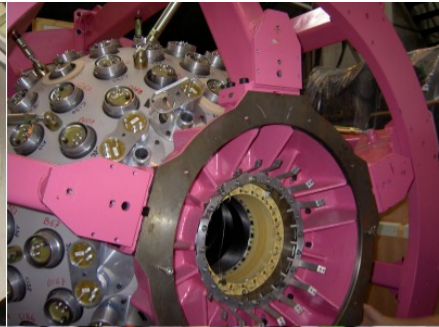
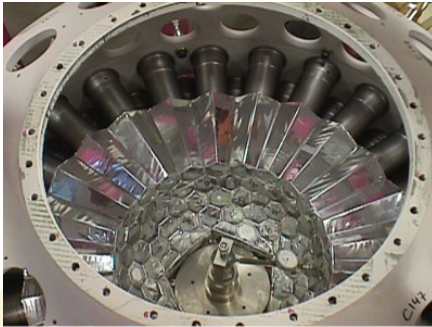
AC1,2: Active beam Collimators
PH: Plastic Hodoscope (20 stave cylindrical)
MWPC: Multi-Wire Proportional Chamber (cylindrical)



PiBeta detector
1999-2004

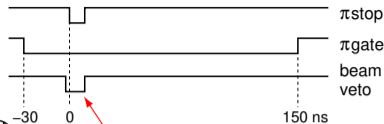
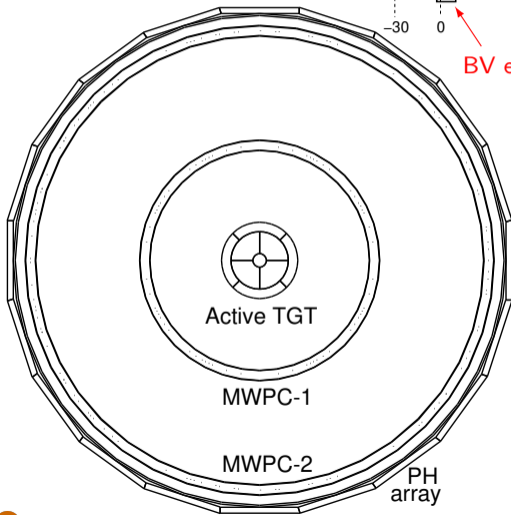
10 cm

A few photos of the PiBeta apparatus:



PiBeta central detector region

TGT stopping rate: $\geq 10^6 \pi/s$;
 9-pc. AT: 5 inner (fiducial stop)
 4 guard/tracking ring;



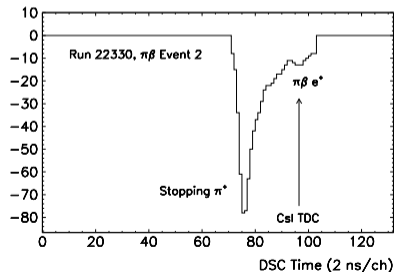
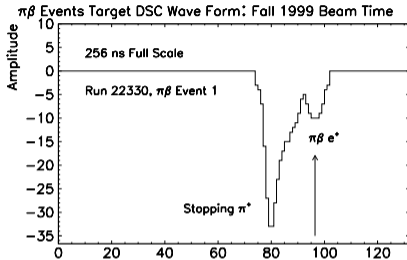
BV eliminated in PEN!

Beam $p \simeq 113 \text{ MeV}/c$
 (to achieve π_{stop} rate)

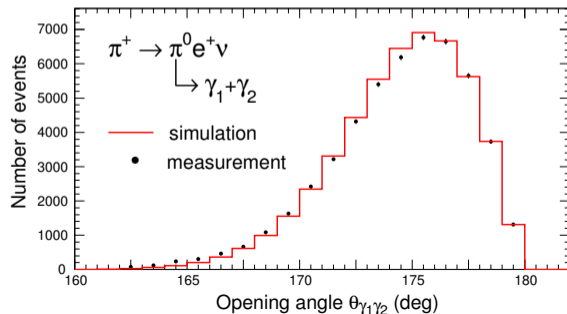
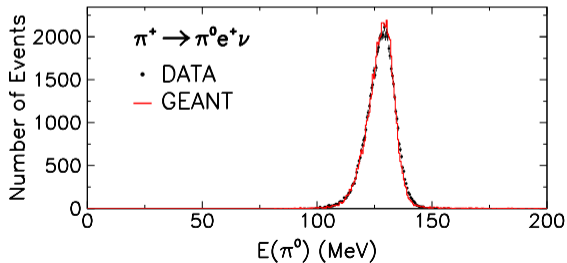
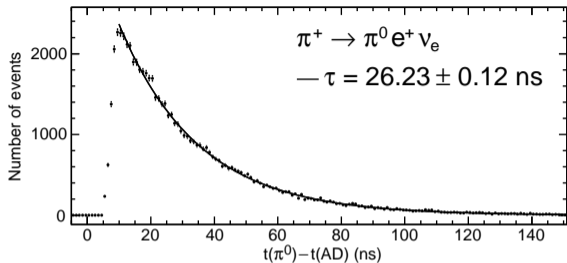
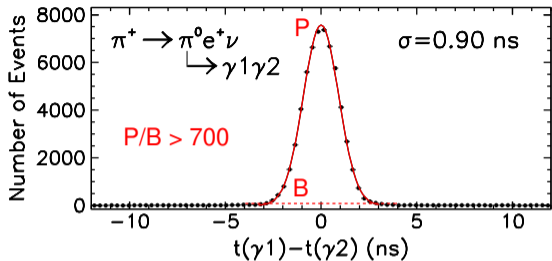
9-piece AT provides rudimentary beam stop tracking

Note: AT was replaced for each annual run due to radiation damage.

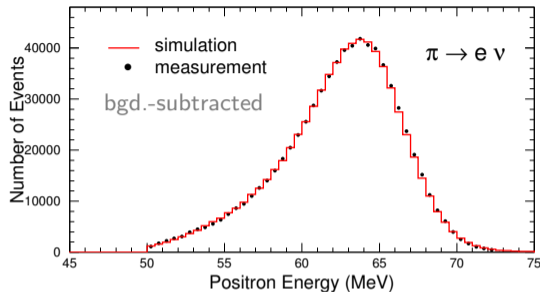
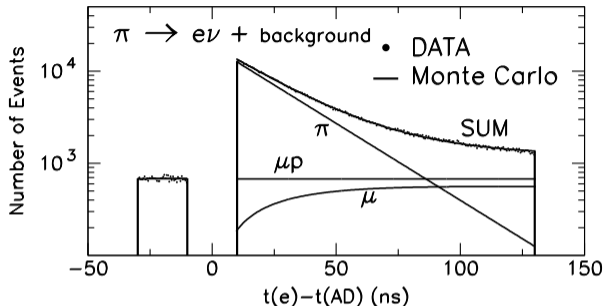
Digitized AT signal waveforms:



Key PiBeta spectra: π_{e3} decay (2004)

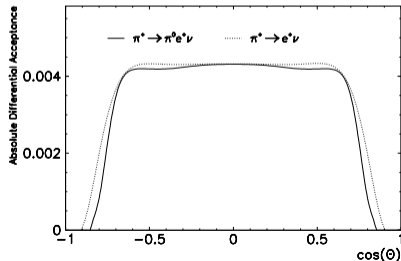


PiBeta normalization spectra: π_{e2} decay (2004)



Notes:

- π_{e3} signals are clean, w/low bgd. levels (previous slide);
- large background in π_{e2} from $\pi \rightarrow \mu \rightarrow e$ decay chain,
- ... also from **pile-up** μ 's in target;
- ~ 15 ns vetoed around $t = 0$ to suppress prompt hadr. bgd.;
- excellent agreement with Geant3 MC simulations;
- π_{e2} : large subtraction of $\pi \rightarrow \mu \rightarrow e$ events below ~ 55 MeV;
- well matched acceptances for π_{e3} , π_{e2} decays (shown);
- even closer for π_{e2} and $\pi \rightarrow \mu \rightarrow e$ channels (not shown).



Pion beta decay yield normalized to measured $\pi \rightarrow e\nu$ events:

$$B_{\pi\beta}^{\text{exp-t}} = [1.040 \pm 0.004 (\text{stat}) \pm 0.004 (\text{syst})] \times 10^{-8},$$

$$B_{\pi\beta}^{\text{exp-e}} = [1.036 \pm 0.004 (\text{stat}) \pm 0.004 (\text{syst}) \pm 0.003 (\pi_{e2})] \times 10^{-8},$$

McFarlane et al. [PRD 1985]: $B = (1.026 \pm 0.039) \times 10^{-8}$

SM Prediction (PDG):

$$B = 1.038 - 1.041 \times 10^{-8} \quad (90\% \text{ C.L.}) \\ (1.005 - 1.007 \times 10^{-8} \quad \text{excl. rad. corr.})$$

⇒ Most sensitive test of CVC/radiative corr. in a meson to date!

PDG 2020: $V_{ud} = 0.97370(14)$

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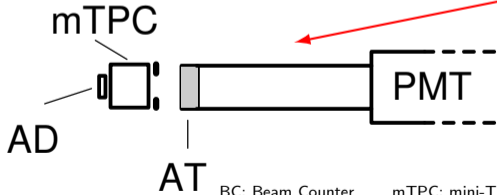
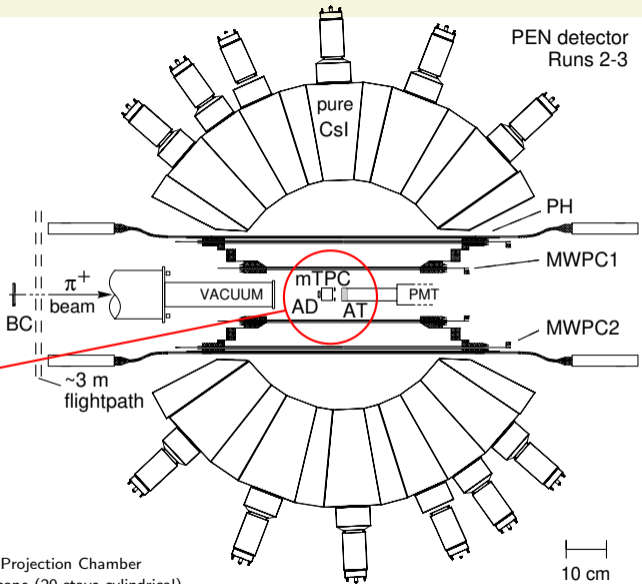
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The PEN apparatus

New detectors/systems and modifications:

- beam tracking: low mass mini TPC!
- new single-element active target,
- new Aqiris waveform digitizer,
- new single element active target,
- wider π gate: -50 to 220 ns, no BV,
- replaced PH staves (faster, thicker),
- refurbished aged CsI calo PMT's,
- lower beam intensity $< 10^5/s$,
- lower beam mom.: $71-75$ MeV/c;



BC: Beam Counter
 AD: Active Degradator
 AT: Active Target
 mTPC: mini-Time Projection Chamber
 PH: Plastic Hodoscope (20 stave cylindrical)
 MWPC: Multi-Wire Proportional Chamber (cylindrical)



PEN measurement principles for $R_{e/\mu}^\pi$; key challenges

Basic principle: record pion decays at rest in a beam stopping target¹ and count each:

$$(a) \pi_{e2}(\gamma): \pi^+ \rightarrow e^+ \nu_e(\gamma), \quad \text{and} \quad (b) \pi_{\mu2}(\gamma): \pi^+ \rightarrow \mu^+ \nu_\mu(\gamma) \text{ decay event}$$

during an observation time window, and evaluate the yield ratio (a)/(b), applying appropriate corrections. Since (a) and (b) cannot be identified sufficiently reliably in the stopping target alone, an e-m calorimeter and tracking detectors are used, identifying (b) through the subsequent decay $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu(\gamma)$.

Key challenges in achieving sub- 10^{-3} , or sub- 10^{-4} precision are of **systematic** nature:

- ▶ accurately **identify** processes (weak decay, hadronic interaction, etc.) for each event,
- ▶ accurately **count** and **sort** each type of decay event (without missing/mislabeling any).

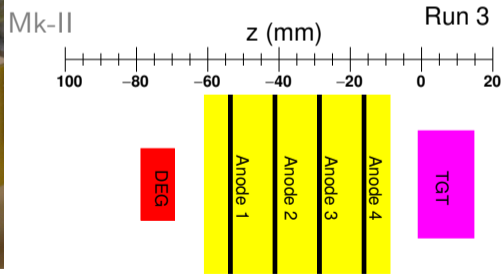
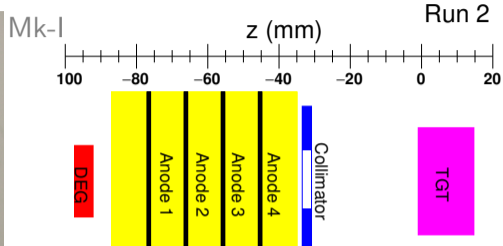
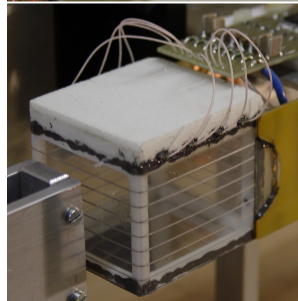
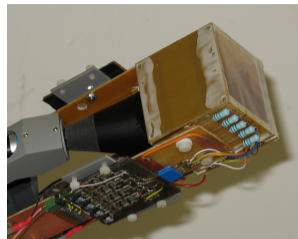
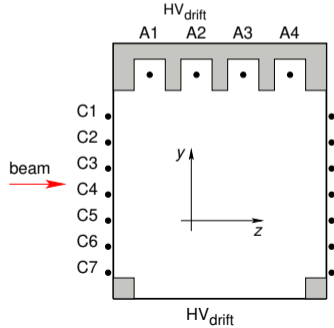
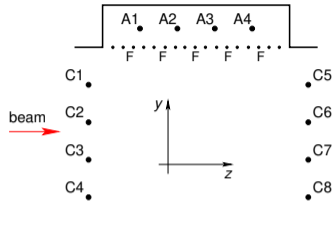
In practice this means that beam and decay particles must be **tracked**, and their interactions with matter **detected** and **recorded**, until the final stop of the detectable decay products in the calorimeter.

Key **design requirements** include **minimizing mass** in the particle path to target/calorimeter, while **maximizing detection efficiency** and **resolution**: E , t and spatial.

¹Here we do not discuss decays in flight which present a wholly different set of challenges.

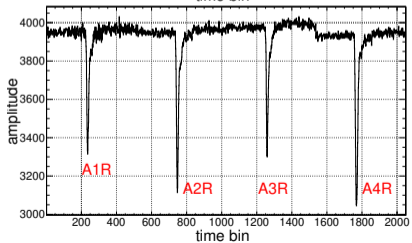
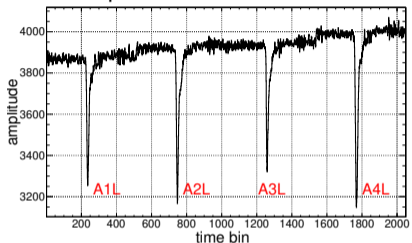


PEN beam tracking: the mini TPC's (Runs 2 and 3; JINR Dubna designed and built)

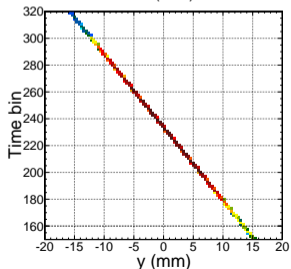
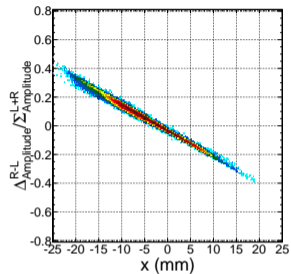
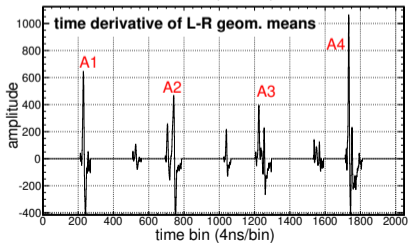
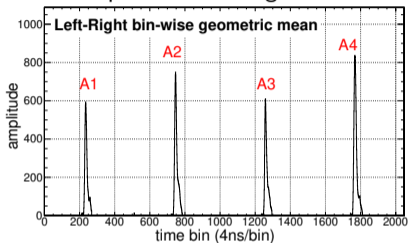


Beam tracking: x : (resistive) anode L/R amplitude asymmetry; y : anode mean time vs. AD.

multiplexed anode waveforms:

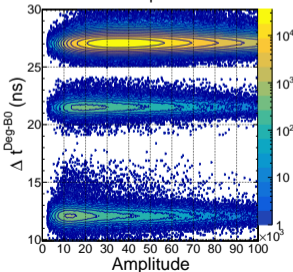
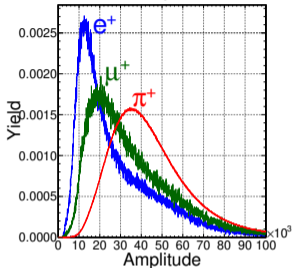


amplitude & timing data:

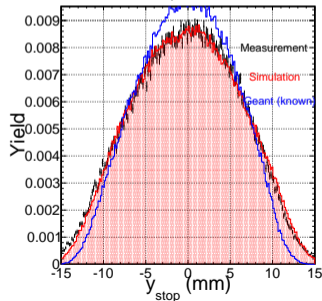
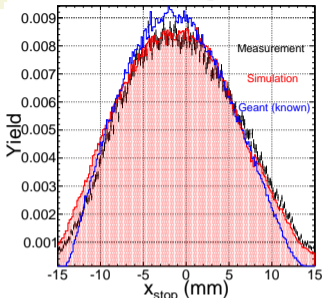


More on mTPC performance

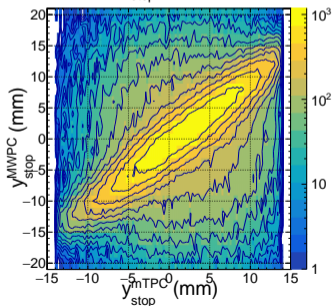
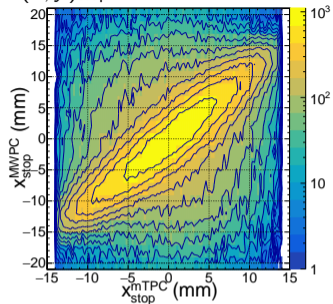
mTPC beam particle ID:



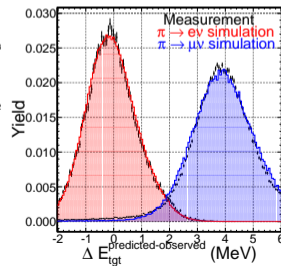
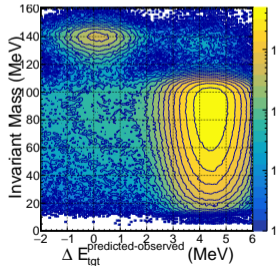
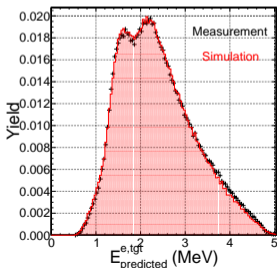
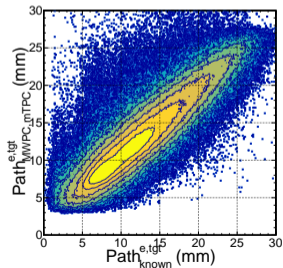
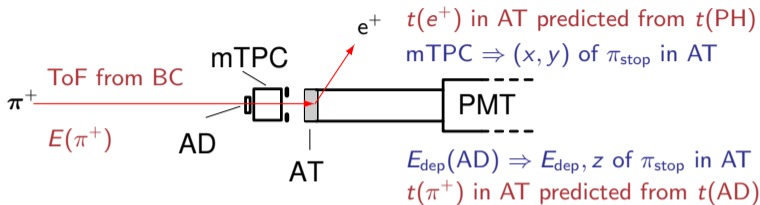
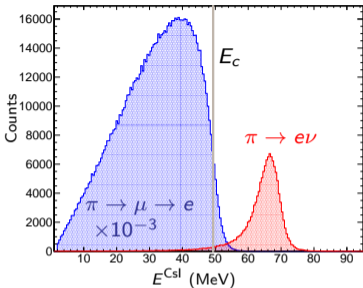
mTPC stopping distr.:



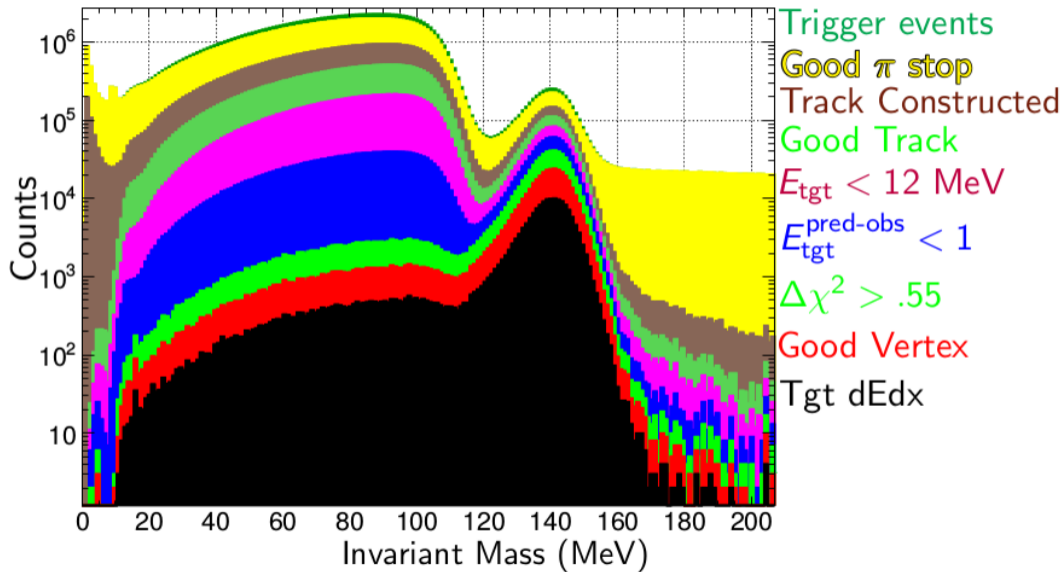
$(x, y)_{\text{stop}}$: mTPC vs. MWPC



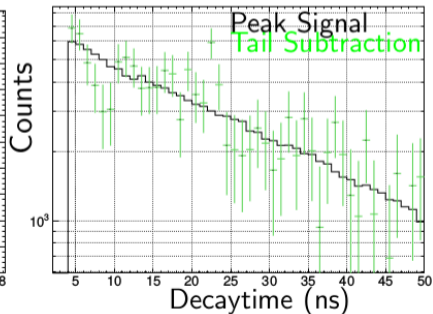
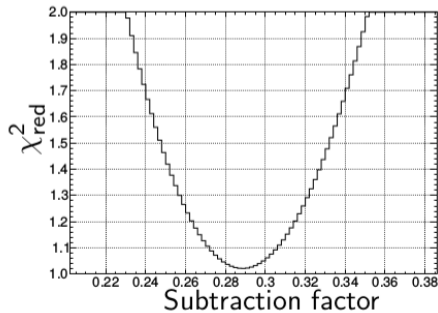
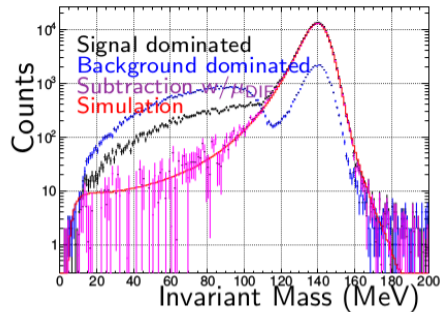
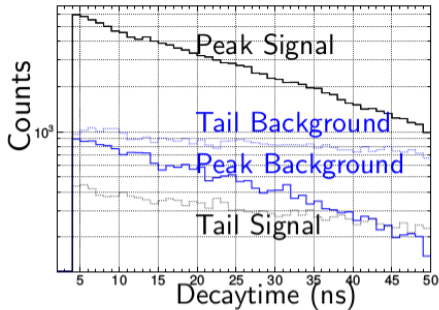
Discriminating π_{e2} and $\pi_{\mu2}$ in TGT



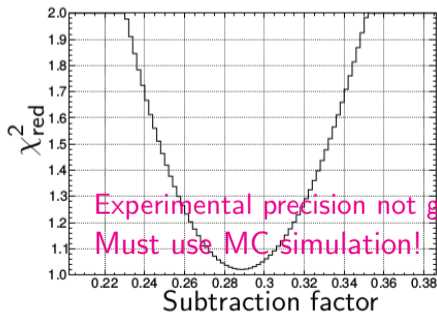
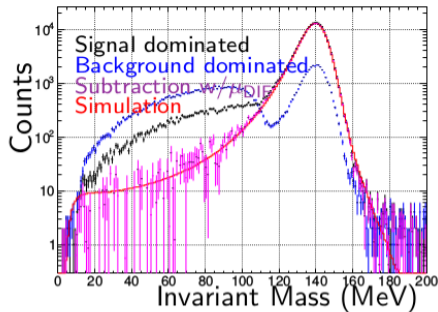
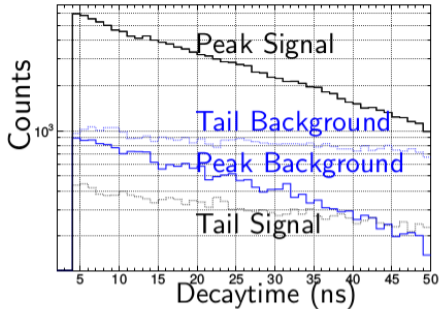
Tail trigger



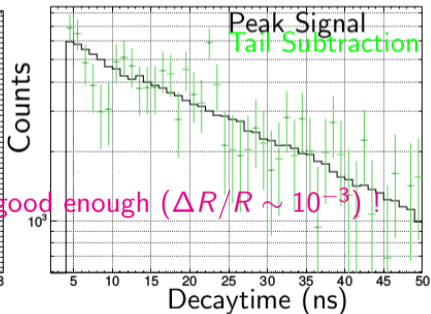
Main trigger:
measured 'tail'
after backgd.
subtraction \Rightarrow



Main trigger:
measured 'tail'
after backgd.
subtraction \Rightarrow

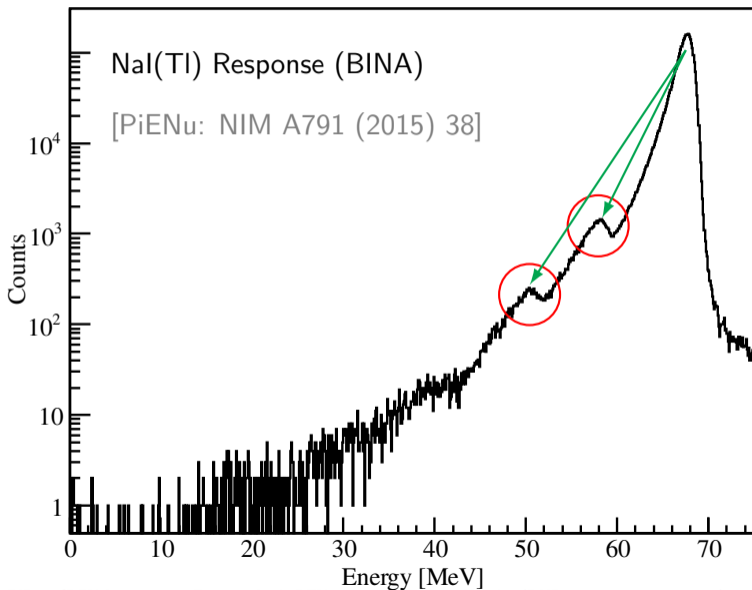


Experimental precision not good enough ($\Delta R/R \sim 10^{-3}$)!
Must use MC simulation!



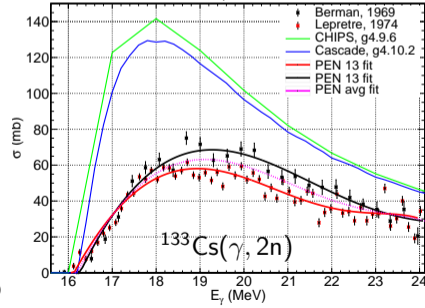
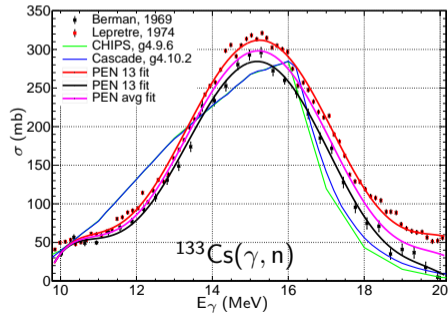
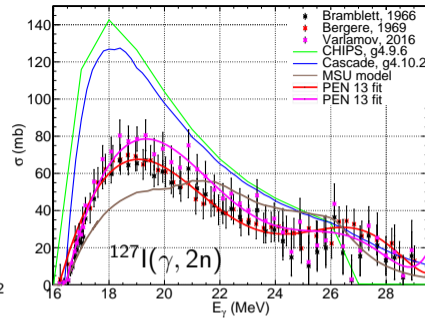
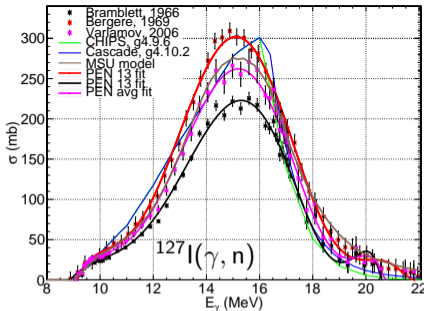
Tail fraction: photoneutron reactions

(γ, n) reactions on calorimeter nuclei, Cs and I, shift counts from the main peak to the “tail” region if the neutron is undetected.



Photoneutron cross sections, $\sigma(\gamma, xn)$

- ▶ Many inconsistencies among the data sets;
- ▶ Geant4 descriptions inadequate, often miss data by a wide margin.
- ▶ PEN was forced to implement its own parametrization in Geant4 (C. Glaser).
- ▶ This procedure works at the PEN goal precision, but would be inadequate at higher precision.



Current status of PEN analysis

$$R_{e/\mu}^{\pi} = \frac{N_{\pi \rightarrow e\nu}^{\text{peak}}}{N_{\pi \rightarrow \mu\nu}} (1 + \epsilon_{\text{tail}}) \frac{A_{\pi-\mu-e}}{A_{\pi-\mu-e}} \frac{\epsilon(E_{\mu \rightarrow e\nu\bar{\nu}})_{\text{MWPC}}}{\epsilon(E_{\pi \rightarrow e\nu})_{\text{MWPC}}} \frac{f_{\pi-\mu-e}(T_e)}{f_{\pi-\mu-e}(T_e)}$$

 r_A
 r_{ϵ}
 r_f

Systematics	Value	$\Delta R_{e/\mu}^{\pi} / R_{e/\mu}^{\pi}$
ϵ_{tail}	0.032	3.5×10^{-4}
r_f	0.04292034	5×10^{-6}
* $r_A r_{\epsilon}$	$\simeq 0.98$	$\sim 3 \times 10^{-4}$
Statistical:		
$\Delta N_{\pi \rightarrow e\nu} / N_{\pi \rightarrow e\nu}$		5.15×10^{-4} (Runs 2 [†] &3)
Goal		5×10^{-4}

* Blinded

† incomplete

Work is currently ongoing on the systematic analysis of the remaining steps and checks.

Lessons for a next generation experiment

- ▶ One can never know too much about an event. **Redundancy** in the measured observables is essential.
- ▶ **Precision tracking** of beam and decay product particles is critically important.
- ▶ There is no substitute for **resolution (E , t , spatial)**, especially in the calorimeter. Calorimeter thickness, though expensive, is essential.
- ▶ Calorimeter must be **separable** so that its response can be studied directly with beam in a controlled manner.
- ▶ **Calorimeter segmentation** is critical. The PiBeta calorimeter was designed to provide sufficient angular resolution for photon-induced showers, and delivered. Calorimeter segmentation enables use of high beam stopping rates with ease.
- ▶ **Low mass** everywhere in the path of particles (beam and decay products) is essential.
- ▶ Highly realistic **simulation** of the apparatus and processes is a given.
- ▶ Handling the **high target rate** for an ultimate $R_{e3(\gamma)}^{\pi}$ measurement is a **challenge**.



A caveat: radiative decays

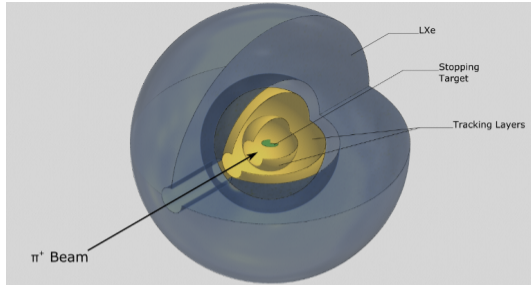
I have not discussed the study of radiative decays:

1. $\pi^+ \rightarrow e^+ \nu_e \gamma$, and
2. $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu \gamma$.

These are rich in physics and interesting to study, and accessible with the apparatus discussed here.

Arguably our most impactful result to date is the high precision measurement of $R_{e2\gamma}^\pi$ and limit on F_T that has provided the best constraint on ϵ_T , the weak **tensor** coupling.





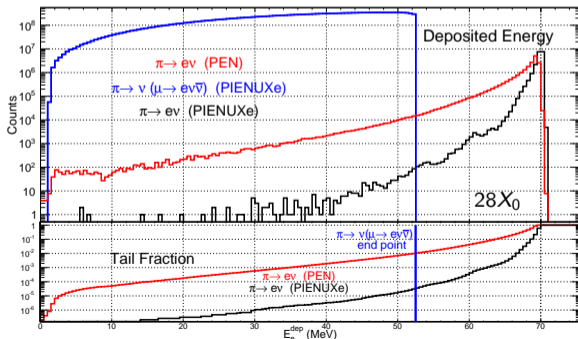
Concept for an improved RarePi experiment

Goals:

1. $(\Delta R/R)_{e/\mu}^{\pi} < 10^{-4}$ (to match theory),
2. $(\Delta R/R)_{e3}^{\pi} \sim 2 - 3 \times 10^{-3}$ (per W. Marciano).

A possible setup:

- ▶ stopped π^+ in active target with full tracking,
- ▶ main detector: liquid Xe,
- ▶ $\langle t_{\text{stop}}^{\pi} \rangle \sim 1.5 - 2 \times 10^6 \text{ s}^{-1}$,
- ▶ with $T_{\text{run}}^{\text{live}} \sim 3 \times 10^7 \text{ s}$ (> 2 calendar years):
- ▶ $N_{e2(\gamma)}^{\pi} > 5 \times 10^9$: $(\Delta R_{e/\mu}^{\pi}/R)_{\text{stat}} < 2 \times 10^{-5}$;
- ▶ $N_{e3(\gamma)}^{\pi} \geq 4 \times 10^5$: $(\Delta R_{e3}^{\pi}/R)_{\text{stat}} < 2 \times 10^{-3}$.



Matching the theoretical precision in π_{e3} decay would require substantially higher beam stop rates and further attention to the target and central tracking detectors.

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<http://pen.phys.virginia.edu>